



2016

ANNUAL REPORT

California Department of
CONSUMER AFFAIRS



EDMUND G. BROWN JR.
Governor



ALEXIS PODESTA
*Acting Secretary of the Business, Consumer
Services and Housing Agency*



AWET KIDANE
Director of the Department of Consumer Affairs

ABOUT THIS BOOK

The Department of Consumer Affairs is one of eight agencies operating under the direction of the Business, Consumer Services and Housing Agency, which is overseen by the Governor Edmund G. Brown Jr. administration.

The Department of Consumer Affairs' (DCA's) *Annual Report 2016* was designed and edited by DCA's Office of Publications, Design & Editing and printed on-site by DCA's Digital Print Services in compliance with the Business and Professions Code 312(a).

Table of Contents

DIRECTOR'S MESSAGE _____	2	OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA _____	112
DCA'S SUPPORT SYSTEM _____	3	CALIFORNIA STATE BOARD OF PHARMACY _____	116
DCA LEADERSHIP _____	6	PHYSICAL THERAPY BOARD OF CALIFORNIA _____	125
OVERVIEW OF STATISTICAL INFORMATION _____	9	PHYSICIAN ASSISTANT BOARD _____	129
CALIFORNIA BOARD OF ACCOUNTANCY _____	11	CALIFORNIA BOARD OF PODIATRIC MEDICINE _____	133
CALIFORNIA ACUPUNCTURE BOARD _____	16	BUREAU FOR PRIVATE POSTSECONDARY EDUCATION _____	137
ARBITRATION CERTIFICATION PROGRAM _____	21	BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS _____	141
CALIFORNIA ARCHITECTS BOARD _____	23	PROFESSIONAL FIDUCIARIES BUREAU _____	149
CALIFORNIA STATE ATHLETIC COMMISSION _____	27	CALIFORNIA BOARD OF PSYCHOLOGY _____	153
BUREAU OF AUTOMOTIVE REPAIR _____	30	BUREAU OF REAL ESTATE _____	157
BOARD OF BARBERING AND COSMETOLOGY _____	35	BUREAU OF REAL ESTATE APPRAISERS _____	163
BOARD OF BEHAVIORAL SCIENCES _____	40	BOARD OF REGISTERED NURSING _____	167
CEMETERY AND FUNERAL BUREAU _____	45	RESPIRATORY CARE BOARD OF CALIFORNIA _____	172
BOARD OF CHIROPRACTIC EXAMINERS _____	50	BUREAU OF SECURITY AND INVESTIGATIVE SERVICES _____	176
CONTRACTORS STATE LICENSE BOARD _____	54	SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD _____	183
COURT REPORTERS BOARD OF CALIFORNIA _____	60	STRUCTURAL PEST CONTROL BOARD _____	188
DENTAL BOARD OF CALIFORNIA _____	63	TELEPHONE MEDICAL ADVICE SERVICES BUREAU _____	192
DENTAL HYGIENE COMMITTEE OF CALIFORNIA _____	69	VETERINARY MEDICAL BOARD _____	195
BUREAU OF ELECTRONIC & APPLIANCE REPAIR, HOME FURNISHINGS & THERMAL INSULATION _____	73	BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS _____	200
STATE BOARD OF GUIDE DOGS FOR THE BLIND _____	77	SECTION 139 _____	205
LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE _____	81	EXPENDITURE CATEGORIES _____	216
MEDICAL BOARD OF CALIFORNIA _____	85	FUND CONDITIONS _____	219
BUREAU OF MEDICAL CANNABIS REGULATION _____	95	REVENUE SOURCES _____	222
NATUROPATHIC MEDICINE COMMITTEE _____	97		
CALIFORNIA BOARD OF OCCUPATIONAL THERAPY _____	101		
CALIFORNIA STATE BOARD OF OPTOMETRY _____	105		

Our Mission

To protect consumers through effective enforcement activities and oversight of California's licensed professionals.

Our Vision

To be the premier consumer protection agency.

Our Values

ACCOUNTABILITY

We operate transparently and encourage public participation in our decision-making when appropriate.

CONSUMER PROTECTION

We make effective and informed decisions to protect the health and safety of Californians.

CUSTOMER SERVICE

We acknowledge our stakeholders as customers, listen to them, and take their needs into account.

INNOVATION

We value creative problem-solving, responsible risk-taking, and enthusiastic pursuit of new ideas.

INTEGRITY

We are committed to honesty, ethical conduct, and responsibility.

DIVERSITY

We foster a welcoming environment of openness and appreciation for all.

EMPLOYEES

We recognize and value employee contributions and talent, and foster leadership development and professional growth of our workforce.

LEADERSHIP

We set the standard for leadership in government by holding ourselves accountable to our employees and stakeholders.



Message from the Director

Welcome to the Department of Consumer Affairs' *2015–16 Annual Report*.

Over the past year, the Department of Consumer Affairs (DCA) has remained committed to consumer protection through effective enforcement activities and oversight of California's licensed professionals.

DCA's portfolio of licensing boards, bureaus, committees and commission (boards) expanded this last year with the addition of the Bureau of Medical Cannabis Regulation. The Medical Cannabis Regulation and Safety Act which created the bureau took effect January of 2016. While licensing isn't expected until 2018, the bureau hit the ground running. Bureau chief Lori Ajax was appointed in February and in the spring the bureau conducted nine informational sessions across California. The intent of the meetings was to bring together cannabis business owners, patients, law enforcement, local authorities and the public to introduce the bureau and to learn about the industry. This outreach was unprecedented in state government, but was extremely valuable to the bureau so that the regulations drafted are right for California.

Staying true to DCA's mission of consumer protection, the department set out guidelines for enforcement through the Consumer Protection Enforcement Initiative (CPEI). The intent of the CPEI was to streamline and standardize the complaint intake/analysis process and decrease the average processing time for complaint intake, investigation and prosecution. Acknowledging that several entities lacked incentive to follow the guidelines, Senate Bill 467 (Hill, Chapter 656, Statutes of 2015) was passed and signed into law. This bill further refined DCA's guidelines to improve timelines for case referral, processing and investigations. The provisions of this bill assigned the more complex and urgent cases or complaints that could result in serious patient harm, injury, or death to DCA's Division of Investigation, and routine cases to board enforcement staff.

As you read through each board's section, I welcome your questions and feedback. I look forward to working with DCA's stakeholders and partners to coordinate and continue protecting California's consumers.

Sincerely,
Awet Kidane, Director

DCA's Support System

The Department of Consumer Affairs' (DCA) boards, bureaus, and other entities are supported by a dedicated and highly skilled staff of legal, technical, and administrative professionals. These professionals provide a wide range of support services, including human resources, information technology, investigations, professional examinations, training, strategic planning, budgeting, and more.

Here is an overview of what the various offices and divisions of DCA's support system do for its many boards, bureaus, and other entities.

THE OFFICE OF ADMINISTRATIVE SERVICES provides accounting, business, personnel, and budget services. It consists of Business Services, Human Resources, and Fiscal Operations. Business Services ensures that DCA entities promote sound business decisions and practices in contracting and purchasing goods and services. It also manages DCA's many facilities, vehicle fleet, emergency response, and mailroom and records services. Human Resources provides human resources support for DCA employees. Fiscal Operations provides budget, accounting, and central cashing services.

THE OFFICE OF INFORMATION SERVICES directs and manages information technology for all of DCA. It consists of Application Services, Enterprise Technology Services, Infrastructure Services, Client Services, Enterprise Project Services, and the Information Security Office. Application Services maintains the Consumer Affairs System and the Applicant Tracking System databases that form the core of DCA's business processes. Enterprise Technology Services maintains and supports DCA's UNIX/Wide Area Network as well as the Internet and Intranet sites, and maintains the enterprise architecture. Infrastructure Services maintains the desktop and network services, and phone services. Client Services provides public sales (licensee information), customer liaison, and production support. It includes the Family Support Unit, which maintains systems that involve processing limited license hold issues related to child support systems, and the Service Desk. Enterprise Project Services provides project management assistance, controls agency liaison services, oversees the OIS change management and release process, manages the BreEZe project, and is implementing IT Governance. The Office of Information Security establishes the IT security and data privacy policies, maintains the business continuity planning process, investigates IT security breaches, and acts as liaison to the State Information Technology Agency in matters related to the IT security of DCA.

THE CONSUMER INFORMATION CENTER (CIC) is DCA's information resource center for consumers and licensees. Through its Call Center and Correspondence Unit, CIC provides consumers and licensees with user-friendly information and identifies for them the government agency or community organization that can best address their needs. CIC phone agents answer calls from consumers and licensees via DCA's toll-free number. Correspondence Unit staff respond to e-mails and letters sent to DCA.

THE EQUAL EMPLOYMENT OPPORTUNITY OFFICE (EEO) promotes equal employment opportunity at DCA. The EEO Office also promotes affirmative action for people with disabilities and works to prevent and eliminate discriminatory practices through policy implementation, training, education, and outreach.

THE DIVISION OF INVESTIGATION (DOI) is the law enforcement and investigative branch of DCA. DOI staff work to provide timely, objective, and cost-effective investigations regarding allegations of misconduct by licensees of client agencies, and to develop information for filing criminal, administrative, and civil actions on behalf of these agencies. DOI field investigations frequently involve allegations of the illegal use and theft of drugs, sexual misconduct, quality-of-care issues, and unlicensed activity. DOI is composed of four units. The **Health Quality Investigation Unit (HQIU)**: On July 1, 2014, HQIU was established under DOI in accordance with SB 304 (Hill, 2013). HQIU provides investigative services to the Medical Board of California, the Physician Assistant Board, and the Board of Podiatric Medicine. The **Investigation and Enforcement Unit's (IEU's)** goal is to protect the health, safety, and welfare of California consumers by conducting investigations and by filing criminal, administrative, and civil actions against subject licensees or unlicensed individuals on behalf of the boards. The **Enforcement Support Unit (ESU)** serves as the lead in DOI's outreach initiative for the boards' enforcement programs. ESU works closely with these boards in providing supplemental investigative services and support to their enforcement programs. The **Special Operations Unit (SOU)** is a specialized law enforcement unit within DOI. Some of the investigative services, training, and program management services provided by SOU include internal affairs investigations on behalf of DCA; background investigations of board, DOI, and DCA staff; program administration for DCA and oversight of the Infraction Citation Authority Program and the Criminal Offender Record Information clearance system; and investigations pursuant to DCA's Workplace Violence Prevention Policy.

THE LEGAL DIVISION includes the Legal Office, the Legal Services Unit, and the Administrative Unit. These units provide legal services to DCA's Executive staff and to all DCA entities. The Legal Office serves as in-house counsel for the Director as well as the boards, bureaus, programs, and other entities of DCA. Legal Office lawyers provide legal analysis and opinions on laws, issues, proposed legislation, government contracts, employer-employee matters, the Open Meetings Act, the Public Records Act, and the Information Practices Act. The Legal Services Unit counsels the Director in carrying out the consumer mandates of the Consumer Affairs Act. This unit created and maintains several consumer handbooks and more than 20 consumer-specific legal guides. The Administrative Unit provides in-house counsel to DCA's administrative divisions and Division of Investigation and represents DCA before the State Personnel Board and the Department of Personnel Administration.

THE LEGISLATIVE AND REGULATORY REVIEW DIVISION serves as DCA's resource on legislative and regulatory matters, representing DCA's positions on these matters before the Legislature. The Division monitors and analyzes legislative bills on consumer issues, reviews proposed regulation packages, and provides legislative recommendations, technical assistance, and regulatory review to DCA, the Business, Consumer Services and Housing Agency, and the Governor. The Division hosts monthly legislative roundtables to provide an open forum to discuss legislation, including fiscal, legal, and information technology impacts among DCA's various boards and bureaus.

THE OFFICE OF PROFESSIONAL EXAMINATION SERVICES (OPES) provides psychometric consulting services for the management of occupational licensure examination programs. OPES' services include occupational analysis, item writing, examination development, standard setting, program evaluation, and statistical analysis of examination performance. OPES follows the highest

technical and professional standards in the industry to ensure that licensing examinations are valid, job-related, and legally defensible. In addition to servicing 30–40 inter-agency contracts with DCA boards, bureaus, and committees, OPES also oversees the master contract for examination administration at computer-based testing centers throughout the state.

THE OFFICE OF PUBLIC AFFAIRS (OPA) creates and executes strategic media and communications plans for DCA, proactively provides news media with information of interest to consumers, responds to media inquiries, and alerts media to unlicensed activity sweeps. OPA's videographers assist DCA boards and bureaus with the creation of public service announcements, training, instructional, and consumer videos and with the webcasting of public meetings.

THE OFFICE OF PUBLICATIONS, DESIGN & EDITING (PDE)/DIGITAL PRINT SERVICES (DPS) designs, edits, revises, and distributes more than 200 consumer publications, newsletters, and reports produced by DCA's various entities and by its Executive Office. PDE supports DCA's licensees by producing and publishing online newsletters for many of its boards and bureaus, and supports DCA staff by producing *Did You Know?*, the monthly online newsletter for employees. PDE staff also write, edit, design, and distribute DCA's quarterly consumer magazine, *Consumer Connection*. Digital Print Services provides full in-house print shop services, including black-and-white and color digital printing, copying, bindery, and other various services to all of DCA.

SOLID TRAINING SOLUTIONS supports the development of all DCA employees through the design, delivery, evaluation, and administration of training and education programs. Its mission is to develop and manage a centralized program for training, education, and human resources development that ensures a skilled workforce that can meet DCA's strategic objectives. In addition, SOLID offers strategic planning assistance and process improvement workshops.

DCA Leadership



AWET KIDANE, Director

Awet Kidane was appointed Director in July 2014. As Director, he oversees the 40 regulatory entities and other divisions within DCA. Prior to his appointment, he served as Chief Deputy Director of DCA, chief of staff, a senior advisor and a consultant in the State Legislature.



JEFFREY MASON, Chief Deputy Director

Jeffrey Mason was appointed Chief Deputy Director in July 2016. Prior to his appointment, he served as Chief Deputy Commissioner at the California Bureau of Real Estate. He was Chief of the Department of Consumer Affairs' Bureau of Security and Investigative Services from 2010 to 2013; manager of education, training, and client relations at the Lyle Company from 2006 to 2009; and a project manager at InsWeb from 2005 to 2006. Mason was owner and operator of a personal chef service at Jump Shots Coffee from 2003 to 2005, manager of the New Products and Technology Implementation Team at AT&T Wireless Services from 1996 to 2003, and a legislative assistant in the Office of California State Assemblymember Denise Ducheny from 1994 to 1995. He was a legislative advocate at Rees Associates from 1992 to 1994 and served as a legislative clerk in the Office of the California State Assembly Chief Clerk from 1990 to 1992. Mason served as a hospital corpsman in the U.S. Navy from 1981 to 1987.



DOREATHEA JOHNSON, Deputy Director, Legal Affairs Division

Doreathea Johnson was initially appointed Deputy Director of Legal Affairs and General Counsel in 2000 and then reappointed in February 2013. As Deputy Director of Legal Affairs and General Counsel, Doreathea directs the activities of the Department's Legal Affairs Division and advises the Director, the Department's executive staff, and the staff and executive officers of DCA's regulatory entities. Prior to her appointment, Ms. Johnson practiced as Senior Tax Counsel with the California Employment Development Department and as an Administrative Law Judge for the California Unemployment Insurance Appeals Board. Ms. Johnson earned her Juris Doctor degree from the University of California Hastings College of the Law.



RYAN MARCROFT, Assistant Chief Counsel, Legal Affairs Division

Ryan Marcroft was appointed in August 2016. Prior to his appointment at DCA, Ryan was a Deputy Attorney General at the California Department of Justice, Office of the Attorney General, since 2010. He was a Deputy Legal Affairs Secretary in the Office of the Governor from 2006 to 2010 and a staff attorney at the Institute for Administrative Justice, California Parole Advocacy Program, from 2004 to 2006. He earned a Juris Doctor degree from the University of the Pacific, McGeorge School of Law.



CHRISTINE LALLY, Deputy Director, Board and Bureau Relations

Christine Lally was appointed Deputy Director of Board and Bureau Relations in June 2013. As Deputy Director of Board and Bureau Relations, Christine serves as DCA's primary liaison between the Business, Consumer Services and Housing Agency and DCA's boards and bureaus. Prior to her appointment, she served as Assistant Secretary of Communications and Legislation at the California Technology Agency. She also served as Deputy Secretary of Legislative Affairs at the California State and Consumer Services Agency, and Director of Government Affairs and Constituency Outreach at the Office of Lieutenant Governor John Garamendi.



ADAM QUIÑONEZ, Deputy Director, Legislation and Regulatory Review

Adam Quiñonez was appointed Deputy Director of Legislative and Regulatory Review in July 2016. Prior to his appointment, Adam served as DCA's Assistant Deputy Director of Legislative and Regulatory Review beginning in May 2015. Adam served as a Legislative Manager at the California Employment Development Department. Before that he was a research analyst at the California Workforce Investment Board from 2010 to 2012, where he also served as an associate governmental program analyst from 2009 to 2010 and as a staff services analyst from 2007 to 2009.



VERONICA HARMS, Deputy Director, Communications Division

Veronica Harms was appointed Deputy Director of Communications in February 2016. As Deputy Director of Communications, Veronica oversees the offices of Public Affairs and Publications, Design and Editing, Digital Print Services. Prior to her appointment, Veronica worked at the California State Senate Democratic Caucus, where she served as consultant and media specialist since 2012. She held multiple positions at Ogilvy Public Relations from 2007 to 2012, including senior account executive and account supervisor. She held multiple positions at KCRA-TV from 2004 to 2007, including national sales assistant, local sales assistant, and account executive and was a local sales assistant at KOVR-TV in 2003. She earned a Bachelor of Arts degree in Communications from the University of California, Davis, and Master of Business Administration degree from California State University, Sacramento.



TONYA CORCORAN, Deputy Director, Administrative Services

Tonya Corcoran was appointed Deputy Director of Administrative Services in June 2014. As Deputy Director of Administrative Services, Tonya oversees DCA's Office of Administrative Services, which covers many vital operations including human resources, fiscal operations, and business services functions. She joined DCA in 1989, and has served as its Labor Relations Officer, Bureau Chief for Hearing Aid Dispensers, Naturopathic Medicine, Telephone Medical Advice Services, and the Bureau of Electronic Appliance Repair and Home Furnishing and Thermal Insulation. Most recently, Tonya served as Deputy Chief of the Bureau of Automotive Repair.

DCA LEADERSHIP


JASON PICCIONE, Deputy Director, Office of Information Services

Jason Piccione was appointed Deputy Director of Information Services by the DCA Director in November 2015. As Chief Information Officer, he oversees the Department's Office of Information Services (OIS) as well as sets IT direction and coordinates infrastructure and service delivery across the Department. Prior to being appointed, Jason served as the Department's Chief Technology Officer. He has held various positions at the Bureau for Private Postsecondary Education and the Contractors State Licensing Board. Jason has worked more than 15 years in public service. He obtained a Bachelor's Degree from the University of California, Davis.


TRACY MONTEZ, Chief, Division of Programs and Policy Review

Tracy Montez was appointed the role of Chief of Programs and Policy Review by the DCA Director in February 2016. As Chief of Division of Programs and Policy Review, Tracy oversees a number of units within DCA, including the Office of Professional Examination Services (OPES) and the Consumer Information Center. Prior to her appointment, Tracy served as the Branch Administrator for the District, School, and Innovation Branch in the Deputy Superintendent's Office within the California Department of Education. She has more than 20 years of experience providing assessment and regulatory expertise to city, state, and national organizations. Previously, she held the position of Chief at the DCA's OPES and served as an independent consultant, contracting with several boards under DCA. Tracy holds a Doctorate of Philosophy in Industrial and Organizational Psychology from Kansas State University.


DAVID M. CHRISS, Chief, Division of Investigation

David M. Chriss was appointed the role of Chief of the Division of Investigation by the DCA Director in January 2016. Since 2012, he had served as Deputy Chief for the Division. With more than 27 years of investigative and managerial law enforcement experience, David has held various law enforcement positions throughout his career including Deputy Inspector General, Senior for the Office of the Inspector General, Supervising Investigator, Senior Special Investigator, and Investigator. He also served as a Police Officer, Police Corporal, and Police Sergeant for the Lincoln Police Department.

Overview of Statistical Information

DCA's regulatory boards and bureaus protect the public by licensing, registering, certifying, or approving individuals or businesses in various professions. These entities also investigate complaints and discipline license holders who violate practice requirements.

In this *Annual Report*, each DCA entity notes its major accomplishments for the 2015–2016 fiscal year, and new laws or regulations from the 2015–2016 fiscal year extending through 2016 calendar year. Tables that follow summarize the licensing and enforcement activities of each entity. Boards and bureaus vary in the data they report because they are governed by different laws. Therefore, not all categories of data apply to all programs. If a particular category does not apply, the table will indicate that.

Here is an overview of the information included.

STAFF

The number of civil service positions and the number of exempt positions approved in the State budget (including vacancies). Exempt employees are executive-level personnel (usually an executive officer or a bureau chief) appointed by the board, the DCA Director, or the Governor.

BOARD MEMBERSHIP

Boards are semiautonomous entities. Members of a board are usually split between people representing the profession and people representing the public. Board members are appointed by the Governor, the Senate Rules Committee, or the Speaker of the Assembly. The number of board members, who they represent, and who appoints them is set in state law. Membership on a DCA board is not a salaried position. Those who serve receive a small stipend and travel expenses to attend meetings.

BUREAU ADVISORY COMMITTEE MEMBERSHIP

Bureaus, committees, programs, and commissions are under the direct control of DCA, but may have an advisory committee made up of professionals and public members.

STRATEGIC PLAN

Each DCA entity is expected to have its own strategic plan that outlines its mission, vision, and goals.

LICENSES

The total number of licenses, permits, certificates, and approvals granted by each entity during the fiscal year. Totals for each type of license or permit can be found in the Summary of Licensing Activity.

FEES

List of most associated fees.

SUMMARY OF LICENSING ACTIVITY

INITIAL LICENSES/CERTIFICATES/PERMITS

The number of initial applications, the number of licenses issued, and the number of licenses renewed.

LICENSING POPULATION BY TYPE

How many licenses, certificates, or permits each entity has at the end of the fiscal year. Listed by type.

RENEWAL AND CONTINUING EDUCATION

How often a license must be renewed and how many hours of continuing education, if any, are required for renewal.

EXAM RESULTS

The number of candidates who passed or failed an exam for licensure.

OVERVIEW OF STATISTICAL INFORMATION (CONTINUED)

SUMMARY OF ENFORCEMENT ACTIVITY**CONSUMER COMPLAINTS—INTAKE**

These include complaints from the public, government, law enforcement, licensed professional groups, internal, others, or anonymous. A complaint is defined as, “an allegation or inquiry from any source indicating a probable violation of any law, rule, or order of any regulatory agency, including violations of the Business and Professions Code relating to businesses and professions licensed by any agency of the Department of Consumer Affairs.”

CONVICTION/ARREST NOTIFICATION COMPLAINTS

Convictions, applicant-reported convictions, or subsequent arrests are listed. “Pending” includes all conviction/arrest notification complaints pending at the end of the fiscal year regardless of the date the complaint was received.

INSPECTIONS (IF APPLICABLE)

The total number of enforcement inspections conducted during the fiscal year. The total includes initial, routine, complaint-driven, and follow-up inspections and the number of citations issued as a result of an inspection.

INVESTIGATIONS

An investigation is defined as the collection and verification of facts to determine jurisdiction and potential violations of law. Investigations begin as soon as they are assigned. The number reported includes desk investigations and field investigations.

NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

The timeline begins from the date the complaint was received to the date the investigation was closed, plus the average number of days to complete intake and investigation.

CITATIONS AND FINES

These numbers show citations issued with or without an administrative fine, and citations withdrawn or dismissed. The average refers to the average number of days from the date the complaint was received to the date a citation was issued.

TOTAL AMOUNT OF FINES

Amount assessed, reduced, and collected.

CRIMINAL/CIVIL ACTIONS

These are referrals to or filings by a district attorney or city attorney for criminal or civil action. These may include misdemeanor citations. A referral may be made both to the district attorney and the Office of the Attorney General and will be reflected in both categories.

OFFICE OF THE ATTORNEY GENERAL/DISCIPLINARY ACTIONS

Complaints and investigations in which the evidence and facts have substantiated a violation of the law are referred to the Attorney General for disciplinary action. Outcomes of disciplinary action may affect the status of a professional’s license.

NUMBER OF DAYS TO COMPLETE ATTORNEY GENERAL (AG) CASES

This table refers to the number of closed cases in the previous table. The timeline covers the date the complaint was received to the date the order became effective.

FORMAL ACTIONS FILED/WITHDRAWN/DISMISSED

All actions filed, withdrawn, or dismissed during the year.

ADMINISTRATIVE OUTCOMES/FINAL ORDERS

Disciplinary action taken in the cases closed by the Attorney General.

PETITION FOR MODIFICATION OR TERMINATION OF PROBATION

Outcome of petitions by licensees to reduce terms and conditions of probation or to terminate probation early.

PETITION FOR REINSTATEMENT OF REVOKED LICENSE/REGISTRATION/CERTIFICATION

The outcome of those requests.

COST RECOVERY TO DCA

Total dollar amount of administrative costs ordered repaid to DCA. Costs ordered may never be received.

CONSUMER RESTITUTION TO CONSUMERS/ REFUNDS/SAVINGS (IF APPLICABLE)

The total of court-ordered restitution to consumers as a result of mediation efforts. In some instances, services were performed without charge to the consumer, a consumer’s bill was reduced, or a product was returned or exchanged.

CALIFORNIA BOARD OF ACCOUNTANCY

Accountancy

Licenses and regulates accountants and accountancy firms.

STAFF:

94.5 civil service positions
2 exempt

LICENSEES:

100,736

BOARD MEMBERSHIP:

8 public representatives
7 licensees

BOARD STAFF:

Executive Officer:

Patti Bowers

patti.bowers@cba.ca.gov

Assistant Executive Officer:

Deanne Pearce

deanne.pearce@cba.ca.gov

STRATEGIC PLAN ADOPTED:

2015

www.dca.ca.gov/cba



LAWS AND REGULATIONS:

Business and Professions Code §§ 5000 – 5158

Title 16, Division 1, California Code of Regulations §§ 1 – 99.1

SUNSET REVIEW:

Last review: 2015

Inoperative/Repeal date: January 1, 2020

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
ALICIA BERHOW (VICE PRESIDENT)	JANUARY 1, 2019	Assembly/Public	NO
JOSE A. CAMPOS, CPA	NOVEMBER 26, 2019	Governor/Professional	NO
GEORGE FAMALLET, CPA	JANUARY 1, 2019	Governor/Professional	NO
LAURENCE KAPLAN	JANUARY 1, 2017	Assembly/Public	NO
KAY KO	NOVEMBER 26, 2016	Governor/Public	NO
LESLIE LAMANNA, CPA	JANUARY 1, 2016	Governor/Professional	NO
XOCHITL A. LEÓN	JANUARY 1, 2019	Senate/Public	NO
JIAN OU-YANG, CPA	NOVEMBER 26, 2017	Governor/Professional	NO
DEIDRE ROBINSON	NOVEMBER 26, 2018	Governor/Public	NO
KATRINA L. SALAZAR, CPA (PRESIDENT)	NOVEMBER 26, 2019	Governor/Professional	NO
MICHAEL M. SAVOY, CPA (SECRETARY/TREASURER)	NOVEMBER 26, 2018	Governor/Professional	NO
MARK J. SILVERMAN, ESQ.	JANUARY 1, 2018	Governor/Public	NO
KATHLEEN K. WRIGHT, CPA	NOVEMBER 26, 2017	Governor/Professional	NO
KARRIANN FARRELL HINDS, ESQ.	JANUARY 1, 2019	Governor/Public	NO
VACANT		Senate/Public	NO

RECIPROCITY (BPC §§ 5096 – 5096.21)

Currently, certified public accountants licensed in jurisdictions recognized by the Board as having “substantially equivalent” licensure standards may practice in California under the Board’s “practice privilege” criteria without

prior authorization from the Board as long as the individual meets certain requirements. All practice privilege holders must self-report any change in conditions that can be disqualifying. This law sunsets on January 1, 2019, and, if not extended, will revert to the prior practice privilege program with its notice and fee provisions.

MAJOR ACCOMPLISHMENTS

- Completed the notification process for licensees for whom an electronic record of the licensee's fingerprints did not exist in the Department of Justice criminal offender record information database. The notification directed them to submit fingerprints and undergo a state and federal level criminal offender record information search when renewing their license in an active status.
- Took action on 82 disciplinary matters, which represents a 32 percent increase over the prior fiscal year.
- Conducted a study of California's attest experience requirement for CPA licensure. The study involved surveying a wide range of groups in California and included a national survey of other state boards of accountancy in the remaining 54 jurisdictions. In March 2016, the CBA reviewed the final report of the study, which included comments and feedback from 10,163 stakeholders. Following an in-depth review and discussion, the CBA concluded that the current 500-hour attest experience requirement for CPA licensure was necessary and sufficient in supporting the CBA's mission to protect consumers.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Experience in Academia: This regulation, effective January 1, 2016, establishes guidelines for experience in academia to qualify as general accounting experience for licensure as authorized by Business and Professions Code Section 5093(d)(3). Specifically, this regulatory proposal would equate 48 semester units of instruction, or its equivalent in quarter units, to one year of general accounting experience.
- Continuing Education for Licensure and Reissuance: This regulation, effective October 1, 2015, would allow time for the CBA to notify an applicant who applies for licensure with experience obtained five or more years prior of the continuing education (CE) requirement and would allow time for the applicant to complete it. Previously, 80 hours of CE had to be completed in the two years prior to receipt of the application. The amendment to this regulation allows applicants to complete specified CE in the two years preceding "approval" of the application by the CBA.
- Disciplinary Guidelines and Model Orders: This regulation, effective October 1, 2015, provides CBA staff and Administrative Law Judges updated Disciplinary Guidelines and Model Orders, including factors to be considered in aggravation and mitigation, standard probationary terms, and guidelines for specific offenses. The guidelines for specific offenses are referenced to the statutory and regulatory provisions violated.
- Practice Privilege Notification of Pending Criminal Charges Form: This regulation, effective October 1, 2015, incorporates a Practice Privilege Notification of Pending Criminal Charges Form. This form is required to be completed by individuals practicing in California under a practice privilege who attain pending criminal charges. The form must be submitted to the CBA within 30 days and may be used to initiate an investigation regarding the criminal charges. The CBA will use the information obtained during the investigation to determine whether action is needed to assist the CBA in meeting its mission of consumer protection.

CONTINUED

- AB 181, Committee on Business, Professions, and Economic Development (Chapter 430, Statutes of 2015), clarifies the restoration requirements of a license placed in retired status to reference existing law that states that a canceled license may not be restored, renewed, or reinstated. For out-of-state license applicants, the bill recast and strengthened the requirements regarding license status from "valid and unrevoked" to "current, active, and unrestricted." In addition, clarified that those authorized to practice with a practice privilege may use the CPA designation in California.
- AB 1352, Eggman (Chapter 646, Statutes of 2015), requires the court to permit a defendant who was granted deferred entry of judgment (DEJ) on or after January 1, 1997; who has performed satisfactorily during the period in which DEJ was granted; and for whom the criminal charge or charges were dismissed to withdraw his or her plea and enter a plea of not guilty.
- SB 467, Hill (Chapter 656, Statutes of 2015), extends the CBA's sunset date from January 1, 2016, to January 1, 2020, and contains a provision to allow the CBA to include permanent practice restrictions as part of a disciplinary order.
- SB 560, Monning (Chapter 389, Statutes of 2015), requires a licensing board to provide personal information regarding licensees to the Employment Development Department upon request.
- AB 2560 (Oberholte, Chapter 302, Statutes of 2016) will authorize the Board to expedite the rulemaking process for removing out-of-state licensees from the Board's practice privilege program.
- SB 1479 (Committee on Business, Professions and Economic Development, Chapter 634, Statutes of 2016) will amend the Board's ethics study education requirements for Certified Public Accountant licensure to provide a level of flexibility by changing the current course title requirement to a subject requirement.

FEES*

Certified Public Accountant Fees	Actual Fee	Statutory Limit
CPA EXAMINATION APPLICATION FEE	\$100 (\$50 reapplication)	\$600
NATIONAL ASSOCIATION OF STATE BOARDS OF ACCOUNTANCY (NASBA) UNIFORM CPA EXAM FEE	\$734.10	Set by NASBA
CPA CERTIFICATE APPLICATION FEE	\$250 (individual) \$150 (firm)	\$250
INITIAL LICENSE FEE	\$120	\$250
TOTAL INITIAL FEES	\$1,204.10 (individual) \$270 (firm)	–
BIENNIAL RENEWAL FEE	\$120	\$250

* Some additional fees may be required per Business and Professions Code § 5134.

Fee Changes

On July 1, 2016, as required by California Board of Accountancy regulation, fees returned to the levels that existed prior to the reduction that was effective July 1, 2014.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
INDIVIDUAL	3,725	3,470	41,624
PUBLIC ACCOUNTANT	0	0	5
CORPORATION	270	228	1,791
PARTNERSHIP	87	72	671
FICTITIOUS NAME PERMIT	157	132	270

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
INDIVIDUAL	N/A	94,799	N/A
PUBLIC ACCOUNTANT	N/A	53	N/A
CORPORATION	N/A	4,364	N/A
PARTNERSHIP	N/A	1,520	N/A
FICTITIOUS NAME PERMIT	2,082	N/A	N/A

Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
CPA - INDIVIDUAL	EVERY 2 YEARS	80
PA - PUBLIC ACCOUNTANT	EVERY 2 YEARS	80
CPA - CORPORATION	EVERY 2 YEARS	N/A
CPA - PARTNERSHIP	EVERY 2 YEARS	N/A
CPA - FICTITIOUS NAME PERMIT	EVERY 5 YEARS	N/A

Exams		
PASS	FAIL	TOTAL
3,244*	N/A	N/A

* This number represents the total number of candidates who passed the final section of the Uniform CPA Examination (CPA Exam). The CPA Exam consists of four sections—Auditing and Attestation, Business Environment and Concepts, Financial Accounting and Reporting, and Regulation. The CPA Exam is computerized and candidates sit for each section individually. Once a candidate successfully completes one section of the CPA Exam, the candidate must pass the remaining sections of the CPA Exam within 18 months to pass the CPA Exam. The California Board of Accountancy does not currently have the capability to provide the number of candidates who failed the CPA Exam during 2015-16.

Summary of Enforcement Activity

Consumer Complaints—Intake	
2,035	RECEIVED
303	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,738	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
698	RECEIVED
698	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	

Investigations	
2,040	OPENED
2,150	CLOSED
1,056	PENDING

Summary of Enforcement Activity continued

Number of Days to Complete Intake and Investigations	
1,041	UP TO 90 DAYS
379	91 TO 180 DAYS
444	181 DAYS TO 1 YEAR
188	1 TO 2 YEARS
94	2 TO 3 YEARS
4	OVER 3 YEARS
177	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
256	ISSUED
256	ISSUED WITH A FINE
51	WITHDRAWN
0	DISMISSED
147	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$100,450	ASSESSED
\$0	REDUCED
\$65,067	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
105	CASES OPENED/INITIATED
83	CASES CLOSED
115	CASES PENDING

Number of Days to Complete AG Cases	
1	1 YEAR
29	1 TO 2 YEARS
27	2 TO 3 YEARS
19	3 TO 4 YEARS
7	OVER 4 YEARS
807	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
90	ACCUSATIONS FILED
2	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
3	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
2	LICENSE APPLICATIONS DENIED
24	REVOCAION
16	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
40	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
5	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/ Final Orders	
1	REVOCAION
1	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
2	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
5	GRANTED
1	DENIED
6	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
4	GRANTED
2	DENIED
6	TOTAL

Cost Recovery to DCA	
\$376,930	ORDERED
\$338,963	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
4	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
183	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
438	AVERAGE NUMBER OF DAYS

CALIFORNIA ACUPUNCTURE BOARD

Acupuncture

Licenses and regulates acupuncturists and acupuncture schools.

STAFF:

10.5 civil service positions
1 exempt

LICENSES:

16,126

BOARD MEMBERSHIP:

2 licensees
4 public members

BOARD STAFF:

Executive Officer:
Ben Bodea
ben.bodea@dca.ca.gov

STRATEGIC PLAN ADOPTED:

2013

www.acupuncture.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 4925 – 4979

Title 16, Division 13.7, California Code of Regulations §§ 1399.400 – 1399.489.2

SUNSET REVIEW:

Last review: 2016

Inoperative/Repeal date: January 1, 2019

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
HILDEGARDE BELLO AGUINALDO (PRESIDENT)	JUNE 1, 2017	Governor/Public	YES
KITMAN CHAN	JUNE 1, 2017	Governor/Public	YES
MICHAEL CORRADINO	JUNE 1, 2017	Governor/Professional	YES
FRANCISCO HSIEH	JUNE 1, 2017	Speaker of the Assembly/Public	N/A
JEANNIE KANG	JUNE 1, 2017	Governor/Professional	YES
JAMIE ZAMORA (VICE PRESIDENT)	JUNE 1, 2017	Senate Rules Committee/Public	N/A
VACANT		Governor/Professional	YES

RECIPROCITY

The California Acupuncture Board does not accept licensure from other states, nor does it accept for licensure those individuals who take and pass the national examination (administered by the National Certification Commission for Acupuncture and Oriental Medicine).

MAJOR ACCOMPLISHMENTS

- Significantly shortened timeframes for processing complaints, investigations, and probation, bringing the Board in line with its targets. With the Board working through cases more quickly, violations and noncompliance are addressed faster, ensuring rapid attention to consumer safety.
- Implemented working relationships with the Bureau for Private Postsecondary Education (BPPE) and the Accreditation Commission for

CONTINUED

Acupuncture and Oriental Medicine (ACAOM). SB 1246 changes the Acupuncture Training Program approval process beginning in 2017. The new process now requires approval from three organizations. The Board has worked closely with both BPPE and ACAOM to establish processes and protocols that will support the Board's ability to ensure minimum entry level competency training for licensure at approved acupuncture training programs. This improves the Board's ability to meet its mandate of consumer protection and improves the quality of training a potential licensee receives.

- Audited the National Certification Commission for Acupuncture and Oriental Medicine (NCCAOM) examination and Board decision to move to requiring the four modules of the NCCAOM along with a California Supplemental. The Board requested that the Department of Consumer Affairs' Office of Professional Examination Services (OPES) complete a comprehensive review of the NCCAOM examination. The examination consists of four tests: Foundations of Oriental Medicine, Biomedicine, Acupuncture with Point Location, and Chinese Herbology. The purpose of the OPES review was to evaluate the suitability of the NCCAOM examinations as part of the requirements for licensure as an acupuncturist in California. This review was conducted jointly by OPES staff and two psychometric experts working as independent consultants.

After reviewing the audit report, the Board established conditions that would allow it to work with OPES and NCCAOM to develop by 2019 a licensure route that would require the four modules offered by the NCCAOM along with a supplemental exam for California-specific requirements provided by the Board through OPES. The Board deliberated extensively to ensure all of California's high standards for minimum-level competency will be met.

- Began running out-of-state applicants through the National Practitioners Database as a condition of licensure. This is an extra level of protection for California consumers that identifies any applicants who may not have a Record of Arrests and

Prosecutions sheet through Department of Justice/FBI databases, but may still have relevant discipline, specifically pertaining to their healthcare license.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- The new Consumer Protection Enforcement Initiative regulations became effective on October 1, 2015. This was a significant regulatory change for the Board that brought several new improvements and tools to the Board's enforcement unit that will further protect the California consumer. One big change was that, for any individual registered as a sex offender, the Board shall deny an acupuncture license to the individual, revoke the license of the individual, and deny a petition for reinstatement or reissuance. The new regulations also indicate that an individual failing to provide requested copies of documents within 15 days of receipt of the request or within the time specified in the request, whichever is the later, is guilty of unprofessional conduct. It also states that failing to cooperate and participate in any Board investigation pending against the licensee is also considered unprofessional conduct. Finally, the new regulations set out new reporting requirements that require licensees to report the following:

1. An indictment or information charging a felony
2. An arrest
3. The conviction, including any verdict of guilty, or pleas of guilty or no contest, of any felony or misdemeanor
4. Any disciplinary action taken by another licensing entity or authority of this state or of another state or an agency of the federal government or the U.S. military

The Board is moving through the rulemaking process with additional regulations to strengthen its enforcement capacity by adding the Uniform Standards Related to Substance Abusing Licensees to the Board's Disciplinary Guidelines, updating its Conditions of Office to address prostitution and other sexual acts occurring on the licensee's business premises, and beginning discussions on an ethics requirement for licensee continuing education.

CALIFORNIA ACUPUNCTURE BOARD

CONTINUED

- AB 2190 (Salas, Chapter 667, Statutes of 2016) will extend the operation of the Board and the Board's authority to appoint an executive officer until January 1, 2019, and will authorize the Board to require an applicant who completed education outside of the United States to submit documentation of his or her education to a credential service approved by the Board to assess the educational equivalency of the applicant, and require the Board to establish by regulation an application process, criteria, and procedures for the approval of a credential evaluation service.

FEES*

Acupuncturist	Actual Fee	Statutory Limit
APPLICATION FEE	\$75	\$75
EXAMINATION FEE	\$550	Actual cost to Board
INITIAL LICENSE FEE	\$176 – \$325**	\$325
TOTAL INITIAL LICENSE FEES	\$801 – \$950	–
BIENNIAL RENEWAL FEE	\$325	\$325
DELINQUENT LICENSEE FEE	\$25	\$25
DUPLICATE WALL LICENSE	\$15	\$15
DUPLICATE POCKET LICENSE	\$10	\$10
ENDORSEMENT	\$10	\$10
CEU PROVIDER FEE	\$150	\$150
ACUPUNCTURE SUPERVISOR FEE	\$200	\$200
SUPERVISOR RENEWAL FEE	\$50	\$50
TRAINEE APPLICATION FEE	\$25	\$25
TRAINEE RENEWAL FEE	\$10	\$10
SCHOOL APPLICATION FEE***	\$1,500	\$3,000
TRAINEE DELINQUENT FEE (50% OF TRAINEE RENEWAL FEE)	\$5	\$5
SCHOOL INSPECTION FEE***	VARIABLES	Varies

* Some additional fees may be required per Business and Professions Code § 4970.

** There is a range listed since initial certification fee is pro-rated depending on an applicant's birth date, as per CCR § 1399.460(b).

*** This fee will no longer be assessed beginning January 1, 2017.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ACUPUNCTURE LICENSE	523	518	5,645

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ACUPUNCTURE LICENSE	N/A	16,126	N/A
ACUPUNCTURE SCHOOLS	N/A	N/A	34

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
ACUPUNCTURE LICENSE	EVERY 2 YEARS	50

Exams		
PASS	FAIL	TOTAL
514	420	934

Summary of Enforcement Activity

Consumer Complaints—Intake	
208	RECEIVED
8	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
199	REFERRED FOR INVESTIGATION
1	PENDING

Conviction/Arrest Notification Complaints	
121	RECEIVED
124	CLOSED/REFERRED FOR INVESTIGATION
1	PENDING

Inspections	
N/A	

Investigations	
321	OPENED
353	CLOSED
171	PENDING

Number of Days to Complete Intake and Investigations	
148	UP TO 90 DAYS
50	91 TO 180 DAYS
62	181 DAYS TO 1 YEAR
79	1 TO 2 YEARS
12	2 TO 3 YEARS
2	OVER 3 YEARS
224	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
107	ISSUED
105	ISSUED WITH A FINE
2	WITHDRAWN
7	DISMISSED
224	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$88,450	ASSESSED
\$15,550	REDUCED
\$58,050	COLLECTED

Criminal/Civil Actions	
5	REFERRALS FOR CRIMINAL/CIVIL ACTION
1	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
25	CASES OPENED/INITIATED
27	CASES CLOSED
24	CASES PENDING

Number of Days to Complete AG Cases	
3	1 YEAR
3	1 TO 2 YEARS
6	2 TO 3 YEARS
7	3 TO 4 YEARS
8	OVER 4 YEARS
120	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
23	ACCUSATIONS FILED
2	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
5	LICENSE APPLICATIONS DENIED
5	REVOCATION
6	SURRENDER OF LICENSE
2	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
12	PROBATION ONLY
2	PUBLIC REPRIMAND
0	OTHER DECISIONS

Summary of Enforcement Activity continued

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

1	TOTAL NUMBER FILED
----------	--------------------

Subsequent Disciplinary – Administrative Outcomes/ Final Orders

0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
1	OTHER DECISIONS

Petition for Penalty Relief

1	GRANTED
1	DENIED
2	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification

0	GRANTED
1	DENIED
1	TOTAL

Cost Recovery to DCA

\$212,524	ORDERED .
\$61,662.60	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings

0	RESTITUTION ORDERED
0	AMOUNT REFUNDED
0	REWORK AT NO CHARGE
0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator

5	AVERAGE NUMBER OF DAYS
----------	------------------------

Investigations: Opening to Closing of Case

240	AVERAGE NUMBER OF DAYS
------------	------------------------

Investigations: Closure of Investigation to Imposing Formal Discipline

676	AVERAGE NUMBER OF DAYS
------------	------------------------

ARBITRATION CERTIFICATION PROGRAM

Arbitration Certification

Certifies and monitors third-party arbitration programs of participating automobile manufacturers to ensure that they comply with California law on new vehicle warranties and state-certified arbitration programs.

STAFF:

8 civil service positions

CERTIFICATIONS:

24

BOARD STAFF:

Program Chief:

Sherrie Moffet-Bell

sherrie.moffet-bell@dca.ca.gov

Deputy Program Chief:

José Escobar

jose.escobar@dca.ca.gov

STRATEGIC PLAN ADOPTED:

2014

www.dca.ca.gov/acp
www.LemonLaw.ca.gov



LAWS AND REGULATIONS:

Business and Profession Code §§ 472 – 472.5

Commercial Code Division 2 commencing with § 2101

Health and Safety Code §§ 43204 – 43205.5

Song-Beverly Consumer Warranty Act (Lemon Law): Civil Code §§ 1790 – 1795.8

Vehicle Code Chapter 4 of Division 5 commencing with § 11700

Title 16, Division 33.1, California Code of Regulations §§ 3396.1 – 3399.6

SUNSET REVIEW:

Pursuant to Business and Professions Code § 472.4, the Arbitration Certification Program is required to submit a biennial report to the Legislature evaluating the effectiveness of the Certification of Third-Party Dispute Resolution Processes for New Motor Vehicles. The report is due in 2017.

STATE CERTIFICATION STATISTICS

The Arbitration Certification Program has approved arbitration programs to provide third-party arbitration services for participating automobile manufacturers. These manufacturers represent most of the major car brands, with the exception of Acura, Daewoo, Daihatsu, Honda, Lexus, Mercedes-Benz, Mitsubishi, Peugeot, Subaru, Suzuki, and Volvo.

MAJOR ACCOMPLISHMENTS

- Approved the joint certification of Fiat Chrysler Automobile (FCA US LLC) and National Center for Dispute Settlement (NCDS) to operate in the California Dispute Settlement Program (CDSP).
- Re-engineered its Consumer Satisfaction Survey to better gauge satisfaction with automotive manufacturers, arbitration programs, and consumers' vehicles. The annual Consumer Satisfaction Survey is mandated by the Legislature and was revamped to evaluate and compile better response statistics from consumers by using a pre- and post-survey methodology.
- Successfully facilitated the return of \$12.5 million to consumers in the form of refunds, replacement vehicles, extended service contracts, and repairs awarded by state-certified arbitration programs.

ARBITRATION CERTIFICATION PROGRAM

FEES

The Arbitration Certification Program’s operating expenses are generated by a fee of up to \$1 for each motor vehicle sold or leased by manufacturers in California. These fees are collected by the New Motor Vehicle Board within the Department of Motor Vehicles, and deposited into the Certification Account.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits Received, Issued, and Renewed this Fiscal Year

LICENSE NAME	APPS RECEIVED	ISSUED	RENEWED
CERTIFICATION OF ARBITRATION PROGRAM	1	0	N/A

Active Licenses by License Category

LICENSE NAME	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
CERTIFICATION OF ARBITRATION PROGRAM	24	N/A	N/A

License Renewal and Continuing Education (CE)

LICENSE NAME	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
N/A		

Summary of Enforcement Activity

Complaints

97	TOTAL COMPLAINTS RECEIVED
97	COMPLAINTS CLOSED
22	COMPLAINTS REFERRED FOR INVESTIGATION
0	COMPLAINTS PENDING

Convictions and Arrest Notifications

N/A

Investigations

N/A

Formal Actions Filed

N/A

Citations and Fines

N/A

Inspections/Audits

8	TOTAL NUMBER OF INSPECTIONS
0	INSPECTION CITATIONS ISSUED

Petition for Modification or Termination of Probation

N/A

Petition for Reinstatement of Revoked License/ Registration/Certification

N/A

Administrative Outcomes/Final Orders

N/A

Cost Recovery

N/A	AMOUNT OF ORDERED TO DCA
N/A	TOTAL AMOUNT COLLECTED
N/A	AMOUNT OF COURT ORDERED RESTITUTION TO CONSUMERS
\$12,500,000	AMOUNT REFUNDED TO CONSUMERS
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$12,500,000	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Timeline for Disposition of Investigations

N/A

Receipt of Complaint to Assignment to Investigator

0	AVERAGE NUMBER OF DAYS
----------	------------------------

Investigations: Opening to Closing of Case

0	AVERAGE NUMBER OF DAYS
----------	------------------------

Investigations: Closure of Investigation to Imposing Formal Discipline

0	AVERAGE NUMBER OF DAYS
----------	------------------------

CALIFORNIA ARCHITECTS BOARD

Architects

Licenses and regulates more than 20,000 architects responsible for designing billions of dollars' worth of structures throughout the state. The Board's regulation of the practice of architecture protects consumers of architectural services, as well as those who inhabit or use the designed structures.

STAFF:

23.9 civil service positions
1 exempt

LICENSES:

20,914

BOARD STAFF:

Executive Officer:

Doug McCauley

doug.mccauley@dca.ca.gov

Assistant Executive Officer:

Vickie Mayer

vickie.mayer@dca.ca.gov

BOARD MEMBERSHIP:

5 licensees
5 public representatives

STRATEGIC PLAN ADOPTED:

2015

www.cab.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 5500 – 5683

Title 16, Division 2, California Code of Regulations §§ 100 – 160

Title 16, Division 26, California Code of Regulations §§ 2602 – 2680

SUNSET REVIEW:

Last review: 2014/15 Inoperative/Repeal date: January 1, 2020

BOARD MEMBERS

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
JON BAKER (PRESIDENT)	JUNE 30, 2017	Governor/Professional	NO
DENISE CAMPOS	JUNE 30, 2018	Senate/Public	NO
TIAN FENG	JUNE 30, 2017	Governor/Professional	NO
PASQUAL GUTIERREZ	JUNE 30, 2020	Governor/Professional	NO
SYLVIA KWAN (SECRETARY)	JUNE 30, 2019	Governor/Professional	NO
EBONY LEWIS	JUNE 30, 2019	Governor/Public	NO
MATTHEW MCGUINNESS (VICE PRESIDENT)	JUNE 30, 2020	Governor/Public	NO
ROBERT PEARMAN, JR.	JUNE 30, 2020	Assembly/Public	NO
NILZA SERRANO	JUNE 30, 2020	Governor/Public	NO
BARRY WILLIAMS	JUNE 30, 2018	Governor/Professional	NO

RECIPROCITY (16 CCR §121)

To be eligible for reciprocal licensure in California, an architect must hold a current and valid license in a qualifying jurisdiction, provide verification of eight years of combined education and work experience, meet the licensing requirements equivalent to those in California, and successfully complete the California Supplemental Examination (CSE).

The Board has authority to grant eligibility for the CSE to architects meeting the requirements based on four categories of reciprocity candidacy: (1) architects licensed in another U.S. jurisdiction (pre-1966); (2) architects licensed in another U.S. jurisdiction (1966-present); (3)



CALIFORNIA ARCHITECTS BOARD

CONTINUED

architects licensed in Canada with a National Council of Architectural Registration Boards (NCARB) Certificate; and (4) architects licensed in a foreign country with a NCARB Certificate.

The Board requires that new candidates complete the Architectural Experience Program (AXP). In order to be eligible for reciprocity and not be subject to the AXP requirement, applicants must possess three years of licensed architectural practice in another U.S. jurisdiction.

There is a \$35 charge for the Board to review a California Architect Reciprocity Application to take the CSE that itself carries a \$100 fee.

MAJOR ACCOMPLISHMENTS

- Collaborated extensively with the three California institutions—the University of Southern California, the NewSchool of Architecture & Design, and Woodbury University—that are establishing Integrated Path to Architectural Licensure (IPAL) programs. The collaboration is in support of the national effort to promote a path to licensure that integrates the experience and examination components of licensure into the degree programs. In addition, the Board secured amendments to its Sunset Review bill (SB 1479 [Committee on Business, Professions and Economic Development]) to grant candidates enrolled in an IPAL program early eligibility to take the national Architect Registration Examination.
- Continued to provide quality services to consumers and consistently exceeded its enforcement performance measures defined by the Department of Consumer Affairs’ Consumer Protection Enforcement Initiative. The Board’s enforcement efforts resulted in the closure of 411 investigations and the issuance of 65 citations, which are the Board’s highest number of case closures in the past five years.
- Continued to enhance the Board’s influence on national policy. Reciprocity, enforcement, examination, and education requirements are all areas that are vastly shaped by NCARB requirements. This year, the Board was able to defeat a proposal that would have precluded

California architects without a professional degree to attain the NCARB Certificate, which would prevent them from attaining reciprocity in many states. In addition, the Board was able to secure appointments to five different NCARB committees, which affords the opportunity to shape national policy early in the process. Finally, as a leader in NCARB, the Board gave presentations on a variety of critical topics, such as the *North Carolina Dental Board v. Federal Trade Commission* case, sunset review, and IPAL initiatives.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Adopted a regulatory proposal to amend California Code of Regulations (CCR) Section 109 to require AXP participants to only document the core hour requirement to complete AXP (formerly known as the Intern Development Program), effectively reducing the total length of the required experience from 5,600 hours to 3,740.
- Adopted a regulatory proposal to amend CCR Sections 109 and 111 to revise the Application for Eligibility Evaluation, per Business and Professions Code (BPC) Section 115.4. BPC 115.4 requires the Board, on and after July 1, 2016, to expedite or, when applicable, assist the initial licensure process for a candidate who supplies satisfactory evidence to the Board that they served as an active duty member of the armed forces of the United States and were honorably discharged.

LICENSING REQUIREMENTS

DEGREE/PROFESSIONAL SCHOOLING	YES*
INTERN DEVELOPMENT PROGRAM	YES**
EXAMINATION	YES
CONTINUING EDUCATION/COMPETENCY	YES***
FINGERPRINTING REQUIREMENT	NO

* Degree/professional education requirement can also be met by five years of training (work) experience credit for architects.
 ** Does not apply to landscape architects who must have at least two years of training (work) experience credit.
 *** Five hours of coursework in disability access requirements for architects.

FEES

Architect*	Actual Fee	Statutory Limit
APPLICATION FEE (ARE ELIGIBILITY)	\$100	\$100
ARCHITECT REGISTRATION EXAMINATION (ARE) FEE**	\$210/division	-
CALIFORNIA SUPPLEMENTAL EXAMINATION (CSE) FEE	\$100	\$100
LICENSURE FEE	\$300	\$400
TOTAL INITIAL LICENSE FEES***	\$500	-
BIENNIAL RENEWAL FEE	\$300	\$400

* Additional fees may be required per BPC § 5604 and 16 CCR §144.

** Fee paid directly to the National Council of Architectural Registration Boards (NCARB) for ARE divisions (ARE 4.0 has seven divisions and ARE 5.0 has six).

*** Total shown does not include ARE costs that are: ARE 4.0 (\$1,470) or ARE 5.0 (\$1,260).

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
C-ARCHITECTS	668	663	12,199

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
C-ARCHITECTS	0	20,914	0

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
C-ARCHITECTS	BIENNIAL	5

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
BUILDING DESIGN AND CONSTRUCTION SYSTEMS	650	477	1,127
BUILDING SYSTEMS	628	370	998
CONSTRUCTION DOCUMENTS AND SERVICES	805	701	1,506
PROGRAMMING, PLANNING, AND PRACTICE	768	557	1,325
SCHEMATIC DESIGN	760	323	1,083
SITE PLANNING AND DESIGN	789	574	1,363
STRUCTURAL SYSTEMS	585	298	883
CALIFORNIA SUPPLEMENTAL EXAMINATION	661	315	976
TOTALS	5,646	3,615	9,261

Summary of Enforcement Activity

Consumer Complaints—Intake	
384	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
384	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
1	RECEIVED
1	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	

Investigations	
385	OPENED
411	CLOSED
82	PENDING

Number of Days to Complete Intake and Investigations	
254	UP TO 90 DAYS
72	91 TO 180 DAYS
57	181 DAYS TO 1 YEAR
24	1 TO 2 YEARS
3	2 TO 3 YEARS
1	OVER 3 YEARS
116	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
65	ISSUED
65	ISSUED WITH A FINE
0	WITHDRAWN
8	DISMISSED
270	AVERAGE NUMBER OF DAYS TO COMPLETE A CITATION AND FINE

Total Amount of Fines	
\$79,750	ASSESSED
\$1,250	REDUCED
\$60,536	COLLECTED

CALIFORNIA ARCHITECTS BOARD

Summary of Enforcement Activity continued

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
4	CASES OPENED/INITIATED
4	CASES CLOSED
6	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
3	1 TO 2 YEARS
0	2 TO 3 YEARS
0	3 TO 4 YEARS
1	OVER 4 YEARS
924	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
4	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
1	REVOCAION
0	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
1	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/ Final Orders	
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$27,758	ORDERED
\$11,143	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$3,083	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$3,083	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
1	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
115	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
471	AVERAGE NUMBER OF DAYS

CALIFORNIA STATE ATHLETIC COMMISSION

Athletic Commission

Licenses and regulates boxing, kickboxing, and mixed martial arts by licensing all participants and supervising the events. Also administers the Professional Boxer's Pension Fund.

STAFF:

7 civil service positions
1 exempt

LICENSES:

3,550

BOARD STAFF:

Executive Officer:

Andy Foster

andy.foster@dca.ca.gov

COMMISSION
MEMBERSHIP:

7 public representatives

STRATEGIC PLAN ADOPTED:

2012

www.dca.ca.gov/csac



LAWS AND REGULATIONS:

Business and Profession Code §§ 18600 – 18887

Title 4, Division 2, California Code of Regulations §§ 201 – 829

SUNSET REVIEW:

Last review: 2015

Inoperative/Repeal dates: January 1, 2019

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
LUIS AYALA	JANUARY 1, 2019	Senate/Public	NO
JOHN CARVELLI (CHAIR)	JANUARY 1, 2018	Governor/Public	YES
JOHN FRIERSON	JANUARY 1, 2019	Assembly/Public	NO
MARY LEHMAN (VICE CHAIR)	JANUARY 1, 2017	Governor/Public	YES
VAN GORDON SAUTER	JANUARY 1, 2018	Governor/Public	YES
MARTHA SHEN-UQUIRDEZ	JANUARY 1, 2017	Governor/Public	YES
VERNON WILLIAMS	JANUARY 1, 2019	Governor/Public	YES

RECIPROCITY

Applicants licensed in another state must meet or exceed the Commission's licensing requirements because no national governing body exists. However, medical examinations from other states by a licensed physician may be accepted if they are completed to the Commission's standards and requirements.

MAJOR ACCOMPLISHMENTS

- Continued efforts in recognizing and educating the harmful effects of athletes practicing massive weight cutting through dehydration followed by rapid rehydration.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Promulgated emergency regulations to allow an earlier weigh-in for competing athletes to ensure healthier rehydration and penalize athletes who engage in extreme weight cutting. The emergency regulation also

CALIFORNIA STATE ATHLETIC COMMISSION

CONTINUED

banned the use of intravenous therapies to rehydrate.

- Publicized emergency regulations requiring the use of headgear in all amateur contests for the protection of all amateur boxers.
- SB 469, chaptered in September 21, 2015, became effective January 1, 2016. This bill authorizes the Commission to conduct enhanced drug testing necessary to promote the health and safety of licensees by providing that the administration or

use of any drugs, alcohol, stimulants, or injections in any part of the body, or the use of any prohibited substance specified in the Prohibited Substances List of the World Anti-Doping Code, as promulgated by the World Anti-Doping Agency, is prohibited. SB 469 also authorizes the Commission to conduct drug testing at any time during the period of licensure to ensure compliance with this prohibition. Any licensee in violation of the prohibition is subject to a fine of up to 40 percent of the value of the total purse.

FEES*

Professional Athlete	Application Fee	Renewal Fee
ACTUAL FEE	\$60	N/A
STATUTORY CAP	\$60	N/A

Second	Application Fee	Renewal Fee
ACTUAL FEE	\$50	N/A
STATUTORY CAP	\$50	N/A

Manager	Application Fee	Renewal Fee
ACTUAL FEE	\$150	\$150
STATUTORY CAP	\$150	\$150

Matchmaker	Application Fee	Renewal Fee
ACTUAL FEE	\$200	\$200
STATUTORY CAP	\$200	\$200

Professional Referee	Application Fee	Renewal Fee
ACTUAL FEE	\$150	\$150
STATUTORY CAP	\$150	\$150

Professional Judge	Application Fee	Renewal Fee
ACTUAL FEE	\$150	\$150
STATUTORY CAP	\$150	\$150

Timekeeper	Application Fee	Renewal Fee
ACTUAL FEE	\$50	\$50
STATUTORY CAP	\$50	\$50

Professional Trainer	Application Fee	Renewal Fee
ACTUAL FEE	\$200	\$200
STATUTORY CAP	\$200	\$200

Professional Promoter	Application Fee	Renewal Fee
ACTUAL FEE	\$1,000	\$1,000
STATUTORY CAP	\$1,000	\$1,000

* Some additional fees may be required per Business and Professions Code § 7137.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PROMOTER	9	7	41
REFEREE	3	3	43
TIMEKEEPER	4	4	10
PRO ATHLETE	1,193	1,193	N/A
JUDGE	10	10	34
MATCHMAKER	10	10	14
SECOND	2,056	2,056	0
MANAGER	39	38	87

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PROMOTER	N/A	48	N/A
REFEREE	N/A	46	N/A
TIMEKEEPER	N/A	14	N/A
PRO ATHLETE	N/A	1,193	N/A
JUDGE	N/A	44	N/A
MATCHMAKER	N/A	24	N/A
SECOND	N/A	2,056	N/A
MANAGER	N/A	125	N/A

Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PROMOTER	ANNUAL	N/A
REFEREE	ANNUAL	N/A
TIMEKEEPER	ANNUAL	N/A
PRO ATHLETE	ANNUAL	N/A
JUDGE	ANNUAL	N/A
MATCHMAKER	ANNUAL	N/A
SECOND	ANNUAL	N/A
MANAGER	ANNUAL	N/A

Exams	
	N/A

Summary of Enforcement Activity

Consumer Complaints—Intake	
30	RECEIVED
30	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
	N/A

Inspections / Investigations	
	N/A

Number of Days to Complete Intake and Investigations	
	N/A

Citations and Fines	
24	ISSUED
24	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
30	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$79,214.70	ASSESSED
\$200	REDUCED
\$72,735.80	COLLECTED

Criminal/Civil Actions	
N/A	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
4	CASES OPENED/INITIATED
4	CASES CLOSED
0	CASES PENDING

Number of Days to Complete AG Cases	
	N/A

Administrative Outcomes/Final Orders	
2	LICENSE APPLICATIONS DENIED
2	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
24	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
	N/A

Petition for Reinstatement of Revoked License/Registration/Certification	
1	GRANTED
1	DENIED
2	TOTAL

Cost Recovery to DCA	
	N/A

Consumer Restitution to Consumers/Refunds/Savings	
	N/A

Receipt of Complaint to Assignment to Investigator	
7	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
30	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
90	AVERAGE NUMBER OF DAYS

BUREAU OF AUTOMOTIVE REPAIR

Automotive Repair

Registers/licenses and regulates automotive repair dealers, brake and lamp adjusters and stations, and Smog Check stations and technicians. Administers the Smog Check and Consumer Assistance Programs to reduce air pollution produced by motor vehicles.

STAFF:

588 civil service positions
2 exempt

REGISTRATIONS, LICENSES,
AND PERMITS:

75,042

BUREAU STAFF:

Chief: Patrick Dorais
patrick.dorais@dca.ca.gov

Assistant Chief: Doug Balatti
douglas.balatti@dca.ca.gov

Deputy Chief, Field Operations & Enforcement: Dan Povey
dan.povey@dca.ca.gov

STRATEGIC PLAN ADOPTED:

2013

www.bar.ca.gov
www.smogcheck.ca.gov
www.autorepair.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 9880 – 9889.68

Health and Safety Code §§ 44000 – 44126

Title 16, Division 33, California Code of Regulations §§ 3300 – 3395.5

SUNSET REVIEW:

Last Review: 2014

Next Review: 2018

ADVISORY AND EDUCATIONAL GROUP MEMBERS

These groups are not created by statute or regulation. Members are appointed by the Bureau Chief.

Bureau Advisory Group Members	Affiliation
LOUIS J. ANAPOLSKY	Knox, Lemmon & Anapolsky, LLP
JASON BARTANEN	Inter-Industry Conference on Auto Collision Repair
DENNY BOWEN	Automotive Maintenance and Repair Association/Motorist Assurance Program
DREW CARLSON	California Automotive Teachers
JOHAN GALLO	California Automotive Business Coalition
VINCE GREGORY	AAA Northern California
GEORGE HRITZ	California Automotive Teachers
DAVID LANG	Automobile Club of Southern California
BRIAN MAAS	California New Car Dealers Association
JON MCCONNEL	Independent Automotive Professionals Association
JACK MOLODANOF	California Auto Body Association
JONATHAN MORRISON	Auto Advisory Services, Inc.
TRACY RENEE	Automotive Service Councils of California
ROSEMARY SHAHAN	Consumers for Auto Reliability and Safety
CHRIS WALKER	Nossaman, Guthner, Knox & Elliott, LLP
SUSAN MONSER WARD	California Emission Testing Industries Association

DEPARTMENT OF CONSUMER AFFAIRS



Bureau of Autom ive Re ir

CONTINUED

Educational Advisory Group Members	Affiliation
JIM CUSTEAU	Cuyamaca College
PHIL FOURNIER	Contract Instructor
MIKE MORSE	Yuba College
KEVIN ROGERS	Cosumnes River College
STEVE TOMOROY	Rio Hondo College
BUD RICE	Quality Tune-Up
CRAIG JOHNSON	Craig Johnson Automotive
MIKE PALMER	Midas Muffler
GARY HOUSEMAN	Smog Check Technician

FEES

Automotive Repair Dealer	Actual Fee	Statutory Limit
APPLICATION FEE	\$200	\$200
RENEWAL FEE (ANNUAL)	\$200	\$200

Smog Check Station	Actual Fee	Statutory Limit
APPLICATION FEE	\$100	"reasonable cost"
RENEWAL FEE (ANNUAL)	\$100	"reasonable cost"

Brake and/or Lamp Station	Actual Fee	Statutory Limit
APPLICATION FEE	\$10	\$10
RENEWAL FEE (ANNUAL)	\$5	\$5

Smog Check Inspector and/or Repair Technician	Actual Fee	Statutory Limit
APPLICATION FEE	\$20	"reasonable cost"
EXAM FEE	\$45	"reasonable cost"
RENEWAL FEE (BIENNIAL)	\$20	"reasonable cost"

Brake and/or Lamp Adjuster	Actual Fee	Statutory Limit
APPLICATION FEE	\$10	\$10
RENEWAL FEE (FOUR YEARS)	\$5	\$5

RECIPROCIITY

The Bureau offers no reciprocity with licenses obtained in other states.

MAJOR ACCOMPLISHMENTS

- Retired 47,868 vehicles and provided financial assistance to repair an additional 5,630 vehicles through the Consumer Assistance Program, resulting in an estimated 6,822 tons of reduced emissions.
- Implemented an online application for repair assistance and vehicle retirement.
- Performed 15,542 roadside emission surveys of vehicles to evaluate the effectiveness of the Smog Check Program.
- Published the first complete evaluation of the Smog Check Program since implementation of major reforms set forth in AB 2289 (Eng, Chapter 258, Statutes of 2010), including the incentive-based performance program known as STAR. The results indicated marked improvement of the quality of inspections performed by the Smog Check industry.
- Worked with the California Air Resources Board (CARB) to identify and study certain vehicles that are unable to set a Malfunction Indicator Light (MIL) due to a problem with the vehicle's emission control system. ARB used this information to address these problems with vehicle manufacturers.
- Completed 2,217 audit inspections of test equipment used by licensed Smog Check stations and technicians to verify compliance with specifications designed to provide consistent and accurate testing of vehicles.
- Conducted a Smog Check occupational analysis that resulted in a new and improved repair technician licensing examination.
- Released online training for licensed technicians on hybrid vehicles, which were added to the Smog Check Program in April 2016.

BUREAU OF AUTOMOTIVE REPAIR

CONTINUED

- Began implementing an electronic transmittal system for investigations in order to improve efficiency and reduce costs associated with the filing of administrative actions against registrants for violations of the Automotive Repair Act.
- Filed 95 administrative actions using data captured by new Smog Check test equipment for vehicles equipped with on-board diagnostic systems, a 579 percent increase over the prior year. Secured nearly 40 court-ordered interim suspension orders against licensed stations and technicians for issuing fraudulent Smog Check certificates of compliance.
- AB 2387 (Mullin, Chapter 694, Statutes of 2016) will enhance restrictions against counterfeit airbags by prohibiting the deliberate manufacturing, importation, installation, reinstallation, distribution, sale, or offer for sale of a counterfeit or nonfunctional replacement airbag. This bill will also prohibit the intentional sale, installation, or reinstallation of any device that causes the vehicle's diagnostic system to fail to warn when the vehicle is equipped with a counterfeit supplemental restraint system component or nonfunctional airbag, or when no airbag is installed. Finally, the bill will prescribe that violations of these provisions constitutes a misdemeanor punishable by a fine not exceeding \$5,000, up to one year in county jail, or both fine and imprisonment.

MAJOR NEW LEGISLATION OR REGULATIONS*(Extends through the 2016 calendar year)*

- AB 2167 (Achadjian, Chapter 518, Statutes of 2016) will eliminate the existing requirement that businesses collect specified information from a tow truck operator within one day if a vehicle is dropped off after business hours, and instead clarify what information is required to be collected by a business that takes possession of a vehicle from a tow truck operator if the vehicle is dropped off after business hours. This clean-up bill will also allow businesses to accept a tow truck driver's identification number, issued by a motor club or other government authorized unique identifiers, in lieu of a driver's license number.
- SB 1046 (Hill, Chapter 783, Statutes of 2016) will require a driving under the influence offender to install an ignition interlock device on his or her vehicle for a specified period of time in order to get a restricted license or to reinstate his or her license and to remove the required suspension time before a person can get a restricted license. This bill will also authorize the Bureau to issue a citation or suspend or revoke the registration of an automotive repair dealer who installs, maintains, or services ignition interlock devices in a manner inconsistent with specified provisions, including failing to comply with the fee schedule requirements.

Summary of Licensing Activity

Initial Registrations/Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
AUTOMOTIVE REPAIR DEALER	4,047	3,392	34,864
SMOG CHECK TEST AND REPAIR STATION	552	524	4,933
SMOG CHECK TEST ONLY STATION	451	380	1,976
SMOG CHECK REPAIR ONLY STATION	14	9	34
STAR CERTIFICATES	1,119	572	N/A
BRAKE AND LAMP STATION	352	265	2,021
SMOG CHECK REPAIR TECHNICIAN	895	282	4,420
SMOG CHECK INSPECTOR	2,615	1,135	6,749
BRAKE AND LAMP ADJUSTER	2,228	282	N/A

Licensing Population by Type			
TYPE	CERTIFICATIONS/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
AUTOMOTIVE REPAIR DEALER	N/A	36,788	N/A
SMOG CHECK TEST AND REPAIR STATION	N/A	5,306	N/A
SMOG CHECK TEST ONLY STATION	N/A	2,146	N/A
SMOG CHECK REPAIR ONLY STATION	N/A	42	N/A
STAR CERTIFICATES	4,557	N/A	N/A
BRAKE STATION	N/A	1,054	N/A
LAMP STATION	N/A	1,042	N/A
SMOG CHECK REPAIR TECHNICIAN	N/A	9,889	N/A
SMOG CHECK INSPECTOR	N/A	15,552	N/A
BRAKE ADJUSTER	N/A	1,677	N/A
LAMP ADJUSTER	N/A	1,454	N/A

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
SMOG CHECK REPAIR TECHNICIAN	250	359	609
SMOG CHECK INSPECTOR	1,141	1,049	2,190
BRAKE ADJUSTER	419	162	581
LAMP ADJUSTER	377	352	729
TOTALS	2,187	1,922	4,109

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER OF HOURS REQUIRED EACH CYCLE
AUTOMOTIVE REPAIR DEALER	ANNUAL	0
SMOG CHECK TEST AND REPAIR STATION	ANNUAL	0
SMOG CHECK TEST ONLY STATION	ANNUAL	0
SMOG CHECK REPAIR ONLY STATION	ANNUAL	0
STAR CERTIFICATES	N/A	0
BRAKE AND LAMP STATION	ANNUAL	0
SMOG CHECK REPAIR TECH	EVERY 2 YEARS	12
SMOG CHECK INSPECTOR	EVERY 2 YEARS	4
BRAKE AND LAMP ADJUSTER	EVERY 4 YEARS	N/A

Summary of Enforcement Activity

Consumer Complaints—Intake	
19,499	RECEIVED
509	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
18,948	REFERRED FOR INVESTIGATION
197	PENDING

Conviction/Arrest Notification Complaints	
N/A	RECEIVED
N/A	CLOSED/REFERRED FOR INVESTIGATION
N/A	PENDING

Inspections	
26,403	INSPECTIONS CONDUCTED
917	INSPECTIONS CITATIONS ISSUED

Number of Days to Complete Intake and Investigations	
17,612	UP TO 90 DAYS
1,012	91 TO 180 DAYS
296	181 DAYS TO 1 YEAR
44	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
43	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

BUREAU OF AUTOMOTIVE REPAIR

Summary of Enforcement Activity continued

Investigations	
18,949	OPENED
18,946	CLOSED
2,384	PENDING

Citations and Fines	
3,381	ISSUED
642	ISSUED WITH A FINE
8	WITHDRAWN
205	DISMISSED
73	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$1,174,500	ASSESSED*
\$393,000	REDUCED
\$605,000	COLLECTED

* Assessed does not include citations currently under appeal.

Criminal/Civil Actions	
105	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Action	
354	CASES OPENED/INITIATED
237	CASES CLOSED*
559	CASES PENDING

* BAR may have multiple licenses listed on a single case/accusation. Therefore, administrative outcomes/final orders does not match the number of cases closed.

Administrative Outcomes/Final Orders*	
21	LICENSE APPLICATIONS DENIED
266	REVOCATION
0	SURRENDER OF LICENSE
62	PROBATION WITH SUSPENSION
1	SUSPENSION ONLY
85	PROBATION ONLY
0	PUBLIC REPRIMAND
48	OTHER DECISIONS

* BAR may have multiple licenses listed in a single case/accusation. Therefore, administrative outcomes/final orders does not match the number of cases closed.

Number of Days to Complete AG Cases	
19	1 YEAR
106	1 TO 2 YEARS
86	2 TO 3 YEARS
26	3 TO 4 YEARS
0	OVER 4 YEARS
721	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
43	STATEMENTS OF ISSUES FILED
231	ACCUSATIONS FILED
44	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
16	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
6	ACCUSATIONS WITHDRAWN/DISMISSED

Petition for Modification or Termination of Probation	
2	GRANTED
0	DENIED
2	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$1,323,685	ORDERED
\$679,854	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$60,109	RESTITUTION ORDERED
\$2,556,476	AMOUNT REFUNDED
\$1,362,751	REWORK AT NO CHARGE
\$1,093,820	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$5,073,156	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
3	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
43	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
556	AVERAGE NUMBER OF DAYS

BOARD OF BARBERING AND COSMETOLOGY

Barber/Cosmetology

Licenses and regulates barbers, cosmetologists, manicurists, estheticians, and electrologists, and the establishments they work in. The Board's mission is to ensure the health and safety of California consumers by promoting ethical standards and enforcing beauty industry laws.

STAFF:

82.1 civil service
1 exempt

LICENSES:

602,637

BOARD STAFF:

Executive Officer:

Kristy Underwood

kristy.underwood@dca.ca.gov

Assistant Executive Officer:

Heather Berg

heather.berg@dca.ca.gov

BOARD MEMBERSHIP:

4 licensees
5 public representatives

STRATEGIC PLAN ADOPTED:

2013

www.barbercosmo.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 7301 – 7426.5

Title 16, Division 9, California Code of Regulations §§ 901 – 999

SUNSET REVIEW:

Last review: 2015

Inoperative/Repeal date: January 1, 2020

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
MARY LOU AMARO	JANUARY 1, 2017	Governor/Professional	YES
BOBBIE JEAN ANDERSON	JANUARY 1, 2019	Governor/Public	YES
ANDREW DRABKIN	JANUARY 1, 2017	Governor/Public	YES
JOSEPH AARON FEDERICO (PRESIDENT)	JANUARY 1, 2019	Governor/Professional	YES
RICHARD HEDGES	JANUARY 1, 2017	Senate/Public	NO
POLLY ANN SEAVER-CODORNIZ	JANUARY 1, 2019	Governor/Professional	YES
KARI WILLIAMS (VICE PRESIDENT)	JANUARY 1, 2017	Governor/Professional	YES
CHARLES CHING	JANUARY 1, 2019	Assembly/Public	NO
LISA THONG	JANUARY 1, 2017	Governor/Public	YES

RECIPROCITY (BPC §§ 7331)

The Board grants a license to practice to an applicant if the applicant submits all of the following to the Board:

- A completed application form and all fees required by the Board.
- Proof of a current license issued by another state to practice that meets all of the following requirements:
 - It is not revoked, suspended, or otherwise restricted.
 - It is in good standing.
 - It has been active for three of the last five years, during which time the applicant has not been subject to disciplinary action or a conviction.

BOARD OF BARBERING AND COSMETOLOGY

CONTINUED

MAJOR ACCOMPLISHMENTS

- Revised, in some way, nearly all sections of law that comprise the Board's health and safety rules (Article 12 of Division 9, Title 16 of the California Code of Regulations [CCR]). In many cases, the changes are minor, however two entirely new sections have been added: Section 977, which defines a number of terms used in the regulations themselves, and Section 980.4, which details cleaning procedures and other requirements for shops that use disposable liners in their foot spa tubs or basins.
- Continued to improve language access for California licensees and consumers. The licensing unit is sending examination admission letters in the applicant's preferred language (English, Korean, Spanish, or Vietnamese). The examination sites now provide written examination instructions in English, Korean, Spanish, or Vietnamese. The Board participated in a town hall meeting with Vietnamese licensees and community leaders. The Board produced the *Foot Spa Cleaning and Disinfecting* video in Vietnamese and posted it on its website.
- Participated in a Medical Services Task Force (MST) meetings on May 4, 2015, and on August 3, 2015. MST's focus is to discuss regulatory changes that could clarify services that can be performed by Board licensees. Representatives of the task force were two Board members, the Board's Executive Officer, a Board inspector, Board Enforcement unit staff, the U.S. Food and Drug Administration (FDA), an FDA regulatory attorney, a Board-licensed esthetician, a Board-licensed establishment owner and esthetician, the California Department of Public Health, the Medical Board of California, the Professional Beauty Federation of California, a dermatologist, a representative from Paramedical Consultants, and a representative from AmSpa.

MAJOR NEW LEGISLATION OR REGULATIONS*(Extends through the 2016 calendar year)*

- Made additional changes on regulations concerning apprenticeships, crossover course curriculums, and text and reference books for students. The changes include two new CCR sections, Sections 914.1 and 914.2, that set limits on who can enroll as an apprentice and the number of re-enrollments. Section 918 has been amended to limit to two the number of apprentices a trainer may supervise at any one time. The apprenticeship curriculums detailed in CCR Sections 921, 921.1, and 921.2 have also been amended to conform to the format adopted by the Board for brick-and-mortar school curriculums. The Board repealed the crossover courses detailed in CCR Sections 950.8 and 950.9 so that students would receive full credit for all relevant school training when transferring from one course of study to another, as required under Business and Professions Code Section 7367. The elimination of these sections means that all crossover candidates need to do to qualify to take the examination is to fulfill whatever part of the cosmetology or barber curriculum they haven't already completed as part of their original program. The Board also modified CCR Section 961 to reflect that the vendor who develops the licensing examinations on behalf of the Board now reviews the textbook and other educational materials used in schools. This function was previously performed by Board staff when California developed its own examinations.
- AB 1322 (Daly, Chapter 741, Statutes of 2016) will exempt beauty salons and barbershops from acquiring a license or permit to serve a beer or a glass of wine to their customers provided that it is supplied as part of their service and there is no additional fee associated with it.
- AB 2025 (Gonzalez, Chapter 409, Statutes of 2016) will require the Board, by July 1, 2017, to: 1) provide all written materials in English, Korean, Spanish, and Vietnamese; 2) collect language preference on its licensure applications through optional questions; 3) require the Board's Health and Safety Committee to provide the Board with recommendations on ensuring licensees are aware of basic labor laws; 4)

CONTINUED

require applicants for a professional license or an establishment license to sign an acknowledgement that they understand their rights and responsibilities under California's labor laws and the written materials provided by the Board; and, 5) include instruction on basic labor law in its curriculum requirements.

- AB 2125 (Chiu, Chapter 564, Statutes of 2016) will require the Department of Toxic Substances Control to publish guidelines for use by cities and counties when voluntarily implementing local healthy nail salon recognition programs by January 1, 2018. This bill also will authorize the Board to notify a local jurisdiction if a recognized salon is in violation of the Board's regulations. Upon this notification, the jurisdiction will be required to remove the healthy nail salon recognition from that salon.
- AB 2437 (Ting, Chapter 357, Statutes of 2016) will require establishments licensed by the Board to conspicuously post by July 1, 2017, a notice in English, Spanish, Vietnamese, and Korean detailing certain employee workplace rights and wage and hour laws. This bill will require the Labor Commissioner to prepare a model notice for use in complying with this bill and to translate this notice into Spanish, Vietnamese, and Korean. This bill will also require the Board to inspect for compliance with this requirement when conducting inspections and authorizes the Board to levy administrative fines for noncompliance.
- SB 1044 (Nguyen, Chapter 233, Statutes of 2016) will require the Board to determine via regulation whether both an establishment owner and an individual licensee should be fined for the same violation; in doing so, the Board must take into consideration the egregiousness of the violation and whether it is a repeated violation. This bill will also authorize the Board to enter into a payment plan with licensees who owe more than \$500 in fines and require the Board to promulgate regulations to define the parameters of the payment plan. Additionally, this bill will clarify that initial licensure or licensure renewal may be made contingent upon all fines being paid.

FEES*

Barber/Cosmetologist/ Electrologist	Actual Fee	Statutory Limit
PRE-APPLICATION FEE	\$9	Established by Board
APPLICATION AND EXAMINATION FEE	\$75	Actual cost to Board
INITIAL LICENSE FEE	\$50	\$50
TOTAL INITIAL LICENSE FEES	\$134	-
LICENSE RENEWAL FEE	\$50	\$50

Esthetician	Actual Fee	Statutory Limit
PRE-APPLICATION FEE	\$9	Established by Board
APPLICATION AND EXAMINATION FEE	\$75	Actual cost to Board
INITIAL LICENSE FEE	\$40	\$40
TOTAL INITIAL LICENSE FEES	\$124	-
LICENSE RENEWAL FEE	\$50	\$50

Manicurist	Actual Fee	Statutory Limit
PRE-APPLICATION FEE	\$9	Established by Board
APPLICATION AND EXAMINATION FEE	\$75	Actual cost to Board
INITIAL LICENSE FEE	\$35	\$35
TOTAL INITIAL LICENSE FEES	\$119	-
LICENSE RENEWAL FEE	\$50	\$50

* Additional licensing categories and associated licensing fees can be found in Business and Professions Code §§ 7415 – 7426.5.

BOARD OF BARBERING AND COSMETOLOGY

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
BARBER	4,871	1,929	9,453
BARBER APPRENTICE	570	495	N/A
COSMETOLOGIST	17,349	10,488	116,671
COSMETOLOGY APPRENTICE	704	604	N/A
ELECTROLOGIST	66	35	637
ELECTROLOGY APPRENTICE	0	0	0
ESTABLISHMENT	7,086	6,823	17,716
ESTHETICIAN	6,968	4,555	29,152
MANICURIST	10,638	6,163	45,633
MOBILE UNIT	10	8	7

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
BARBER	N/A	27,289	N/A
BARBER APPRENTICE	N/A	854	N/A
COSMETOLOGIST	N/A	311,476	N/A
COSMETOLOGY APPRENTICE	N/A	1,142	N/A
ELECTROLOGIST	N/A	1,860	N/A
ELECTROLOGY APPRENTICE	N/A	0	N/A
ESTABLISHMENT	N/A	52,607	N/A
ESTHETICIAN	N/A	79,489	N/A
MANICURIST	N/A	127,881	N/A
MOBILE UNIT	N/A	39	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
BARBER	EVERY 2 YEARS	N/A
BARBER APPRENTICE	NO RENEWAL	N/A
COSMETOLOGIST	EVERY 2 YEARS	N/A
COSMETOLOGY APPRENTICE	NO RENEWAL	N/A
ELECTROLOGIST	EVERY 2 YEARS	N/A
ELECTROLOGY APPRENTICE	NO RENEWAL	N/A
ESTABLISHMENT	EVERY 2 YEARS	N/A
ESTHETICIAN	EVERY 2 YEARS	N/A
MANICURIST	EVERY 2 YEARS	N/A
MOBILE UNIT	EVERY 2 YEARS	N/A

Exams		
PASS	FAIL	TOTAL
49,782	13,421	63,203

Summary of Enforcement Activity

Consumer Complaints—Intake	
3,732	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
3,710	REFERRED FOR INVESTIGATION
23	PENDING

Conviction/Arrest Notification Complaints	
214	RECEIVED
213	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
14,346	INSPECTIONS CONDUCTED
11,514	INSPECTION CITATIONS ISSUED

Investigations	
3,924	OPENED
3,900	CLOSED
997	PENDING

Number of Days to Complete Intake and Investigations	
2,382	UP TO 90 DAYS
785	91 TO 180 DAYS
534	181 DAYS TO 1 YEAR
169	1 TO 2 YEARS
30	2 TO 3 YEARS
0	OVER 3 YEARS
110	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
19,264	ISSUED
17,918	ISSUED WITH A FINE
71	WITHDRAWN
50	DISMISSED
30	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$8,042,018	ASSESSED
\$1,142,325	REDUCED
\$6,186,329	COLLECTED

Summary of Enforcement Activity continued

Criminal/Civil Actions	
4	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
88	CASES OPENED/INITIATED
44	CASES CLOSED
105	CASES PENDING

Number of Days to Complete AG Cases	
2	1 YEAR
15	1 TO 2 YEARS
18	2 TO 3 YEARS
8	3 TO 4 YEARS
2	OVER 4 YEARS
856	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
3	STATEMENTS OF ISSUES FILED
35	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
22	REVOCAION
4	SURRENDER OF LICENSE
41	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petitions to Revoke Probation Filed Petitions and Accusations to Revoke Probation Filed	
6	TOTAL NUMBER FILED

Subsequent Disciplinary–Administrative Outcomes/ Final Orders	
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
9	GRANTED
3	DENIED
12	TOTAL

Cost Recovery to DCA	
\$112,913	ORDERED
\$79,136	COLLECTED

Consumer Restitution to Consumer/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
3	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
105	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
638	AVERAGE NUMBER OF DAYS

BOARD OF BEHAVIORAL SCIENCES

Behavioral Sciences

Licenses and regulates marriage and family therapists and interns, clinical social workers and associates, educational psychologists, professional clinical counselors and interns, and referral services.

STAFF:

57.7 civil service
1 exempt

LICENSES:

105,613

BOARD STAFF:

Executive Officer:
Kim Madsen

kim.madsen@dca.ca.gov

Assistant Executive Officer:
Steve Sodergren

steve.sodergren@dca.ca.gov

BOARD MEMBERSHIP:

6 licensees
7 public representatives

STRATEGIC PLAN ADOPTED:

2014

www.bbs.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 4980 – 4999.129

Title 16, Division 18, California Code of Regulations §§ 1800 – 1889.3

SUNSET REVIEW:

Last review: 2016

Inoperative/repeal date: January 1, 2021

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
SAMARA ASHLEY	JUNE 1, 2017	Governor/Public	YES
SCOTT BOWLING	JUNE 1, 2018	Governor/Public	YES
DR. LEAH BREW	JUNE 1, 2020	Governor/Professional	YES
DEBORAH BROWN (CHAIR)	JUNE 1, 2017	Governor/Public	YES
PETER CHIU	JUNE 1, 2019	Governor/Public	YES
ELIZABETH CONNOLLY	JUNE 1, 2020	Governor/Professional	YES
PATRICIA LOCK-DAWSON (VICE CHAIR)	JUNE 1, 2017	Governor/Public	YES
SARITA KOHLI	JUNE 1, 2018	Governor/Professional	YES
RENEE LONNER	JUNE 1, 2018	Governor/Professional	YES
KAREN PINES	JUNE 1, 2017	Governor/Professional	YES
DR. CHRISTINE WIETLISBACH	JUNE 1, 2019	Senate/Public	NO
CHRISTINA WONG	JUNE 1, 2017	Governor/Professional	YES
MAX DISPOSTI	JUNE 1, 2019	Assembly/Public	NO

RECIPROCITY

The Board does not offer reciprocity.

MAJOR ACCOMPLISHMENTS

- On January 1, 2016, the Examination Restructure became effective. Under the new examination process, all Board registrants must take the California Law and Ethics examination within one year of registering with the Board. Additionally, the Board implemented the use of the Associate Social Work Boards national clinical examination for licensure in California.



CONTINUED

- Implemented telehealth regulations, which address the use of telehealth in the provision of psychotherapy, clarify when a California license is needed, and indicate actions a licensee must take to protect a client in a telehealth setting.
- Conducted and webcast numerous meetings throughout the state to maximize stakeholder attendance and participation. The Board's Supervision Committee and stakeholders collaborated to develop revisions to the requirements to become a Supervisor of Board registrants and improve the supervision of registrants gaining supervised work experience.
- AB 1917 (Obernolte, Chapter 70, Statutes of 2016) among other things, will: 1) modify the definition of "out-of-state" as it pertains to accepted forms of education for a licensed professional clinical counselor (counselor) or a licensed marriage and family therapist (therapist); 2) provide uniformity to the coursework and practicum requirements of counselor and therapist applicants and licensees; and, 3) eliminate the possibility for remediation of certain educational areas by counselor applicants.
- AB 2191 (Salas, Chapter 458, Statutes of 2016) will extend the effective date of the Board by four years from January 1, 2017, to January 1, 2021.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Proposed regulations to allow the Board to grant time-and-a-half on a Board-administered examination to an English as a Second Language applicant, if the applicant meets specific criteria demonstrating limited English proficiency.
- AB 1808 (Wood, Chapter 292, Statutes of 2016) will include marriage and family therapist trainees, clinical counselor trainees, clinical psychologists, registered psychologists, registered psychological assistants, psychology trainees, associate clinical social workers, and social work interns (collectively, persons) on the list of professional persons who may perform mental health treatment or residential shelter services with a consenting minor 12 years of age or older under specified circumstances. Specifically, marriage and family therapist trainees, clinical counselor trainees, psychology trainees, and social work interns (collectively, trainees) will be required to provide treatment notification to a supervisor under specific circumstances.
- SB 1478 (Senate Committee on Business, Professions, and Economic Development, Chapter 489, Statutes of 2016) will update the term "associate social workers" with "associate clinical social workers;" modify licensed clinical social worker applicant's exam eligibility; require program certification for licensed professional clinical counselor applicants; delete provisions related to grandfathering and remediation periods for licensed clinical counselors; allow the Board to accept regionally and national institutional accreditation agencies as acceptable types of accreditation; repeal language for school approval in lieu of approval by the Bureau for Private Postsecondary Education; and will change the "intern" title for licensed marriage and family therapists and licensed professional clinical counselor registrants to "associate."

BOARD OF BEHAVIORAL SCIENCES

FEES*

Licensed Marriage and Family Therapist	Actual Fee	Statutory Limit
EXAM APPLICATION FEE	\$100	\$100
CALIFORNIA LAW AND ETHICS EXAM FEE	\$100	\$100
CLINICAL EXAM FEE	\$100	\$100
INITIAL LICENSURE FEE (PRORATED)	\$130	\$180
TOTAL INITIAL LICENSE FEES	\$430	-
BIENNIAL RENEWAL FEE	\$130	\$180

Licensed Clinical Social Worker	Actual Fee	Statutory Limit
EXAM APPLICATION FEE	\$100	\$150
CALIFORNIA LAW AND ETHICS EXAM FEE	\$100	\$100
CLINICAL EXAM FEE	\$260	N/A**
INITIAL LICENSURE FEE (PRORATED)	\$100	\$155
TOTAL INITIAL LICENSE FEES	\$560	-
BIENNIAL RENEWAL FEE	\$100	\$155

Licensed Educational Psychologist	Actual Fee	Statutory Limit
EXAM APPLICATION FEE	\$100	\$100
WRITTEN EXAM FEE	\$100	\$100
INITIAL LICENSURE FEE (PRORATED)	\$80	\$150
TOTAL INITIAL LICENSE FEES	\$280	-
BIENNIAL RENEWAL FEE	\$80	\$150

Licensed Professional Clinical Counselor	Actual Fee	Statutory Limit
EXAM APPLICATION FEE	\$180	\$250
CALIFORNIA LAW AND ETHICS EXAM FEE	\$100	\$150
CLINICAL EXAM FEE	\$275	N/A***
INITIAL LICENSURE FEE (PRORATED)	\$200	\$250
TOTAL INITIAL LICENSE FEES	\$755	-
BIENNIAL RENEWAL FEE	\$175	\$250

*The Program has additional fees that were not included but can be found in Business and Professions Code §§ 4984.7, 4989.68, 4996.3, 4999.120, California Code of Regulations §§ 1816, 1816.1 – 1816.7.

**Exam is not Board-administered. The Association of Social Work Boards (ASWB) administers this exam.

***Exam is not Board-administered. The National Board for Certified Counselors (NBCC) administers this exam.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
MARRIAGE AND FAMILY THERAPIST (MFT)	2,248	94	17,153
MARRIAGE AND FAMILY INTERN	2,984	3,626	11,502
LICENSED CLINICAL SOCIAL WORKER	1,422	1,419	10,228
ASSOCIATE SOCIAL WORKER	2,262	2,969	8,626
LICENSED EDUCATIONAL PSYCHOLOGIST	153	65	777
CONTINUING EDUCATION PROVIDER	N/A	N/A	N/A
LICENSED PROFESSIONAL CLINICAL COUNSELOR	102	101	765
LICENSED PROFESSIONAL CLINICAL COUNSELOR INTERN	702	724	879

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
MARRIAGE AND FAMILY THERAPIST (MFT)	N/A	40,360	N/A
MARRIAGE AND FAMILY INTERN	N/A	19,783	N/A
MFT REFERRAL SERVICE	N/A	N/A	N/A
LICENSED CLINICAL SOCIAL WORKER	N/A	24,197	N/A
ASSOCIATE SOCIAL WORKER	N/A	15,784	N/A
LICENSED EDUCATIONAL PSYCHOLOGIST	N/A	2,195	N/A
LICENSED PROFESSIONAL CLINICAL COUNSELOR	N/A	1,390	N/A
LICENSED PROFESSIONAL CLINICAL COUNSELOR INTERN	N/A	1,940	N/A

Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
MARRIAGE AND FAMILY THERAPIST (MFT)	EVERY 2 YEARS	36
MARRIAGE AND FAMILY INTERN	EVERY YEAR	N/A
MFT REFERRAL SERVICE	N/A	N/A
LICENSED CLINICAL SOCIAL WORKER	EVERY 2 YEARS	36
ASSOCIATE SOCIAL WORKER	EVERY YEAR	N/A
LICENSED EDUCATIONAL PSYCHOLOGIST	EVERY 2 YEARS	36
CONTINUING EDUCATION PROVIDER	EVERY 2 YEARS	N/A
LICENSED PROFESSIONAL CLINICAL COUNSELOR	EVERY 2 YEARS	36
LICENSED PROFESSIONAL CLINICAL COUNSELOR INTERN	EVERY YEAR	N/A

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
LICENSED CLINICAL SOCIAL WORKER (LCSW) STANDARD	943	693	1,636
LICENSED CLINICAL SOCIAL WORKER CLINICAL VIGNETTE (CV)	855	201	1,056
LICENSED CLINICAL SOCIAL WORKER (LCSW) LAW & ETHICS	2,078	352	2,430
LICENSED EDUCATIONAL PSYCHOLOGIST	48	55	103
MARRIAGE AND FAMILY THERAPIST (MFT) STANDARD	1,349	1,014	2,363
MARRIAGE AND FAMILY THERAPIST CV	1,440	489	1,929
MARRIAGE AND FAMILY THERAPIST (MFT) LAW AND ETHICS	2,801	529	3,330
MARRIAGE AND FAMILY THERAPIST (MFT) CLINICAL	792	155	947
LICENSED PROFESSIONAL CLINICAL COUNSELOR LAW AND ETHICS	151	21	172
TOTALS	10,457	3,519	13,966

Summary of Enforcement Activity

Consumer Complaints—Intake	
1,121	RECEIVED
251	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
876	REFERRED FOR INVESTIGATION
207	PENDING

Conviction/Arrest/Notification Complaints	
975	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
105	PENDING

Inspections	
N/A	

Investigations	
2,016	OPENED
2,088	CLOSED
478	PENDING

Number of Days to Complete Intake and Investigations	
1,171	UP TO 90 DAYS
503	91 TO 180 DAYS
237	181 DAYS TO 1 YEAR
49	1 TO 2 YEARS
6	2 TO 3 YEARS
22	OVER 3 YEARS
100	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
94	ISSUED
90	ISSUED WITH A FINE
32	WITHDRAWN
0	DISMISSED
77	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$174,450	ASSESSED
\$84,800	REDUCED
\$24,750	COLLECTED

BOARD OF BEHAVIORAL SCIENCES

Summary of Enforcement Activity continued

Criminal/Civil Actions	
N/A	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
150	CASES OPENED/INITIATED
137	CASES CLOSED
162	CASES PENDING

Number of Days to Complete AG Cases	
14	1 YEAR
60	1 TO 2 YEARS
36	2 TO 3 YEARS
22	3 TO 4 YEARS
5	OVER 4 YEARS
737	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
31	STATEMENTS OF ISSUES FILED
96	ACCUSATIONS FILED
3	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
7	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
6	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
27	LICENSE APPLICATIONS DENIED
27	REVOCAION
17	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
57	PROBATION ONLY
0	PUBLIC REPRIMAND
8	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
11	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/ Final Orders	
7	REVOCAION
8	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
1	OTHER DECISIONS

Petition for Modification or Termination of Probation	
13	GRANTED
6	DENIED
19	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
0	GRANTED
1	DENIED
1	TOTAL

Cost Recovery to DCA	
\$279,857	ORDERED
\$27,004	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
5	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
98	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
466	AVERAGE NUMBER OF DAYS

CEMETERY AND FUNERAL BUREAU

Cemetery/Funeral

Licenses and regulates funeral establishments and funeral directors; embalmers and apprentices; cemetery brokers, sales people, and managers; cremated remains disposers, crematories, and crematory managers; and privately owned cemeteries in California.

STAFF:

20.5 civil service
1 exempt

LICENSES AND
CERTIFICATIONS:

12,761

BUREAU STAFF:

Chief: Lisa Moore
lisa.moore@dca.ca.gov

Field Operations Supervisor:
Linda Kassis
linda.kassis@dca.ca.gov

Program Operations Supervisor:
Sandra Patterson
sandra.patterson@dca.ca.gov

Staff Management Auditor:
David Edwards
david.edwards@dca.ca.gov

ADVISORY COMMITTEE
MEMBERSHIP:

4 licensees
3 public representatives

www.cfb.ca.gov



CEMETERY & FUNERAL
BUREAU

STRATEGIC PLAN ADOPTED:

2015

LAWS AND REGULATIONS:

Business and Professions Code §§ 7600 – 7746

Title 16, Division 12, California Code of Regulations §§ 1200 – 1291

Title 16, Division 23, California Code of Regulations §§ 2300 – 2390

Health and Safety Codes §§ 7000 – 9677

Health and Safety Codes §§ 102100 – 103800

Government Code §§ 27460 – 27530

Welfare and Institutions Code §§ 11150 – 11160, §§ 12150 – 12156, §§ 17400 – 17410

SUNSET REVIEW:

Last review: 2015

Next Review: 2020

ADVISORY COMMITTEE MEMBERS*:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
CHRISTOPHER DONHOST	AUGUST 2017	Bureau Chief/Professional	NO
DARIN DRABING	AUGUST 2017	Bureau Chief/Professional	NO
JOLENA GRANDE	AUGUST 2017	Bureau Chief/Professional	NO
GERARD REINERT	AUGUST 2017	Bureau Chief/Professional	NO
TRACY HUGHES	AUGUST 2017	Bureau Chief/Public	NO
MARIA MANGINI	AUGUST 2017	Bureau Chief/Public	NO
SUZETTE SHERMAN	AUGUST 2017	Bureau Chief/Public	NO

* The Bureau's Advisory Committee consists of seven members, including three public members and four professional members. The Bureau Chief appoints all Advisory Committee members.

RECIPROCITY

The Bureau does not offer reciprocity with other states.

CEMETERY AND FUNERAL BUREAU

CONTINUED

MAJOR ACCOMPLISHMENTS

- As part of its efforts to effectively manage its fiscal resources, the Bureau initiated a fee study and contracted with Capitol Accounting Partners, LLC, to evaluate its current fee structure and prepare a detailed cost analysis of its fees.
- Pursuant to AB 180, the Bureau began a study on the sufficiency of endowment care funds for the future maintenance of licensed cemeteries. The Bureau held a meeting with cemetery stakeholders in November 2015 to begin discussions about the study and subsequently sent out a very comprehensive letter to all cemetery licensees in March 2016 explaining the proposed methodology for the study. A focus group has been established and the Bureau will continue its work on this very important issue with a final report due to the Legislature on January 1, 2018.
- Established target time frames for processing all licensing applications for implementation of the Department of Consumer Affairs' Licensing Performance Measures to efficiently track and report application processing times.
- Appointed a new seven-member Advisory Committee composed of four industry members and three public members. The Bureau selected Committee members from a diverse and representative mix of geographic regions, licensing and consumer advocacy backgrounds, and professional experience to better serve licensees and consumers and meet its regulatory obligations in an open and transparent forum.
- Attended the International Conference of Funeral Service Examining Boards' annual meeting, held in California. At the Conference, the Bureau Chief was recognized for her commitment and leadership to the organization after serving as a committee chairperson for the development of a Model Practice Act for Funeral Service. The Model Practice Act serves as a guideline to the Legislature, regulatory officials, and members of the profession seeking to adopt or amend the laws governing funeral practice.

MAJOR NEW LEGISLATION OR REGULATIONS*(Extends through the 2016 calendar year)*

- Amended existing funeral regulations to modernize language to reflect current industry standards and practices, clarify terms and definitions, clearly state consumer rights, and simplify regulatory language (California Code of Regulations [CCR] 16, Division 12, Sections 1209, 1214, 1216, 1221, 1255, 1258, 1258.1, 1258.2, and 1258.4).
- Amended existing cemetery regulations to allow the Bureau to issue an administrative citation for a violation of any of its laws or regulations; increased the maximum fine amount to \$5,000 pursuant to statutory authority; increased the minimum and maximum fine amount for unlicensed activity; and updated information on appeal of citations and informal office conferences (CCR 16, Division 23, Sections 2382, 2383, 2384, 2385, 2386, 2386.5, 2387, and 2388).
- AB 180, Bonilla (Chapter 395, Statutes of 2015), subjects the Bureau to a sunset review as if it were set to sunset on January 1, 2020, and includes a merger of the Cemetery Act with the Funeral Directors and Embalmers Law (effective January 1, 2016) and a merger of the Bureau's two separate special funds (effective July 1, 2016). The bill also requires the Bureau conduct a study and report to the Assembly Committee on Business and Professions and the Senate Committee on Business, Professions, and Economic Development by January 1, 2018, on the sufficiency of endowment care funds used by cemeteries for future maintenance.
- AB 181, Bonilla (Chapter 430, Statutes of 2015), requires a funeral establishment that maintains a website to post information about its goods and services with a link from the homepage containing specified key words.
- AB 1658 (Bigelow, Chapter 242, Statutes of 2016) authorizes the Happy Homestead Cemetery District to inter a limited number of nonresidents in cemeteries within their district.

CONTINUED

- SB 1179 (Vidak, Chapter 592, Statutes of 2016) will: 1) establish that within a public cemetery district (District) an interment right is a transferable property interest; 2) require the owner of an interment right, at the time of purchase, to determine a successor owner in writing; and, 3) provide employees or trustees of the District with immunity from liability for any claims, losses, or damages asserted.
- SB 1284 (Hernandez, Chapter 39, Statutes of 2016) will allow a conservator of a person and a conservator of an estate to control the disposition of a decedent's remains when a decedent's specified next of kin fails to act on interment arrangements, or cannot be found.
- SB 1479 (Senate Committee on Business, Professions, and Economic Development, Chapter 634, Statutes of 2016) will require cemetery authorities to adhere to Generally Accepted Accounting Principles in their annual financial reporting on Endowment Care and Special Care Trust Funds.

FEES*

Cemetery Salesperson	Actual Fee	Statutory Limit
LICENSE FEE	\$30	\$30
ANNUAL RENEWAL FEE	\$25	\$25

Funeral Director	Actual Fee	Statutory Limit
LICENSE FEE	\$200	\$200
EXAMINATION FEE	\$100	\$100
TOTAL LICENSURE FEE	\$300	–
ANNUAL RENEWAL FEE	200	200

Embalmer	Actual Fee	Statutory Limit
APPLICATION/EXAM/ LICENSE FEE	\$150	\$150
ANNUAL RENEWAL FEE	\$100	\$125

* The Program licenses additional categories that can be found in Title 16, Division 12, California Code of Regulations § 1257, Title 16, Division 23, California Code of Regulations §§ 2310 – 2324; Business and Professions Code §§ 7729, 7651, 7653, 7672.1, 7729.2 – 7729.8, 7729.10 – 7731, 7712.2, and 7712.9.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
CEMETERY BROKER	18	19	257
CEMETERY MANAGER	21	17	289
CREMATORY MANAGER	30	24	496
EMBALMER	64	45	1,627
FUNERAL DIRECTOR	242	133	2,066
APPRENTICE EMBALMER	79	71	N/A
FUNERAL ESTABLISHMENT	31	34	1,109
CERTIFICATE OF AUTHORITY (CEMETERY)	2	3	196
CREMATORY	7	11	224
CEMETERY SALESPERSON	2,505	1,420	3,288
CREMATED REMAINS DISPOSER	15	13	137

CEMETERY AND FUNERAL BUREAU

Summary of Licensing Activity continued

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
CEMETERY BROKER	N/A	260	N/A
CEMETERY MANAGER	N/A	292	N/A
CREMATORY MANAGER	N/A	493	N/A
EMBALMER	N/A	1,603	N/A
FUNERAL DIRECTOR	N/A	2,270	N/A
APPRENTICE EMBALMER	288	N/A	N/A
FUNERAL ESTABLISHMENT	N/A	1,038	N/A
CERTIFICATE OF AUTHORITY (CEMETERY)	N/A	193	N/A
CREMATORY	N/A	226	N/A
CEMETERY SALESPERSON	N/A	4,495	N/A
CREMATED REMAINS DISPOSER	152	N/A	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
CEMETERY BROKER	EVERY YEAR	N/A
CEMETERY MANAGER	EVERY YEAR	N/A
CREMATORY MANAGER	EVERY YEAR	N/A
EMBALMER	EVERY YEAR	N/A
FUNERAL DIRECTOR	EVERY YEAR	N/A

Exams		
PASS	FAIL	TOTAL
248	67	315

Summary of Enforcement Activity

Consumer Complaints—Intake	
565	RECEIVED
3	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
563	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
161	RECEIVED
161	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
1,277	INSPECTIONS CONDUCTED
78	INSPECTION CITATIONS ISSUED

Investigations	
723	OPENED
738	CLOSED
74	PENDING

Number of Days to Complete Intake and Investigations	
606	UP TO 90 DAYS
95	91 TO 180 DAYS
36	181 DAYS TO 1 YEAR
1	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
44	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
145	ISSUED
104	ISSUED WITH A FINE
11	WITHDRAWN
0	DISMISSED
35	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$80,754	ASSESSED
\$1,200	REDUCED
\$67,391	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
4	CASES OPENED/INITIATED
19	CASES CLOSED
11	CASES PENDING

Summary of Enforcement Activity continued

Number of Days to Complete AG Cases	
2	1 YEAR
7	1 TO 2 YEARS
1	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
518	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
4	STATEMENTS OF ISSUES FILED
4	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
2	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
1	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
7	LICENSE APPLICATIONS DENIED
4	REVOCAION
4	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
10	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
2	DENIED
2	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
8	DENIED
8	TOTAL

Cost Recovery to DCA	
\$35,382	ORDERED
\$26,604	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$53,291	AMOUNT REFUNDED
\$6,133	REWORK AT NO CHARGE
\$53,483	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$112,907	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
2	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
14	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
446	AVERAGE NUMBER OF DAYS

BOARD OF CHIROPRACTIC EXAMINERS

Chiropractic Examiners

Licenses and regulates chiropractors. Registers and certifies chiropractic corporations, referral services, and satellite offices.

STAFF:

18 civil service positions
1 exempt

LICENSES:

18,619

BOARD STAFF:

Executive Officer:
Robert Puleo

robert.puleo@dca.ca.gov

BOARD MEMBERSHIP:

5 licensees
2 public representatives

STRATEGIC PLAN ADOPTED:

2014

www.chiro.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code § 1000 – 1058 (Chiropractic Initiative Act)
Title 16, Division 4, California Code of Regulations §§ 301 – 390.6

SUNSET REVIEW:

Last Review: 2013 Inoperative/Repeal Date: N/A

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
SERGIO AZZOLINO, D.C. (CHAIR)	FEBRUARY 10, 2020	Governor/Professional	No
HEATHER DEHN, D.C. (VICE CHAIR)	FEBRUARY 10, 2020	Governor/Professional	No
JULIE ELGINER, PH.D. (SECRETARY)	NOVEMBER 3, 2016	Governor/Public	No
COREY LIGHTMAN, D.C.	FEBRUARY 10, 2019	Governor/Professional	No
DIONNE MCCLAIN, D.C.	FEBRUARY 10, 2018	Governor/Professional	No
JOHN ROZA JR., D.C.	FEBRUARY 10, 2018	Governor/Professional	No
FRANK RUFFINO	NOVEMBER 3, 2016	Governor/Public	No

RECIPROCITY (16 CCR § 323)

To qualify for reciprocity, the applicant must meet the following:

- Graduation from a Board-approved chiropractic college and completion of the minimum number of hours and subjects as required by California law at the time the applicant’s license was issued.
- Equivalent successful examination in each of the subjects examined in California in the same year as the applicant was issued a license in the state from which he or she is applying.
- Hold a valid and up-to-date license from the state from which they are seeking reciprocity.
- The state from which they are licensed will reciprocate with California.
- Have completed five years of chiropractic practice.

CONTINUED

MAJOR ACCOMPLISHMENTS

- In March 2016, the Board commenced work with the Department of Consumer Affairs' Office of Professional Examination Services on an occupational analysis (OA). The OA will help define the practice of chiropractic in California in terms of actual job tasks that newly licensed chiropractors must be able to perform safely and competently at the time of licensure. The results of the OA will serve as the basis for the development of any new chiropractic licensing examination in California.
- Increased awareness among licensees of the importance of compliance with continuing education (CE) requirements by increasing the amount of disciplinary action taken during Fiscal Year 2015–16. The Board took action on 292 matters related to CE violations, which represents a 20 percent increase over the previous fiscal year.
- Increased presence on social media. The Board has since leveraged multiple social media platforms such as Facebook and Twitter to engage both licensees and the public and disseminate important information related to the Board's roles, laws, and regulations, as well as provide information on Board meetings and events. At the January 2016 Board meeting, the Board's social media efforts culminated with comprehensive social media training for both Board members and staff that would inform all parties of the important role of these platforms and their value toward advancing the mission of the Board.

MAJOR NEW LEGISLATION OR REGULATIONS*(Extends through the 2016 calendar year)*

- In September 2010, the Governor signed AB 2699 (Bass), which provides an exemption for a healthcare practitioner, licensed or certified in another state, from the licensing requirements of the applicable California healing arts board for those healthcare practitioners who would like to provide services at a sponsored free healthcare event to uninsured or underinsured people on a short-term, voluntary basis. The Board submitted these regulatory provisions to the Office of Administrative Law and received approval of the changes in August 2015.

FEES*

Chiropractor	Actual Fee	Statutory Limit
APPLICATION FEE	\$100	\$100
LICENSURE FEE	\$100	\$100
TOTAL INITIAL LICENSE FEES	\$200	-
ANNUAL RENEWAL FEE**	\$250	\$250

* Additional fees may be required per the Chiropractic Initiative Act.

** Statutory increase from \$150 authorized by AB 1996 (Hill, Chapter 539, Statutes of 2010).

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
DOCTOR OF CHIROPRACTIC	330	306	12,858
SATELLITE OFFICES	1,525	1,451	2,751
CHIROPRACTIC CORPORATIONS	99	92	1,357
REFERRAL SERVICES	1	1	N/A

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
DOCTOR OF CHIROPRACTIC	N/A	13,262	N/A
SATELLITE OFFICES	3,995	N/A	N/A
CHIROPRACTIC CORPORATIONS	1,402	N/A	N/A
REFERRAL SERVICES	34	N/A	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
DOCTOR OF CHIROPRACTIC	EVERY YEAR	24
SATELLITE OFFICES	EVERY YEAR	N/A
CHIROPRACTIC CORPORATIONS	EVERY YEAR	N/A
REFERRAL SERVICES	N/A	N/A

BOARD OF CHIROPRACTIC EXAMINERS

Summary of Licensing Activity continued

Exams		
PASS	FAIL	TOTAL
307	140	447

Summary of Enforcement Activity

Consumer Complaints—Intake	
477	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
485	REFERRED FOR INVESTIGATION
11	PENDING

Conviction/Arrest Notification Complaints	
67	RECEIVED
70	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
33	INSPECTIONS CONDUCTED
N/A	INSPECTION CITATIONS ISSUED

Investigations	
554	OPENED
629	CLOSED
201	PENDING

Number of Days to Complete Intake and Investigations	
295	UP TO 90 DAYS
102	91 TO 180 DAYS
162	181 DAYS TO 1 YEAR
59	1 TO 2 YEARS
7	2 TO 3 YEARS
4	OVER 3 YEARS
173	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
18	ISSUED
16	ISSUED WITH A FINE
9	WITHDRAWN
0	DISMISSED
178	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$21,950	ASSESSED
\$0	REDUCED
\$16,138	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
44	CASES OPENED/INITIATED
43	CASES CLOSED
66	CASES PENDING

Number of Days to Complete AG Cases	
13	1 YEAR
22	1 TO 2 YEARS
5	2 TO 3 YEARS
0	3 TO 4 YEARS
3	OVER 4 YEARS
676	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
39	ACCUSATIONS FILED
7	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
2	ACCUSATIONS WITHDRAWN/DISMISSED

Summary of Enforcement Activity

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
4	REVOCATION
9	SURRENDER OF LICENSE
4	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
4	OTHER DECISIONS

Petition for Modification or Termination of Probation	
2	GRANTED
2	DENIED
4	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
1	GRANTED
6	DENIED
7	TOTAL

Cost Recovery to DCA	
\$75,783	ORDERED
\$89,544	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
16	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
173	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
920	AVERAGE NUMBER OF DAYS

CONTRACTORS STATE LICENSE BOARD

Contractors

Licenses and regulates contractors in 44 classifications.

STAFF:

404.6 civil service positions
1 exempt

LICENSES, REGISTRATIONS,
PERMITS, AND
CERTIFICATIONS:

302,123

BOARD STAFF:

Registrar: Cindi Christenson
cindi.christenson@cslb.ca.gov

Chief Deputy Registrar:
Cindy Kanemoto
cindy.kanemoto@cslb.ca.gov

BOARD MEMBERSHIP:

6 professional members
9 public members

www.cslb.ca.gov
www.ChecktheLicenseFirst.com



STRATEGIC PLAN ADOPTED:

2016

LAWS AND REGULATIONS:

Business and Professions Code §§ 7000 – 7199.7
Title 16, Division 8, California Code of Regulations §§ 810 – 890

SUNSET REVIEW:

Last review: 2014/15 Inoperative/Repeal date: January 1, 2020

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
KEVIN ALBANESE (VICE-CHAIR)	JUNE 1, 2017	Governor/Professional	YES
AGUSTIN BELTRAN (CHAIR)	JUNE 1, 2017	Senate Rules/Public	NO
LINDA CLIFFORD	JUNE 1, 2018	Governor/Professional	YES
DAVID DE LA TORRE	JUNE 1, 2020	Assembly/Public	NO
DAVID DIAS	JUNE 1, 2020	Governor/Labor Rep.	YES
SUSAN GRANZELLA	JUNE 1, 2020	Governor/Public	YES
JOAN HANCOCK	JUNE 1, 2019	Governor/Professional	YES
PASTOR HERRERA JR.	JUNE 1, 2018	Governor/Public	YES
MICHAEL LAYTON	JUNE 1, 2020	Assembly/Public	NO
ED LANG (CHAIR)	JUNE 1, 2018	Governor/Public	YES
MARLO RICHARDSON (SECRETARY)	JUNE 1, 2020	Governor/Public	YES
FRANK SCHETTER	JUNE 1, 2019	Governor/Professional	YES
PAUL SCHIFINO	JUNE 1, 2017	Governor/Professional	YES
JOHNNY SIMPSON	JUNE 1, 2019	Senate Rules/Public	NO
NANCY SPRINGER	JUNE 1, 2017	Governor/Building Official	YES

CONTINUED

LICENSE REQUIREMENTS (§§ 7065 – 7077, 16 CCR § 825)

DEGREE/PROFESSIONAL SCHOOLING	NO
EXAMINATION	YES
CONTINUING EDUCATION/COMPETENCY	NO
FINGERPRINTING REQUIREMENT	YES

Applicants must possess:

- Four years of experience in the class applied for at a journey level or as a foreman, supervising employee, contractor, or owner-builder within the 10 years immediately preceding filing of the application.
- Education is not required; however, certain education, such as apprenticeship programs or college-level education, can be used as credit toward some of the experience requirements.

RECIPROCITY (BPC § 7065.4)

The Board has reciprocity agreements in place with Arizona, Nevada, and Utah.

Reciprocity requirements are the same for these states:

- The contractor must be applying for a license in a classification that appears on that state's Reciprocal Classifications List.
- The contractor must have held an active license in good standing in one of the reciprocal states for the previous five years.
- The contractor must submit to the Board the Request for Verification of License form that is completed by the licensing entity under which he or she is already licensed.
- The contractor must complete the Application for Original Contractor's License.
- The Certification of Work Experience form 13A-11 must be used to report and confirm the journey-level work experience for the previous five years.

If the Board grants reciprocity to a contractor, it can waive the trade portion of the examination (the contractor still must take the business law exam section); however, the Board retains the right to require the exam.

MAJOR ACCOMPLISHMENTS

- Partnered with other agencies at Local Assistance Centers to offer recovery assistance and information to affected property owners in the aftermath of drought-driven wildfires throughout the state, and conducted sweeps of these areas to warn off unlicensed contractors.
- Implemented process improvements that reduced processing times for new licensure exam applications from eight weeks to approximately two weeks.
- Implemented the provisions of SB 561, which simplified the Home Improvement Salesperson (HIS) registration process so that through a single registration, an HIS can work for multiple employers. This required significant modifications to CSLB's previous method of registering, tracking, and assigning registered salespersons to a licensed home improvement contractor.
- Established a Solar Task Force to identify and combat issues facing consumers in the growing solar industry, especially those related to a lack of specificity in solar contracts, exploitation of consumer confidence about savings when systems perform below expectations, and complex, and at times unlawful, finance agreements.
- Provided assistance to military veterans seeking licensure by referring such individuals to specially trained staff to assist with transferable military training and experience, and offering priority processing.
- Organized and conducted more than 170 outreach events, produced or coproduced 10 webcasts, began live captioning of Board and committee meeting webcasts to comply with Americans with Disabilities Act requirements, and expanded CSLB's social media presence to include Facebook, Twitter, YouTube, LinkedIn, and Flickr.
- Expanded e-payment for contractors who wish to pay licensing fees with credit cards to now include Sacramento, Fresno, Norwalk, and San Diego, and added enhanced security to all public computers that are used for this process to avoid potential security breaches.

CONTRACTORS STATE LICENSE BOARD

CONTINUED

- Sponsored, along with the California District Attorneys Association, a training session about prosecuting construction-related cases for more than 80 consumer law practitioners and investigators representing multiple agencies.
- Met with more than 50 building officials throughout the state to jointly increase building permit enforcement.
- Developed and implemented strategies to increase compliance with workers' compensation insurance requirements, which resulted in the cancellation of 311 exemptions and the acquisition of 113 new policies among licensees.
- The Statewide Investigative Fraud Team responded to 1,300 leads, 23 percent of which led to legal actions, and conducted 91 stings that resulted in the issuance of 631 Notices to Appear (NTAs) in criminal court. (An NTA is a court order mandating an individual's presence at a hearing, on a specified date, to answer to a misdemeanor charge.)

MAJOR NEW LEGISLATION OR REGULATIONS*(Extends through the 2016 calendar year)*

- SB 467 (Hill, Chapter 656, Statutes of 2015) extends the sunset date for CSLB until January 1, 2020; eliminates the existing \$2,500 capital requirement; and increases the amount of the required contractor's bond from \$12,500 to \$15,000.
- Sponsored SB 560 (Monning, Chapter 389, Statutes of 2015), which authorizes CSLB Enforcement Representatives to issue a written NTA to individuals who fail to secure workers' compensation insurance.
- Sponsored SB 561 (Monning, Chapter 281, Statutes of 2015), which eliminates the requirement that an HIS separately register to work for each contractor and, instead, allows a properly registered HIS to use his or her individual registration with one or more licensed contractors.
- AB 1793 (Holden, Chapter 1793, Statutes of 2016) will update criteria used by the courts to determine whether there has been substantial compliance with licensure requirements when deciding on recovery actions involving contractors.
- AB 2486 (Baker, Chapter 270, Statutes of 2016) will require the Board to enhance its existing online licensee lookup to include a function that allows consumers to search for licensed contractors by geographic location or zip code. This bill requires the Board to launch the search feature no later than January 1, 2019.
- SB 465 (Hill, Chapter 372, Statutes of 2016) will authorize the Board to enter into an interagency agreement with any state or local agency the Board deems to have information that would improve the Board's ability to meet its consumer protection mandate. In addition, this bill will require Board licensees to report conviction of any felony or any crime substantially related to the duties of a licensed contractor to the Board within 90 days. Finally, this bill will require the Board to conduct a study for submission to the Legislature by January 1, 2018, to determine whether reporting of settlements, judgements, or arbitration awards against a licensee would positively affect the Board's ability to protect the public.
- SB 661 (Hill, Chapter 809, Statutes of 2016) will create the California Underground Facilities Safe Excavation Board (Excavation Board) within the Office of the State Fire Marshal, to coordinate education and outreach, develop best practices, and investigate violations of Article 2, Chapter 3.1, of the Government Code (one-call law). This bill will also authorize the Board to enforce the one-call law based on findings and recommendations of the Excavation Board and requires any funds received from citations to be deposited into the Safe Energy Infrastructure and Excavation Fund (Fund), which this bill creates.
- SB 1039 (Hill, Chapter 799, Statutes of 2016) will raise the statutory maximums for Board licensing fees and place minimum fee amount in statute, thereby increasing actual fees beginning July 1, 2017.
- SB 1209 (Morrell, Chapter 152, Statutes of 2016) will expand the Board's existing citation disclosure

CONTINUED

requirements by requiring the Board's website to include a disclosure on any individual's license or any individual who is listed on the original license subject to the citation. This bill will also extend the disclosure to contractors if they obtain a new license number or join another license.

- SB 1414 (Wolk, Chapter 678, Statutes of 2016) will require the Public Utilities Commission, in consultation with the Board, building officials, and other stakeholders, to develop a system to track heating, ventilation, and air conditioning system purchases and installations statewide and verify compliance with permitting, inspection, and equipment testing requirements.

FEES*

Contractor	Actual Fee	Statutory Limit
APPLICATION FEE (INCLUDES EXAM FEE)	\$300	\$300
INITIAL LICENSE FEE	\$180	\$180
TOTAL INITIAL LICENSE FEES	\$480	-
ADDITIONAL CLASSIFICATION FEE	\$75	\$75
BIENNIAL RENEWAL FEE	\$360	\$360

* Some additional fees may be required per Business and Professions Code § 7137.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ORIGINAL CONTRACTOR LICENSE	20,778	12,793	118,387
HOME IMPROVEMENT SALESPERSON REGISTRATION	12,421	7,438	2,068

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ORIGINAL CONTRACTOR LICENSE	3,980	282,768	N/A
HOME IMPROVEMENT SALESPERSON REGISTRATION	N/A	15,375	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
ORIGINAL CONTRACTOR LICENSE	EVERY 2 YEARS	N/A
HOME IMPROVEMENT SALESPERSON REGISTRATION	EVERY 2 YEARS	N/A

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
LAW AND BUSINESS	7,725	5,720	13,445
GENERAL ENGINEERING (A)	403	240	643
GENERAL CONTRACTOR (B)	2,485	3,085	5,570
ASBESTOS CERTIFICATION	23	14	37
HAZARDOUS CERTIFICATION	52	31	83
INSULATION AND ACOUSTICAL (C-2)	52	83	135
BOILER, HOT-WATER HEATING, AND STEAM FITTING (C-4)	19	30	49
FRAMING AND ROUGH CARPENTRY (C-5)	54	82	136
CABINET, MILLWORK, AND FINISH CARPENTRY (C-6)	168	217	385
LOW VOLTAGE SYSTEMS (C-7)	224	213	437
CONCRETE (C-8)	221	286	507
DRYWALL (C-9)	79	206	285
ELECTRICAL (C-10)	781	509	1,290
ELEVATOR (C-11)	4	17	21
EARTHWORK AND PAVING (C-12)	65	123	188
FENCING (C-13)	60	78	138
FLOORING (C-15)	260	263	523
FIRE PROTECTION (C-16)	44	84	128

CONTRACTORS STATE LICENSE BOARD

Exam Results (continued)			
EXAM TITLE	PASS	FAIL	TOTAL
GLAZING (C-17)	103	113	216
WARM-AIR HEATING, VENTILATING, AND AIR CONDITIONING (C-20)	335	307	642
BUILDING MOVING/DEMOLITION (C-21)	72	73	145
ASBESTOS ABATEMENT (C-22)	104	74	178
ORNAMENTAL METAL (C-23)	55	49	104
LANDSCAPING (C-27)	463	704	1,167
LOCK AND SECURITY EQUIPMENT (C-28)	11	29	40
MASONRY (C-29)	59	145	204
CONSTRUCTION ZONE TRAFFIC CONTROL (C-31)	17	15	32
PARKING AND HIGHWAY IMPROVEMENT (C-32)	20	34	54
PAINTING AND DECORATING (C-33)	632	602	1,234
PIPELINE (C-34)	15	8	23
LATHING AND PLASTERING (C-35)	58	75	133
PLUMBING (C-36)	533	372	905
REFRIGERATION (C-38)	45	30	75
ROOFING (C-39)	181	184	365
SANITATION SYSTEM (C-42)	22	70	92
SHEET METAL (C-43)	30	17	47
SIGN (C-45)	46	18	64
SOLAR (C-46)	79	105	184
MANUFACTURED HOUSING (C-47)	4	26	30
REINFORCING STEEL (C-50)	11	26	37
STRUCTURAL STEEL (C-51)	59	66	125
SWIMMING POOL (C-53)	68	82	150
TILE (C-54)	219	283	502
WATER CONDITIONING (C-55)	12	17	29
WELL DRILLING (C-57)	66	39	105
WELDING (C-60)	65	53	118
TOTAL	16,103	14,897	31,000

Summary of Enforcement Activity

Consumer Complaints—Intake	
17,437	RECEIVED
122	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
17,315	REFERRED FOR INVESTIGATION
1,326	PENDING

Conviction/Arrest Notification Complaints	
1,253	RECEIVED
1,223	CLOSED/REFERRED FOR INVESTIGATION
187	PENDING

Inspections	
0	INSPECTIONS CONDUCTED
0	INSPECTION CITATIONS ISSUED

Investigations	
18,690	OPENED
19,745	CLOSED
4,071	PENDING

Number of Days to Complete Intake and Investigations	
13,174	UP TO 90 DAYS
3,296	91 TO 180 DAYS
3,128	181 DAYS TO 1 YEAR
145	1 TO 2 YEARS
0	2 TO 3 YEARS
2	OVER 3 YEARS
88.2	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
2,191	ISSUED
2,191	ISSUED WITH A FINE
65	WITHDRAWN
9	DISMISSED
172.8	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$3,957,801	ASSESSED
\$820,970	REDUCED
\$2,067,344	COLLECTED

Summary of Enforcement Activity continued

Criminal/Civil Actions

1,394	REFERRALS FOR CRIMINAL/CIVIL ACTION
NDA*	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED
* No data available.	

Office of the Attorney General/Disciplinary Actions

652	CASES OPENED/INITIATED
350	CASES CLOSED
646	CASES PENDING

Number of Days to Complete AG Cases

167	1 YEAR
124	1 TO 2 YEARS
43	2 TO 3 YEARS
9	3 TO 4 YEARS
7	OVER 4 YEARS
832	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed

108	STATEMENTS OF ISSUES FILED
275	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
15	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
18	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders

59	LICENSE APPLICATIONS DENIED
251	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
14	SUSPENSION ONLY
87	PROBATION ONLY
0	PUBLIC REPRIMAND
62	OTHER DECISIONS

Petition for Modification or Termination of Probation

0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification

NDA*	GRANTED
NDA*	DENIED
NDA*	TOTAL
* No data available.	

Cost Recovery to DCA

\$1,562,733	ORDERED
\$267,267	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings

\$2,609,457	RESTITUTION ORDERED
\$36,972,300	AMOUNT REFUNDED
\$1,117,170	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$40,698,927	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator

2	AVERAGE NUMBER OF DAYS
----------	------------------------

Investigations: Opening to Closing of Case

88	AVERAGE NUMBER OF DAYS
-----------	------------------------

Investigations: Closure of Investigation to Imposing Formal Discipline

841	AVERAGE NUMBER OF DAYS
------------	------------------------

COURT REPORTERS BOARD OF CALIFORNIA

Court Reporters

Licenses and regulates certified shorthand reporters, also known as court reporters, and oversees California’s schools of court reporting. Also manages the Transcript Reimbursement Fund, which reimburses costs of transcripts for low-income litigants.

STAFF:

3.5 civil service
2 temporary
1 exempt

LICENSES:

6,842

BOARD STAFF:

Executive Officer:
Yvonne K. Fenner
 yvonne.fenner@dca.ca.gov

BOARD MEMBERSHIP:

2 licensees
3 public representatives

STRATEGIC PLAN ADOPTED:

2015

www.courtreportersboard.ca.gov



COURT REPORTERS BOARD
 OF CALIFORNIA

LAWS AND REGULATIONS:

Business and Professions Codes §§ 8000 – 8047
Title 16, Division 24, California Code of Regulations §§ 2400 – 2481

SUNSET REVIEW:

Last Review: 2016 **Next Review: 2019**

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
DAVINA HURT (CHAIR)	JUNE 1, 2019	Assembly/Public	NO
ROSALIE KRAMM	JUNE 1, 2017	Governor/Professional	YES
ELIZABETH LASENSKY	JUNE 1, 2019	Senate/Public	NO
CARRIE J. NOCELLA	JUNE 1, 2020	Governor/Public	YES
TONI O'NEILL	JUNE 1, 2017	Governor/Professional	YES

MAJOR ACCOMPLISHMENTS

- Through multiple task force groups, the Board approved 10 Best Practice Pointer documents. These documents are not regulations or statutorily mandated, but are a way for the Board to provide guidance on situations not expressly set out in statute or regulation. Although the pointers may be used as a guide by licensees, the Board will not use them as a basis for discipline or enforcement of any type. Having a well-informed court reporting workforce helps consumers receive the best possible record of their proceedings.
- Held two town hall meetings with industry stakeholders to discuss concerns regarding the Southern California stipulation, a longstanding stipulation used by attorneys in Southern California whereby they stipulate to relieve the court reporter of his/her duties under the California Code of Civil Procedure. As a result of the town hall meetings, the Board agreed to make efforts to educate attorneys on the benefits of adhering to the code.
- Secured funding for an occupational analysis to be conducted. Working with its sister agency, the Office of Professional Examination Services, the Board has set dates to begin the process.

CONTINUED

MAJOR NEW LEGISLATION OR REGULATIONS*(Extends through the 2016 calendar year)*

- A technical correction was made to the Scope of Practice regulation to align more accurately with the Code of Civil Procedure statutes governing the practice of court reporting.
- AB 2192 (Salas, Chapter 567, Statutes of 2016) will extend the sunset date of the Court Reporters Board, its authority to appoint an executive officer, and the Transcript Reimbursement Fund to January 1, 2020. This bill will also increase the Board's statutory license fee limit to \$250 and require the Board to submit a report to the Legislature by November 1, 2018, regarding the condition of the Court Reporters' Fund, the Transcript Reimbursement Fund, and alternative funding sources to cover the costs associated with transcripts provided to low-income litigants.
- SB 1007 (Wieckowski, Chapter 626, Statutes of 2016) will establish the right of a party to an arbitration to have a certified shorthand reporter transcribe any deposition, proceeding, or hearing at the expense of the party requesting the transcript, with limited exceptions.

FEES*

Certified Shorthand Reporter	Actual Fee	Statutory Limit
APPLICATION FEE	\$40	\$40
INITIAL LICENSURE FEE	\$125	\$125
TOTAL INITIAL LICENSE FEES	\$165	-
ANNUAL RENEWAL FEE	\$125	\$125

* Some additional fees may be required per Business and Professions Code § 8031.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
CERTIFIED SHORTHAND REPORTER	73	72	6,770

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
CERTIFIED SHORTHAND REPORTER	N/A	6,842	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
CERTIFIED SHORTHAND REPORTER	EVERY YEAR	0

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
DICTATION	62	317	379
ENGLISH	144	124	268
PROFESSIONAL PRACTICE	113	100	213
TOTALS	319	541	860

Summary of Enforcement Activity

Consumer Complaints—Intake	
112	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
112	REFERRED FOR INVESTIGATION
25	PENDING

Conviction/Arrest Notification Complaints	
1	RECEIVED
1	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Investigations	
112	OPENED
128	CLOSED
25	PENDING

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

COURT REPORTERS BOARD OF CALIFORNIA

Summary of Enforcement Activity continued

Number of Days to Complete Intake and Investigations	
93	UP TO 90 DAYS
30	91 TO 180 DAYS
4	181 DAYS TO 1 YEAR
1	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
74	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
16	ISSUED
16	ISSUED WITH A FINE
0	WITHDRAWN
2	DISMISSED
64	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$18,000	ASSESSED
\$2,550	REDUCED
\$10,602.50	COLLECTED

Office of the Attorney General/Disciplinary Actions	
8	CASES OPENED/INITIATED
3	CASES CLOSED
10	CASES PENDING

Number of Days to Complete AG Cases	
1	1 YEAR
1	1 TO 2 YEARS
1	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
570	AVERAGE NUMBER DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
2	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
0	REVOCAION
2	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$0	ORDERED
\$7,594.99	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$981.88	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$981.88	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
1	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
74	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
503	AVERAGE NUMBER OF DAYS

DENTAL BOARD OF CALIFORNIA

Dentistry

Licenses and regulates dentists, registered dental assistants, registered dental assistants in extended functions, and holders of orthodontic assistant and dental sedation assistant permits.

STAFF:

72.8 civil service
1 exempt

LICENSES AND PERMITS:

97,139 licenses
17,380 permits

BOARD STAFF:

Executive Officer:

Karen Fischer
karen.fischer@dca.ca.gov

Assistant Executive Officer:

Sarah Wallace
sarah.wallace@dca.ca.gov

BOARD MEMBERSHIP:

9 dental professionals
5 public representatives

STRATEGIC PLAN ADOPTED:

2016

www.dbc.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 160 – 1808, §§ 1970 – 1976

Title 16, Division 10, California Code of Regulations §§ 1000 – 1087

SUNSET REVIEW:

Last review: 2015

Inoperative date: January 1, 2020

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
STEVEN AFRIAT (SECRETARY)	JANUARY 1, 2017	Assembly/Public	NO
FRAN BURTON, MSW	JANUARY 1, 2017	Senate/Public	NO
YVETTE CHAPPELL-INGRAM	JANUARY 1, 2016	Governor/Public	NO
STEVEN CHAN, DDS	JANUARY 1, 2021	Governor/Professional	NO
KATIE DAWSON, RDHAP	JANUARY 1, 2017	Governor/Professional	NO
JUDITH FORSYTHE, RDA (VICE PRESIDENT)	JANUARY 1, 2017	Governor/Professional	NO
KATHLEEN KING	JANUARY 1, 2018	Governor/Public	NO
ROSS LAI, DDS	JANUARY 1, 2017	Governor/Professional	NO
HUONG LE, DDS	JANUARY 1, 2019	Governor/Professional	NO
MEREDITH MCKENZIE, ESQ.	JANUARY 1, 2016	Governor/Public	NO
STEVEN MORROW, DDS (PRESIDENT)	JANUARY 1, 2018	Governor/Professional	NO
THOMAS STEWART, DDS	JANUARY 1, 2017	Governor/Professional	NO
BRUCE L. WHITCHER, DDS	JANUARY 1, 2019	Governor/Professional	NO
DEBRA WOO, DDS	JANUARY 1, 2017	Governor/Professional	NO

LICENSEE STATISTICS:

Licensee Category	Number of Licensees
DENTIST (DDS)	51,357
REGISTERED DENTAL ASSISTANT (RDA)	44,165
RDA IN EXTENDED FUNCTIONS (RDAEF)	1,617
TOTAL LICENSEES	97,139

MAJOR ACCOMPLISHMENTS

- Successfully completed the Sunset Review process. The Board's operational authority was extended until January 1, 2020.
- Worked in conjunction with DCA's Office of Professional Examination Services to conduct an occupational analysis of the registered dental assistant and registered dental assistant in extended functions professions. This information will be utilized to update written and practical examinations in order to ensure licensure candidates possess the knowledge, skills, and abilities to fulfill dental assisting duties safely.
- Notified licensees who have an active dental license and possess a Drug Enforcement Administration license of the requirement to register with the Department of Justice for the Controlled Substance Utilization Review and Evaluation System (CURES) prior to July 1, 2016. It is anticipated that this will be a tool utilized by prescribers to stop "doctor shopping" by patients who have drug addictions. The Board's role in this will help reduce substance abuse and aid in keeping consumers healthy.
- Approved the application for a foreign dental school located in the Republic of Moldova. A site evaluation will be conducted in order to ensure that dental students who complete the study of dentistry at this foreign dental school are held to equivalent educational standards as those required in the United States.
- Implemented the Department of Consumer Affairs' (Department's) new online licensing and enforcement BreEZe system. BreEZe expanded the ability of applicants and licensees to submit applications online and to pay renewal fees for instant processing, which cut down the Board's processing times. It integrates enforcement and licensing data to more effectively protect consumers. It aids with disciplinary action checks and allows the Board to see if a licensee holds another license with a different board or bureau. BreEZe also provides consumers the ability to verify a license, submit online complaints, and sign up for alerts about a dental provider's license.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- AB 179 (Bonilla, Chaptered 510, Statutes of 2015)

extended the licensing, regulatory, and enforcement authority of the Board until January 1, 2020, and made several amendments to provisions in the Dental Practice Act (DPA), including but not limited to, increases in the statutorily authorized fee maximums relating to dentist and dental assistant licensure and permitting, and collection of e-mail addresses. Additionally, this bill provides that it is not professional misconduct if a healing arts licensee engages in consensual sexual conduct with his or her spouse when that licensee provides medical treatment.

- AB 502 (Chau, Chapter 516, Statutes of 2015) amends the Dental Hygiene Practice Act and the Moscone-Knox Professional Corporation Act; authorizes a registered dental hygienist in alternative practice to incorporate with licensed dentists, registered dental assistants, registered dental hygienists, registered dental hygienists in extended functions, and other registered dental hygienists in alternative practice; and requires licensees to practice within the scope of license.
- AB 880 (Ridley-Thomas, Chapter 409, Statutes of 2015) authorizes students enrolled in their final year at a California dental school, approved by the Board, to practice dentistry under the supervision of licensed dentists at sponsored free healthcare events.
- SB 800 (Senate Business, Professions, and Economic Development Committee, Chapter 426, Statutes of 2015) made noncontroversial minor, nonsubstantive, or technical changes to various provisions pertaining to the health-related regulatory boards of the Department. This bill updates language to replace the "Board of Dental Examiners" with the "Dental Board of California" for consistency on how the Board is referenced. Additionally, this bill states that the Dental Hygiene Committee of California (DHCC) is a separate entity from the Board; states that DHCC must separately create and maintain a central file of the names of persons who hold a license, certificate, or similar authority; removes a deadline date of January 1, 2010; and repeals fee for examination for licensure as a registered dental hygienist for third- and fourth-year dental students.
- AB 2235 (Thurmond, Chapter 519, Statutes of 2016) will enact "Caleb's Law" and direct the Board to study pediatric injury and death resulting

CONTINUED

from dental procedures using anesthesiology and sedation; determine whether current law sufficiently protects dental patients; and report these findings to the Legislature. This bill will also require dental care providers to include additional information when reporting a patient's death or hospital transfer to the Board. Additionally, this bill will require providers to include a disclosure regarding the potential risks in the administration of general anesthesia and sedation on minors on a patient's written informed consent.

- AB 2331 (Dababneh, Chapter 572, Statutes of 2016) will allow out-of-state candidates seeking licensure as a dentist to satisfy examination requirements by passing an examination administered by the American Board of Dental Examiners, Inc. (Dental Examiners). This bill will require the Department to conduct a full review and certification of the new Dental Examiners exam before the Board may offer this option. Additionally, this bill will require the Dental Examiners to pay all reasonable costs related to certification, and specifies that the state may accept donations on behalf of Dental Examiners to reimburse those expenses.
- AB 2485 (Santiago, Chapter 575, Statutes of 2016) will modify the California Dental Corps Loan

Repayment Program (Program) by revising how the Board administers the Program and selects recipients. This bill will also increase the first-year payout amount for recipients from \$25,000 to \$35,000 and revises the schedule of payments. Additionally, this bill will require the Board to report to the Legislature about the effectiveness of the Program at its next sunset review.

- SB 1478 (Senate Committee on Business, Professions, and Economic Development, Chapter 489, Statute of 2016) is the noncontroversial omnibus bill. This bill will delete the language referring to the "Part I and Part II written examinations" of the National Board of Dental Examination of the Joint Commission on National Dental Examinations. This bill will also authorize the Board to exempt licensees issued a license that has been placed in a retired or inactive status per statute or regulation from the \$6 annual CURES fee, beginning July 1, 2017. This exemption will not apply to licensees whose license has been placed in a retired or inactive status if the licensee is authorized to prescribe order, administer, furnish, or dispense Schedule II, Schedule III, or Schedule IV controlled substances.

FEES

Dentist ¹ (Licensure by WREB ² Examination)	Actual Fee	Statutory Limit
APPLICATION FEE	\$100	\$1,000
INITIAL LICENSE FEE*	\$525	\$650
DENTAL LAW AND ETHICS EXAM	\$23	Set by PSI ³
WREB EXAMINATION	\$2,185 – \$2,420	Set by WREB
TOTAL INITIAL LICENSE FEES	\$2,833 – \$3,068	
BIENNIAL RENEWAL FEE	\$525 + \$12 ⁴	\$650

Dentist (Licensure by Portfolio Examination)	Actual Fee	Statutory Limit
INITIAL LICENSE FEE*	\$525	\$650
PORTFOLIO EXAMINATION FEE	\$350	\$1,500
DENTAL LAW AND ETHICS EXAM	\$23	Set by PSI
TOTAL INITIAL LICENSE FEES	\$898	
BIENNIAL RENEWAL FEE	\$525 + \$12	\$650*

Dentist (Licensure by Residency)	Actual Fee	Statutory Limit
APPLICATION FEE	\$100	\$1,000
INITIAL LICENSE FEE*	\$525	\$650**
DENTAL LAW AND ETHICS EXAM	\$23	Set by PSI
TOTAL INITIAL LICENSE FEES	\$648	
BIENNIAL RENEWAL FEE	\$525 + \$12	\$650

¹Applicant also required to pass Parts I and II of the National Board Dental Examination, per Business and Professions Code § 1632.

²WREB is the Western Regional Examining Board and sets its exam fee based on testing location costs.

³PSI (Psychological Services Inc.) is the testing vendor for WREB, special permit fees, retirement status fees, disability status fees and fictitious permit fees are not included.

⁴SB 809 (DeSaulnier, Chapter 400, Statutes of 2013) requires controlled substance prescribers to pay an annual fee of \$6 upon renewal.

*Initial license fee prorated. AB 179 (Bonilla, Chapter 510, Statutes of 2015) authorizes the Board to raise specified fees.

**Fingerprint card costs of \$49 are not included if the applicant does not have Live Scan fingerprints.

DENTAL BOARD OF CALIFORNIA

Dentist (Licensure by Credential)	Actual Fee	Statutory Limit
INITIAL LICENSE FEE*	\$525	\$650
LICENSURE BY CREDENTIAL FEE	\$283	Established by the Board pursuant to California Code of Regulations §1021
TOTAL INITIAL LICENSE FEES	\$808	
BIENNIAL RENEWAL FEE	\$525 + \$12	\$650*

*Initial license fee prorated. AB 179 (Bonilla, Chapter 510, Statutes of 2015) authorizes the Board to raise specified fees.

Registered Dental Assistant (RDA)	Actual Fee	Statutory Limit
APPLICATION FEE	\$20	\$200
PRACTICAL EXAMINATION FEE	\$60	Actual Cost of the Exam
WRITTEN EXAMINATION FEE	\$39	Set by PSI
CALIFORNIA LAW AND ETHICS EXAM FEE	\$23	Actual Cost of Exam Set by PSI
TOTAL INITIAL LICENSE FEES	\$146	
BIENNIAL RENEWAL FEE	\$70	\$200

Registered Dental Assistant in Extended Functions* (RDAEF)	Actual Fee	Statutory Limit
APPLICATION FEE	\$20	\$200
PRACTICAL EXAMINATION FEE	\$250	Actual cost of exam
WRITTEN EXAMINATION FEE	\$39	Set by PSI
TOTAL INITIAL LICENSE FEES	\$293	
BIENNIAL RENEWAL FEE	\$70	\$200

*The RDAEF category includes RDAs with orthodontic assistant permits and RDAs with dental sedation assistant permits. Unregistered dental assistants authorized pursuant to Business and Professions Code § 1750 are not included.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ADDITIONAL OFFICE PERMIT	303	163	688
CONSCIOUS SEDATION PERMIT	7	7	76
DENTIST (DDS) LICENSE	1,032	962	11,606
ELECTIVE FACIAL COSMETIC SURGERY	3	0	12
FICTITIOUS NAME PERMIT	650	646	2,646
GENERAL ANESTHESIA (GA) PERMIT	46	43	186

MOBILE DENTAL CLINIC	1	1	1
MEDICAL GENERAL ANESTHESIA PERMIT	0	0	3
ORAL CONSCIOUS SEDATION CERTIFICATE	426	426	993
ORAL AND MAXILLOFACIAL SURGERY PERMIT	0	0	12
REGISTERED PROVIDER	20	20	404
SPECIAL PERMIT	1	1	0
REGISTERED DENTAL ASSISTANT (RDA)	5,205	2,106	23,250
RDA IN EXTENDED FUNCTIONS (RDAEF)	100	63	247
ORTHODONTIC ASSISTANT (OA)	268	92	208
DENTAL SEDATION ASSISTANT (DSA)	4	1	5

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ADDITIONAL OFFICE PERMIT	3,001	N/A	N/A
CONSCIOUS SEDATION PERMIT	557	N/A	N/A
DENTIST LICENSE	N/A	41,083	N/A
ELECTIVE FACIAL COSMETIC SURGERY	29	N/A	N/A
FICTITIOUS NAME PERMIT	28,400	N/A	N/A
GENERAL ANESTHESIA PERMIT	911	N/A	N/A
MOBILE DENTAL CLINIC	72	N/A	N/A
MEDICAL GENERAL ANESTHESIA PERMIT	116	N/A	N/A
ORAL CONSCIOUS SEDATION CERTIFICATE	3,003	N/A	N/A
ORAL AND MAXILLOFACIAL SURGERY PERMIT	93	N/A	N/A
REGISTERED PROVIDER	1,858	N/A	N/A
SPECIAL PERMIT	50	N/A	N/A
REGISTERED DENTAL ASSISTANT (RDA)	N/A	45,494	N/A
RDA IN EXTENDED FUNCTIONS (RDAEF)	N/A	1,619	N/A
ORTHODONTIC ASSISTANT (OA)	535	N/A	N/A
DENTAL SEDATION ASSISTANT (DSA)	37	N/A	N/A

Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
ADDITIONAL OFFICE PERMIT	EVERY 2 YEARS	0
CONSCIOUS SEDATION PERMIT	EVERY 2 YEARS	15
DENTIST (DDS) LICENSE	EVERY 2 YEARS	50
ELECTIVE FACIAL COSMETIC SURGERY	EVERY 2 YEARS	0
FICTITIOUS NAME PERMIT	EVERY 2 YEARS	0
GENERAL ANESTHESIA PERMIT	EVERY 2 YEARS	24
MOBILE DENTAL CLINIC	EVERY 2 YEARS	0
MEDICAL GENERAL ANESTHESIA PERMIT	EVERY 2 YEARS	24
ORAL CONSCIOUS SEDATION CERTIFICATE	EVERY 2 YEARS	7
ORAL AND MAXILLOFACIAL SURGERY PERMIT	EVERY 2 YEARS	50
REGISTERED PROVIDER	EVERY 2 YEARS	0
SPECIAL PERMIT	EVERY YEAR	25
REGISTERED DENTAL ASSISTANT (RDA)	EVERY 2 YEARS	25
RDA IN EXTENDED FUNCTIONS (RDAEF)	EVERY 2 YEARS	25
ORTHODONTIC ASSISTANT (OA)	EVERY 2 YEARS	25
DENTAL SEDATION ASSISTANT (DSA)	EVERY 2 YEARS	25

Exams

TYPE	PASS	FAIL	TOTAL
DDS	700	126	826
RDA AND RDAEF	2,169	1,692	3,861
TOTALS	2,869	1,818	4,687

Summary of Enforcement Activity

Consumer Complaints—Intake

3,094	RECEIVED
1,577	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,531	REFERRED FOR INVESTIGATION
79	PENDING

Conviction/Arrest Notification Complaints

457	RECEIVED
349	CLOSED/REFERRED FOR INVESTIGATION
2	PENDING

Inspections

627	INSPECTIONS CONDUCTED
1	INSPECTION CITATIONS ISSUED

Investigations

3,542	OPENED
3,134	CLOSED
2,501	PENDING

Number of Days to Complete Intake and Investigations

1,221	UP TO 90 DAYS
845	91 TO 180 DAYS
637	181 DAYS TO 1 YEAR
312	1 TO 2 YEARS
98	2 TO 3 YEARS
14	OVER 3 YEARS
187	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines

163	ISSUED
37	ISSUED WITH A FINE
2	WITHDRAWN
0	DISMISSED
477	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines

\$94,250	ASSESSED
\$4,000	REDUCED
\$22,900	COLLECTED

Summary of Enforcement Activity continued

Criminal/Civil Actions	
49	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
156	CASES OPENED/INITIATED
77	CASES CLOSED
271	CASES PENDING

Number of Days to Complete AG Cases	
16	1 YEAR
20	1 TO 2 YEARS
28	2 TO 3 YEARS
14	3 TO 4 YEARS
19	OVER 4 YEARS
922	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
10	STATEMENTS OF ISSUES FILED
71	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
13	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
18	REVOCAION
15	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
56	PROBATION ONLY
11	PUBLIC REPRIMAND
51	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
8	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/ Final Orders	
2	REVOCAION
1	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
1	GRANTED
0	DENIED
1	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
5	GRANTED
1	DENIED
6	TOTAL

Cost Recovery to DCA	
\$631,703	ORDERED
\$389,962	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$120,633	RESTITUTION ORDERED .
\$90	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
9	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
185	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
534	AVERAGE NUMBER OF DAYS

DENTAL HYGIENE COMMITTEE OF CALIFORNIA

Dental Hygiene

Licenses and regulates registered dental hygienists, registered dental hygienists in extended functions, and registered dental hygienists in alternative practice.

STAFF:

10.2 civil service

LICENSES AND PERMITS:

24,205 licenses
477 permits (FNP)

BOARD STAFF:

Executive Officer:**Lori Hubble**

lori.hubble@dca.ca.gov

Assistant Executive Officer:**Anthony Lum**

anthony.lum@dca.ca.gov

ADVISORY COMMITTEE
MEMBERSHIP:

5 dental professionals
4 public representatives

STRATEGIC PLAN ADOPTED:

2016www.dhcc.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 1900 – 1967.4**Title 16, Division 10, California Code of Regulations §§ 1000 – 1023.8, §§ 1067 – 1090.1, § 1107, §§ 1131 – 1132, §§ 1138 – 1144, §§ 1149 – 1153**

SUNSET REVIEW:

Last review: 2014**Inoperative date: January 1, 2019**

COMMITTEE MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
SUSAN GOOD (VICE PRESIDENT)	JANUARY 1, 2018	Governor/Public	NO
MICHELLE HURLBUTT, RDH EDUCATOR	JANUARY 1, 2020	Governor/Professional	NO
JOYCE NOEL KELSCH, RDHAP (PRESIDENT)	JANUARY 1, 2020	Governor/Professional	NO
SANDRA KLEIN	JANUARY 1, 2020	Governor/Public	NO
TIMOTHY S. MARTINEZ, DMD	JANUARY 1, 2018	Governor/Professional	NO
NICOLETTE MOULTRIE-MARTINEZ, RDH	JANUARY 1, 2018	Governor/Professional	NO
EDCELYN PUJOL	JANUARY 1, 2020	Governor/Public	NO
GARRY SHAY	JANUARY 1, 2018	Governor/Public	NO
EVANGELINE WARD, RDH (SECRETARY)	JANUARY 1, 2018	Governor/ Professional	NO

MAJOR ACCOMPLISHMENTS

- Adopted new BreZE computer system Release 2 in January 2016 after extensive configuration and testing efforts. The BreZE online system allows users to apply for a license, address licensing issues, and pay fees with a credit card.
- Continued to grow after seven years in existence by adding staff to the Enforcement Unit to address the increasing program workload.



DENTAL HYGIENE COMMITTEE OF CALIFORNIA

CONTINUED

- Initiated dental hygiene educational program reviews for any proposed and existing schools to ensure compliance with the Commission on Dental Accreditation (CODA) Standards and DHCC regulations for approval.
- Continued outreach efforts by participating in the California Dental Hygiene Association’s 2016 Spring Scientific Session and conducting dental hygiene educational program visits to inform students of the profession and the licensure process.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- DHCC received approval by the Office of Administrative Law for the following regulations:
 - *Remedial education:* Provides the standards and criteria for approval of courses designed to remediate learning deficiencies in applicants who have repeatedly failed to pass the clinical licensure examination.
 - *Dental hygiene educational program requirements:* Details specific criteria and standards of approval that DHCC will use to evaluate all registered dental hygienist educational programs.
 - *Approval of new dental hygiene education programs (feasibility study):* Outlines instructions for new registered dental hygiene educational programs so that program administrators will understand the roles and responsibilities of the DHCC, CODA, and the new program itself. This regulatory package also contains reporting instructions for dental hygiene programs from which CODA approval is being withdrawn.
 - *Dental hygiene terminology definitions:* Provides applicants, licensees, DHCC staff, and the public with clear, consistent, and current definitions for terms used in the statutes relevant to the field of dental hygiene.

FEES*

Dental Hygienist	Actual Fee	Statutory Limit
APPLICATION FEE	\$100	\$250
EXAMINATION FEE	\$525	Actual Cost
LICENSING FEE	\$100	\$250
TOTAL INITIAL LICENSE FEES	\$675	
WREB AND CRDT APPLICATION FEE**	\$100	\$250
WREB LICENSURE FEE	\$100	\$250
LICENSURE BY CREDENTIAL APPLICATION FEE	\$100	\$250
LICENSURE BY CREDENTIAL LICENSURE FEE	\$100	\$250
BIENNIAL RENEWAL FEE	\$160	\$160

* Various other fees contained in Business and Professions Code § 1944 not included.

** Western Regional Examination Board (WREB) and Central Regional Dental Test (CRDT) are two separate regional dental hygiene clinical exam administrators that set the exam fee based on testing center costs.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
RDH	857	0	9,947
RDHAP	54	34	281
RDHEF	0	0	16
FNP	10	7	64

RDH – Registered Dental Hygienist
 RDHAP – Registered Dental Hygienist in Alternative Practice
 RDHEF – Registered Dental Hygienist in Extended Functions
 FNP – Fictitious Name Permit

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
RDH	N/A	23,648	N/A
RDHAP	N/A	532	N/A
RDHEF	N/A	25	N/A
FNP	477	N/A	N/A

Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
RDH	EVERY 2 YEARS	25
RDHAP	EVERY 2 YEARS	35
RDHEF	EVERY 2 YEARS	25

Exams		
PASS	FAIL	TOTAL
N/A	N/A	N/A

Summary of Enforcement Activity

Consumer Complaints—Intake	
51	RECEIVED
23	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
31	REFERRED FOR INVESTIGATION
42	PENDING

Conviction/Arrest Notification Complaints	
137	RECEIVED
96	CLOSED/REFERRED FOR INVESTIGATION
56	PENDING

Inspections	
	N/A

Investigations	
31	OPENED
16	CLOSED
98	PENDING

Number of Days to Complete Intake and Investigations	
80	UP TO 90 DAYS
3	91 TO 180 DAYS
6	181 DAYS TO 1 YEAR
4	1 TO 2 YEARS
0	2 TO 3 YEARS
1	OVER 3 YEARS
55	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
0	ISSUED
29	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
132	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$19,250	ASSESSED
\$0	REDUCED
\$14,050	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
17	CASES OPENED/INITIATED
10	CASES CLOSED
13	CASES PENDING

Number of Days to Complete AG Cases	
5	1 YEAR
2	1 TO 2 YEARS
2	2 TO 3 YEARS
1	3 TO 4 YEARS
0	OVER 4 YEARS
971	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
8	ACCUSATIONS FILED
1	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Summary of Enforcement Activity continued

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
2	REVOCAION
4	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
7	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
3	GRANTED
0	DENIED
3	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$7,178	ORDERED
\$2,788	COLLECTED

Consumer Restitution to Consumers/Refund /Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
13	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
55	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
472	AVERAGE NUMBER OF DAYS

BUREAU OF ELECTRONIC & APPLIANCE REPAIR, HOME FURNISHINGS & THERMAL INSULATION

BEARHFTI

Registers and regulates electronic and appliance repair businesses and has jurisdiction over the sale and administration of service contracts on various consumer products. Licenses and regulates the manufacture and sale (retail, wholesale, and import) of upholstered furniture and bedding, supply dealers, custom upholsterers, bedding sanitizers, and the manufacture of thermal insulation products, and tests for flammability and sanitation.

STAFF:

43.9 civil service
1 exempt

LICENSES AND REGISTRATIONS:

42,352

BUREAU STAFF:

Chief: Justin Paddock
justin.paddock@dca.ca.gov

BUREAU ADVISORY COUNCILS:

7 industry representatives
4 public representatives

STRATEGIC PLAN ADOPTED:

2013

www.bearhfti.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ BEAR: 9800 – 9874 HFTI: §§ 19000 – 19221
Title 16, Division 27, California Code of Regulations §§ 2701 – 2775
Title 4, Division 3, California Code of Regulations §§ 1101 – 1383.6

SUNSET REVIEW:

Consolidated BEAR and BHFTI in 2009 Last Review: 2013/14
Next Review: 2018/19

ADVISORY COUNCIL MEMBERS:

Total Members: 11 – This group is not created by statute or regulation.

Name	Appointment Authority/Appointment Type
SHARRON BRADLEY	Department Director/Professional
DONALD ERWIN	Department Director/Professional
BURT GRIMES	Department Director/Professional
JUDY LEVIN	Department Director/Public
DONALD LUCAS	Department Director/Public
JOANNE MIKAMI	Department Director/Public
LEONARD "LEN" PRICE	Department Director/Public
DAVID SPEARS	Department Director/Professional
DAVID VALESQUEZ	Department Director/Professional
DAVID YARBROUGH	Department Director/Professional

RECIPROCITY

There is no reciprocity for electronic and appliance repair registrants. The Bureau does offer reciprocity to those who have a home furnishings or bedding registry number from other states. If the applicant wishes to use another state's registry number, they must provide a copy of their valid license from the other state and the Bureau will issue that registry number to them within the state.

BUREAU OF ELECTRONIC & APPLIANCE REPAIR, HOME FURNISHINGS & THERMAL INSULATION

CONTINUED

MAJOR ACCOMPLISHMENTS

- Broadened the Bureau's consumer and industry outreach efforts, participating in more than 15 events this year. Consumer events included presentations to senior organizations and vocational schools with electronic and appliance repair curriculum. The Bureau also developed industry education brochures regarding licensing and labeling requirements. In addition, the Bureau revamped its website to provide improved access and navigation for users.
- In conjunction with the California Public Utilities Commission, the Bureau was able to disconnect 97 phones of businesses that were operating without a valid license or registration. This provides a high level of consumer protection by limiting the business activities of violators and establishes a more level playing field for businesses that are compliant with the law.
- Established a Service Contract Working Group to assess the market, review current statutes and regulations, and to make recommendations to improve consumer protection, provide information on future trends, and ensure regulatory consistency. The Working Group convened three times within the fiscal year.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Increased the fee cap for licenses and registrations via AB 1175 (Ridley-Thomas, Chapter 187, Statutes of 2015).
- Updated the Bureau's Memorandum of Understanding with the Department of Toxic Substances Control, in accordance with SB 1019 (Leno, Chapter 862, Statutes of 2014), to conduct compliance tests on home furnishings products. The Bureau also promulgated regulations pertaining to the installation of Interlock Ignition Devices.
- SB 1046 (Hill, Chapter 783, Statutes of 2016) will authorize the Director of the Department, through the Bureau of Automotive Repair, to suspend, revoke, or place on probation the registration of an automotive repair dealer or service dealer who installs, calibrates, services, maintains, or monitors ignition interlock devices if the installer does not

comply with the specified income-based pricing model. Consequently, this bill will also require interlock device providers to verify the offender's income to determine the cost of the device.

FEES

BEAR Registration Type (per location)	Actual Fee	Statutory Limit
ELECTRONIC SERVICE DEALER	\$165	\$205
APPLIANCE SERVICE DEALER	\$165	\$205
COMBINATION ELECTRONIC AND APPLIANCE REPAIR SERVICE DEALER	\$325	\$405
SERVICE CONTRACT SELLER	\$75	\$95

HFTI License Type January through November (per location)	Actual Fee	Statutory Limit
FURNITURE RETAILER	\$120	\$120
BEDDING RETAILER	\$120	\$120
FURNITURE AND BEDDING RETAILER	\$240	\$240
CUSTOM UPHOLSTERER	\$360	\$360
SANITIZER'S LICENSE	\$360	\$360
SUPPLY DEALER'S LICENSE	\$540	\$540
WHOLESALE FURNITURE AND BEDDING DEALER'S LICENSE	\$540	\$540
MANUFACTURER (IN UNITED STATES)	\$650	\$750
IMPORTER (MANUFACTURER LOCATED OUTSIDE OF U.S. OR IMPORTER LOCATED WITHIN THE U.S.)	\$650	\$750

HFTI License Type December (per location)	Actual Fee	Statutory Limit
FURNITURE RETAILER	\$140	\$150
BEDDING RETAILER	\$140	\$150
FURNITURE AND BEDDING RETAILER	\$280	\$300
CUSTOM UPHOLSTERER	\$420	\$450
SANITIZER'S LICENSE	\$420	\$450
SUPPLY DEALER'S LICENSE	\$625	\$675
WHOLESALE FURNITURE AND BEDDING DEALER'S LICENSE	\$625	\$675
MANUFACTURER (IN UNITED STATES)	\$750	\$940
IMPORTER (MANUFACTURER LOCATED OUTSIDE OF U.S. OR IMPORTER LOCATED WITHIN THE U.S.)	\$750	\$940

BUREAU OF ELECTRONIC AND APPLIANCE REPAIR, HOME FURNISHINGS AND THERMAL INSULATION

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
BEDDING RETAILER	114	1,522	653
CUSTOM UPHOLSTERER	51	47	218
FURNITURE AND BEDDING MANUFACTURER	163	157	630
FURNITURE AND BEDDING RETAILER	537	793*	6,350
FURNITURE AND BEDDING WHOLESALER	5	3	70
FURNITURE RETAILER	130	181*	659
IMPORTER	901	881	1,579
SANITIZER	2	5	5
SUPPLY DEALER	10	9	54
THERMAL INSULATION	3	1	112
APPLIANCE SERVICE DEALER	348	364	2,382
COMBINATION SERVICE DEALER	110	168	383
ELECTRONIC SERVICE DEALER	781	822	4,376
SERVICE CONTRACT ADMINISTRATOR	1	3	44
SERVICE CONTRACT SELLER	393	2,636**	10,090

* Retailer chain stores submit one application with a listing and payment for multiple stores.

** The reason for the disparity between applications received and licenses issued is that large retailers submit one application with a total fee for all new individual stores. They are counted on ATS as one application.

Licensing by Population Type

TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
BEDDING RETAILER	N/A	1,592	N/A
CUSTOM UPHOLSTERER	N/A	497	N/A
FURNITURE AND BEDDING MANUFACTURER	N/A	1,481	N/A
FURNITURE AND BEDDING RETAILER	N/A	11,715	N/A
FURNITURE AND BEDDING WHOLESALER	N/A	170	N/A
FURNITURE RETAILER	N/A	2,315	N/A
IMPORTER	N/A	4,695	N/A
SANITIZER	N/A	13	N/A
SUPPLY DEALER	N/A	136	N/A
THERMAL INSULATION	N/A	115	N/A
APPLIANCE SERVICE DEALER	N/A	2,629	N/A
COMBINATION SERVICE DEALER	N/A	634	N/A
ELECTRONIC SERVICE DEALER	N/A	5,102	N/A
SERVICE CONTRACT ADMINISTRATOR	N/A	43	N/A
SERVICE CONTRACT SELLER	N/A	11,215	N/A

Renewal and Continuing Education (CE)

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
BEDDING RETAILER	EVERY 2 YEARS	0
CUSTOM UPHOLSTERER	EVERY 2 YEARS	0
FURNITURE AND BEDDING MANUFACTURER	EVERY 2 YEARS	0
FURNITURE AND BEDDING RETAILER	EVERY 2 YEARS	0
FURNITURE AND BEDDING WHOLESALER	EVERY 2 YEARS	0
FURNITURE RETAILER	EVERY 2 YEARS	0
IMPORTER	EVERY 2 YEARS	0
SANITIZER	EVERY 2 YEARS	0
SUPPLY DEALER	EVERY 2 YEARS	0
THERMAL INSULATION	EVERY YEAR	0
APPLIANCE SERVICE DEALER	EVERY YEAR	0
COMBINATION SERVICE DEALER	EVERY YEAR	0
ELECTRONIC SERVICE DEALER	EVERY YEAR	0
SERVICE CONTRACT ADMINISTRATOR	EVERY YEAR	0
SERVICE CONTRACT SELLER	EVERY YEAR	0

Summary of Enforcement Activity

Exams

N/A

Consumer Complaints—Intake

2,660	RECEIVED
1,519	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,173	REFERRED FOR INVESTIGATION
15	PENDING

Conviction/Arrest Notification Complaints

0	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections

5,083	INSPECTIONS CONDUCTED
0	INSPECTIONS CITATIONS ISSUED

Investigations

1,166	OPENED
1,257	CLOSED
207	PENDING

Summary of Enforcement Activity continued

Number of Days to Complete Intake and Investigations

961	UP TO 90 DAYS
116	91 TO 180 DAYS
75	181 DAYS TO 1 YEAR
64	1 TO 2 YEARS
30	2 TO 3 YEARS
0	OVER 3 YEARS
121	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines

1,522	ISSUED
261	ISSUED WITH A FINE
51	WITHDRAWN
0	DISMISSED
5	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines

\$86,211	ASSESSED
\$9,150	REDUCED
\$29,100	COLLECTED

Criminal/Civil Actions

0

Office of the Attorney General/Disciplinary Actions

2	CASES OPENED/INITIATED
0	CASES CLOSED
6	CASES PENDING

Number of Days to Complete AG Cases

0	1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed

2	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders

0	LICENSE APPLICATIONS DENIED
1	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation

0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification

0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA

\$0	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings

\$0	RESTITUTION ORDERED
\$156,637	AMOUNT REFUNDED
\$34,498	REWORK AT NO CHARGE
\$110,231	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$301,457	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator

6	AVERAGE NUMBER OF DAYS
----------	------------------------

Investigations: Opening to Closing of Case

121	AVERAGE NUMBER OF DAYS
------------	------------------------

Investigations: Closure of Investigation to Imposing Formal Discipline

0	AVERAGE NUMBER OF DAYS
----------	------------------------

STATE BOARD OF GUIDE DOGS FOR THE BLIND

Guide Dogs

Licenses and regulates schools and instructors that train and supply guide dogs for the blind.

STAFF:

0.0 civil service

1 exempt

LICENSES AND APPROVALS:

116 licenses

12 approvals

BOARD STAFF:

Executive Officer:

Brian Skewis

brian.skewis@dca.ca.gov

BOARD MEMBERSHIP:

4 guide dog users

3 public representatives

STRATEGIC PLAN ADOPTED:

2015

www.guidedogboard.ca.gov



STATE BOARD OF
**GUIDE
DOGS**
FOR THE BLIND

LAWS AND REGULATIONS:

Business and Professions Code §§ 7200 – 7217

California Civil Code §§ 54 – 55.32

California Penal Code §§ 346 – 367g, § 600.2, and § 600.5

California Vehicle Code § 21963

California Food and Agriculture Code §§ 30850 – 30854 and §§ 31601 – 31609

Americans with Disabilities Act Title III – Public Accommodations (42 U.S.C. 12181)

Title 16, Division 22, California Code of Regulations §§ 2250 – 2295.3

SUNSET REVIEW:

Last Review: 2013

Inoperative Date: January 1, 2018

BOARD MEMBERS*:

Name	Appointment Expires	Appointment Authority/Appointment Type	Req. Senate Confirmation
DON BROWN	JUNE 1, 2016	Governor/Consumer (guide dog user)	NO
CATHERINE CARLTON	JUNE 1, 2020	Governor/Public	NO
CARMEN DELGADO (VICE PRESIDENT)	JUNE 1, 2017	Governor/Public	NO
ERIC HOLM (PRESIDENT)	JUNE 1, 2019	Governor/Public (guide dog user)	NO
GWEN MARELLI	JUNE 1, 2017	Governor/Consumer	NO
ROSA GOMEZ	PLEASURE	Designee of DOR (guide dog user)	NO
JOAN PATCHE	JUNE 1, 2020	Governor/Public (guide dog user)	NO

*The Board consists of seven members appointed by the Governor. One member must be the Director of Rehabilitation or a designated representative. The remaining members must have shown a particular interest in dealing with the problems of the blind, and at least two of them must be blind persons who use guide dogs.

PROGRAM BACKGROUND

The Board was established January 1, 1948, for the specific purpose of providing well-trained guide dogs to blind persons and training blind persons as guide dog consumers. The Board developed licensing examinations for guide dog instructors and criteria for use in determining whether guide dog school license applicants possess the requisite resources, as well as special

STATE BOARD OF GUIDE DOGS FOR THE BLIND

CONTINUED

capacities important to providing guide dogs to blind persons. California is the only state that has such a regulatory program.

In the 1940s, before the Board was created, there was considerable confusion as to the role and function of guide dogs in public places. A small group of blind people, the California Council of the Blind, and the editors of the *Pasadena Star-News*, joined with supportive legislators to lay the groundwork for a board that would ensure that blind people would receive competent instruction with properly trained dogs.

MAJOR ACCOMPLISHMENTS

- Underwent a significant program restructure to ensure fiscal solvency for the foreseeable future.
- Approved a four-year strategic plan focusing on consumer protection and outreach; specifically to research and act on issues regarding service dog laws and how fraudulent service dogs affect guide dog users.

- Continued to address the issue of unlicensed activity by out-of-state instructors including a thorough analysis of the Board’s licensing and examination processes.
- Facilitated three arbitrations and collected sufficient data to begin enhancing the process and better serving consumers and licensees alike.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- SB 1331 (Pavley, Chapter 595, Statutes of 2016) will change the composition of the Board; authorize out-of-state guide dog instructors, upon notification to the Board, to practice in California without a license; require the Board to provide a fact sheet to schools for persons receiving training; and require the Board to post the fact sheet on the Board’s website.

FEES

Guide Dog Instructor License	Actual Fee	Statutory Limit
INITIAL LICENSE/EXAMINATION FEE	\$250	\$250
ANNUAL RENEWAL FEE	\$100	\$100

Guide Dog School License*	Actual Fee	Statutory Limit
FUNDRAISING LICENSE (NEW SCHOOLS)	\$50	\$50
ANNUAL RENEWAL FEE	.425%	.5%

* Guide dog schools pay up to .005 of total annual expenditures (varies by school). The exact fee set by regulation is currently .00425 of total annual expenditures.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
GUIDE DOG INSTRUCTOR	12	0	96
GUIDE DOG SCHOOL	0	0	3
FUNDRAISING LICENSE	0	0	0
INACTIVE INSTRUCTOR	5	5	3

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
GUIDE DOG INSTRUCTOR	0	108	108
GUIDE DOG SCHOOL	0	3	3
FUNDRAISING LICENSE	0	0	0
INACTIVE INSTRUCTOR	0	5	5

Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
GUIDE DOG INSTRUCTOR	EVERY YEAR	8
GUIDE DOG SCHOOL	EVERY YEAR	0
FUNDRAISING LICENSE	ONE TIME	0
INACTIVE INSTRUCTOR	EVERY YEAR	0

Exams		
PASS	FAIL	TOTAL
12	0	12

Summary of Enforcement Activity

Consumer Complaints—Intake	
4	RECEIVED
1	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
3	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
3	INSPECTIONS CONDUCTED
0	INSPECTION CITATIONS ISSUED

Investigations	
3	OPENED
3	CLOSED
0	PENDING

Number of Days to Complete Intake and Investigations	
3	UP TO 90 DAYS
0	91 TO 180 DAYS
0	181 DAYS TO 1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
27	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
0	ISSUED
0	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
0	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$0	ASSESSED
\$0	REDUCED
\$0	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
0	CASES OPENED/INITIATED
0	CASES CLOSED
0	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

STATE BOARD OF GUIDE DOGS FOR THE BLIND

Summary of Enforcement Activity continued

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
3	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$0	ORDERED .
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
3	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
26	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS

LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE

Landscape Architects

Licenses and regulates landscape architects.

STAFF:

5.5 civil service

LICENSES:

3,593

BOARD STAFF:

Chair:

Andrew Bowden

andrew.bowden@dca.ca.gov

COMMITTEE MEMBERSHIP:

4 licensees

STRATEGIC PLAN ADOPTED:

2015

www.latc.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 5500 – 5683

Title 16, Division 2, California Code of Regulations §§ 100 – 160

Title 16, Division 26, California Code of Regulations §§ 2602 – 2680

SUNSET REVIEW:

Last review: 2014/15 Inoperative/Repeal date: January 1, 2020

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
ANDREW BOWDEN (CHAIR)	JUNE 1, 2019	Governor/Professional	NO
PATRICIA TRAUTH	JUNE 1, 2018	Governor/Professional	NO
MARQ TRUSCOTT	JUNE 1, 2020	Governor/Professional	NO
DAVID A. TAYLOR (VICE CHAIR)	JUNE 1, 2018	Senate/Professional	NO
VACANT	N/A	Speaker/Professional	NO

RECIPROCITY (16 CCR § 2615)

To be eligible for reciprocal licensure in California, a landscape architect must be licensed in another state by having passed a written examination substantially equivalent in scope and subject matter to the Landscape Architect Registration Examination (LARE), meet the education and training requirements for first-time exam candidates, and successfully complete the CSE (Landscape Architects). For purposes of reciprocity, the LATC recognizes two national examinations, which are the: 1) LARE; and 2) Uniform National Examination for Landscape Architects.

There is a \$35 charge for the LATC to review an LATC Reciprocity Application, to take the CSE that itself carries a \$275 fee.

MAJOR ACCOMPLISHMENTS

- Upheld its goal in Fiscal Year 2015–16 of reducing the average time to complete an enforcement investigation to less than 12 months,

LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE

CONTINUED

averaging 118 days to complete an investigation. The enforcement efforts resulted in the closure of 33 investigations and the issuance of seven citations.

- Drafted a *Consumer’s Guide to Hiring a Landscape Architect* to educate the public. The guide includes information on the Model Water Efficient Landscape Ordinance and the differences between landscape architects, landscape contractors, and landscape designers.
- Partnered with Council of Landscape Architectural Registration Boards (CLARB) to enhance CLARB’s national enforcement database with California data to strengthen consumer protection.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Proposed and prepared a regulatory package to amend California Code of Regulations (CCR) Section 2649 to extend a temporary reduction in license renewal fees for one renewal cycle in fiscal year 2016–17 to effectively manage the budget at an appropriate level to comply with Business and Professions Code Section 128.5, “Reduction of License Fees in Event of Surplus Funds.”
- Proposed and prepared a regulatory package to amend CCR 2020 (a)(13), “Education and Training Credits,” to expand eligibility requirements to allow credit for teaching under a licensed landscape architect.

LICENSING REQUIREMENTS

DEGREE/PROFESSIONAL SCHOOLING	YES*
INTERN DEVELOPMENT PROGRAM	YES**
EXAMINATION	YES
CONTINUING EDUCATION/COMPETENCY	YES***
FINGERPRINTING REQUIREMENT	NO

* Degree/professional education requirement can also be met by five years of training (work) experience credit for architects.

** Does not apply to landscape architects who must have at least two years of training (work) experience credit.

*** Five hours of coursework in disability access requirements for architects.

FEES

Landscape Architect*	Actual Fee	Statutory Limit
APPLICATION FEE (LARE ELIGIBILITY)	\$35	\$100
LANDSCAPE ARCHITECTS REGISTRATION EXAMINATION (LARE) FEE**	\$355 to \$550/ division	-
CSE APPLICATION FEE	\$35	\$100
CSE (LANDSCAPE ARCHITECTS) FEE	\$275	-
LICENSURE FEE	\$400	\$400
TOTAL INITIAL LICENSE FEES***	\$745	-
BIENNIAL RENEWAL FEE	\$400	\$400

* Additional fees may be required per Business and Professions Code § 5681 and 16 CCR §2649.

** Fees paid directly to Council of Landscape Architectural Registration Boards.

*** Total shown does not include LARE costs that total \$1,810.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
APPLICATION FOR ELIGIBILITY	225	N/A	N/A
APPLICATION FOR INITIAL LICENSE	97	96	N/A

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
LANDSCAPE ARCHITECT LICENSE	N/A	3,593	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
LANDSCAPE ARCHITECT LICENSE RENEWAL	EVERY 2 YEARS	N/A

Summary of Licensing Activity continued

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
LANDSCAPE ARCHITECT REGISTRATION EXAMINATION (LARE)	439	257	696
CALIFORNIA SUPPLEMENTAL EXAMINATION (CSE)	78	30	108
TOTALS	517	287	804

Summary of Enforcement Activity

Consumer Complaints—Intake	
19	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
19	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
3	RECEIVED
3	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	

Investigations	
22	OPENED
33	CLOSED
8	PENDING

Number of Days to Complete Intake and Investigations	
9	UP TO 90 DAYS
8	91 TO 180 DAYS
7	181 DAYS TO 1 YEAR
6	1 TO 2 YEARS
1	2 TO 3 YEARS
2	OVER 3 YEARS
295	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
N/A	ISSUED
7	ISSUED WITH A FINE
2	WITHDRAWN
0	DISMISSED
564	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$13,000	ASSESSED
\$3,000	REDUCED
\$5,100	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
1	CASES OPENED/INITIATED
0	CASES CLOSED
2	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
1	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAW/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE

Summary of Enforcement Activity continued

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
0	TOTAL NUMBER FILED

Subsequent Disciplinary – Administrative Outcomes/ Final Orders	
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Reinstatement of Revoked License/ Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$0	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
2	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
304	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS

MEDICAL BOARD OF CALIFORNIA

Medical Board

Licenses and regulates physicians and certain allied healthcare professionals.

STAFF:

159.1 civil service
1 exempt

LICENSES AND PERMITS:

155,679

BOARD STAFF:

Executive Director:
Kimberly Kirchmeyer
kimberly.kirchmeyer@mbc.ca.gov

Deputy Director:
Elizabeth Amaral
elizabeth.amaral@mbc.ca.gov

BOARD MEMBERSHIP:

8 licensed physicians
7 public representatives

STRATEGIC PLAN ADOPTED:

2014

www.mbc.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 2000 – 2448, §§ 2505 – 2529.5, §§ 2540 – 2569
Title 16, Division 13, California Code of Regulations §§ 1300 – 1379.78,
§§ 1399.200 – 1399.279

SUNSET REVIEW:

Last review: 2013 **Inoperative: January 1, 2018**

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
MICHAEL BISHOP, M.D.	JUNE 1, 2017	Governor/Professional	YES
MICHELLE ANNE BHOLAT, M.D.	JUNE 1, 2018	Governor/Professional	YES
DEV APPANNAGARI GNANADEV, M.D. (PRESIDENT)	JUNE 1, 2019	Governor/Professional	YES
RANDY W. HAWKINS, M.D.	JUNE 1, 2020	Governor/Professional	YES
HOWARD KRAUSS, M.D.	JUNE 1, 2017	Governor/Professional	YES
KRISTINA DANIEL LAWSON	JUNE 1, 2018	Governor/Public	YES
SHARON LEVINE, M.D.	JUNE 1, 2019	Governor/Professional	YES
RONALD LEWIS, M.D. (SECRETARY)	JUNE 1, 2017	Governor/Professional	YES
DENISE PINES (VICE PRESIDENT)	JUNE 1, 2020	Governor/Public	YES
BRENDA SUTTON-WILLS, J.D.	JUNE 1, 2019	Senate/Public	NO
JAIME WRIGHT, ESQ.	JUNE 1, 2018	Governor/Public	YES
DAVID WARMOTH	JUNE 1, 2019	Assembly/Public	NO
FELIX YIP, M.D.	JUNE 1, 2018	Governor/Professional	YES
JUDGE KATHERINE FEINSTEIN (RET.)	JUNE 1, 2020	Governor/Public	YES
VACANT	JUNE 1, 2016	Governor/Public	YES

RECIPROCITY (BPC §§ 2135, 2135.5 AND 2135.7)

Business and Professions Code (BPC) Sections 2135 and 2135.5 allow individuals to be eligible for licensure if licensed in another state or in Canada for at least four years, have had professional instruction equivalent to curriculum requirements in California, and have taken and passed a

MEDICAL BOARD OF CALIFORNIA

CONTINUED

written exam equivalent to the USMLE. The individuals must not have had disciplinary action taken against their license by any medical licensing authority or have been the subject of adverse judgments or settlements relating to the practice of medicine or have done anything that would be grounds for denial. Individuals must have completed at least one year of approved postgraduate training and be certified by a specialty board approved by the American Board of Medical Specialties, or completed two years of approved postgraduate training, or completed one year of approved postgraduate training and passed the clinical competency written examination.

BPC Section 2135.7 allows individuals who have attended and/or graduated from an unrecognized or disapproved school to be eligible for licensure in California if they have continuously practiced in another state for 10 years (if they went to an unrecognized school) or 12 years (if they went to a disapproved school). Individuals must be certified by a specialty board of the American Board of Medical Specialties; must have successfully completed the licensing exam required in existing law; must have successfully completed three years of postgraduate training; and must not have any discipline on their license in another state or any adverse judgments or settlements relating to the practice of medicine.

MAJOR ACCOMPLISHMENTS

- Launched a successful outreach campaign, "Check Up On Your Doctor's License," beginning in late August 2015. The campaign is designed to encourage all California patients to check up on their doctor's license using the Board's website. In addition, the Board updated its website to provide patients with information on how to use the Board's website and what the information means, including disciplinary action taken against a doctor. The Board also developed brochures in English and Spanish and a video tutorial that is posted on the Board's website and available on YouTube. The Board successfully worked with numerous counties and cities in California, as well as the California State Retirees, CalSTRS, and CalPERS in getting its campaign information in publications, on websites, and on social media sites Twitter and Facebook. In addition, the Board worked with the State Controller's Office to include information about its campaign on payroll warrants for all state employees and vendors. At the close of the fiscal year, this outreach campaign had the potential of reaching 17 million California health care consumers. The Board saw an increase in its web hits and placement in Google, Yahoo, and Bing web search analytics. The Board will continue with its outreach campaign in the next fiscal year and hopes to reach more Californians with information about the Board in order to meet the Board's mission of consumer protection.
- Worked with the Office of the Attorney General and the Department of Consumer Affairs' Health Quality Investigation Unit to identify and implement several improvements to expedite and increase the issuance of Interim Suspension Orders (ISO). The Board saw a significant increase in ISOs issued from Fiscal Year 2014–15 to 2015–16 due to these improvements. The number of ISOs issued increased from 14 to 36, which is a 157 percent increase. In addition, the average time to obtain an ISO was reduced from 588 days in Fiscal Year 2014–15 to 438 days in Fiscal Year 2015–16, a 150-day reduction. Implementation of additional improvements is planned and will continue to enhance the ISO process, allowing the Board to meet its mission of consumer protection.
- On July 1, 2015, regulations implementing the Uniform Standards for Substance Abusing Licensees became effective. These regulations implemented SB 1441 (Ridley-Thomas, Chapter 548, Statutes of 2008). The regulations state that any physicians disciplined for unprofessional conduct involving illegal drugs, the abuse of drugs and/or alcohol, or the use of another prohibited substance will be presumed to be a substance-abusing licensee. The purpose of the Uniform Standards is to ensure that all substance-abusing licensees are held to the same standards. These Uniform Standards include terms and conditions for a clinical diagnostic evaluation, random biological fluid testing, work site monitor, group meetings, and notification to the Board of the licensee's employer or supervisor. These conditions would

CONTINUED

be included in a licensee's probationary order. The Uniform Standards also include actions the Board must take if a licensee violates these conditions of probation. These Uniform Standards are available on the Board's website and further the Board's mission of consumer protection.

- Developed a brochure, *Don't Wait, File a Complaint*, to inform consumers about the Board's statute of limitations and encourage consumers to file complaints with the Board. This brochure was developed with the input of consumer advocacy groups in response to concerns that consumers are not aware of the Board's statute of limitations laws.
- Completely revamped its website's home page to make it more user-friendly and further the Board's outreach campaign, which encourages patients to "Check Up on Your Doctor's License." The changes include easy access to the Board's license verification page, the page to file a complaint, and the page to find public enforcement documents from the Board's home page. The Board also made its license verification web page more user-friendly and provided a document that outlines the information provided on a physician's profile.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- AB 684 (Alejo, Chapter 405, Statutes of 2015) transfers the Registered Dispensing Optician Program from the Board to the California State Board of Optometry.
- ABX2 15 (Eggman, Chapter 1, Statutes of 2015) establishes the End of Life Option Act in California, which became effective June 9, 2016, and gives a mentally competent, adult California resident who has a terminal disease the legal right to ask for and receive a prescription from his or her physician to hasten death, as long as required criteria are met.
- SB 277 (Pan and Allen, Chapter 35, Statutes of 2015) deletes the personal belief exemption from the existing immunization requirements, and now the only exemption allowed is a medical exemption, which must be issued by a physician.
- SB 396 (Hill, Chapter 287, Statutes of 2015) requires peer review evaluations for physicians and surgeons working in accredited outpatient settings and requires accredited outpatient setting facility inspections performed by accreditation agencies be unannounced (after the initial inspection). These enhancements in outpatient surgery settings were suggested by the Board and help to ensure consumer protection in these settings.
- SB 408 (Morrell, Chapter 280, Statutes of 2015) ensures that midwife assistants meet minimum training requirements and sets forth the duties that a midwife assistant can perform, which should be at the same level as duties that a medical assistant can perform, technical support services only. This Board-sponsored bill furthers the Board's mission of consumer protection.
- SB 643 (McGuire, Chapter 719, Statutes of 2015) includes in the Board's priorities cases that allege a physician has recommended cannabis to patients for medical purposes without a good-faith prior examination and medical reason. The bill states that physicians recommending cannabis to a patient for a medical purpose without an appropriate prior examination and a medical indication, constitutes unprofessional conduct and prohibits a physician from recommending cannabis to a patient unless that physician is the patient's attending physician, as defined. The bill requires the Board to consult with the California Marijuana Research Program on developing and adopting medical guidelines for the appropriate administration and use of cannabis. Lastly, the bill gives the Board some much-needed enforcement tools to more efficiently regulate physicians who recommend marijuana for a medical purpose, which helps to ensure consumer protection.
- Mandated to provide a report to the Legislature regarding the implementation of the Vertical Enforcement and Prosecution model in March 2016. This report provided information on the successes and challenges of this type of model, and included a significant amount of statistical data, as well as recommendations for changes, including legislative changes.

MEDICAL BOARD OF CALIFORNIA

CONTINUED

- AB 2744 (Gordon, Chapter 360, Statutes of 2016) will establish that payment for advertising, where a licensee sells services through a third-party advertiser, shall not constitute a referral of patients when the third-party advertiser does not recommend, endorse, or otherwise select a licensee itself. Additionally, will entitle the purchaser of services to a full refund in the event the licensee determines, after consultation with the purchaser, that the service is not appropriate, or if the purchaser elects not to receive the service for any reason and requests a refund.
- AB 2745 (Holden, Chapter 303, Statutes of 2016) will clarify the Board's ability to issue limited practice license renewals; authorize the Board to deny a post-graduate training authorization letter for the same reason it can deny a physician license application; specify the Board may request medical records of a deceased individual from a facility where care occurred without next of kin approval; allow the Board to take disciplinary action against specified licensees and collect a fee for excessive use of drugs or alcohol; allow specified allied health licensees under the Board to petition for license reinstatement; authorize the Board to use probation for specified allied health licensees; revoke or deny licensure for registered sex offenders; and make technical changes to clarify the Board's authority to perform regulatory oversight of licensees.
- SB 1174 (McGuire, Chapter 840, Statutes of 2016) will require the Board to prioritize investigations for repeated acts of excessive prescribing, furnishing, or administering psychotropic medications to a minor without a good-faith exam and medical reason; require the Board to conduct a quarterly data analysis of prescribers and their prescribing patterns of psychotropic medications and related services; require the Board to annually report results of the analysis to the Legislature beginning July 1, 2017; and require the Board to review the data to determine violations and take disciplinary action. Additionally, this bill specifies the manner in which data is provided to the Board by the Department of Healthcare Services and the Department of Social Services, require the Board to conduct an internal review of actions undertaken pursuant to this bill, and sunsets the data collection, review, and reporting provisions on January 1, 2027.
- SB 1177 (Galgiani, Chapter 591, Statutes of 2016) will authorize the Board to establish a Physician and Surgeon Health and Wellness Program for the early identification and appropriate interventions to support a substance abusing physician with rehabilitation, and will authorize the Board to contract with an independent entity to administer the program.
- SB 1189 (Pan and Jackson, Chapter 787, Statutes of 2016) will specify that a forensic autopsy is the practice of medicine and can only be conducted by a licensed physician and surgeon.
- SB 1261 (Stone, Chapter 239, Statutes of 2016) will exempt licensure and renewal fees for out-of-state physicians and surgeons who provide voluntary, unpaid healthcare services in California.
- SB 1478 (Senate Business and Professions Committee, Chapter 489, Statutes of 2016) is health omnibus bill for 2016. The provisions in this bill that impact the Board will delete outdated sections of the existing law that are related to the Board. This bill will also specify that all licensees that have been issued a license that has been placed in a retired or inactive status are exempt from paying CURES fees. This provision will impact all boards, including the Medical Board.

FEES*

Physicians And Surgeons	Actual Fee	Statutory Limit
APPLICATION FEE	\$491***	N/A
INITIAL LICENSE FEE	\$783**	\$790**
BIENNIAL RENEWAL FEE	\$783**	\$790**

Research Psychoanalyst	Actual Fee	Statutory Limit
INITIAL LICENSE FEE	\$100	\$100
BIENNIAL RENEWAL FEE	\$50	\$50

* Additional categories and fees can be found in the Business and Professions Code.

**A \$25 mandatory payment toward the Stephen M. Thompson Physician Corps Loan Repayment Program (Business and Professions Code § 2436.5) is added to this amount plus a \$12 fee for CURES funding.

***Includes a \$49 fingerprint fee.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
FICTITIOUS NAME PERMIT	1,331	1,243	5,058
LICENSED MIDWIFE	26	29	170
RESEARCH PSYCHOANALYST	4	9	78
SPECIAL FACULTY PERMIT	3	3	8
PHYSICIAN AND SURGEON	7,763	6,317	67,043
POLYSOMNOGRAPHIC TRAINEEE	27	26	10
POLYSOMNOGRAPHIC TECHNICIAN	17	18	28
POLYSOMNOGRAPHIC TECHNOLOGIST	58	51	110

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
FICTITIOUS NAME PERMIT	12,529	N/A	N/A
LICENSED MIDWIFE	N/A	365	N/A
RESEARCH PSYCHOANALYST	N/A	82	N/A
SPECIAL FACULTY PERMIT	N/A	25	N/A
PHYSICIAN AND SURGEON	N/A	141,967	N/A
POLYSOMNOGRAPHIC TRAINEEE	N/A	60	N/A
POLYSOMNOGRAPHIC TECHNICIAN	N/A	79	N/A
POLYSOMNOGRAPHIC TECHNOLOGIST	N/A	572	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
FICTITIOUS NAME PERMIT	EVERY 2 YEARS	N/A
LICENSED MIDWIFE	EVERY 2 YEARS	36
RESEARCH PSYCHOANALYST	EVERY 2 YEARS	N/A
SPECIAL FACULTY PERMIT	EVERY 2 YEARS	50
PHYSICIAN AND SURGEON	EVERY 2 YEARS	50
POLYSOMNOGRAPHIC TRAINEEE	EVERY 2 YEARS	N/A
POLYSOMNOGRAPHIC TECHNICIAN	EVERY 2 YEARS	N/A
POLYSOMNOGRAPHIC TECHNOLOGIST	EVERY 2 YEARS	N/A

Exams
N/A

**Summary of Enforcement Activity
LICENSED MIDWIFE**

Consumer Complaints—Intake	
9	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
10	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections
N/A

Investigations	
9	OPENED
15	CLOSED
0	PENDING

Citations and Fines	
N/A	ISSUED
N/A	ISSUED WITH A FINE
N/A	WITHDRAWN
N/A	DISMISSED
N/A	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Summary of Enforcement Activity
LICENSED MIDWIFE continued

Number of Days to Complete Intake and Investigations	
6	UP TO 90 DAYS
2	91 TO 180 DAYS
3	181 DAYS TO 1 YEAR
3	1 TO 2 YEARS
1	2 TO 3 YEARS
0	OVER 3 YEARS
58	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Total Amount of Fines	
N/A	ASSESSED
N/A	REDUCED
N/A	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
1	CASES OPENED/INITIATED
1	CASES CLOSED
1	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
1	1 TO 2 YEARS
0	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
674	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCAION
1	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$0	ORDERED
\$1,550	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
19	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
58	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
518	AVERAGE NUMBER OF DAYS

Summary of Enforcement Activity RESEARCH PSYCHOANALYST

Consumer Complaints— Intake	
1	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
1	RECEIVED
1	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	

Investigations	
2	OPENED
1	CLOSED
2	PENDING

Number of Days to Complete Intake and Investigations	
0	UP TO 90 DAYS
0	91 TO 180 DAYS
0	181 DAYS TO 1 YEAR
0	1 TO 2 YEARS
1	2 TO 3 YEARS
0	OVER 3 YEARS
760	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Total Amount of Fines	
N/A	ASSESSED
N/A	REDUCED
N/A	COLLECTED

Citations and Fines	
N/A	ISSUED
N/A	ISSUED WITH A FINE
N/A	WITHDRAWN
N/A	DISMISSED
N/A	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
0	CASES OPENED/INITIATED
0	CASES CLOSED
0	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Summary of Enforcement Activity RESEARCH PSYCHOANALYST continued

Cost Recovery to DCA	
\$0	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
20	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
960	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS

Summary of Enforcement Activity PHYSICIANS AND SURGEONS

Consumer Complaints—Intake	
8,340	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
8,493	REFERRED FOR INVESTIGATION
117	PENDING

Conviction/Arrest Notification Complaints	
339	RECEIVED
339	CLOSED/REFERRED FOR INVESTIGATION
5	PENDING

Inspections	
N/A	

Investigations	
8,863	OPENED
8,542	CLOSED
4,649	PENDING

Number of Days to Complete Intake and Investigations	
3,337	UP TO 90 DAYS
1,947	91 TO 180 DAYS
2,206	181 DAYS TO 1 YEAR
922	1 TO 2 YEARS
130	2 TO 3 YEARS
0	OVER 3 YEARS
230	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
55	ISSUED
52	ISSUED WITH A FINE
4	WITHDRAWN
1	DISMISSED
540	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$46,450	ASSESSED
\$9,750	REDUCED
\$18,400	COLLECTED

Criminal/Civil Actions	
41	REFERRALS FOR CRIMINAL/CIVIL ACTION
46	CRIMINAL ACTIONS FILED
1	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
433	CASES OPENED/INITIATED
269	CASES CLOSED
450	CASES PENDING

Number of Days to Complete AG Cases*	
42	1 YEAR
65	1 TO 2 YEARS
67	2 TO 3 YEARS
64	3 TO 4 YEARS
31	OVER 4 YEARS
909	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
9	STATEMENTS OF ISSUES FILED
299	ACCUSATIONS FILED
85	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED*
3	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
14	ACCUSATIONS WITHDRAWN/DISMISSED

* 37 interim suspension orders; 0 temporary restraining orders; 0 automatic suspension orders; 10 Penal Code section 23 orders; 6 court orders; 18 out-of-state suspension orders; 0 stipulated agreement to suspend or restrict the practice of medicine; 14 suspension/cease practice orders.

Administrative Outcomes/Final Orders	
6	LICENSE APPLICATIONS DENIED
39	REVOCATION
80	SURRENDER OF LICENSE
3	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
117	PROBATION ONLY
106	PUBLIC REPRIMAND
2	OTHER DECISIONS
14	PROBATIONARY LICENSES ISSUED

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
27	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
10	REVOCATION
7	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
9	PROBATION ONLY
1	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
16	GRANTED
6	DENIED
22	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
8	GRANTED
5	DENIED
13	TOTAL

Cost Recovery to DCA	
\$0	ORDERED
\$1,950	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
15	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
230	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
465	AVERAGE NUMBER OF DAYS

Summary of Enforcement Activity POLYSOMNOGRAPHIC PROGRAM

Consumer Complaints—Intake	
10	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
10	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
1	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	

Investigations	
11	OPENED
10	CLOSED
7	PENDING

Summary of Enforcement Activity POLYSOMNOGRAPHIC PROGRAM continued

Number of Days to Complete Intake and Investigations	
5	UP TO 90 DAYS
3	91 TO 180 DAYS
1	181 DAYS TO 1 YEAR
1	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
138	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
N/A	ISSUED
N/A	ISSUED WITH A FINE
N/A	WITHDRAWN
N/A	DISMISSED
N/A	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
N/A	ASSESSED
N/A	REDUCED
N/A	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
3	CASES OPENED/INITIATED
0	CASES CLOSED
4	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
1	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	

Cost Recovery to DCA	
\$0	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
33	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
138	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS

BUREAU OF MEDICAL CANNABIS REGULATION

Medical Cannabis

Beginning 2018, will license and regulate cannabis dispensaries, transporters, distributors, and testing.

STAFF:

10 civil service

LICENSEES:

N/A

BUREAU STAFF:

Chief:

Lori Ajax

lori.ajax@dca.ca.gov

ADVISORY GROUP MEMBERS:

N/A

STRATEGIC PLAN ADOPTED:

N/A

www.bmcr.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 19300 – 19360

Health and Safety Code § 11362.777

Regulatory Codes Are Not Yet Codified

SUNSET REVIEW:

N/A

The Medical Cannabis Regulation and Safety Act (MCRSA), which established the Bureau of Medical Cannabis Regulation, took effect in January, 2016.

RECIPROCITY

The Bureau currently offers no reciprocity with licenses obtained from other states' licensees.

MAJOR ACCOMPLISHMENTS

- Bureau Chief Lori Ajax was appointed on February 4, 2016.
- Hired and trained 10 full-time employees.
- Visited various medical cannabis businesses and consulted with experienced industry professionals to obtain a greater understanding of the medical cannabis industry for the development of the Bureau's regulations.
- Hosted public information sessions in nine locations across the state to introduce the Bureau to the public, provide the public with information regarding the Bureau, and encourage the public to participate in the bureau's upcoming regulatory process.
- Entered into an agreement with the University of California, San Diego's Center for Medicinal Cannabis Research to conduct a study regarding the precise effects of cannabis consumption on driving performance, and to examine the methods for determining driving impairments

BUREAU OF MEDICAL CANNABIS REGULATION

CONTINUED

caused by cannabis consumption in a roadside setting. The knowledge gained from this study is expected to be instrumental in developing new methods for identifying drivers who are impaired due to cannabis use.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- AB 21 (Wood, Chapter 1, Statutes of 2016) deletes the existing provision that provides the Department of Food and Agriculture with the sole licensing authority for medical cannabis cultivation applicants in a city or county if the city or county does not enact land use regulations or ordinances regulating or prohibiting the cultivation of cannabis beginning March 1, 2016. This bill does not limit or prevent a city or county from exercising its police authority in regard to cultivation. This bill contained an urgency clause and took immediate effect after being signed into law by the Governor on February 3, 2016.
- AB 821 (Gipson, Chapter 811, Statutes of 2016) will authorize medical cannabis dispensaries to remit tax liability payments by means other than an electronic funds transfer, such as cash, until January 1, 2022.
- AB 2679 (Cooley, Chapter 828, Statutes of 2016) will authorize medical cannabis dispensaries to manufacture medical cannabis products until one year after the Bureau posts notice on its website that state licensing authorities have begun issuing state licenses, if specified conditions are met. This bill will also require the Bureau, among other state medical cannabis licensing authorities, to include in its mandatory annual report to the Legislature information pertaining to the number of appeals from the denial of state licenses or other disciplinary actions taken, the average time spent on those appeals, and the number of complaints received regarding licensees. Additionally, the bill will authorize the California Marijuana Research Program to perform studies that examine the effect of cannabis on motor skills.
- SB 837 (Committee on Budget and Fiscal Review, Chapter 32, Statutes of 2016) is the medical cannabis trailer bill that will make various noncontroversial changes to existing law. Key provisions include changing the name of the Bureau of Medical Marijuana Regulation to the Bureau of Medical Cannabis Regulation, requiring the Bureau rather than the State Department of Public Health to regulate and license cannabis testing laboratories, authorizing medical cannabis licensing authorities to promulgate emergency regulations, and ensuring state cannabis licensing entities have sufficient authority over their respective licensees.

NATUROPATHIC MEDICINE COMMITTEE

Naturopathic

Licenses and regulates naturopathic doctors.

STAFF:

1 civil service
1 exempt

LICENSES AND PERMITS:

813

BOARD STAFF:

Executive Officer:
Rebecca Mitchell
rebecca.mitchell@dca.ca.gov

BOARD MEMBERSHIP:

4 naturopathic doctors
2 physicians (MD/DO)
2 public representatives

STRATEGIC PLAN ADOPTED:

2016

www.naturopathic.ca.gov



NATUROPATHIC
MEDICINE
COMMITTEE

LAWS AND REGULATIONS:

Business and Professions Code §§ 3610 – 3686

Title 16, Division 40, California Code of Regulations §§ 4200 – 4268

SUNSET REVIEW:

Last Review: 2012/13 Inoperative/Repeal Date: January 1, 2018

COMMITTEE MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
DAVID FIELD, N.D. (CHAIR)	JANUARY 1, 2018	Governor/Professional	NO
THYONNE GORDON, PH.D.	JANUARY 1, 2018	Governor/Public	NO
MICHAEL HIRT, M.D.	JANUARY 1, 2018	Governor/Professional	NO
ALEXANDER KIM	JANUARY 1, 2018	Governor/Public	NO
TARA LEVY, N.D. (VICE CHAIR)	JANUARY 1, 2018	Governor/Professional	NO
MYLES SPAR, M.D.	JANUARY 1, 2018	Governor/Professional	NO
DARA THOMPSON, N.D.	JANUARY 1, 2018	Governor/Professional	NO
GREGORY WEISSWASSER, N.D.	JANUARY 1, 2019	Governor/Professional	NO
GRETA D'AMICO, N.D.	JANUARY 1, 2019	Governor/Professional	NO

RECIPROCITY

To be eligible for licensure in California, an applicant must have graduated from one of eight approved or candidate naturopathic medical schools accredited by the Council on Naturopathic Medical Education or an equivalent federally recognized accrediting body and take and pass the Naturopathic Physicians Licensing Examination administered by the North American Board of Naturopathic Examiners. Of these eight schools, only one is in California and it opened in August 2012.

NATUROPATHIC MEDICINE COMMITTEE

CONTINUED

MAJOR ACCOMPLISHMENTS

- Teamed with the Department of Consumer Affairs' SOLID Training and Planning Solutions unit to work through the strategic planning process and develop an ambitious strategic plan for 2016 through 2019. The strategic plan assists the Committee in attaining its goal areas of licensing and continuing education, enforcement, legislation and regulations, administration, and outreach and public education.
- The Executive Officer gave a presentation at the California Naturopathic Doctors Association's Annual Symposium on the topics of public protection and the new BreEZe and Controlled Substances Utilization Review and Evaluation System (CURES) 2.0 systems. This outreach allowed licensees and naturopathic students to ask questions related to the Naturopathic Doctors Act and the regulations surrounding it. The outreach effort also assisted in familiarizing licensees with the BreEZe online system, along with the new CURES policies and onboarding requirements.
- Secured its first two-year limited-term analyst position on July 1, 2014. An Associate Governmental Program Analyst was hired to handle the licensing and enforcement workload.

During the 2015–16 Budget Change Proposal (BCP) process, the Committee requested to continue to employ the staff on a full-time permanent position and the allocated funds to support it. The BCP was approved, and on July 1, 2016, the Committee filled its second full-time, permanent position. The addition of this position will assist in the reduction of the program's enforcement backlog and will aid in streamlining the licensing process.

- The Licensing Unit continued to exceed the Committee's licensing performance processing times. This falls in line with the Committee's goals of providing excellent service to the licensees while protecting consumers.
- Adopted an amended version of the *Disciplinary Guidelines*, SB 1441 (Substance Abusing Licensees), and SB 1111 (Consumer Protection Enforcement Initiative), and is currently preparing the regulation package. Incorporating the *Disciplinary Guidelines* and adopting the triggers for substance-abusing licensees will further assist the Committee in its duty to protect consumers from potential harm.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- SB 538 (Huego), a scope expansion bill, is in front of the Legislature. At this time, the bill is before the Assembly Appropriations Committee and if passed, would allow licensed, naturopathic doctors to independently prescribe all legend and Schedule V drugs.
- Continues to work on further clarifying current statutes through regulations and has changes pending at this time.

FEES*

Naturopathic	Actual Fee	Statutory Limit
APPLICATION FEE	\$400	None
INITIAL LICENSE FEE**	\$433 – \$800	None
TOTAL INITIAL LICENSE FEES	\$833 – \$1,200	–
BIENNIAL RENEWAL FEE	\$800	–

* Some additional fees may be required per Business and Professions Code § 3680.

** Fees are prorated.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
NATUROPATHIC DOCTOR	68	65	271

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
NATUROPATHIC DOCTOR	N/A	619	65

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
NATUROPATHIC DOCTOR	EVERY 2 YEARS	60

Exams
NOT APPLICABLE TO THIS PROGRAM

Summary of Enforcement Activity

Consumer Complaints—Intake	
39	RECEIVED
7	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
25	REFERRED FOR INVESTIGATION
51	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections
N/A

Investigations	
25	OPENED
14	CLOSED
107	PENDING

Number of Days to Complete Intake and Investigations	
4	UP TO 90 DAYS
0	91 TO 180 DAYS
0	181 DAYS TO 1 YEAR
2	1 TO 2 YEARS
2	2 TO 3 YEARS
6	OVER 3 YEARS
797	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
65	ISSUED
0	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
0	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$0	ASSESSED
\$0	REDUCED
\$0	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
0	CASES OPENED/INITIATED
0	CASES CLOSED
0	CASES PENDING

NATUROPATHIC MEDICINE COMMITTEE

Summary of Enforcement Activity continued

Number of Days to Complete AG Cases	
0	1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
6	3 TO 4 YEARS
0	OVER 4 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$0	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
134	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
140	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS

CALIFORNIA BOARD OF OCCUPATIONAL THERAPY

Occupational Therapy

Licenses and regulates occupational therapists and occupational therapy assistants.

STAFF:

7.7 civil service

1 exempt

LICENSES:

15,553*

BOARD STAFF:

Executive Officer:

Heather Martin

heather.martin@dca.ca.gov

BOARD MEMBERSHIP:

4 professional members

3 public representatives

STRATEGIC PLAN ADOPTED:

2015

www.bot.ca.gov

* As of September 7, 2016.

California
BOARD OF OCCUPATIONAL THERAPY



LAWS AND REGULATIONS:

Business and Professions Code §§ 2000 – 2448, §§ 2505 – 2529.5, §§ 2540 – 2569

Title 16, Division 13, California Code of Regulations §§ 1300 – 1379.78, §§ 1399.200 – 1399.279

SUNSET REVIEW:

Last review: 2013

Inoperative: January 1, 2018

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
DENISE MILLER (PRESIDENT)	DECEMBER 31, 2019	Governor/Licensee	NO
RICHARD BOOKWALTER (VICE PRESIDENT)	DECEMBER 31, 2016	Governor/Licensee	NO
SHARON PAVLOVICH (SECRETARY)	DECEMBER 31, 2019	Governor/Licensee	NO
TERESA DAVIES	DECEMBER 31, 2016	Senate/Public	NO
JEFFREY FERRO	DECEMBER 31, 2016	Assembly/Public	NO
LAURA HAYTH	DECEMBER 31, 2018	Governor/Licensee	NO
BEATA MORCOS	DECEMBER 31, 2018	Governor/Public	NO

RECIPROCITY

The Board has no true reciprocity program for occupational therapists or occupational therapy assistants licensed by other states or countries.

MAJOR ACCOMPLISHMENTS

- Developed and adopted a new 2016–19 Strategic Plan. As part of that process, a scan and analysis of the environment in which the Board operates was conducted. The environmental scan sought stakeholder input on the Board's performance in the areas of enforcement, applicant qualifications, laws and regulations, outreach and communication, and organizational effectiveness. This process included sending a survey to more than 900 stakeholders.

CALIFORNIA BOARD OF OCCUPATIONAL THERAPY

CONTINUED

- After more than two years of mapping its business processes, and designing, developing, and testing the new BreEZe system, the Board successfully transitioned to BreEZe in January 2016. The new system provides increased automation to end-users and a significant improvement in data capturing and sorting capabilities. BreEZe allows consumers to verify licenses and submit consumer complaints online, allows licensees and applicants to complete online transactions with the Board, and provides increased automation and reporting capabilities for Board staff.
- There has been an increase in the number of accredited occupational therapist and occupational therapy assistant educational programs within California, which has led to an increase in the number of individuals applying for licensure.

Despite an 18.34 percent increase in the number of applications received since the prior fiscal year, the Board maintained its service levels and processed all applications within 30 days of receipt.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Adopted regulations amending and expanding the Ethical Standards of Practice, amended the Advanced Practice application requirements to streamline the process for occupational therapists who are certified by the Hand Therapy Certification Commission and want to provide hand therapy services and use physical agent modalities, and updated and modified the application for licensure to conform with new legislative and legal requirements.

FEES

Therapists	Actual Fee	Statutory Limit
APPLICATION FEE	\$50	\$50
INITIAL LICENSE FEE	\$150	\$150
RENEWAL FEE	\$150 BIENNIAL	\$150 ANNUAL

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
OCCUPATIONAL THERAPIST	1,105	1,078	5,658
OCCUPATIONAL THERAPY ASSISTANT	399	388	1,135

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
OCCUPATIONAL THERAPIST	N/A	12,791*	1,035
OCCUPATIONAL THERAPY ASSISTANT	N/A	2,762*	369

* As of September 7, 2016.

Renewal and Continuing Education		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
OCCUPATIONAL THERAPIST	EVERY 2 YEARS	24
OCCUPATIONAL THERAPY ASSISTANT	EVERY 2 YEARS	24

Exams
ADMINISTERED BY NBCOT

Summary of Enforcement Activity

Consumer Complaints—Intake	
285	RECEIVED
2	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
280	REFERRED FOR INVESTIGATION
3	PENDING

Conviction/Arrest Notification Complaints	
139	RECEIVED
139	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

CALIFORNIA BOARD OF OCCUPATIONAL THERAPY

Summary of Enforcement Activity

Inspections	
N/A	INSPECTIONS CONDUCTED
N/A	INSPECTION CITATIONS ISSUED

Investigations	
419	OPENED
243	CLOSED
509	PENDING

Number of Days to Complete Intake and Investigations	
130	UP TO 90 DAYS
33	91 TO 180 DAYS
60	181 DAYS TO 1 YEAR
15	1 TO 2 YEARS
5	2 TO 3 YEARS
0	OVER 3 YEARS
146	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
52	ISSUED
52	ISSUED WITH A FINE
4	WITHDRAWN
4	DISMISSED
266	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$18,525	ASSESSED
\$1,750	REDUCED
\$15,675	COLLECTED

Criminal/Civil Actions	
1	REFERRALS FOR CRIMINAL/CIVIL ACTION
1	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
14	CASES OPENED/INITIATED
13	CASES CLOSED
13	CASES PENDING

Number of Days to Complete AG Cases	
4	1 YEAR
7	1 TO 2 YEARS
2	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
462	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
10	ACCUSATIONS FILED
1	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
1	REVOCAION
2	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
5	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
1	DENIED
1	TOTAL

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
0	

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
0	REVOCAION
1	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

CALIFORNIA BOARD OF OCCUPATIONAL THERAPY

Petition for Reinstatement of Revoked License/ Registration/Certification	
1	GRANTED
0	DENIED
1	TOTAL

Cost Recovery to DCA*	
\$6,422.50	ORDERED
\$18,579	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	

Receipt of Complaint to Assignment to Investigator	
1	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
141	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
480	AVERAGE NUMBER OF DAYS

CALIFORNIA STATE BOARD OF OPTOMETRY

Optometry

Licenses/registers and regulates optometrists, registered dispensing opticians, contact lens dispensers, spectacle lens dispenser and nonresident contact lens dispensers.

STAFF:

11.4 civil service
1 exempt

LICENSES/PERMITS:

17,082

BOARD STAFF:

Executive Officer:
Jessica Siefman
jessica.siefman@dca.ca.gov

Assistant Executive Officer:
Robert Stephanopoulos
robert.stephanopoulos@dca.ca.gov

BOARD MEMBERSHIP:

6 licensees
5 public representatives

STRATEGIC PLAN ADOPTED:

2014

www.optometry.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 2540 – 2545, §§ 2546 – 2546.10, §§ 2550 – 2569, §§ 3000 – 3167

Title 16, Division 15, California Code of Regulations § 1500 – 1581

Title 16, Division 13.5, California Code of Regulations § 1399

SUNSET REVIEW:

Last Review: 2013

Repeal Date: January 1, 2018

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
MADHU CHAWLA, OD (PRESIDENT)	JUNE 1, 2019	Governor/Professional	NO
DONNA BURKE (VICE PRESIDENT)	JUNE 1, 2019	Senate/Public	NO
LILLIAN WANG, OD (SECRETARY)	JUNE 1, 2018	Governor/Professional	NO
CYD BRANDVEIN	JUNE 1, 2017	Governor/Public	NO
MARTHA GARCIA, CLD, SLD	JUNE 1, 2019	Governor/Professional	NO
GLENN KAWAGUCHI, OD	JUNE 1, 2018	Governor/Professional	NO
DEBRA MCINTYRE, OD	JUNE 1, 2017	Governor/Professional	NO
RACHEL MICHELIN	JUNE 1, 2019	Governor/Public	NO
MARK MORODOMI	JUNE 1, 2018	Governor/Public	NO
MARIA SALAZAR SPERBER, JD	JUNE 1, 2019	Assembly/Public	NO
DAVID TURETSKY, OD	JUNE 1, 2017	Governor/Professional	NO

RECIPROCITY (BPC § 3057)

Optometrists licensed in another state prior to January 1, 2000, may apply for licensure if they meet specified requirements, including the certification requirements of Business and Professions Section 3041.3 to use therapeutic pharmaceutical agents, pay the application fee, and take the Board's jurisprudence exam. For those licensed in another state after January 1, 2000, all requirements are the same except that they must also pass parts one through three of the National Board of Examiners in Optometry exam as well as the Treatment and Management of Ocular Disease component of the same national exam.



MAJOR ACCOMPLISHMENTS

- On January 1, 2016, AB 684 extended the Board's oversight to protect California consumers receiving optical dispensing and retail services when the Registered Dispensing Optician (RDO) Program transferred to the Board from the Medical Board of California (MBC). Overnight, the Board's regulatory population grew by 50 percent—expanding its regulatory oversight from 8,000 optometrists to roughly 12,000 optometrists, RDOs, registered contact lens dispensers, registered spectacle lens dispensers, and nonresident contact lens dispensers.
- Went live with BreEZe in January 2016 after extensive work during the design, configuration, and testing stages through the first half of Fiscal Year 2015–16, enabling a generally smooth and successful transition. The overall feedback from staff, the public, licensees, and applicants is very positive. Since the launch, the Board has seen a steady increase in applicants, licensees, and the public using BreEZe online services, with the majority of initial optometry applications now being submitted online.
- Under new Board leadership, including a new Board president, vice president, secretary, executive officer, assistant executive officer, and several Board members, the Board faced significant restructuring. Licensing and enforcement units received new lead analysts, the majority of staff duty statements were dramatically revised, and several reclassifications were completed due to the Board's operational needs to adequately protect the public.
- AB 1253 (Steinorth, Chapter 125, Statutes of 2015), effective January 1, 2016, enhances consumer protection by clarifying the pathways to obtain a retired volunteer designation. As previously written, an optometrist could have been retired an indefinite time period and apply to become a retired volunteer at any time.
- AB 1359 (Nazarian, Chapter 443, Statutes of 2015), effective January 1, 2016, removes a pathway that, overtime, became a barrier to obtain a Therapeutic Pharmaceutical Agents' certification and replaces it with an attainable, yet equitable, pathway.
- SB 800, (Committee on Business, Professions and Economic Development) effective January 1, 2016, removes license barriers to out-of-state applicants, while still ensuring minimum competencies are met and consumer protections remain. In addition, with regard to making a finding of mental incompetence, replaces a finding by a physician with a finding by a licensed psychologists or licensed psychiatrist.
- California Code of Regulations (CCR) Section 1506 Certificate Posting: Board approved amendments in July 2015 to provide consumer information explaining authorized services for the different certifications.
- CCR Section 2556.1 Co-location Reporting Requirement: Board approved addition in November 2015 to implement portions of AB 684.
- CCR Sections 1399, 1399.260, 1399.261, 1399.263 RDO Program Fees: Board approved amendments in February 2016 to raise all fees to their statutory caps.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- AB 684 (Alejo, Chapter 405, Statutes of 2015), effective January 1, 2016, transfers the RDO Program from the MBC to the Board, establishes an RDO Advisory Committee under the Board, and replaces an optometrist member with a RDO member. In addition, AB 684 establishes a three-year transition period for compliance, authorizes landlord-tenant relationships between RDOs and optometrists, and grants the Board inspection authority.
- CCR Section 1502 Delegation of Authority: Board approved amendments in February 2016 to streamline certain disc and promote administrative efficiencies.
- CCR Section 1523 Examination Requirements: Board approved amendments in February 2016 to revise applications and streamline application process.
- CCR Section 1523.5 Abandonment of Applications: Board approved addition in February 2016 to authorize application expiration after a year from received date.

CONTINUED

- CCR Section 1530.1 Qualifications of Foreign Graduates: Board approved amendment and revised form in February 2016 to provide clarification and align with business process.
- CCR Section 1536 Continuing Optometric Education: Submitted to the Department of Consumer Affairs in June 2016. The regulatory amendments make several changes to how continuing education credits are earned and how courses are approved.
- SB 863 (Senate Committee on Budget and Fiscal Review, Chapter 31, Statutes of 2016) will set citation amounts, specify implementation and reporting deadlines, and clarify the implementation dates for Board appointments and the dispensing optician advisory committee, among other things.
- SB 1039 (Hill, Chapter 799, Statutes of 2016) will raise fees for registered dispensing opticians and optical companies, and make several non-substantive, technical changes to the provisions that are related to the jurisdictional change and enforcement of the registered dispensing optician licensees and optical companies as a result of prior legislation.
- SB 1478 (Senate Committee on Business, Professions, and Economic Development, Chapter 489, Statutes of 2016) will make several technical, non-substantive changes and amendments to sections related to the jurisdictional change of the registered dispensing optician licensees and optical companies.

FEES*

Optometrist License	Actual Fee	Statutory Limit
LICENSE APPLICATION FEE	\$275	\$275
NBEO EXAMINATION FEES (PARTS I, II, AND III)	\$1,875*	N/A
STATE LAW EXAMINATION	\$22.50*	N/A
TOTAL INITIAL LICENSURE FEES	\$2,172.50	-
BIENNIAL OPTOMETRIST RENEWAL	\$425	\$500

Branch Office License	Actual Fee	Statutory Limit
LICENSE APPLICATION FEE	\$75	\$75
ANNUAL RENEWAL FEE	\$75	\$75

Fictitious Name Permit	Actual Fee	Statutory Limit
INITIAL LICENSE FEE	\$50	\$50
ANNUAL RENEWAL FEE	\$50	\$50

Statement of Licensure	Actual Fee	Statutory Limit
LICENSE APPLICATION FEE	\$40	\$40
BIENNIAL RENEWAL FEE	\$40	\$40

Registered Dispensing Optician	Actual Fee	Statutory Limit
INITIAL LICENSE FEE	\$75	\$100
BIENNIAL RENEWAL FEE	\$75	\$100

Contact Lens Dispensers	Actual Fee	Statutory Limit
LICENSE APPLICATION FEE	\$100	\$100
ABO SPECTACLE LENS EXAM	\$225*	N/A
TOTAL INITIAL LICENSURE FEES	\$325	-
BIENNIAL RENEWAL FEE	\$75	\$100

Spectacle Lens Dispensers	Actual Fee	Statutory Limit
LICENSE APPLICATION FEE	\$100	\$100
NCLE CONTACT LENS EXAM	\$225*	N/A
TOTAL INITIAL LICENSURE FEES	\$325	-
BIENNIAL RENEWAL FEE	\$75	\$100

Out-Of-State Optician/ Nonresident Contact Lens Sellers	Actual Fee	Statutory Limit
INITIAL LICENSE FEE	\$75	\$100
BIENNIAL RENEWAL FEE	\$75	\$100

* NBEO Exam fees set by the National Board of Examiners in Optometry; State Law Exam fee set by PSI Exams; ABO Exam Fees set by the American Board of Opticianry; and NCLE Exam fees set by the National Contact Lens Examiners.

NOTE: Licensing and renewal fees for Registered Dispensing Opticians, Lens Dispensers, and Sellers will increase beginning January 1, 2017.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
LICENSED OPTOMETRIST	329	233	3,787
BRANCH OFFICE LICENSE	46	51	347
FICTITIOUS NAME PERMIT	159	183	1,425
STATEMENT OF LICENSURE	293	277	447
THERAPEUTIC PHARMACEUTICAL AGENTS' CERTIFICATION	312	287	N/A
LACRIMAL IRRIGATION AND DILATION CERTIFICATION	318	293	N/A
GLAUCOMA CERTIFICATION	436	422	N/A
DISPENSING OPTICIAN	86	81	474
CONTACT LENS DISPENSER	86	82	424
SPECTACLE LENS DISPENSER	304	279	974
OUT OF STATE OPTICIAN	1	1	5

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
LICENSED OPTOMETRIST	N/A	8,024	N/A
BRANCH OFFICE LICENSE	N/A	417	N/A
FICTITIOUS NAME PERMIT	1,513	N/A	N/A
STATEMENT OF LICENSURE	N/A	1,228	N/A
DIAGNOSTIC PHARMACEUTICAL AGENT'S CERTIFICATION	8,034	N/A	N/A
THERAPEUTIC PHARMACEUTICAL AGENT'S CERTIFICATION	7,563	N/A	N/A
LACRIMAL IRRIGATION AND DILATION CERTIFICATION	4,481	N/A	N/A
GLAUCOMA CERTIFICATION	3,921	N/A	N/A
DISPENSING OPTICIAN	N/A	1,361	N/A
CONTACT LENS DISPENSER	N/A	1,293	N/A
SPECTACLE LENS DISPENSER	N/A	3,253	N/A
OUT OF STATE OPTICIAN	N/A	10	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
LICENSED OPTOMETRIST	EVERY 2 YEARS	40-50
BRANCH OFFICE LICENSE	EVERY YEAR	N/A
FICTITIOUS NAME PERMIT	EVERY YEAR	N/A
STATEMENT OF LICENSURE	EVERY 2 YEARS	N/A
DISPENSING OPTICIAN	EVERY 2 YEARS	N/A
CONTACT LENS DISPENSER	EVERY 2 YEARS	N/A
SPECTACLE LENS DISPENSER	EVERY 2 YEARS	N/A
OUT OF STATE OPTICIAN	EVERY 2 YEARS	N/A

Exam
NATIONAL BOARD OF EXAMINERS IN OPTOMETRY, AMERICAN BOARD OF OPTICIANRY – SPECTACLE EXAM, AND NATIONAL CONTACT LENS EXAMINERS – CONTACT LENS EXAM

Summary of Enforcement Activity

Consumer Complaints—Intake	
238	RECEIVED
21	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
185	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
26	RECEIVED
26	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections
N/A

Investigations	
247	OPENED
192	CLOSED
184	PENDING

Citations and Fines	
220	ISSUED
0	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
0	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Summary of Enforcement Activity continued

Number of Days to Complete Intake and Investigations	
87	UP TO 90 DAYS
39	91 TO 180 DAYS
27	181 DAYS TO 1 YEAR
30	1 TO 2 YEARS
7	2 TO 3 YEARS
1	OVER 3 YEARS
220	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Total Amount of Fines	
\$0	ASSESSED
\$0	REDUCED
\$800	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
5	CASES OPENED/INITIATED
2	CASES CLOSED
8	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
1	1 TO 2 YEARS
0	2 TO 3 YEARS
1	3 TO 4 YEARS
0	OVER 4 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
1	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
1	ACCUSATIONS WITHDRAWN/DISMISSED

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
1	TOTAL NUMBER FILED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCAION
1	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
1	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
3	GRANTED
1	DENIED
4	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
1	DENIED
1	TOTAL

Cost Recovery to DCA	
\$7,975	ORDERED
\$11,337	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Summary of Enforcement Activity continued

Receipt of Complaint to Assignment to Investigator	
2	AVERAGE NUMBER OF DAYS
Investigations: Opening to Closing of Case	
223	AVERAGE NUMBER OF DAYS
Investigations: Closure of Investigation to Imposing Formal Discipline	
645	AVERAGE NUMBER OF DAYS

Summary of Enforcement Activity DISPENSING OPTICIANS

Consumer Complaints—Intake	
46	RECEIVED
4	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
45	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
46	RECEIVED
19	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	

Investigations	
95	OPENED
66	CLOSED
51	PENDING

Number of Days to Complete Intake and Investigations	
32	UP TO 90 DAYS
10	91 TO 180 DAYS
10	181 DAYS TO 1 YEAR
11	1 TO 2 YEARS
3	2 TO 3 YEARS
0	OVER 3 YEARS
153	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
0	

Total Amount of Fines	
\$0	ASSESSED
\$0	REDUCED
\$800	COLLECTED

Criminal/Civil Actions	
5	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
4	CASES OPENED/INITIATED
3	CASES CLOSED
6	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
2	1 TO 2 YEARS
1	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
638	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
3	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
3	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Summary of Enforcement Activity DISPENSING OPTICIANS continued

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

0	TOTAL NUMBER FILED
----------	--------------------

Subsequent Disciplinary—Administrative Outcomes/ Final Orders

0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation

0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification

1	GRANTED
0	DENIED
1	TOTAL

Cost Recovery to DCA

\$0	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings

\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator

11	AVERAGE NUMBER OF DAYS
-----------	------------------------

Investigations: Opening to Closing of Case

195	AVERAGE NUMBER OF DAYS
------------	------------------------

Investigations: Closure of Investigation to Imposing Formal Discipline

369	AVERAGE NUMBER OF DAYS
------------	------------------------

OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA

Osteopathic

Licenses and regulates osteopathic physicians and surgeons.

STAFF:

10.5 civil service
1 exempt

LICENSES:

9,074 licensees
956 permits

BOARD STAFF:

Executive Officer:
Angie Burton
angie.burton@mbc.ca.gov

Assistant Executive Officer:
Terri Thorfinnson
terri.thorfinnson@mbc.ca.gov

BOARD MEMBERSHIP:

5 professional members
4 public representatives

STRATEGIC PLAN ADOPTED:

2016

www.ombc.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 2000 – 2459.7

Title 16, Division 16, California Code of Regulations §§ 1600 – 1697

BUDGET ACT OF 2015: FY 2015-16:

\$2,003,000

11.4 Authorized Positions

SUNSET REVIEW:

Last review: 2013

Next review: 2017

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
ELIZABETH JENSEN-BLUMBERG, D.O.	JUNE 1, 2019	Governor/Professional	NO
CYRUS FRAM BUHARI, D.O. (SECRETARY TREASURER)	JUNE 1, 2019	Governor/Professional	NO
MICHAEL FEINSTEIN, D.O.	JUNE 1, 2019	Governor/Professional	NO
MEGAN BLAIR	JUNE 1, 2018	Assembly/Public	NO
ALAN HOWARD	JAN. 1, 2017	Governor/Public	NO
JAMES LALLY, D.O. (VICE PRESIDENT)	JUNE 1, 2020	Governor/Professional	NO
CLAUDIA MERCADO	JUNE 1, 2019	Senate/Public	NO
CHERYL WILLIAMS	JAN. 1, 2017	Governor/Public	NO
JOSEPH ZAMMUTO, D.O. (PRESIDENT)	JUNE 1, 2019	Governor/Professional	NO

RECIPROCITY (BPC § 2153.5)

The Board accepts licensees from any state, which requires passage of the Comprehensive Osteopathic Medical Licensing Examination (COMLEX –USA) Levels 1, 2CE, 2PE and 3, as their written examination for licensure. Any other state written examination may be considered for approval and acceptance by the Board on a case-by-case basis.

CONTINUED

MAJOR ACCOMPLISHMENTS

- Worked with the Department of Consumer Affairs' (DCA's) SOLID team to develop its new strategic plan. During late summer and fall of 2015, OMBC sent out questionnaires to its stakeholders and gathered pertinent information to move forward with its strategic plan. Board members and staff met in October 2015 and developed the 2016–2019 Strategic Plan. The final plan was published in January 2016.
- Finalized the regulatory packet for SB 1441, Disciplinary Guidelines. The regulatory packet was reviewed by DCA's Legal Division and is currently at the Business, Consumer Services and Housing Agency for approval before submitting to the Office of Administrative Law. OMBC is currently working on three other regulatory packets.
- Submitted two Budget Change Proposals (BCPs), both of which were approved. One was an augmentation of our personnel budget by \$175,000 to maintain the three positions created in Fiscal Year 2014–15, for which we did not receive funding at that time. The purpose of the other BCP was to increase our facilities budget by \$50,000 per year, ongoing, to allow DCA Facilities to search and negotiate a move to a new location to accommodate the growing program. OMBC was also included in the approved DCA's BCP to increase the enforcement budget by \$75,000.
- ABX2 15 (Eggman, Chapter 1, Statutes of 2015) established the End of Life Option Act (Act) in California, which became effective on June 9, 2016, and will remain in effect until January 1, 2026. This Act gives a mentally competent, adult California resident who has a terminal disease the legal right to ask for and receive a prescription from his or her physician to hasten death, as long as required criteria is met. The law requires specified forms to be completed before the aid-in-dying drugs can be prescribed and additional forms after the drugs have been prescribed. OMBC worked with the Medical Board of California (MBC), which provided links to the required documents, which are found on the MBC website.
- On January 8, 2016, the Department of Justice released the upgraded Controlled Substances Utilization Review and Evaluation System, also

referred to as CURES 2.0. OMBC has provided information to its licensees on the website and has sent two reminder notices to all in-state licensees to register in CURES 2.0.

- Released the BreEZe online license renewal service in June 2016. Osteopathic physicians and surgeons may now renew licenses online.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- AB 1962 (Dodd, Chapter 405, Statutes of 2016) will require, on or before July 1, 2017, the Department of State Hospitals to convene a workgroup to adopt guidelines establishing minimum education and training standards for a psychiatrist or licensed psychologist to be considered for appointment by the court.
- AB 2193 (Committee on Business and Professions, Chapter 459, Statutes of 2016) will extend the sunset date of the Board of Podiatric Medicine and the Physician Assistant Board until January 1, 2021, including the authority of the Physician Assistant Board to appoint an executive officer and employ the personnel necessary to carry out its functions.
- AB 2745 (Holden, Chapter 303, Statutes of 2016) will clarify the Medical Board of California's (Board's) ability to issue limited practice license renewals; authorize the Board to deny a post-graduate training authorization letter for the same reason it can deny a physician license application; specify the Board may request medical records of a deceased individual from a facility where care occurred without next of kin approval; allow the Board to take disciplinary action against specified licensees and collect a fee for excessive use of drugs or alcohol; allow specified allied health licensees under the Board to petition for license reinstatement; authorize the Board to use probation for specified allied health licensees; revoke or deny licensure for registered sex offenders; and make technical changes to clarify the Board's authority to perform regulatory oversight of licensees.
- SB 1174 (McGuire, Chapter 840, Statutes of 2016) will require the Medical Board of California (Board) to prioritize investigations for repeated acts of excessive prescribing, furnishing, or administering

OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA

CONTINUED

psychotropic medications to a minor without a good-faith exam and medical reason; require the Board to conduct a quarterly data analysis of prescribers and their prescribing patterns of psychotropic medications and related services; require the Board to annually report results of the analysis to the Legislature beginning July 1, 2017; and require the Board to review the data to determine violations and take disciplinary action. Additionally, this bill will specify the manner in which data is provided to the

Board by the Department of Healthcare Services and the Department of Social Services, require the Board to conduct an internal review of actions undertaken pursuant to this bill, and sunset the data collection, review, and reporting provisions on January 1, 2027.

SB 1261 (Stone, Chapter 239, Statutes of 2016) will exempt licensure and renewal fees for out-of-state physicians and surgeons who provide voluntary, unpaid healthcare services in California.

FEES*

Osteopathic Physician and Surgeon	Actual Fee	Statutory Limit
APPLICATION FEE	\$200	\$400
INITIAL LICENSE FEE	AMOUNT PRORATED*	\$425
TOTAL INITIAL LICENSE FEES		\$625
BIENNIAL RENEWAL FEE	\$437**	\$437

*Includes a \$25 mandatory payment toward the Stephen M. Thompson Physician Corps Loan Repayment Program.

**Includes a \$6 (annual) mandatory Controlled Substance Utilization Review and Evaluation System (CURES) fee.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
OSTEOPATHIC PHYSICIANS AND SURGEONS	781	684	3,552
FICTITIOUS NAME PERMIT	106	106	595

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
OSTEOPATHIC PHYSICIANS AND SURGEONS		*9,074	
FICTITIOUS NAME PERMIT	**956		

* Includes 838 delinquent status licenses
 ** Includes 304 delinquent FNP permits

NOTE: License renewals are biennial birth month. Renewals are processed every other month.

Renewal and Continuing Education		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
OSTEOPATHIC PHYSICIANS AND SURGEONS	EVERY 2 YEARS	150 HRS/3 YR
FICTITIOUS NAME PERMIT	EVERY YEAR	N/A

Exams
NATIONAL BOARD OF OSTEOPTHIC MED EXAMINERS – COMLEX

Summary of Enforcement Activity

Consumer Complaints— Intake	
520	RECEIVED
38	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
481	REFERRED FOR INVESTIGATION
51	PENDING

Conviction/Arrest Notification Complaints	
29	RECEIVED
29	CLOSED/REFERRED FOR INVESTIGATION
1	PENDING

Inspections
N/A

Investigations	
510	OPENED
627	CLOSED
284	PENDING

Summary of Enforcement Activity continued

Number of Days to Complete Intake and Investigations	
258	UP TO 90 DAYS
180	91 TO 180 DAYS
135	181 DAYS TO 1 YEAR
34	1 TO 2 YEARS
12	2 TO 3 YEARS
5	OVER 3 YEARS
170	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
4	ISSUED
4	ISSUED WITH A FINE
1	WITHDRAWN
1	DISMISSED
31	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$2,500	ASSESSED
\$1,000	REDUCED
\$1,500	COLLECTED

Criminal/Civil Actions	
2	REFERRALS FOR CRIMINAL/CIVIL ACTION
2	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
26	CASES OPENED/INITIATED
21	CASES CLOSED
23	CASES PENDING

Number of Days to Complete AG Cases	
6	1 YEAR
8	1 TO 2 YEARS
2	2 TO 3 YEARS
5	3 TO 4 YEARS
0	OVER 4 YEARS
695	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
17	ACCUSATIONS FILED
5	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
2	LICENSE APPLICATIONS DENIED
5	REVOCAION
4	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
7	PROBATION ONLY
3	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
1	GRANTED
3	DENIED
4	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
1	DENIED
1	TOTAL

Cost Recovery to DCA*	
\$58,010	ORDERED
\$39,890	COLLECTED

* Cost recovery may be paid over the course of the probationary period. Amount collected includes amount ordered in prior years.

Consumer Restitution to Consumers/Refunds/Savings	
\$0	

Receipt of Complaint to Assignment to Investigator	
32	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
142	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
402	AVERAGE NUMBER OF DAYS

CALIFORNIA STATE BOARD OF PHARMACY

Pharmacy

Licenses and regulates pharmacies, pharmacists, pharmacist interns, pharmacy technicians and drug wholesalers.

STAFF:

99.8 civil service
1 exempt

LICENSES:

138,444

BOARD STAFF:

Executive Officer:
Virginia Herold
virginia.herold@dca.ca.gov

Assistant Executive Officer:
Anne Sodergren
anne.sodergren@dca.ca.gov

BOARD MEMBERSHIP:

7 pharmacists
6 public representatives

STRATEGIC PLAN ADOPTED:

2015

www.pharmacy.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 4000 – 4426

Title 16, Division 17, California Code of Regulations §§ 1702 – 1793.8

SUNSET REVIEW:

Last review: 2016

Inoperative date: January 1, 2021

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
RYAN BROOKS	JUNE 1, 2020	Governor/Public	NO
LAVANZA KERCHERYL BUTLER	JUNE 1, 2017	Governor/Licensee	NO
AMY GUTIERREZ (PRESIDENT)	JUNE 1, 2018	Governor/Licensee	NO
VICTOR LAW (TREASURER)	JUNE 1, 2019	Governor/Licensee	NO
GREGORY N. LIPPE	JUNE 1, 2020	Governor/Public	NO
VALERIE MUÑOZ	AUGUST 1, 2020	Senate/Public	NO
RICARDO SANCHEZ	JUNE 1, 2018	Governor/Public	NO
ALLEN SCHAAD	JUNE 1, 2019	Governor/Licensee	NO
DEBORAH VEALE (VICE PRESIDENT)	JUNE 1, 2017	Governor/Licensee	NO
STANLEY C. WEISSER	JUNE 1, 2019	Governor/Licensee	NO
ALBERT C. WONG	JUNE 1, 2020	Governor/Licensee	NO
VACANT		Assembly/Public	NO
VACANT		Governor/Public	NO

MAJOR ACCOMPLISHMENTS

- Led efforts to promote the state's new Controlled Substance Utilization Review and Evaluation System—commonly known as CURES 2.0—and to encourage pharmacists to register with the system by the July 1, 2016, deadline. CURES 2.0, a prescription drug monitoring program operated by the California Department of Justice, features a variety of performance improvements and added functionality. The Board reached out to advise pharmacists of the registration deadline in a variety of forums, including public speaking events, the Board's newsletter,

CONTINUED

subscriber alert e-mails, and individualized postcards and letters to licensees. The Board also joined the Medical Board of California and the California Department of Public Health to assist researchers at University of California, Davis, in developing a survey about CURES for pharmacists and physicians.

- Launched a redesigned website to improve online access and ease-of-use for consumers, licensees, and applicants. The new site features a streamlined home page, reduces clutter, and enhances visibility and access to important news and information. In addition to four tabs on the main page, a search box takes visitors directly to information available on the website. The site can also be translated into almost 100 languages.
- Prevailed in a State Court of Appeal case, *Sternberg v. California Board of Pharmacy* (California's 2nd District Court of Appeal Case No. B255862; filed August 6, 2015), involving a pharmacist-in-charge who appealed the Board's disciplinary decision involving substantial controlled substances losses during his tenure as pharmacist-in-charge. The final decision from this court provides a number of substantial determinations involving the role of a pharmacist-in-charge. The Board published the *Sternberg* case to further educate pharmacists about the findings of the court with respect to the role of pharmacist-in-charge and to ensure the Board's ability to rely on this decision's findings in future disciplinary matters.
- Responded during state wildfire emergencies declared by the Governor to ensure consumer access to pharmaceuticals. The Board also updated the Board's emergency response plan to ensure even further immediate response by the Board.
- SB 590, Stone (Chapter 147, Statutes of 2015), a Board-sponsored bill, amends Section 4209 of the Business and Professions Code to streamline the application process for graduates from an Accreditation Council for Pharmacy Education-accredited school or school of pharmacy recognized by the Board for purposes of confirming completion of the required pharmacy practice experience requirements. The provisions went into effect January 1, 2016.
- SB 1193, Hill (Chapter 484, Statutes of 2016) would extend the operations of the Board and the Board's authority to appoint an executive officer until January 1, 2021; establish the framework for the licensure of outsourcing facilities; establish requirements for specific licensees to report and maintain their e-mail address with the Board; authorize the Board to synchronize license renewal dates and aggregate fees for clinics; expand the Board's ability to issue a temporary permit for specified licenses; and make other technical changes.
- Finalized work on a number of regulations to implement SB 493, Hernandez (Chapter 469, Statutes of 2013). SB 493 created the advanced practice pharmacist classification that will allow pharmacists, with the proper training, to administer biological products, provide self-administered hormonal contraceptives, provide drug therapy and disease management education and training, provide travel medication, order tests, administer vaccinations, and administer epinephrine or diphenhydramine for a severe allergic reaction. These regulations included:
 - Implementation of Title 16, California Code of Regulations (CCR) Section 1746.1, establishing a state protocol for pharmacists to furnish self-administered hormonal contraception to women without a doctor's prescription.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- AB 1073, Ting (Chapter 782, Statutes 2015), a Board-sponsored bill, establishes new requirements for dispensers to provide translated directions on prescription container labels or label supplements to patients with limited English proficiency. The requirements will help consumers with limited English proficiency better understand how to take

CALIFORNIA STATE BOARD OF PHARMACY

CONTINUED

- Implementation of Title 16, CCR Section 1746.2, establishing a state protocol for pharmacists to furnish nicotine replacement therapy to help patients quit smoking.
- SB 493 (Hernandez) would improve and widen access to healthcare for consumers and promote pharmacists to collaborate with other healthcare providers. One of the regulations was approved August 2016; the remaining regulation is anticipated to be approved December 2016.
- Other pending regulations are discussed below.
- Developed and adopted Title 16, CCR Section 1746.3, establishing a permanent protocol for pharmacists to furnish naloxone hydrochloride without a doctor's prescription. The regulation increases public availability of naloxone, an opioid antagonist that reverses the effects of opioid medications such as oxycodone, Vicodin, Percocet, methadone, and heroin.
- Strengthened California's regulatory framework and enforcement for pharmacies that compound sterile drug products by proposing amendments to compounding and sterile compounding regulations, including annual inspections of out-of-state pharmacies that import sterile products into California, to ensure the Board's regulations increase the quality of compounded medication made by pharmacists. This regulation was approved September 2016 with an effective date of January 1, 2017.
- Proposed drug take-back regulations for unwanted medications based on the U.S. Drug Enforcement Administration's requirements. Take-back programs give consumers options for disposing of unwanted, unused, or outdated prescription drugs while reducing the supply of controlled substances available for misuse and abuse.
- Updated the Board's Disciplinary Guidelines with proposed regulations to ensure they reflect changes in current law and incorporate changes made to facilitate implementation of SB 1441.
- Proposed a regulation to require specified warnings or prescription container labels to increase patient and consumer awareness regarding the potentially dangerous side effects of certain prescription drugs. This education will combat the growing epidemic of drugged driving and increase the safety of residents traveling within California and individuals performing work on the roadways.
- Updated the Board's patient-centered label requirements for prescription drug containers by proposing a regulation to provide clearer information about generic names and brand names drugs. If a patient has a prescription issued in the brand name and then refilled in the generic name, the patient may not be aware that the two bottles contain the same medication. This could cause them to take double the dose in error.
- AB 1069 (Gordon, Chapter 316, Statutes of 2016) will allow a pharmacy to repackage donated medications for dispensing to indigent patients if such repackaging is performed and dispensed through a dedicated pharmacy.
- AB 1114 (Eggman, Chapter 602, Statutes of 2016) will establish the process for a pharmacist to be reimbursed for specified services provided as a benefit under Medi-Cal such as furnishing Naloxone, travel medication, self-administered hormonal contraception, initiating and administering immunizations, and providing tobacco cessation counseling and furnishing of nicotine replacement therapy.
- AB 1386 (Low, Chapter 374, Statutes of 2016) will authorize a pharmacy to furnish epinephrine auto-injectors to authorized entities.
- SB 482 (Lara, Chapter 708, Statutes of 2016) will require a prescriber to access the CURES system under specified conditions, to include checking the system before prescribing a Schedule II, III, or IV medication for the first time and at least every four months, thereafter. The bill will also limit the dispensing of a controlled substance in specified settings to either a 5- or 7-day supply.

CONTINUED

- SB 952 (Anderson, Chapter 150, Statutes of 2016) will modify the requirements to expand the certification requirement to include other agencies as a pathway to licensure as a pharmacy technician.
- SB 999 (Pavley, Chapter 499, Statutes of 2016) will authorize a pharmacist to dispense prescribed, FDA-approved, self-administered hormonal contraceptives either as prescribed or, at the patient's request, in a 12-month supply, unless the prescriber specifically indicates no change to quantity. The measure will also require a healthcare service plan or health insurance policy, on or after January 1, 2017, to cover a 12-month supply of a self-administered hormonal contraception dispensed at one time by a prescriber or dispenser.
- SB 1039 (Hill, Chapter 799, Statutes of 2016) will set forth a new fee schedule, which is needed to sustain Board operations.
- SB 1193 (Hill, Chapter 484, Statutes of 2016) will make important changes to pharmacy law including:
 - Extending the operations of the California Board of Pharmacy (Board) and the Board's authority to appoint an executive officer until January 1, 2021.
 - Establishing the framework for the licensure of outsourcing facilities.
 - Authorizing the Board to synchronize license renewal dates and aggregate fees for clinics.

FEES

Original Application Type	Effective 7/1/14	Effective 7/1/16	Statutory Cap Effective 7/1/16
CENTRALIZED HOSPITAL PACKAGING PHARMACY	\$800	\$820	\$1,150
CLINIC PERMIT	\$520	\$520	\$570
DESIGNATED REPRESENTATIVE CERTIFICATE - WLS	\$330	\$150	\$210
DESIGNATED REPRESENTATIVE CERTIFICATE - VET	\$330	\$150	\$210
DESIGNATED REPRESENTATIVE - 3PL	\$330	\$150	\$210
DRUG ROOM	\$520	\$520	\$570
HOSPITAL PHARMACY	\$520	\$520	\$570
HYPODERMIC NEEDLE AND SYRINGE	\$165	\$170	\$240
INTERN PHARMACIST	\$115	\$165	\$230
NON-RESIDENT OUTSOURCING FACILITY*	N/A	\$2,380	\$3,335
NON-RESIDENT PHARMACY	\$520	\$520	\$570
NON-RESIDENT STERILE COMPOUNDING*	\$780	\$2,380	\$3,335
NON-RESIDENT WHOLESALER	\$780	\$780	\$820
NON-RESIDENT WHOLESALE DRUG WITH 20 OR MORE LOCATIONS – PRICE FOR THE 21ST AND BEYOND	N/A	\$300	\$300
NON-RESIDENT THIRD-PARTY LOGISTICS PROVIDER	\$780	\$780	\$820
NON-RESIDENT THIRD-PARTY LOGISTICS PROVIDER WITH 20 OR MORE LOCATIONS – PRICE FOR THE 21ST AND BEYOND	N/A	\$300	\$300
OUTSOURCING FACILITY	N/A	\$2,270	\$3,180
PHARMACIST LICENSURE EXAM	\$260	\$260	\$285
PHARMACY	\$520	\$520	\$570
PHARMACY TECHNICIAN	\$105	\$140	\$195
STERILE COMPOUNDING	\$780	\$1,645	\$2,305
VETERINARY FOOD-ANIMAL DRUG RETAILER	\$425	\$435	\$610
WHOLESALE DRUG	\$780	\$780	\$820
WHOLESALE DRUG WITH 20 OR MORE LOCATIONS – PRICE FOR THE 21ST AND BEYOND	N/A	\$300	\$300
THIRD-PARTY LOGISTICS PROVIDER	\$780	\$780	\$820
THIRD-PARTY LOGISTICS PROVIDER WITH 20 OR MORE LOCATIONS – PRICE FOR THE 21ST AND BEYOND	N/A	\$300	\$300

* Required to pay for travel related to the inspection of the facility by a Board inspector.

CALIFORNIA STATE BOARD OF PHARMACY

CONTINUED

- Authorizing the Board to issue a temporary permit for specified licenses.
- Repealing obsolete provisions related electronic data transmission prescriptions in the Health and Safety Code.
- Authorizing the Board to issue a cease and desist for unlicensed activity violations.
- Establishing registration requirements for automated drug delivery systems.
- Making other technical changes.
- SB 1229 (Jackson, Chapter 238, Statutes of 2016) will establish guidelines for entities that maintain a drug take-back bin on their premises, define who constitutes a “collector,” as well as several other related terms, and exempt from civil liability any drug take-back bin operator who is acting in good-faith by following the guidelines.

FEES continued

Initial License/Certificate	Effective 7/1/14	Effective 7/1/16	Statutory Cap Effective 7/1/16
PHARMACIST INITIAL LICENSE FEE	\$195	\$195	\$215

Renewal	Effective 7/1/14	Effective 7/1/16	Statutory Cap Effective 7/1/16
CENTRALIZED HOSPITAL PACKAGING PHARMACY	\$800	\$805	\$1,125
CLINIC**	\$331**	\$331**	\$360
DESIGNATED REPRESENTATIVE - WLS	\$195	\$215	\$300
DESIGNATED REPRESENTATIVE - VET	\$195	\$215	\$300
DESIGNATED REPRESENTATIVE - 3PL	\$195	\$215	\$300
DRUG ROOM**	\$331**	\$671**	\$930
HOSPITAL PHARMACY**	\$331**	\$671**	\$930
HYPODERMIC NEEDLE AND SYRINGE**	\$165**	\$206**	\$280
NON-RESIDENT OUTSOURCING FACILITY	N/A	\$2,270	\$3,180
NON-RESIDENT PHARMACY	\$331	\$665	\$930
NON-RESIDENT STERILE COMPOUNDING*	\$780	\$2,270	\$3,180
NON-RESIDENT WHOLESALER	\$786	\$780	\$820
NON-RESIDENT THIRD-PARTY LOGISTICS PROVIDER	\$786	\$780	\$820
OUTSOURCING FACILITY	N/A	\$1,325	\$1,855
PHARMACIST (BIENNIAL)***	\$207***	\$372***	\$505
PHARMACY**	\$331**	\$671**	\$930
PHARMACY TECHNICIAN	\$130	\$140	\$190
STERILE COMPOUNDING	\$780	\$1,325	\$1,855
VETERINARY FOOD-ANIMAL DRUG RETAILER	\$325	\$330	\$460
WHOLESALE DRUG	\$786**	\$780	\$820
THIRD-PARTY LOGISTICS PROVIDER	\$786**	\$780	\$820

*Required to pay for travel related to the inspection of the facility by a Board inspector.

**Includes \$6 CURES fee implemented on April 1, 2014 for renewals.

***Includes \$12 CURES fee for two-year license renewals. Fee was implemented on April 1, 2014.

FEES continued

Delinquent (in addition to Renewal Fee)	Effective 7/1/14	Effective 7/1/16	Statutory Cap Effective 7/1/16
CENTRALIZED HOSPITAL PACKAGING PHARMACY	\$150	\$150	\$150
CLINIC	\$150	\$150	\$150
DESIGNATED REPRESENTATIVE – WLS	\$97.50	\$107.50	\$150
DESIGNATED REPRESENTATIVE – VET	\$97.50	\$107.50	\$150
DESIGNATED REPRESENTATIVE – 3PL	\$97.50	\$107.50	\$150
DRUG ROOM	\$150	\$150	\$150
HOSPITAL PHARMACY	\$150	\$150	\$150
HYPODERMIC NEEDLE AND SYRINGE	\$82.50	\$100	\$140
NON-RESIDENT OUTSOURCING FACILITY	N/A	\$150	\$150
NON-RESIDENT PHARMACY	\$150	\$150	\$150
NON-RESIDENT WHOLESALER	\$150	\$150	\$150
NON-RESIDENT THIRD-PARTY LOGISTICS PROVIDER	\$150	\$150	\$150
OUTSOURCING FACILITY	N/A	\$150	\$150
PHARMACIST	\$97.50	\$150	\$150
PHARMACY	\$150	\$150	\$150
PHARMACY TECHNICIAN	\$65	\$70	\$95
STERILE COMPOUNDING	\$150	\$150	\$150
VETERINARY FOOD-ANIMAL DRUG RETAILER	\$125	\$150	\$150
WHOLESALE DRUG	\$150	\$150	\$150
THIRD-PARTY LOGISTICS PROVIDER	\$150	\$150	\$150

Miscellaneous	Effective 7/1/14	Effective 7/1/16	Statutory Cap Effective 7/1/16
TEMP DRUG ROOM PERMIT	\$325	\$250	\$325
TEMP HOSPITAL PERMIT	\$325	\$250	\$325
TEMP PHARMACY PERMIT	\$325	\$250	\$325
TEMP STERILE PERMIT	\$715	\$550	\$715
TEMP OUTSOURCING FACILITY PERMIT	N/A	\$715	\$715
TEMP WHOLESALE PERMIT	\$715	\$715	\$715
TEMP NON-RESIDENT WHOLESALE PERMIT/THIRD-PARTY LOGISTICS PROVIDER	\$715	\$715	\$715
TEMP VETERINARY FOOD-ANIMAL DRUG PERMIT	\$250	n/a	n/a
TRANSFER OF INTERN HOURS	\$30	\$25	\$30
LICENSE VERIFICATION	\$30	\$25	\$30
REGRADE OF PHARMACIST LICENSURE EXAM	\$115	\$90	115
CHANGE OF PERMIT	\$100	\$100	\$130
CHANGE OF PERMIT - TRADESTYLE/ADDRESS	\$35	\$35	\$45
CHANGE OF PHARMACIST IN CHARGE	\$100	\$100	\$130
CHANGE OF DESIGNATED REPRESENTATIVE IN CHARGE	\$100	\$100	\$130
DUPLICATE/REPLACEMENT LICENSE	\$35	\$35	\$34
RETIRED PHARMACIST	\$45	\$35	\$45
EVALUATION OF CONTINUING EDUCATION COURSES FOR ACCREDITATION	\$40*	\$40*	\$40*

*Price per course hour

CALIFORNIA STATE BOARD OF PHARMACY

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
CENTRALIZED HOSPITAL PACKAGING	1	5	0
CLINIC	140	110	1,187
DESIGNATED REPRESENTATIVE	510	431	2,568
HOSPITAL	34*	28*	500*
HYPODERMIC NEEDLE AND SYRINGE	14	14	259
INTERN PHARMACIST	2,361	2,116	N/A
LICENSED CORRECTIONAL FACILITY	2	2	53
NONRESIDENT PHARMACY	171	135	391
NONRESIDENT STERILE COMPOUNDING	37	23	76
NONRESIDENT WHOLESALER	122	91	601
PHARMACIST (EXAM APPLICATIONS)	3,028	N/A	N/A
PHARMACIST (INITIAL LICENSING APPLICATIONS)	1,959	1,978	20,400
PHARMACY	763	735	6,350
PHARMACY TECHNICIAN	6,257	5,849	30,914
STERILE COMPOUNDING	96	69	876
THIRD-PARTY LOGISTIC PROVIDER	14	16	1
THIRD-PARTY LOGISTIC PROVIDER - NONRESIDENT	52	58	12
VETERINARY FOOD-ANIMAL DRUG RETAILER	6	5	24
WHOLESALER	97	64	464
DESIGNATED REPRESENTATIVE 3PL (DRL)	199	187	53
SURPLUS MEDICATION COLLECTION AND DISTRIBUTION INTERMEDIARY	1	1	0

* Hospital includes drug rooms:

- 3 Application received
- 4 Issued
- 31 Renewed

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
CENTRALIZED HOSPITAL PACKAGING	N/A	8	N/A
CLINIC	N/A	1,308	N/A
DESIGNATED REPRESENTATIVE	N/A	3,119	N/A
HOSPITAL	N/A	518	N/A
HYPODERMIC NEEDLE AND SYRINGE	N/A	285	N/A
INTERN PHARMACIST	N/A	6,364	N/A
LICENSED CORRECTIONAL FACILITY	N/A	55	N/A
NONRESIDENT PHARMACY	N/A	509	N/A
NONRESIDENT STERILE COMPOUNDING	N/A	92	N/A
NONRESIDENT WHOLESALER	N/A	726	N/A
PHARMACY TECHNICIAN	N/A	73,289	N/A
PHARMACIST	N/A	43,818	N/A
PHARMACY	N/A	6,566	N/A
STERILE COMPOUNDING	N/A	917	N/A
THIRD-PARTY LOGISTIC PROVIDER	N/A	16	N/A
THIRD-PARTY LOGISTIC PROVIDER - NONRESIDENT	N/A	60	N/A
VETERINARY FOOD-ANIMAL DRUG RETAILER	N/A	23	N/A
WHOLESALER	N/A	572	N/A
DESIGNATED REPRESENTATIVE 3PL (DRL)	N/A	198	N/A
SURPLUS MEDICATION COLLECTION AND DISTRIBUTION INTERMEDIARY	1	1	0

* Hospital includes 36 drug rooms.

Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PHARMACIST	EVERY 2 YEARS	30
INTERN PHARMACIST	N/A	N/A
PHARMACY TECHNICIAN	EVERY 2 YEARS	N/A
PHARMACY	EVERY YEAR	N/A
HOSPITAL	EVERY YEAR	N/A
STERILE COMPOUNDING	EVERY YEAR	N/A
CLINIC	EVERY YEAR	N/A
NONRESIDENT PHARMACY	EVERY YEAR	NA
LICENSED CORRECTIONAL FACILITY	EVERY YEAR	N/A
HYPODERMIC NEEDLE AND SYRINGE	EVERY YEAR	N/A
NONRESIDENT WHOLESALER	EVERY YEAR	N/A
WHOLESALER	EVERY YEAR	N/A
VETERINARY FOOD-ANIMAL DRUG RETAILER	EVERY YEAR	N/A
DESIGNATED REPRESENTATIVE	EVERY YEAR	N/A
DESIGNATED REPRESENTATIVE 3PL:	EVERY YEAR	N/A
NONRESIDENT STERILE COMPOUNDING	EVERY YEAR	N/A
CENTRALIZED HOSPITAL PACKAGING	EVERY YEAR	N/A
THIRD-PARTY LOGISTIC PROVIDER	EVERY YEAR	N/A
THIRD-PARTY LOGISTIC PROVIDER - NONRESIDENT	EVERY YEAR	N/A
SURPLUS MEDICATION COLLECTION AND DISTRIBUTION INTERMEDIARY	EVERY YEAR	N/A

Exams			
TYPE	PASS	FAIL	TOTAL
CALIFORNIA PRACTICE STANDARDS AND JURISPRUDENCE EXAMINATION FOR PHARMACISTS	2,053	735	2,788
NORTH AMERICAN PHARMACIST LICENSURE EXAMINATION	1,470	249	1,719

Summary of Enforcement Activity

Consumer Complaints—Intake	
2,671	RECEIVED
380	CLOSED WITHOUT REFERRAL FOR INVESTIGATION*
2,362	REFERRED FOR INVESTIGATION*
213	PENDING

* Cases closed, closed without referral for investigation, and referred for investigation may have been received in a prior fiscal year.

Conviction/Arrest Notification Complaints	
1,182	RECEIVED
1,183	CLOSED WITHOUT REFERRAL FOR INVESTIGATION*
14	PENDING

* Cases closed, closed without referral for investigation, and referred for investigation may have been received in a prior fiscal year.

Inspections	
1,523	INSPECTIONS CONDUCTED
**	INSPECTION CITATIONS ISSUED**

** The Board is not authorized to issue a citation at the time of inspection.

Investigation	
3,495	OPENED*
3,079	CLOSED*
2,226	PENDING

* Cases closed, closed without referral for investigation, and referred for investigation may have been received in a prior fiscal year.

Number of Days to Complete Intake and Investigations	
649	UP TO 90 DAYS
787	91 TP 180 DAYS
1,123	181 DAYS TO 1 YEAR
458	1 TO 2 YEARS
52	2 TO 3 YEARS
10	OVER 3 YEARS
231	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines***	
1,975	ISSUED****
1,599	ISSUED WITH A FINE
6	WITHDRAWN
3	DISMISSED
311	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

*** Twenty-five citations were reduced to Letters of Admonishment at the Office Conference.
**** Citations issued includes all citations issued, with or without a fine.

Summary of Enforcement Activity continued

Total Amount of Fines	
\$2,264,850	ASSESSED
\$15,512,725	REDUCED*
\$2,178,412	COLLECTED

* Most of the fines reduced above reflect reductions made to multiple respondents from cases involving Internet purchasing from unlicensed wholesalers that were issued citations with large fines in the previous fiscal year. The fines were modified as part of the appeal/settlement process during this fiscal year.

Criminal/Civil Actions	
N/A	

Office of the Attorney General/Disciplinary Actions	
368	CASES OPENED/INITIATED
284	CASES CLOSED
540	CASES PENDING

Number of Days to Complete AG Cases	
21	1 YEAR
119	1 TO 2 YEARS
74	2 TO 3 YEARS
36	3 TO 4 YEARS
34	OVER 4 YEARS
869	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
49	STATEMENTS OF ISSUES FILED
299	ACCUSATIONS FILED
39	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED**
10	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
13	ACCUSATIONS WITHDRAWN/DISMISSED

** Included interim suspension orders and pc23 orders granted.

Administrative Outcomes/Final Orders*	
15	LICENSE APPLICATIONS DENIED
132	REVOCATION
70	SURRENDER OF LICENSE
18	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
75	PROBATION ONLY
16	PUBLIC REPRIMAND
0	OTHER DECISIONS

* Cases may include multiple respondents with multiple outcomes.

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
10	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/ Final Orders	
8	REVOCATION
2	SURRENDER OF LICENSE
2	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
8	GRANTED
7	DENIED
15	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
6	GRANTED
2	DENIED
8	TOTAL

Cost Recovery to DCA	
\$1,457,042	ORDERED
\$811,527	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
25	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
199	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
566	AVERAGE NUMBER OF DAYS

PHYSICAL THERAPY BOARD OF CALIFORNIA

Physical Therapy

Licenses and regulates physical therapists, physical therapist assistants, and the practice of electroneuromyography and kinesiological electromyography performed by physical therapists.

STAFF:

19.4 civil service
1 exempt

LICENSES AND
CERTIFICATIONS:

36,368

BOARD STAFF:

Executive Officer:

Jason Kaiser

jason.kaiser@dca.ca.gov

BOARD MEMBERSHIP:

4 licensees
3 public representatives

STRATEGIC PLAN ADOPTED:

2014

www.ptbc.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 2600 – 2696

Title 16, Division 13.2, California Code of Regulations §§ 1398 – 1399.99.4

SUNSET REVIEW:

Last review: 2013

Repeal dates: January 1, 2018

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
DEBRA ALVISO	JUNE 1, 2017	Governor/Professional	NO
JESUS DOMINGUEZ	JUNE 1, 2018	Governor/Professional	NO
DANIEL DRUMMER	JUNE 1, 2018	Governor/Professional	NO
KATARINA ELEBY (PRESIDENT)	JUNE 1, 2020	Governor/Public	NO
ALICIA REBENA-AMEN (VICE PRESIDENT)	JUNE 1, 2020	Governor/Professional	NO
TONIA MCMILLIAN	JUNE 1, 2019	Senate/Public	NO
ESERICK "TJ" WATKINS	JUNE 1, 2019	Assembly/Public	NO

RECIPROCITY (BPC § 2636.5)

Applicants for licensure as a physical therapist or physical therapist assistant who are licensed as such in another state, district, or territory of the United States, approved by the Board, may become licensed without a physical therapy or physical therapist assistant written examination (NPTE) if he/she meets all the requirements, including those licensing requirements prescribed by the Board. However, these individuals must successfully pass the California Law Examination (CLE) prior to becoming licensed.

Graduate Practice – Physical Therapist and Physical Therapist Assistant “License Applicant” Status (§ 2639)

Applicants who are graduates of an approved education program or substantiated as a graduate of an approved education program, and who have filed a complete application, as defined in regulation, for licensure with the Board may be awarded “License Applicant” status by the Board. A

physical therapist or physical therapist assistant applicant shall practice under the direct supervision of a licensed physical therapist as a "License Applicant".

MAJOR ACCOMPLISHMENTS

- Transitioned to the Department of Consumer Affairs' (DCA) BreEZe online database system on January 19, 2016, and assisted in the implementation of two new releases of the system: 2.1 and 2.11. BreEZe offers our stakeholders, consumers, licensees, and applicants enhanced licensing and enforcement efficiency.
- Enhanced consumer protection by conducting expert consultant training sessions in Northern and Southern California. The training served as a refresher course for current subject matter experts of their roles, current investigative and disciplinary processes, and recruited new subject matter expert consultants from remote and other desired areas throughout California.
- Increased outreach efforts by providing educational presentations of the Board's licensing and enforcement roles to physical therapist and physical therapy assistant programs. In addition, the Board had a significant increase in its number of followers and was ranked No. 1 within the DCA healthcare boards for Facebook and social media.
- Initiated a comprehensive review of and revisions to all Board's forms and applications.
- Increased attendance at Board meetings by holding several of the meetings at various physical therapy colleges throughout California. Many had large audiences of physical therapist and physical therapist assistant students, providing them with the opportunity to observe the administrative and disciplinary functions of the Board.
- Added a closed caption feature to the Board's webcast. This initiative enhances transparency and provides a greater accessibility opportunity to the public. It also allows the Board to be compliant with the Bagley-Keene Open Meeting Act.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Amended existing regulations to implement several technical, nonsubstantive changes Title 16, Division 13.2, California Code of Regulations (CCR).
- Adopted CCR Sections 1399.50 and 1399.52 to increase its application and license renewal fees to effectively sustain operations necessary for protecting consumers through its licensing and enforcement functions. Pursuant to Business and Professions Code Section 2602.1, protection of the public shall be the highest priority for the Board in exercising its licensing, regulatory, and disciplinary functions. The Board primarily achieves this mandate by processing applications and issuing and renewing licenses to eligible physical therapist and physical therapist assistant applicants; investigating complaints; taking administrative action against licensees for substantiated violations of the Physical Therapy Practice Act (Act); monitoring licensees whose licenses have been placed on probation; and managing the Substance Abuse Recovery Program for licensees whose practice may be impaired due to abuse of drugs or alcohol. Existing law authorizes the Board to assess fees associated with these duties and responsibilities, enabling the Board to carry out its mandate of consumer protection. This regulation ensures sufficient resources to maintain current Board operations to meet its mandate of consumer protection until such time it can seek legislative authority to increase the caps imposed in current statutory language.
- Proposed regulatory language to clarify English proficiency requirements for applicants who completed a non-approved physical therapy program outside of the United States. Specifically, 1) set a passing score on the Test of English as a Foreign Language (TOEFL); 2) required the exam to be passed in one sitting; and 3) identified exemption qualifications. The proposed language also requires approved credential evaluation services to report on the evaluation the applicant's compliance on the TOEFL examination or exemption. The Board has proposed these changes primarily because it finds the score minimums acceptable by the U.S. Citizen and Immigration Services for immigration purposes outdated and insufficient for consumer protection.

FEE*

Physical Therapist	Actual Fee	Statutory Limit
APPLICATION PROCESSING FEE	\$300	\$300
INITIAL LICENSE FEE	\$150	\$150
NATIONAL EXAMINATION FEE	\$485	Set by FSBPT**
CA LAW EXAMINATION FEE	\$50	Set by FSBPT**
TOTAL INITIAL LICENSE FEES	\$985	-
BIENNIAL RENEWAL FEE	\$300	\$300

Physical Therapist Assistant	Actual Fee	Statutory Limit
APPLICATION FEE	\$300	\$300
NATIONAL EXAMINATION FEE	\$470	Set by FSBPT**
CA LAW EXAMINATION FEE	\$50	Set by FSBPT**
TOTAL INITIAL LICENSE FEES	\$820	-
BIENNIAL RENEWAL FEE	\$300	\$300

* Additional fees may be necessary under Business and Professions Code § 26888.

** Fees collected and paid to the Federation of State Board of Physical Therapy; Portion of fee to Prometric (test provider).

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PT	1,496	1,443	12,611
PTA	487	499	3,139
FOREIGN APPLICATIONS	311	N/A	N/A
EK/EN	0	0	30

PT – Physical therapist

PTA – Physical therapist assistant

EK/EN – Kinesiological electromyographer/Electroneuromyographer

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PT	N/A	28,865	N/A
PTA	N/A	7,456	N/A
EK/EN	47	N/A	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PT	EVERY 2 YEARS	30
PTA	EVERY 2 YEARS	30
EK/EN	EVERY 2 YEARS	30

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
NATIONAL PT EXAM	759	278	1,037
NATIONAL PTA EXAM	379	198	577
CALIFORNIA LAW EXAM	2,001	1,008	3,009
TOTALS	3,139	1,484	4,623

Summary of Enforcement Activity

Consumer Complaints—Intake	
416	RECEIVED
208	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
208	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
287	RECEIVED
286	CLOSED/REFERRED FOR INVESTIGATION
1	PENDING

Inspections	
N/A	

Investigations	
682	OPENED
762	CLOSED
414	PENDING

Number of Days to Complete Intake and Investigations	
414	UP TO 90 DAYS
94	91 TO 180 DAYS
40	181 DAYS TO 1 YEAR
31	1 TO 2 YEARS
16	2 TO 3 YEARS
21	OVER 3 YEARS
149	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
78	ISSUED
78	ISSUED WITH A FINE
10	WITHDRAWN
0	DISMISSED
372	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

PHYSICAL THERAPY BOARD OF CALIFORNIA

Summary of Enforcement Activity continued

Total Amount of Fines	
\$30,000	ASSESSED
\$0	REDUCED
\$34,649	COLLECTED

Criminal/Civil Actions	
1	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
25	CASES OPENED/INITIATED
54	CASES CLOSED
28	CASES PENDING

Number of Days to Complete AG Cases	
2	1 YEAR
24	1 TO 2 YEARS
17	2 TO 3 YEARS
11	3 TO 4 YEARS
0	OVER 4 YEARS
827	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
3	STATEMENTS OF ISSUES FILED
20	ACCUSATIONS FILED
5	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
1	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
6	REVOCAION
3	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
36	PROBATION ONLY
6	PUBLIC REPRIMAND
2	OTHER DECISIONS

Petition for Modification or Termination of Probation	
4	GRANTED
1	DENIED
5	TOTAL

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
1	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
0	PUBLIC REPRIMAND
2	OTHER DECISIONS

Petition for Reinstatement of Revoked License/Registration/Certification	
1	GRANTED
2	DENIED
3	TOTAL

Cost Recovery to DCA	
\$297,833	ORDERED
\$151,810	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
4	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
148	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
496	AVERAGE NUMBER OF DAYS

PHYSICIAN ASSISTANT BOARD

Physician Assistants

Licenses and regulates physician assistants.

STAFF:

3.5 civil service
1 exempt

LICENSES AND PERMITS:

10,764

BOARD STAFF:

Executive Officer:
Maureen Lynn Forsyth
lynn.forsyth@mbc.ca.gov

BOARD MEMBERSHIP:

5 licensees
1 member of the Medical Board of California
4 public representatives

STRATEGIC PLAN ADOPTED:

2014

www.pac.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 3500 – 3546

Title 16, Division 13.8, California Code of Regulations §§ 1399 – 1399.99.4

SUNSET REVIEW:

Last review: 2013

Repeal dates: January 1, 2018

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
CHARLES ALEXANDER	JANUARY 1, 2020	Governor/Public	NO
MICHAEL BISHOP, M.D., (MEDICAL BOARD MEMBER)	JANUARY 1, 2020	Governor/Professional	NO
SONYA EARLEY	JANUARY 1, 2020	Governor/Professional	NO
JAVIER ESQUIVAL-ACOSTA	JANUARY 1, 2020	Governor/Professional	NO
MARY VALENCIA	JANUARY 1, 2020	Senate/Public	NO
JED GRANT (VICE PRESIDENT)	JANUARY 1, 2019	Governor/Professional	NO
CATHERINE HAZELTON	JANUARY 1, 2017	Assembly/Public	NO
JENNIFER CARLQUIST	JANUARY 1, 2020	Governor/Professional	NO
XAVIER MARTINEZ	JANUARY 1, 2019	Governor/Public	NO
ROBERT SACHS (PRESIDENT)	JANUARY 1, 2019	Governor/Professional	NO

COMMITTEE MEMBERS:

Legislative Committee Member Name	Position
CATHERINE HAZELTON	Public Board Member
SONYA EARLEY, PA-C	Professional Board Member

Education/Workforce Development Advisory Member Name	Position
JED GRANT, PA-C	Professional Board Member
CHARLES ALEXANDER, PH.D.	Public Board Member

RECIPROCITY

The Board offers no reciprocity with licenses obtained from other states.

PHYSICIAN ASSISTANT BOARD

CONTINUED

MAJOR ACCOMPLISHMENTS

- Met with the Senate Committee on Business, Professions, and Economic Development and Assembly Committee on Business and Professions on March 9, 2016, for their Sunset Oversight Review. The legislative analysis stated "Since its last review, the PAB has shown a commitment to improve its overall efficiency and effectiveness and has worked cooperatively with the Legislature and the Committees to bring about necessary changes." The Committees granted an extension for the Board through January 1, 2021.
- In June 2016, updated the online version of the PA application for licensure. With this new application, applicants no longer are required to submit a complete hard copy application. Now, if an applicant chooses to submit an online application, they are only required to submit an attestation page to the Board. The update benefits applicants and provides staff efficiencies since applicants are not required to submit additional paperwork to the Board.
- Updated website to provide consumers with pertinent information on the following topics:
 - The implementation of Business and Professions Code Section 115.4 (military expedite of licensure).
 - The Health Professions Education Foundation regarding scholarship and loan repayments for health professional students and graduates was added. The Foundation grants scholarships and loan repayments in exchange for the student/graduate working in underserved areas, thus allowing for greater access to healthcare services by consumers in underserved areas.
 - The End of Life Options Act, allowing for consumers to make appropriate decisions regarding end-of-life choices.
- The Board's *Manual of Disciplinary Guidelines and Model Disciplinary Orders* approved by the Office of Administrative Law and replaced the previous edition. The revised manual includes newly adopted sections under "Special Considerations" involving "Sexual Contact" and "Drugs, Alcohol, or Both," as well as incorporated by reference the *Uniform Standards Regarding Substance Abusing Healing Arts Licensees*

(4/2011) (Uniform Standards). The revised manual also includes a new section on information relating to cases involving drug and alcohol abuse. This section incorporated new terms and conditions for a Drug and Alcohol Recovery Monitoring Program. Each term and condition related to drug or alcohol abuse was amended to include conditions applying the Uniform Standards.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- SB 1226, Correa (Chapter 657, Statutes of 2014), effective July 1, 2016, requires a board to expedite, or when applicable, assist the initial licensure process for an applicant who supplies satisfactory evidence to the board that he or she has served as an active duty member of the armed forces of the United States and was honorably discharged. The Board updated its website to include the information about this expedite process, including the required documents to receive this service.
- SB 337, Pavley (Chapter 536, Statutes of 2015), effective January 1, 2016, requires medical records to reflect the supervising physician for each episode of care, requires a physician assistant who transmits an oral order to identify the supervising physician, recasts medical record review provisions to require the supervising physician to use one or more of the mechanisms, and recasts prescribing provisions to allow a physician assistant to prescribe Schedule II controlled substances. This bill provides greater consumer protection, because it allows additional options with regard to physician supervision.
- Amended California Code of Regulations Section 1399.523, effective July 1, 2016. It was amended to incorporate by reference the Board's *Manual of Disciplinary Guidelines*.
- AB 2193 (Salas, Chapter 459, Statutes of 2016) will extend the sunset date of the Physician Assistant Board until January 1, 2021, including the authority to appoint an executive officer and employ the personnel necessary to carry out its functions.
- AB 2744 (Gordon, Chapter 360, Statutes of 2016) will establish that payment for advertising, where a licensee sells services through a third-party advertiser (such as Groupon), does not constitute a referral of

CONTINUED

patients when the third-party advertiser does not recommend, endorse, or otherwise select a licensee itself. Additionally, this bill will entitle the purchaser of services to a full refund in the event the licensee determines, after consultation with the purchaser, that the service is not appropriate, or if the purchaser elects not to receive the service for any reason and requests a refund. Finally, licensees will be required to disclose in the advertisement that a consultation is required and that the purchaser will receive a refund if not eligible to receive the service.

- SB 482 (Lara, Chapter 708, Statutes of 2016) will require healthcare practitioners to consult the Controlled Substance Utilization Review and Evaluation System (CURES) prescription drug database prior to prescribing a Schedule II, Scheduled III, or Schedule IV controlled substance to the patient for the first time, and at least every four months thereafter if the patient continues using the medication as part of his or her treatment. This bill will allow for certain exemptions and limited quantities in specified situations.

FEE*

Physician Assistant	Actual Fee	Statutory Limit
APPLICATION FEE*	\$25	\$25
INITIAL LICENSE FEE	\$200	\$300
TOTAL INITIAL LICENSE FEE	\$225	-
BIENNIAL RENEWAL FEE	\$300	\$300

* The application fee using fingerprint cards is \$49.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PHYSICIAN ASSISTANT	978	942	4,855

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PHYSICIAN ASSISTANT	N/A	10,764	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PHYSICIAN ASSISTANT	EVERY 2 YEARS	50 HRS. OR NATIONAL CERTIFICATION

Exam Results	
N/A	

Summary of Enforcement Activity

Consumer Complaints—Intake	
367	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
373	REFERRED FOR INVESTIGATION
176	PENDING

Conviction/Arrest Notification Complaints	
26	RECEIVED
25	CLOSED/REFERRED FOR INVESTIGATION
1	PENDING

Inspections	
N/A	

Investigations	
734	OPENED
397	CLOSED
192	PENDING

Number of Days to Complete Intake and Investigations	
94	UP TO 90 DAYS
56	91 TO 180 DAYS
65	181 DAYS TO 1 YEAR
35	1 TO 2 YEARS
6	2 TO 3 YEARS
0	OVER 3 YEARS
190	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

PHYSICIAN ASSISTANT BOARD

Summary of Enforcement Activity continued

Citations and Fines	
10	ISSUED
10	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
360	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$2,750	ASSESSED
\$0	REDUCED
\$1,000	COLLECTED

Criminal/Civil Actions	
1	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
26	CASES OPENED/INITIATED
47	CASES CLOSED
41	CASES PENDING

Number of Days to Complete AG Cases	
1	1 YEAR
2	1 TO 2 YEARS
2	2 TO 3 YEARS
1	3 TO 4 YEARS
1	OVER 4 YEARS
1,075	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
20	ACCUSATIONS FILED
3	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
3	REVOCAION
4	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
6	SUSPENSION ONLY
62	PROBATION ONLY
3	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$43,902	ORDERED
\$34,276	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
1	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
1	REVOCAION
1	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Receipt of Complaint to Assignment to Investigator	
15	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
157	AVERAGE NUMBER OF DAYS

CALIFORNIA BOARD OF PODIATRIC MEDICINE

Podiatric Doctors

Licenses and regulates doctors of podiatric medicine.

STAFF:

4 civil service
1 exempt

LICENSES:

2,333

BOARD STAFF:

Interim Executive Officer:
Kathleen Cooper
kathleen.cooper@dca.ca.gov

BOARD MEMBERSHIP:

4 licensees
3 public representatives

STRATEGIC PLAN ADOPTED:

2015

www.bpm.ca.gov



LAWS AND REGULATIONS:

Business and Professions Codes §§ 2460 – 2499.8

Title 16, Division 13.9, California Code of Regulations §§ 1399.650 – 1399.725

SUNSET REVIEW:

Last review: 2016 Next review: 2020

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
VACANT	JUNE 1, 2018	Senate/Public	NO
DR. JOHN CHA (PRESIDENT)	JUNE 1, 2016	Governor/Public	NO
KRISTINA M. DIXON, MBA	JUNE 1, 2018	Assembly/Public	NO
NEIL B. MANSDORF, DPM	JUNE 1, 2016	Governor/Professional	NO
JUDITH MANZI, DPM	JUNE 1, 2018	Governor/Professional	NO
MICHAEL ZAPF, DPM (VICE PRESIDENT)	JUNE 1, 2017	Governor/Professional	NO
DARLENE TRUJILLO-ELLIOTT	JUNE 1, 2019	Senate/Public	NO

COMMITTEES:

Name	Contact(s) / Title(s)
PUBLIC EDUCATION	Judith Manzi, Chair and Darlene Trujillo-Elliott
LICENSING	Dr. John Cha, President
ENFORCEMENT	Neil Mansdorf, Chair and Kristina Dixon
LEGISLATIVE	Kristina Dixon, Chair and Michael Zapf, Vice President
EXECUTIVE MANAGEMENT	Dr. John Cha, President and Michael Zapf, Vice President

RECIPROcity (BPC § 2488)

Applicants licensed as a doctor of podiatric medicine (DPM) in any other state, in addition to the educational requirements, must meet the following requirements:

- Pass Part III of the national exam within the last ten years.

- Satisfactorily complete at least one year of postgraduate training.
- Submit fingerprints and obtain criminal record clearance from the state Department of Justice and the Federal Bureau of Investigation. (Applicants in proximity to California may opt to obtain clearances utilizing the Live Scan method.)
- Provide verification of a license in good standing from all states or countries in which a medical license has been held.
- Request a disciplinary databank report from the Federation of Podiatric Medicine be sent to the directly to the Board.

MAJOR ACCOMPLISHMENTS

- Increased the Board's social media presence through Facebook and Twitter. Board meetings are now webcast and the videos are uploaded to YouTube, providing detailed information on matters before the Board. BPM has also updated its website with translation services, and the appearance and functionality have been greatly improved, especially as these relate to accessing the website via handheld devices.
- Conducted a fee audit to determine if BPM's current fee levels were sufficient for the recovery of the actual costs incurred in conducting BPM programs. The performance audit included data from the past five years and made projections for the next five years. The fee audit resulted in a projection of expenditures and revenues that analyzed future operational expenses as well as BPM's fund condition.
- With assistance from the Department of Consumer Affairs' Office of Publications, Design and Editing, reinstated the Board's newsletter, *Footnotes*.
- Completed the newly revised edition of the Board's Policy Manual. The revised manual includes policies and procedures for officers, board members, staff members, meetings, committees, and related roles and responsibilities.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- AB 2193 (Salas, Chapter 459, Statutes of 2016) will extend the sunset date of the Board of Podiatric Medicine until January 1, 2021.
- AB 2744 (Gordon, Chapter 360, Statutes of 2016) will establish that payment for advertising, where a licensee sells services through a third-party advertiser (such as Groupon), does not constitute a referral of patients when the third-party advertiser does not recommend, endorse, or otherwise select a licensee itself. Additionally, this bill will entitle the purchaser of services to a full refund in the event the licensee determines, after consultation with the purchaser, that the service is not appropriate, or if the purchaser elects not to receive the service for any reason and requests a refund. Finally, licensees will be required to disclose in the advertisement that a consultation is required and that the purchaser will receive a refund if not eligible to receive the service.
- SB 482 (Lara, Chapter 708, Statutes of 2016) will require healthcare practitioners to consult the Controlled Substance Utilization Review and Evaluation System (CURES) prescription drug database prior to prescribing a Schedule II, Scheduled III, or Schedule IV controlled substance to the patient for the first time, and at least every four months thereafter if the patient continues using the medication as part of his or her treatment. This bill will allow for certain exemptions and limited quantities in specified situations.
- SB 1348 (Cannella, Chapter 174, Statutes of 2016) will require Department programs that authorize veterans to apply military experience and training towards licensure requirements to post information on the program's website about applying military experience and training towards licensure requirements.
- SB 1478 (Committee on Business, Professions and Economic Development, Chapter 489, Statutes of 2016) will authorize the Board of Podiatric Medicine to elect a secretary who shall hold the position at the pleasure of the Board.

FEE*

Doctor Of Podiatric Medicine	Actual Fee	Statutory Limit
INITIAL LICENSE FEE	\$800 + \$100*	\$900
BIENNIAL RENEWAL FEE	\$900	\$900
CURES	\$6	\$6
APPLICATION FEE	\$20	\$20
DUPLICATE LICENSE	\$40	\$40
DUPLICATE RENEWAL RECEIPT	\$40	\$40
LETTER OF GOOD STANDING/ ENDORSEMENT	\$30	\$30
DOCTOR OF PODIATRIC MEDICAL RESIDENT LICENSE	\$60	\$60
ANKLE LICENSE APPLICATION AND EXAM FEE	\$50 + \$700	\$50 + \$700
EXAM APPEAL FEE	\$25	\$25
CME COURSE APPROVAL	\$100	\$100

* Initial licensee fee is the actual fee of \$800 plus a \$100 fee for the issuance of the certificate paid at the time an applicant qualifies for a certificate. Application fees are \$20 for both applicant categories.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PERMANENT DOCTOR OF PODIATRIC MEDICINE	99	71	1,051
FEE-EXEMPT LICENSE	21	21	84
RESIDENT STATUS LICENSE	48	50	74

Exam Results	
	N/A

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PERM DOCTOR OF PODIATRIC MEDICINE	N/A	2,191	N/A
FEE-EXEMPT LICENSE	N/A	142	N/A
RESIDENT STATUS LIC	N/A	117	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PERMANENT DOCTOR OF PODIATRIC MEDICINE	EVERY 2 YEARS	50
FEE-EXEMPT LICENSE	EVERY 2 YEARS	*50
RESIDENT STATUS LIC	** N/A	N/A

* Retired/disabled: CE units not required.

** Yearly extension based on resident program approval.

Summary of Enforcement Activity

Consumer Complaints—Intake	
123	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
130	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
9	RECEIVED
10	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Investigations	
140	OPENED
152	CLOSED
121	PENDING

Number of Days to Complete Intake and Investigations	
48	UP TO 90 DAYS
44	91 TO 180 DAYS
44	181 DAYS TO 1 YEAR
15	1 TO 2 YEARS
1	2 TO 3 YEARS
0	OVER 3 YEARS
181	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
1	ISSUED
1	ISSUED WITH A FINE
1	WITHDRAWN
0	DISMISSED
1	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

CALIFORNIA BOARD OF PODIATRIC MEDICINE

Summary of Enforcement Activity continued

Total Amount of Fines	
\$250	ASSESSED
\$250	REDUCED
\$0	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
7	CASES OPENED/INITIATED
6	CASES CLOSED
10	CASES PENDING

Number of Days to Complete AG Cases	
2	1 YEAR
2	1 TO 2 YEARS
0	2 TO 3 YEARS
2	3 TO 4 YEARS
0	OVER 4 YEARS
655	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
4	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMITTED
0	ACCUSATIONS WITHDRAWN/DISMITTED

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
2	REVOCAION
1	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
2	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$18,513.75	ORDERED
\$12,834.34	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
14	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
179	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
230	AVERAGE NUMBER OF DAYS

BUREAU FOR PRIVATE POSTSECONDARY EDUCATION

Postsecondary Education

Oversees and regulates private postsecondary educational institutions located in California.

STAFF:

100 civil service
1 exempt

EDUCATIONAL
INSTITUTIONS APPROVED:

1,137

BOARD STAFF:

Chief: Joanne Wenzel
joanne.wenzel@dca.ca.gov

Deputy Chief: Leeza Rifredi
leeza.rifredi@dca.ca.gov

STRATEGIC PLAN ADOPTED:

2016

SUNSET REVIEW:

Last review: 2016
Repeal date: January 1, 2021

www.bppe.ca.gov



Bureau for Private Postsecondary Education

LAWS AND REGULATIONS:

California Education Code §§ 94800 – 94950

Title 5, Division 7.5, California Code of Regulations §§ 70000 – 76240

BUREAU ADVISORY COMMITTEE:

3 institutional representatives
2 employer members
2 past students of institutions
3 consumer advocates
2 legislative members

COMMITTEES:

Education Code § 94880 requires the appointment of 14 individuals to serve on an advisory committee for the Bureau. The Bureau is required to actively seek input from, and consult with, the Advisory Committee regarding the development of regulations, licensing procedures, and fee schedules.

Name	Appointment Authority/Appointment Type	Req. Senate Confirmation
DIANA AMAYA	Senate Rules Committee/Public	NO
TAMIKA BUTLER	Speaker of the Assembly/Public	NO
MITCHELL FUERST	DCA Director/Institutional Rep	NO
KATHERINE LEE-CAREY	DCA Director/Institutional Rep	NO
KEN MCELDOWNEY	DCA Director/Consumer Advocate	NO
MARGARET REITER (VICE CHAIR)	Senate Rules Committee/Consumer Advocate	NO
GABRIELLE JIMENEZ	DCA Director/Past Student of Institution	NO
ASSEMBLYMEMBER JOSE MEDINA	Speaker of the Assembly/Ex-Officio Non-Voting Legislative Member	NO
SENATOR JERRY HILL	Senate Rules Committee/Ex-Officio Non-Voting Legislative Member	NO
VACANT	Speaker of the Assembly/ Consumer Advocate	NO
VACANT	DCA Director/Employer Member	NO
VACANT	DCA Director/Employer Member	NO

RECIPROCITY

Not applicable—the Bureau licenses “brick and mortar” entities within the state.

MAJOR ACCOMPLISHMENTS

- Reduced the backlog of licensing applications by 40 percent, enhancing customer service.
- Developed a master institutional roster to track risk factors and compliance inspections to identify priorities of compliance inspections.
- Reduced the backlog of complaints by 9 percent with an increase of complaints received by 26 percent.
- Issued 74 citations for a total of \$807,910 in fines assessed and a 76 percent increase in the number of cases referred to the Office of the Attorney General for disciplinary action.
- Closed 929 investigations, an increase of 38 percent from Fiscal Year 2014–15.
- Attended nine major outreach events to educate students on what to look for when choosing a private postsecondary college.
- Processed 475 Student Tuition Recovery Fund (STRF) claims and refunded \$1,319,116 to compensate those students who suffered a loss because their school closed before they completed their education.
- Developed and adopted the Bureau's 2016–2020 Strategic Plan, identifying eight strategic goal areas to accomplish our mission and reach our vision.
- Established a task force to review standards for educational and training programs specializing in innovative subject matters and transmitted a report with recommendations of the findings to the Advisory Committee.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Introduced proposed language to establish Uniform Reporting Requirements in July 2015 and noticed four modifications as required from AB 2296, and submitted to Office of Administrative Law for final approval.
- Re-adopted emergency regulations for implementing the requirement that all degree-granting institutions be accredited.
- Made permanent the emergency regulations for implementing the requirement that all degree-granting institutions be accredited.
- Noticed STRF regulations package as related to SB 1247.
- Noticed Prioritization of Complaints and Compliance Inspections regulations package as related to SB 1247.
- Held stakeholder meetings to gather input from interested parties as a first step toward regulation packages for applications and operating standards.
- SB 1192 (Hill, Chapter 593, Statutes of 2016) will: 1) allow the Bureau to provide timeline extensions to degree-granting institutions that are seeking accreditation; 2) create the Office of Student Assistance and Relief (Office); 3) expand Student Tuition Recovery Fund (Recovery Fund) eligibility under certain circumstances; 4) allow legal service fees to be reimbursable through the Recovery Fund up to \$500; 5) require out-of-state institutions that enroll California students to register with the Bureau and participate in the Recovery Fund; 6) allow the Bureau to use evidence gathered during a compliance inspection in an investigation; 7) require any institution under investigation by an oversight entity to notify the Bureau of the investigation; 8) establish that a student's Recovery Fund eligibility is not reduced unless a student loan obligation is discharged, canceled, or forgiven; 9) remove two members from the Bureau's Advisory Committee; 10) make various minor and technical changes; 11) modify the Bureau's fee structure; and, 12) extend the California Private Postsecondary Education Act of 2009's sunset date from January 1, 2017, to January 1, 2021.

BUREAU FOR PRIVATE POSTSECONDARY EDUCATION

FEE*

Institution Licensure Fees for Initial Operating Permit	Actual Fee	Statutory Limit
INITIAL INSTITUTION APPROVAL FEE	\$5,000	\$5,000
APPROVAL OF NEW BRANCH OF AN EXISTING INSTITUTION	\$3,000	\$3,000
APPROVAL BY MEANS OF ACCREDITATION	\$750	\$750

* The Bureau's fees are prescribed in statute and do not give caps to the majority of fees (Education Code § 94930.5).

Institution Renewal (5Years)	Actual Fee	Statutory Limit
RENEWAL OF MAIN CAMPUS	\$3,500	\$3,500
RENEWAL OF BRANCH	\$3,000	\$3,000
RENEWAL OF ACCREDITED INSTITUTION	\$500	\$500

Fees to Make Substantial Changes to Operate	Actual Fee	Statutory Limit
NON-ACCREDITED INSTITUTIONS	\$500	\$500
ACCREDITED INSTITUTIONS	\$250	\$250

Additional Fees	Actual Fee	Statutory Limit
ANNUAL INSTITUTION FEE	0.75% of revenue generated from California students (cap of \$25,000)	0.75% of revenue generated from California students (cap of \$25,000)
ANNUAL BRANCH FEE	\$1,000	\$1,000
STUDENT TUITION RECOVERY FUND FEE	\$0.00 per \$1,000 of institutional charges	Bureau discretion when fund between \$20 and \$25 million, fund cannot exceed \$25 million (collection \$0), fund below \$20 million Bureau must set assessment above \$0.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	DENIED
NON-ACCREDITED	52	95	29
ACCREDITED INSTITUTION	74	62	6
VERIFICATION OF EXEMPTION	211	123	93
RENEWAL	85	136	42
SUBSTANTIVE CHANGES	422	402	27

Licensing Population by Type			
TYPE	MAIN	BRANCH	SATELLITES
FULL APPROVAL	1,137	407	423

Exams
N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
NON-ACCREDITED	EVERY 5 YEARS	N/A
ACCREDITED INSTITUTION	VARIABLE	N/A
VERIFICATION OF EXEMPTION	NONE	N/A

Summary of Enforcement Activity

Consumer Complaints—Intake	
962	RECEIVED
132	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
830	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
N/A	RECEIVED
N/A	CLOSED/REFERRED FOR INVESTIGATION
N/A	PENDING

Inspections	
166	INSPECTIONS CONDUCTED
97	INSPECTIONS NOTICE TO COMPLY ISSUED
96	REFERRALS TO INVESTIGATIONS AND DISCIPLINE
0	NOTICE OF DISAGREEMENT

Investigations	
830	OPENED
928	CLOSED
954	PENDING

Number of Days to Complete Intake and Investigations	
202	UP TO 90 DAYS
145	91 TO 180 DAYS
145	181 DAYS TO 1 YEAR
173	1 TO 2 YEARS
129	2 TO 3 YEARS
0	OVER 3 YEARS
494	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Summary of Enforcement Activity continued

Total Amount of Fines	
\$807,910	ASSESSED
\$371,903	REDUCED
\$235,568	COLLECTED

Citations and Fines	
95	ISSUED
94	ISSUED WITH A FINE
26	WITHDRAWN
1	DISMISSED
376	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
74	CASES OPENED/INITIATED
38	CASES CLOSED
71	CASES PENDING

Number of Days to Complete AG Cases	
6	1 YEAR
2	1 TO 2 YEARS
2	2 TO 3 YEARS
0	3 TO 4 YEARS
1	OVER 4 YEARS
702	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
29	STATEMENTS OF ISSUES FILED
8	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
24	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
2	ACCUSATIONS WITHDRAWN/DISMISSED

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
0	TOTAL NUMBER FILED

Administrative Outcomes/Final Orders	
3	REVOCAION
2	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
0	PUBLIC REPRIMAND
7	OTHER DECISIONS

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
3	REVOCAION
2	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
0	PUBLIC REPRIMAND
7	OTHER DECISIONS

Cost Recovery to DCA	
\$309,484	ORDERED
\$20,230	COLLECTED

Student Tuition Recovery Fund (STRF)	
192	2014/15 CARRY-OVER CLAIMS
628	CLAIMS RECEIVED
295	CLAIMS PAID
180	CLAIMS DENIED/INELIGIBLE
345	2014/15 CARRY-OVER CLAIMS

Consumer Restitution (STRF)	
\$0	RESTITUTION ORDERED
\$1,319,116	AMOUNT REFUNDED TO STUDENTS
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
3	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
433	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
260	AVERAGE NUMBER OF DAYS

BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS

BPELSG

Licenses and regulates engineers, land surveyors, geologists, and geophysicists.

STAFF:

43.7 civil service

LICENSES:

106,692

BOARD STAFF:

Executive Officer:

Richard Moore

ric.moore@dca.ca.gov

Assistant Executive Officer:

Nancy Eissler

nancy.eissler@dca.ca.gov

BOARD MEMBERSHIP:

15

STRATEGIC PLAN ADOPTED:

2015

www.bpelsg.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 6700 – 6799 (Engineers),

§§ 7800 – 7887 (Geologists and Geophysicists), and §§ 8700 – 8805 (Land Surveyors)

Title 16, Division 5, California Code of Regulations §§ 400 – 476 (Engineers/Surveyors)

Title 16, Division 29, California Code of Regulations §§ 3000 – 3067 (Geologists)

SUNSET REVIEW:

Last review: 2014/15 Inoperative/Repeal date: January 1, 2020

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
NEJLA NATALIE BAMSHAD-ALAVI	JUNE 30, 2020	Governor/Professional	NO
FEL ANTHONY AMISTAD	JUNE 30, 2018	Assembly/Public	NO
ASHA BROOKS	JUNE 30, 2016	Governor/Public	NO
CHELSEA EQUIBIAS	JUNE 30, 2019	Senate/Public	NO
CYNTHIA GUZMAN	JUNE 30, 2019	Governor/Public	NO
KATHY JONES IRISH	JUNE 30, 2018	Governor/Public	NO
ERIC JOHNSON	JUNE 30, 2017	Governor/Professional	NO
COBY KING (FY16–17 PRESIDENT)	JUNE 30, 2020	Governor/Public	NO
ASHA LANG	JUNE 30, 2020	Governor/Public	NO
ELIZABETH MATHIESON	JUNE 30, 2018	Governor/Professional	NO
MOHAMMAD AHSAN QURESHI (FY 16–17 VICE PRESIDENT)	JUNE 30, 2018	Governor/Professional	NO
KAREN ROBERTS	JUNE 30, 2017	Governor/Professional	NO
WILLIAM “JERRY” SILVA	JUNE 30, 2018	Governor/Public	NO
ROBERT STOCKTON	JUNE 30, 2019	Governor/Professional	NO
STEVE WILSON	JUNE 30, 2019	Governor/Professional	NO

BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS CONTINUED

RECIPROCITY (BPC § 6759, § 7847, AND § 8748)

An engineer or land surveyor registered in another state may apply for licensure in California by comity. Comity applicant must submit a complete application including work descriptions, references that can verify the work experience, college transcripts (optional), and verification of successful examination in another state. The complete application must be reviewed and approved by a staff engineer or land surveyor. In addition, comity applicants must take and pass the California Laws and Board Rules examination, a 25-question multiple-choice examination that is completed at home and returned to the Board office for scoring.

California accepts results of the National Council of Examiners for Engineering and Surveying (NCEES) exams for the practice act branches of civil, electrical, and mechanical engineering; the title act engineering branches, except for traffic, for which there is no national NCEES examination; and the NCEES Principles of Surveying examination for land surveying. Civil engineering applicants must also pass the California Seismic Principles and Engineering Surveying exams, which are both mandated by statute. Land surveying applicants must pass the California Professional Land Surveying examination, as required by statute. If the home state has waived the Fundamentals of Engineering or Fundamentals of Surveying examination, the application is evaluated to see if the home state's waiver matches California's waiver requirements; if not, the applicant must pass the respective fundamentals examination or have 14–17 years of experience.

California law does not provide for comity for foreign applicants registered in another country for engineering or land surveying disciplines. Applicants from foreign countries are required to submit the same application as first-time California applicants and pass all required examinations.

The Board may accept out-of-state or foreign registration as qualification to register as a geologist or geophysicist so long as the applicant's qualifications meet the requirements to become a registered geologist or geophysicist in California.

MAJOR ACCOMPLISHMENTS

- Effective July 1, 2015, the Board's fingerprint program became operative. SB 543 (Chapter 448, Statutes of 2011) added the Board to the list of boards authorized to collect fingerprints from applicants for the purpose of a criminal history record check when submitting an application for licensure. Anyone licensed after July 1, 2015, had to submit fingerprints and be cleared through a criminal history record check.
- Attended the Society of American Military Engineers (SAME) Orange County and San Diego Posts' 11th annual Camp Pendleton Day. The goal of the SAME event is to provide mentoring and networking opportunities to transitioning military and veterans. The Board's staff electrical engineer attended the event to provide information on the types of skills needed as well as types of careers available in the engineering field for transitioning military personnel and veterans.
- Conducted targeted outreach for the Geology and Geophysics Program to university geology departments. The Board visited 13 universities during Fiscal Year 2015–2016 with a total attendance of approximately 320 students. The focus of the effort is to educate students and faculty about the Board's purpose and professional licensing requirements. Also, faculty were encouraged to discuss licensing requirements with students as they map out their education, and students were advised to obtain their Geologist-in-Training (GIT) certificate by taking the national Fundamentals of Geology examination as soon as they are qualified in their senior year of college or shortly after graduation. The presentation encouraged students to begin taking control of their future careers by understanding licensing requirements and keeping up to date with Board activities. Board members Dr. Mohammad Qureshi and Chelsea Esquibias participated in university outreach events in their geographic areas. Additionally, the Geology and Geophysics Program teamed with the National Association of State Boards of Geology to staff an information booth at the Geological Society of America Cordilleran Section meeting from April 4–6, in Ontario, California. This provided an opportunity to meet with university geology students one-on-one in a relaxed setting.

CONTINUED

and provide them with information regarding the GIT Certificate and professional licensing requirements, as well as the national and state licensing exams. Information on professional licensing was also provided to university geology departments in attendance. Approximately 250 students stopped by the booth.

An additional GIT presentation was given at the Sacramento Chapter of the Association of Environmental and Engineering Geologists Student Night. Approximately 30 people attended this event.

The results of the university outreach efforts have been positive. The Board has seen an increase in GIT applications of 75 percent for the fall 2016 exam cycle as compared to the fall 2015 exam cycle. The Board intends to continue with focused geology outreach to universities on an ongoing basis.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Amended Business and Professions Code (BPC) Sections 6710 and 8710 to extend the operation of the Board until January 1, 2020.
- Amended BPC Sections 6775.2, 8780.2, and 7860.2 to merge the Professional Engineer's and Land Surveyor's Fund with the Geology and Geophysics Account into one fund effective July 1, 2016. The consolidated fund will be known as the Professional Engineer's, Land Surveyor's, and Geologist's Fund.
- Added BPC Sections 6775.2, 7860.2, and 8780.2 to read as follows: "(a) The failure of, or refusal by, a licensee or a certificate holder to respond to a written request from a representative of the board to cooperate in the investigation of a complaint against that licensee or certificate holder constitutes a cause for disciplinary action under Section 6775 or 6775.1. (b) This section shall remain in effect only until January 1, 2020, and as of that date is repealed."
- Amended BPC Section 7841(b) regarding the requirements for licensure as a professional geologist to read as follows: "Graduation from a college or university with a major in geological sciences or any other discipline that, in the opinion of the board, is relevant to geology."
- Amended BPC Section 7841.1(b) regarding the requirements for licensure as a professional geophysicist to clarify that the Board can accept the equivalent of 30 semester hours by adding the phrase "or the equivalent."
- Amended BPC Section 7841.2 regarding the requirements for certification as a geologist-in-training to clarify that graduation is not a requirement to sit for the Fundamentals of Geology exam but is a requirement to obtain the certification (and it uses the same language as amended in Section 7841(b) regarding the course of study).
- Amended BPC Section 6735 regarding the preparation, signing, and sealing of civil engineering documents to remove the new subdivisions (b) and (c) that were added last year, which were causing confusion among licensees.
- Amended BPC Sections 6738 and 8729 regarding engineering and land surveying businesses to extend the sunset date for limited liability partnerships to 2019.
- SB 1085 (Roth, Chapter 629, Statutes of 2016) will require licensees of the Board to complete a assessment within 60 days of expiration of their license to assess their knowledge of requirements of their respective practice act, either the Professional Engineers Act, the Professional Land Surveyors' Act, or the Geologist and Geophysicist Act. If the assessment is not completed in a timely manner, this bill will authorize the Board to pursue disciplinary action against the licensee.
- SB 1165 (Bonilla, Chapter 236, Statutes of 2016) will extend the timeframe professional engineers and land surveyors have to renew their license from three to five years. This bill will also require geologists and geophysicists to stamp and seal each final work document they produce. This bill will also authorize the Board to accept 30 semester hours of coursework that is relevant to geology in lieu of graduation with a major in geological sciences to meet geologist or geologist-in-training educational requirements. Finally, this bill will make numerous technical, nonsubstantive, or noncontroversial changes to each of the practice acts enforced by the Board.

BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS CONTINUED

- SB 1479 (Committee on Business, Professions and Economic Development, Chapter 634, Statutes of 2016) will allow the Board to contract with a public or private organization to conduct examinations or provide examination materials. In addition, this bill will allow the Board to authorize an organization to receive payment for examination directly from a geologist or geologist-in-training applicant. This provision will conform to processes already allowed for engineers (Code Sections 6750 and 6754) and land surveyors (Code Sections 8745 and 8740).

LICENSING REQUIREMENTS

DEGREE/PROFESSIONAL SCHOOLING	*
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	YES
EXAMINATION	YES
CONTINUING EDUCATION/COMPETENCY	NO
FINGERPRINTING REQUIREMENT	YES

* Not required for engineers and land surveyors; required for geologists and geophysicists.

FEES

Engineer Fees*	Actual Fee	Statutory Limit
ENGINEER-IN-TRAINING FEE	\$50	\$100
APPLICATION FEE	\$125	\$400
EXAMINATION FEE	**	Actual Cost
TOTAL INITIAL LICENSE FEES	***	-
BIENNIAL RENEWAL FEE	\$115	\$400****

* Additional fees may be required per Business and Professions Code (B & P) Code § 6799 and 16 CCR § 407.

** Examination fee depends on which examination or combination of examinations the person is taking each examination cycle per 16 CCR § 407.

*** Varies dependent upon discipline of licensure and path to licensure.

**** Statutory limit changes to \$400 as of 1/1/17 (Ch.236, Stats.2016) At not more than the professional engineer application fee in effect at the time per B&P Code § 6799.

Land Surveyor Fees*	Actual Fee	Statutory Limit
LAND SURVEYOR-IN-TRAINING FEE	\$50	\$100
APPLICATION FEE	\$125	\$400
EXAMINATION FEE	**	Actual Cost
TOTAL INITIAL LICENSE FEES	***	-
BIENNIAL RENEWAL FEE	\$115	\$400****

* Additional fees may be required per B & P Code §6799 and 16 CCR §407.

** Examination fee depends on which examination or combination of examinations the person is taking each examination cycle per 16 CCR §407.

*** Varies dependent upon path to licensure.

**** Statutory limit changes to \$400 as of 1/1/17 (Ch.236, Stats.2016) At not more than the application fee in effect at the time per B&P Code § 8805.

Geologist Fees*	Actual Fee	Statutory Limit
APPLICATION FEE	\$250	\$250
EXAMINATION FEE	**	Actual Cost
LICENSURE FEE	\$270***	***
TOTAL INITIAL LICENSE FEES	****	-
RENEWAL FEE	\$270	\$400

* Additional fees may be required per B & P Code §7887 and 16 CCR §3005.

** Examination fee depends on which examination or combination of examinations the person is taking each examination cycle per 16 CCR § 3005.

*** License fee shall be fixed at an amount equal to the renewal fee in effect on the last regular renewal date before the date on which the certificate is issued, unless the certificate will expire less than one year after issuance, in which case the fee shall be fixed at an amount equal to 50 percent of the renewal fee in effect on the last regular renewal date, per B&P Code § 7887.

**** Varies dependent upon path to licensure and proration of initial licensure fee.

Specialty Geologist Certifications*	Actual Fee	Statutory Limit
APPLICATION FEE	\$250	\$250
EXAMINATION FEE	\$100	Actual Cost But Not More Than \$100
LICENSURE FEE	\$67.50**	**
TOTAL INITIAL LICENSE FEES	***	-
RENEWAL FEE	\$67.50	\$100

* Additional fees may be required per B & P Code § 7887 and 16 CCR § 3005.

** License fee shall be fixed at an amount equal to the renewal fee in effect on the last regular renewal date before the date on which the certificate is issued, unless the certificate will expire less than one year after issuance, in which case the fee shall be fixed at an amount equal to 50 percent of the renewal fee in effect on the last regular renewal date, per B&P Code § 7887.

*** Varies dependent upon proration of initial licensure fee.

BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS

Geophysicist Fees*	Actual Fee	Statutory Limit
APPLICATION FEE	\$250	\$250
EXAMINATION FEE	\$100	Actual Cost But Not More Than \$100
LICENSURE FEE	\$250**	**
TOTAL INITIAL LICENSE FEES	***	-
RENEWAL FEE	\$270	\$400

* Additional fees may be required per B & P Code § 7887 and 16 CCR § 3005.

** License fee shall be fixed at an amount equal to the renewal fee in effect on the last regular renewal date before the date on which the certificate is issued, unless the certificate will expire less than one year after issuance, in which case the fee shall be fixed at an amount equal to 50 percent of the renewal fee in effect on the last regular renewal date, per B&P Code § 7887.

*** Varies dependent upon path to licensure and proration of initial licensure fee.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
AG-AGRICULTURAL	3	1	81
CH-CHEMICAL	86	40	1005
CE-CIVIL	6,518	1,501	29,278
CONS-CONSULTING	N/A	N/A	0
CS-CONTROL SYSTEM	27	24	380
CR-CORROSION	N/A	N/A	130
E-ELECTRICAL	803	316	4,997
FP-FIRE PROTECTION	53	24	387
GE-GEOTECHNICAL	56	11	775
I-INDUSTRIAL	6	3	97
LS-LAND SURVEYOR	389	71	1,909
MF-MANUFACTURING	N/A	N/A	154
ME-MECHANICAL	888	448	8,602
MT-METALLURGICAL	11	9	129
NU-NUCLEAR	1	0	268
PT-PETROLEUM	8	4	183
PHOTO-PHOTO SURVEYOR	N/A	N/A	N/A
QU-QUALITY	N/A	N/A	162
SF-SAFETY	N/A	N/A	193
SE-STRUCTURAL	426	117	2,133
TR-TRAFFIC	79	30	649
PG-PROFESSIONAL GEOLOGIST	233	100	2,436
PROFESSIONAL GEOPHYSICIST	9	4	69
CERT ENGINEERING GEOLOGIST	41	22	734
CERTIFIED HYDROGEOLOGIST	31	12	435
ENGINEER IN TRAINING	2,704	2,561	N/A
GEOLOGIST IN TRAINING	147	82	N/A
LAND SURVEYOR IN TRAINING	54	49	N/A

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
AG-AGRICULTURAL	N/A	139	N/A
CH-CHEMICAL	N/A	1,968	N/A
CE-CIVIL	N/A	55,965	N/A
CONS-CONSULTING	N/A	4	N/A
CS-CONTROL SYSTEM	N/A	1,017	N/A
CR-CORROSION	N/A	184	N/A
E-ELECTRICAL	N/A	10,024	N/A
FP-FIRE PROTECTION	N/A	777	N/A
GE-GEOTECHNICAL	N/A	1,424	N/A
I-INDUSTRIAL	N/A	322	N/A
LS-LAND SURVEYOR	N/A	4,163	N/A
MF-MANUFACTURING	N/A	321	N/A
ME-MECHANICAL	N/A	15,307	N/A
MT-METALLURGICAL	N/A	212	N/A
NU-NUCLEAR	N/A	365	N/A
PT-PETROLEUM	N/A	332	N/A
PHOTO-PHOTO SURVEYOR	N/A	1	N/A
QU-QUALITY	N/A	396	N/A
SF-SAFETY	N/A	321	N/A
SE-STRUCTURAL	N/A	4,200	N/A
TR-TRAFFIC	N/A	1,508	N/A
PG-PROFESSIONAL GEOLOGIST	N/A	5,126	N/A
PROFESSIONAL GEOPHYSICIST	N/A	163	N/A
CERTIFIED ENGINEERING GEOLOGIST	N/A	1,528	N/A
CERTIFIED HYDROGEOLOGIST	N/A	925	N/A
ENGINEER IN TRAINING	53,128	N/A	N/A
GEOLOGIST IN TRAINING	690	N/A	N/A
LAND SURVEYOR IN TRAINING	2,803	N/A	N/A

Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
AG-AGRICULTURAL	EVERY 2 YEARS	N/A
CH-CHEMICAL	EVERY 2 YEARS	N/A
CE-CIVIL	EVERY 2 YEARS	N/A
CONS-CONSULTING	EVERY 2 YEARS	N/A
CS-CONTROL SYSTEM	EVERY 2 YEARS	N/A
CR-CORROSION	EVERY 2 YEARS	N/A
E-ELECTRICAL	EVERY 2 YEARS	N/A
FP-FIRE PREVENTION	EVERY 2 YEARS	N/A
GE-GEOTECHNICAL	EVERY 2 YEARS	N/A
I-INDUSTRIAL	EVERY 2 YEARS	N/A
LS-LAND SURVEYOR	EVERY 2 YEARS	N/A
MF-MANUFACTURING	EVERY 2 YEARS	N/A
ME-MECHANICAL	EVERY 2 YEARS	N/A
MT-METALLURGICAL	EVERY 2 YEARS	N/A
NU-NUCLEAR	EVERY 2 YEARS	N/A
PT-PETROLEUM	EVERY 2 YEARS	N/A
PHOTO-PHOTO SURVEYOR	EVERY 2 YEARS	N/A
QU-QUALITY	EVERY 2 YEARS	N/A
SF-SAFETY	EVERY 2 YEARS	N/A
SE-STRUCTURAL	EVERY 2 YEARS	N/A
TR-TRAFFIC	EVERY 2 YEARS	N/A
PG-PROFESSIONAL GEOLOGIST	EVERY 2 YEARS	N/A
PROFESSIONAL GEOPHYSICIST	EVERY 2 YEARS	N/A
CERTIFIED ENGINEERING GEOLOGIST	EVERY 2 YEARS	N/A
CERTIFIED HYDROGEOLOGIST	EVERY 2 YEARS	N/A
ENGINEER IN TRAINING	VALID UNTIL PE ISSUED	N/A
GEOLOGIST IN TRAINING	VALID UNTIL PGE ISSUED	N/A
LAND SURVEYOR IN TRAINING	VALID UNTIL LS ISSUED	N/A

Exams		
PASS	FAIL	TOTAL
8,056	8,280	16,337

Summary of Enforcement Activity PROFESSIONAL ENGINEERS AND LAND SURVEYORS continued

Consumer Complaints—Intake	
305	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
305	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	

Investigations	
305	OPENED
379	CLOSED
202	PENDING

Citations and Fines	
75	ISSUED
67	ISSUED WITH A FINE
0	WITHDRAWN
12	DISMISSED
617	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$110,250	ASSESSED
\$0	REDUCED
\$88,591	COLLECTED

Criminal/Civil Actions	
8	REFERRALS FOR CRIMINAL/CIVIL ACTION
4	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
41	CASES OPENED/INITIATED
26	CASES CLOSED
61	CASES PENDING

BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS

Summary of Enforcement Activity PROFESSIONAL ENGINEERS AND LAND SURVEYORS continued

Number of Days to Complete AG Cases	
3	1 YEAR
6	1 TO 2 YEARS
7	2 TO 3 YEARS
4	3 TO 4 YEARS
6	OVER 4 YEARS
995	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
29	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
3	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
2	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
2	LICENSE APPLICATIONS DENIED
5	REVOCAION
2	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
13	PROBATION ONLY
2	PUBLIC REPRIMAND
1	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
11	TOTAL NUMBER FILED

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Subsequent Disciplinary—Administrative Outcomes/ Final Orders	
1	REVOCAION
1	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Reinstatement of Revoked License/ Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$76,064	ORDERED
\$81,392	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Summary of Enforcement Activity GEOLOGISTS

Consumer Complaints—Intake	
18	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
18	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	

Summary of Enforcement Activity GEOLOGISTS continued

Investigations	
18	OPENED
21	CLOSED
9	PENDING

Citations and Fines	
8	ISSUED
7	ISSUED WITH A FINE
0	WITHDRAWN
1	DISMISSED
715	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$9,250	ASSESSED
\$0	REDUCED
\$9,000	COLLECTED

Office of the Attorney General/Disciplinary Actions	
0	CASES OPENED/INITIATED
1	CASES CLOSED
3	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	3 TO 4 YEARS
1	OVER 4 YEARS
3,241	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
2	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCAION
0	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$5,104	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
10	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
225	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
598	AVERAGE NUMBER OF DAYS

PROFESSIONAL FIDUCIARIES BUREAU

Fiduciaries

Licenses and regulates professional fiduciaries.

STAFF:

2 civil service

1 exempt

LICENSES:

712*

BUREAU STAFF:

Chief: Vacant

Division of Programs and Policy

Review Chief: Tracy Montez

tracy.montez@dca.ca.gov

ADVISORY COMMITTEE

MEMBERSHIP:

3 licensees

4 public representatives

STRATEGIC PLAN ADOPTED:

2013

www.fiduciary.ca.gov

* Past annual reports reflected the total number of "active" and "delinquent" licensees. Due to clarification in reporting requirements, this number reflects only "active" licensees.

DEPARTMENT OF CONSUMER AFFAIRS

PFB

PROFESSIONAL FIDUCIARIES BUREAU

LAWS AND REGULATIONS:

Business and Professions Codes §§ 6500 – 6592

Title 16, Division 41, California Code of Regulations §§ 4400 – 4622

SUNSET REVIEW:

Last review: 2013/14

Inoperative/Repeal dates: January 1, 2019

ADVISORY COMMITTEE MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
JENNY CHACON	JANUARY 1, 2019	Senate/Public	NO
KING F. GEE	JANUARY 1, 2019	Governor/Public (non-profit elderly advocate)	NO
BARBARA DE VRIES (CHAIR)	JANUARY 1, 2019	Governor/Professional	NO
AILEEN FEDERIZO	JANUARY 1, 2019	Governor/Professional	NO
HANG LE TO (VICE CHAIR)	JANUARY 1, 2019	Assembly/Public	NO
DAWN AKEL	JANUARY 1, 2019	Governor/Professional	NO
KATHLEEN THOMSON	JANUARY 1, 2019	Governor/Public (probate court investigator)	NO

MAJOR ACCOMPLISHMENTS

- Filled all seven positions of the Professional Fiduciaries Advisory Committee as required in Business and Professions Code Section 6511. Having a full committee enables the Bureau to receive feedback and recommendations needed to protect consumers and continue licensing professional fiduciaries in the most efficient way possible.
- Published a consumer publication, *What is a Professional Fiduciary? A Guide for Consumers*, explaining what a professional fiduciary is and the services a licensee can provide.
- Exceeded the annual requirement to use Small Business (SB) and Disabled Veteran Business Enterprises (DVBE) vendors for purchases—36 percent and 43 percent, respectively. Each year, all state agencies are required to spend 25 percent of their annual procurements with certified SB vendors and 3 percent with certified DVBE.

PROFESSIONAL FIDUCIARIES BUREAU

CONTINUED

- Attended and presented at numerous educational events and partnered with the Contractors State License Board at Senior Scam Stopper events. Because of the Bureau's participation at these events, consumers and professionals are becoming more familiar with the profession.
- Conducted a licensee survey and had a 20 percent response rate. The results of the survey will assist the Bureau in growing the profession to keep up with the state's rapidly expanding aging population.
- Began accepting applications for approval of education courses. This gives applicants and licensees more options for completing their pre-licensing and continuing education courses.

MAJOR NEW LEGISLATION OR REGULATIONS*(Extends through the 2016 calendar year)*

- AB 139, Gatto (Chapter 293, Statutes of 2013), requires, until January 1, 2021, the creation of the revocable transfer on death deed (revocable TOD deed), which would transfer real property on the death of its owner without a probate proceeding, according to specified rules. This law also specifies that a person who feloniously and intentionally kills a decedent is not entitled generally to property and interests that are transferred outside of probate, including real property transferred by a revocable TOD deed. This law deletes the reference to court judgment and provides instead that the personal representative of the estate is permitted to enforce liability only to the extent necessary to protect the heirs, devisees, and creditors of the decedent.
- AB 436, Jones (Chapter 197, Statutes of 2013), requires the court, upon granting or denying authority to a conservator, to place a conservatee with dementia in a secured facility and authorize the administration of medications, to either discharge the attorney or order continuation of the representation, as specified.
- AB 468, Jones (Chapter 117, Statutes of 2015), deletes the requirement that the director adopt and issue regulations defining "mental health treatment facility" for the purpose of an involuntary commitment of a conservatee to a mental health treatment facility.
- AB 1085, Gatto (Chapter 92, Statutes of 2015), allows that a court order may be issued that specifically grants the conservator the power to limit or enforce the conservatee's right to receive visitors, phone calls, and personal mail. This law also requires a conservator to provide notice of a conservatee's death by mailing a copy of the notice to specified persons.
- SB 785, Morrell (Chapter 48, Statutes of 2015), defines the terms "probate estate" and "trust estate" for the purposes of property that is titled in a trust upon the death of a settlor and clarifies that certain uses of the term "estate" in existing law refer to a probate estate.
- SB 1284, Hernandez (Chapter 39, Statutes of 2016), adds a conservator of the person and a conservator of the estate to the provisions for purposes of relinquishment or petition, as specified.
- AB 1580 (Gatto, Chapter 494, Statutes of 2016) will permit a representative of a protected person to place a security freeze on the protected person's record, provide guidelines for consumer credit reporting agencies to follow when a request by a representative is made, and specify the requirements to remove such a security freeze.
- AB 1700 (Maienschein, Chapter 64, Statutes of 2016) will allow a trustee to use a Notice of Proposed Action to make a preliminary or final trust distribution, and clarify that a trustee cannot use the Notice of Proposed Action process to release himself or herself from a custodial arrangement.

FEES

Fee Schedule	Current Fee	Statutory Limit
APPLICATION FEE	\$400	Not set in statute
EXAMINATION FEE	\$345*	Not set in statute
INITIAL LICENSE FEE	\$600	Not set in statute
TOTAL INITIAL LICENSE FEES	Variable	
ANNUAL RENEWAL FEE	\$700	Not set in statute

* Examination fee is paid to a third-party vendor.

The program has additional fees that can be found in California Code of Regulations § 4580.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PROFESSIONAL FIDUCIARY	107	81	587

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PROFESSIONAL FIDUCIARY	N/A	712	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PROFESSIONAL FIDUCIARY	EVERY YEAR	15

Exams		
PASS	FAIL	TOTAL
72	74	146

Summary of Enforcement Activity

Consumer Complaints—Intake	
136	RECEIVED
2	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
133	REFERRED FOR INVESTIGATION
2	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
	N/A

Investigations	
133	OPENED
109	CLOSED
100	PENDING

Number of Days to Complete Intake and Investigations	
59	UP TO 90 DAYS
11	91 TO 180 DAYS
24	181 DAYS TO 1 YEAR
15	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
152	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
7	ISSUED
1	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
1	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$500	ASSESSED
\$0	REDUCED
\$1,000	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
1	CASES OPENED/INITIATED
1	CASES CLOSED
3	CASES PENDING

PROFESSIONAL FIDUCIARIES BUREAU

Summary of Enforcement Activity continued

Number of Days to Complete AG Cases	
1	1 YEAR
1	1 TO 2 YEARS
1	2 TO 3 YEARS
1	3 TO 4 YEARS
0	OVER 4 YEARS
698	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
2	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
2	REVOCATION
1	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$3,300	ORDERED
\$3,300	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
3	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
149	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
698	AVERAGE NUMBER OF DAYS

CALIFORNIA BOARD OF PSYCHOLOGY

Psychology

Licenses and regulates psychologists, registered psychologists, and psychological assistants.

STAFF:

24 civil service
1 exempt

LICENSES:

22,079

BOARD STAFF:

Executive Officer:
Antonette Sorrick
antonette.sorrick@dca.ca.gov

Assistant Executive Officer:
Jeffrey Thomas
jeffrey.thomas@dca.ca.gov

BOARD MEMBERSHIP:

5 licensees
4 public representatives

STRATEGIC PLAN ADOPTED:

2014

www.psychology.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 2900 – 2999

Title 16, Division 13.6, California Code of Regulations §§ 1380 – 1397.71

SUNSET REVIEW:

Last review: 2016 Inoperative/Repeal date: January 1, 2021

ADVISORY COMMITTEE MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
STEPHEN PHILLIPS, JD, PSYD (PRESIDENT)	JUNE 1, 2020	Governor/Licensee	NO
NICOLE J. JONES (VICE PRESIDENT)	JUNE 1, 2018	Governor/Public	NO
LUCILLE ACQUAYE-BADDOO	JUNE 1, 2018	Speaker/Public	NO
MICHAEL ERICKSON	JUNE 1, 2018	Governor/Licensee	NO
JACQUELINE HORN	JUNE 1, 2019	Governor/Licensee	NO
ALITA BERNAL	JUNE 1, 2020	Senate/Public	NO
VACANT		Governor/Licensee	NO
VACANT		Governor/Licensee	NO
VACANT		Governor/Public	NO

RECIPROCITY

Reciprocity licenses; Temporary practice by out-of-state licensees; Waiver of examination requirement (BPC § 2946)

A psychologist certified or licensed in another state or province and who has made application to the Board for a license in this state may perform activities and services of a psychological nature without a valid license for a period not to exceed 180 calendar days from the time of submitting his or her application or from the commencement of residency in California, whichever first occurs.

Temporary practice by licensees of other state or foreign country (BPC § 2912)

Nothing in this chapter shall be construed to restrict or prevent a person who is licensed as a psychologist at the doctoral level in another state or

CALIFORNIA BOARD OF PSYCHOLOGY

CONTINUED

territory of the United States or in Canada from offering psychological services in this state for a period not to exceed 30 days in any calendar year.

MAJOR ACCOMPLISHMENTS

- The Licensing Committee and Board staff initiated an overall review of the statutes and regulations that affect the different paths to licensure as a psychologist. This project is called the Pathways to Licensure project.
- Central Services staff worked with examination vendors to increase applicant access to the California Psychology Law and Ethics Exam by increasing the number of testing dates from two per year to four per year.
- Licensing staff provided training to California Psychology Internship Council and California Psychological Association members and directors on the requirements for supervisors of psychology trainees and also provided updates on pending legislation affecting the field of psychology and the Board.
- Enforcement staff worked in coordination with staff from the Office of the Attorney General to revamp the structure of the enforcement expert program, the ways in which the Board uses these experts in the enforcement process, and the training provided to enforcement experts.
- The Board is proactively encouraging its licensees to participate in the Access to Mental Healthcare in the State of California campaign by applying for loan repayment under the Health Professions Education Foundation's Mental Health Loan Assumption Program.
- Sponsored legislation that requires unlicensed individuals performing psychological activities within specified exempt settings to work under the supervision of a licensed psychologist, accumulate the supervised hours required for licensure, and become licensed within five years. This bill improved consumer protection by closing a loophole that allowed employees in government settings to serve vulnerable populations indefinitely, without supervision by a licensed psychologist or being subject to oversight and competency standards. This bill was signed by the Governor on August 17, 2015, and became effective January 1, 2016.
- The Board received favorable recommendations from the Assembly Business and Professions, Committee and the Senate Business, Professions and Economic Development Committee at its sunset review hearing. These committees recommended that "the practice of psychology continue to be regulated by the current Board members in order to protect the interests of the public and be reviewed once again in four years."
- Regulations became effective July 1, 2015, that allow applicants for whom English is second language to apply for and receive additional time when taking the Examination for Professional Practice in Psychology and/or California Psychology Laws and Ethics Examination if they meet specified criteria.
- SB 1193 (Hill, Chapter 484, Statutes of 2016) will extend the sunset date for the Board four years from January 1, 2017, to January 1, 2021, as well as provide several policy changes. Specifically, this bill will require an applicant to graduate from a regionally accredited institution, redefine continuing education to professional development and modify the requirements, establish policies for posting licensee information on the Board's website, create a retired license category within the Board, and make minor and technical changes to psychological assistant registration.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Sponsored legislation that changed the renewal cycle for psychology licenses from having the expiration date based on a licensee's legal birthdate to basing it on the date of issuance of the license. This bill provided clarity and consistency regarding psychology licenses for both applicants and licensees. This bill was signed by the Governor on September 28, 2015, and became effective January 1, 2016.

FEES*

Psychologist	Actual Fee	Statutory Limit
APPLICATION FEE	\$40	\$50
EXAM FEE (PAID TO THE ASSOCIATION OF STATE AND PROVINCIAL PSYCHOLOGY BOARDS)	\$600**	N/A
CALIFORNIA PSYCHOLOGY LAW AND ETHICS EXAMINATION (CPLEE) FEE	\$129**	Cost to Board
INITIAL LICENSE FEE	\$400	\$500
TOTAL INITIAL LICENSE FEES	\$1,169	-
BIENNIAL RENEWAL FEE	\$420	\$500

Psychological Assistant	Actual Fee	Statutory Limit
APPLICATION FEE	\$40	\$75
RENEWAL FEE	\$40	\$75

* Some additional fees may be required per Business and Professions Code §§ 2987, 2987.2, 2987.3 California Code of Regulations §§ 1392, 1392.1, 1397.68, 1397.69.

** Applicants for licensure as a psychologist must take the EPPP and the CPLEE in order to get licensed in the State of California.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PSYCHOLOGIST	1,446	1,055	9,689
REGISTERED PSYCHOLOGIST	187	140	0
PSYCHOLOGICAL ASSISTANT	1,042	973	764

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PSYCHOLOGIST	0	20,227	0
REGISTERED PSYCHOLOGIST	0	272	0
PSYCHOLOGICAL ASSISTANT	0	1,580	0

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PSYCHOLOGIST	EVERY 2 YEARS	36
REGISTERED PSYCHOLOGIST	NON-RENEWABLE	0
PSYCHOLOGICAL ASSISTANT	EVERY YEAR	0

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
EPPP	775	607	1,382
CPSE	0	0	0
CPLEE	1,114	214	1,328
TOTAL	1,889	821	2,710

Summary of Enforcement Activity

Consumer Complaints—Intake	
980	RECEIVED
210	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
723	REFERRED FOR INVESTIGATION
77	PENDING

Conviction/Arrest Notification Complaints	
56	RECEIVED
55	CLOSED/REFERRED FOR INVESTIGATION
62	PENDING

Inspections	
N/A	

Investigations	
63	OPENED
63	CLOSED
89	PENDING

Number of Days to Complete Intake and Investigations	
8	UP TO 90 DAYS
8	91 TO 180 DAYS
19	181 DAYS TO 1 YEAR
19	1 TO 2 YEARS
9	2 TO 3 YEARS
0	OVER 3 YEARS
327	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
0	ISSUED
86	ISSUED WITH A FINE
1	WITHDRAWN
0	DISMISSED
114	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Summary of Enforcement Activity continued

Total Amount of Fines	
\$79,205	ASSESSED
\$0	REDUCED
\$62,010	COLLECTED

Criminal/Civil Actions	
5	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
43	CASES OPENED/INITIATED
52	CASES CLOSED
58	CASES PENDING

Number of Days to Complete AG Cases	
3	1 YEAR
13	1 TO 2 YEARS
9	2 TO 3 YEARS
21	3 TO 4 YEARS
6	OVER 4 YEARS
1,047	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
7	STATEMENTS OF ISSUES FILED
30	ACCUSATIONS FILED
2	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
8	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
4	REVOCATION
13	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
1	SUSPENSION ONLY
31	PROBATION ONLY
3	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
3	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
0	

Petition for Modification or Termination of Probation	
0	GRANTED
1	DENIED
1	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$274,060	ORDERED
\$41,887	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$1,508	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
8	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
327	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
246	AVERAGE NUMBER OF DAYS

BUREAU OF REAL ESTATE

Real Estate

Licenses and regulates mortgage loan originators, real estate brokers and salespersons, and offerings of subdivided lands in California.

STAFF:

329 civil service

LICENSES:

408,357

BUREAU STAFF:

Commissioner: Wayne Bell
wayne.bell@dre.ca.gov

Chief Deputy Commissioner:
Dan Sandri
dan.sandri@dre.ca.gov

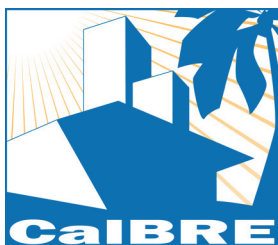
ADVISORY COMMITTEE
MEMBERSHIP

N/A licensees
N/A public representatives

STRATEGIC PLAN ADOPTED:

2016

www.bre.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 10000 – 11288

Title 10, Chapter 6, California Code of Regulations §§ 2705 – 3109

SUNSET REVIEW:

Last review: 2016

Inoperative/Repeal dates: January 1, 2021

RECIPROCITY

The Bureau has no reciprocity with other states.

MAJOR ACCOMPLISHMENTS

- Continued focus on outreach to consumers, law enforcement groups, and industry. CalBRE provided educational opportunities for industry to learn about compliance issues and for consumers to learn how to avoid falling victim to real estate fraud and schemes. CalBRE regularly met with at least 14 county-related real estate fraud task forces and coalitions to improve communication and coordinated action. CalBRE participated in more than 100 outreach events in Fiscal Year 2015–2016. For consumer events, CalBRE partnered with members of state Legislature, who hosted fraud prevention town hall meetings throughout the state. Many of the fraud prevention town halls focused on seniors, while some events focused on various immigrant populations. Events were conducted in Russian and Spanish, and fraud prevention tips unique to these communities were provided.
- The CalBRE audit program continued to be highly effective in finding and correcting violations of the Real Estate Law and trust fund handling requirements. CalBRE conducted 590 audits of real estate brokers and found \$13.3 million in trust fund shortages. Eighty of these audits had trust fund shortage findings of greater than \$10,000. The highest incidence and dollar amount of shortage was found in audits of brokers involved with property management or broker escrow activities. Audit staff was successful in having brokers cure more than \$3.3 million in shortages prior to the completion of the audits. Audit examinations continue to increase consumer protection by revealing violations of the Real Estate Law and helping to realize the return of mishandled trust funds that belong to the clients of real estate licensees.

BUREAU OF REAL ESTATE

CONTINUED

- Issued 774 citations to licensees and non-licensees for violations of the Real Estate Law and Commissioner's Regulations. Citations that are issued to real estate licensees are typically for relatively minor violations of the law that do not merit the higher disciplinary action. Citation authority also permits the Bureau to issue a citation and impose a fine on an unlicensed person engaged in an activity for which a real estate license is required.

The amount of fines issued with citations totaled \$437,509, and the amount of these fines collected as of June 30, 2016, was \$356,634.

- Initiated a joint Audit/Enforcement Section program to visit licensees who possess restricted real estate licenses. Forty-two joint investigations were conducted and closed by Audits and Enforcement. Nineteen of these joint examinations had trust fund shortages, with trust fund shortages totaling \$739,673. Restricted licensees with major violation findings face license suspension and further disciplinary action, including license revocation.
- Increased subdivision application processing. With a steady increase of new subdivision filings under way in California, the Subdivisions Section of CalBRE received and processed 3,171 Final Subdivision Public Report applications. This was an increase of 21.5 percent compared to the previous fiscal year total of 2,609. This application process results in the preparation of a Subdivision Public Report (California project), permit (out-of-state timeshare), or confirmation of registration (non-California project located within the United States) from CalBRE. The public report/permit is an important disclosure document that provides prospective purchasers with pertinent information about the subdivision, including site suitability, financing of improvements and facilities, sales agreements, purchase money handling, the release of blanket encumbrances, and vital disclosures concerning the availability of fire protection, water supply and quality, vehicular access, latent natural hazards, reservations of mineral rights and easements, and community association assessments.
- CalBRE Licensing implemented SB 1159 and AB 345 (more information in the "Major New

Legislation or Regulations" section). Furthermore, in June 2016, Licensing began notifying applicants and licensees by e-mail when a license was issued or renewed, or when an applicant for the exam was qualified and could use eLicensing to schedule their exam date. Before this enhancement, applicants and licensees had to wait until they received notification by mail to print their license certificate, or schedule their exam date, which could take anywhere from seven to 10 days.

- On April 19, 2016, CalBRE issued the first real estate license number in the 2 million range: Christina Flanary was issued license number 02000001. Contrast this number to license number 00000004, which was issued on July 24, 1946, to real estate broker Max Green.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- AB 345, Frazier (Chapter 68, Statutes of 2015), requires real estate brokers licensed by CalBRE to complete a three-hour course in the management of real estate offices and supervision of real estate-licensed activities prior to the first renewal of their license. This bill, effective January 1, 2016, also allows salespersons to complete a continuing education course to better understand how to be effectively supervised by a broker or branch manager.
- AB 607, Dodd (Chapter 216, Statutes of 2015), codifies existing regulations (10 California Code of Regulations 2834) pertaining to CalBRE to authorize unlicensed employees of a licensed broker, typically accountants and bookkeepers, to make trust fund withdrawals. This bill, effective January 1, 2016, modifies these provisions by clarifying the conditions of the withdrawals and specifying fidelity bonds held by brokers can have a deductible of up to 5 percent of the total bond amount when there is evidence of the broker's financial responsibility sufficient to cover a loss subject to the deductible.
- AB 661, Mathis (Chapter 76, Statutes of 2015), clarifies existing law by specifying which real estate instruments or documents pertaining to the sale or transfer of property are or are not subject to a \$10 per document county fee to fund the county's Real

CONTINUED

Estate Fraud Prosecution Trust Fund. The bill was effective January 1, 2016.

- AB 807, Stone (Chapter 634, Statutes of 2015), makes technical clarifications to the Civil Code's definition of a "transfer fee," as established by AB 980, Calderon (Chapter 689, Statutes of 2007), and requires all transfer fee documentation to be recorded on a single document. The bill was effective January 1, 2016.
- AB 905, Gaines (Chapter 88, Statutes of 2015), allows public reports and disclosures to purchasers required by the Vacation Ownership and Time-share Act (VOTA) to be provided in a digital format at the discretion of the purchaser. This bill, effective January 1, 2016, also makes a technical amendment in Civil Code Section 2079.6 to clarify the exemption from certain reports by brokers licensed by CalBRE as related to VOTA.
- SB 146, Galgiani (Chapter 129, Statutes of 2015), provides technical clean-up to AB 2018, Bocanegra (Chapter 892, Statutes of 2014), which specifies that "team names" are not "fictitious business names" and are therefore not required to be registered with CalBRE. This bill clarifies that team names are not required to be registered with the county in which the team operates, defines a "responsible broker's identity," and makes other nonsubstantive and conforming amendments. Designated as an "urgency" measure, the amendments went into effect on July 16, 2015.
- SB 647, Morrell (Chapter 263, Statutes of 2015) makes several technical amendments to statutes following the enactment of SB 978, Vargas (Chapter 669, Statutes of 2012). (SB 978 of 2012 made changes to the Real Estate Law and Corporations Code with respect to investor protections and "hard money" lending by threshold brokers.) SB 647, effective January 1, 2016, adds an additional property category with an associated loan-to-value ratio, modifies investor reporting requirements, and deletes a Department of Business Oversight reporting requirement. This bill also deletes the requirement that threshold brokers obtain annual questionnaires from investors, and instead, replaces that obligation with one that requires the broker to obtain questionnaires at least two business days, but not more than one year, prior to completing an investment, among other technical changes.
- AB 685 (Irwin, Chapter 177, Statutes of 2016) will make nonsubstantive changes and clarifications to Real Estate Law. Specifically, this bill will update the Real Estate Act with gender neutral language, clarify that a licensee of the Bureau may refer to themselves as a "salesperson," "salesman," or "saleswoman," and make other technical changes.
- AB 1645 (Dababneh, Chapter 62, Statutes of 2016) will permanently repeal the cap on the level of risk a mortgage guaranty insurer may incur without reinsuring that risk. This cap was temporarily repealed until January 1, 2018, and this bill will remove the sunset on the temporary repeal of the cap.
- AB 1650 (Frazer, Chapter 142, Statutes of 2016) will revise and recast disclosure requirements for licensees of the Bureau when advertising their services, beginning January 1, 2018. Specifically, this bill will require licensees to disclose their name and responsible broker's identity on all solicitation materials, as specified. This bill will also exempt "for sale," rent, lease, "open house," and directional signs that display the responsible broker's identity, but do not refer to a licensee's name, from these requirements. Additionally, this bill will delete exemptions to existing disclosure requirements for print and electronic media advertising, "for sale" signs, and classified rental advertisements on the radio.
- AB 1750 (Dodd, Chapter 125, Statutes of 2016) will clarify that the definitions relating to certain disclosures in real property transactions also extend to provisions regarding the Environmental Hazard Booklet.
- AB 1807 (Bonta, Chapter 558, Statutes of 2016) will require the Commissioner of the Bureau, beginning January 1, 2018, to remove disciplinary action postings from the Bureau's website upon petition by an affected licensee if the following conditions are met: 1) the licensee pays a fee sufficient to defray the cost; 2) the licensee provides proof of rehabilitation; and 3) the disciplinary action taken by the Bureau is at least 10 years old. This bill will

BUREAU OF REAL ESTATE

CONTINUED

- also require the Bureau to promulgate regulations to determine the minimum information to be included in the licensee's petition, including, but not limited to, written justification and evidence of rehabilitation. Additionally, this bill will require the Bureau to maintain a list of all licensees whose disciplinary records are altered as a result of this bill and make this list available to other licensing bodies.
- AB 2330 (Ridley-Thomas, Chapter 614, Statutes of 2016) will require the Bureau to identify on its website each responsible broker with whom an associate broker is contractually associated, commencing January 1, 2018. This bill will also require real estate brokers to immediately notify the Bureau upon entering into or terminating from employment with another broker, similar to the current notification requirements for contractual relationships between salespersons and brokers.
 - AB 2416 (Wilk, Chapter 135, Statutes of 2016) will extend from January 1, 2017, to January 1, 2022, the consumer protections available under the Consumer Credit Reporting Agencies Act to escrow agents and individual escrow service employees.
 - SB 710 (Galgiani, Chapter 224, Statutes of 2016) will amend the statutory definition of "responsible broker's identity," when used in relation to real estate advertising. Specifically, this bill defines "responsible broker's identity" to mean either the name, or the name and associated Bureau license identification number, instead of both the name and license number.
 - SB 1150 (Leno, Chapter 838, Statutes of 2016) prohibits mortgage servicers (servicer) from foreclosing on a home when an individual provides reasonable documentation that the original borrower has died and that he or she is the rightful successor in interest (successor). This bill will also require the servicer to provide information about the mortgage loan to the successor within 10 days and to allow the successor to either assume the loan or apply to assume the loan through a foreclosure prevention alternative. Additionally, this bill will authorize a successor to sue for injunctive relief and economic damages for violations of this bill.
 - SB 1196 (Hill, Chapter 800, Statutes of 2016) will subject the Bureau to the sunset review process by January 1, 2021. This bill will also authorize the Commissioner of the Bureau of Real Estate to suspend the license of a real estate licensee for entering a guilty plea for a felony or a crime related substantially to the qualifications, functions, or duties of a real estate licensee, and to notify the licensee of their right to a hearing.

FEES*

Salesperson	Actual Fee	Statutory Limit
EXAMINATION FEE	\$60	\$60
LICENSE FEE	\$245	\$245
TOTAL INITIAL LICENSE FEE	\$305	-
QUADRENNIAL RENEWAL FEE	\$245	\$245
LATE RENEWAL	\$367	\$367

Broker	Actual Fee	Statutory Limit
EXAMINATION FEE	\$95	\$95
LICENSE FEE	\$300	\$300
TOTAL INITIAL LICENSE FEE	\$395	-
QUADRENNIAL RENEWAL FEE	\$300	\$300
LATE RENEWAL	\$450	\$450

Mortgage Loan Originator Endorsement	Actual Fee	Statutory Limit
EXAMINATION FEE	\$110***	N/A
LICENSE FEE**	\$300	\$300
ANNUAL RENEWAL FEE	\$300	\$300
LATE RENEWAL	N/A	N/A

* The Program licenses additional categories that were not included; additional fees can be found in Business and Professions Code §§ 10200 – 10226.5. Subdivision fees can be found in Business and Professions Code §11011.

** Licensees must also pay a fee to the National Mortgage Licensing System. Fees vary depending on the existing license type. Please see the Bureau's website for details.

*** This fee covers the National Exam with the Uniform State Content. The fee is paid to the National Mortgage Licensing Service, not the Bureau.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
BROKER LICENSE	2,812	774	28,594
SALESPERSON LICENSE	26,273	21,693	48,095
PREPAID RENTAL LISTING SERVICE (PRLS)	1	1	5
MORTGAGE LICENSE ORIGINATION (MLO) ENDORSEMENTS	N/A	N/A	24,453
PUBLIC REPORT ORIGINALS	3,165	2,764	N/A
PUBLIC REPORT AMEND/ RENEWALS	585	616	N/A
PUBLIC REPORT CONDITIONALS	2,511	2,371	N/A
PUBLIC REPORT PRELIMINARIES	925	918	N/A
PUBLIC REPORT INTERIMS	79	78	N/A
PUBLIC REPORT OUT OF STATE	86	78	N/A
PUBLIC REPORT TIMESHARES	516	439	N/A

Licensing Population by Type

TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
BROKER LICENSE	N/A	134,947	N/A
SALESPERSON LICENSE	N/A	273,410	N/A
PRLS	N/A	10	N/A
MLO ENDORSEMENTS	N/A	24,453	N/A

Renewal and Continuing Education (CE)

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
BROKER LICENSE	EVERY 4 YEARS	45
SALESPERSON LICENSE	EVERY 4 YEARS	45
PRLS	EVERY YEAR	N/A

Exams

PASS	FAIL	TOTAL
23,615	22,987	46,602

Summary of Enforcement Activity

Consumer Complaints—Intake	
5,341	RECEIVED
2,495	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
2,814	REFERRED FOR INVESTIGATION
2,495	PENDING

Conviction/Arrest Notification Complaints

1,094	RECEIVED
1,093	CLOSED/REFERRED FOR INVESTIGATION
1,090	PENDING

Audits

590	AUDITS CONDUCTED
212	REFERRED TO ENFORCEMENT

Investigations

2,193	OPENED
2,708	CLOSED
1,152	PENDING

Number of Days to Complete Intake and Investigations

758	UP TO 90 DAYS
612	91 TO 180 DAYS
995	181 DAYS TO 1 YEAR
23	1 YEAR
605	1 TO 2 YEARS
7	2 TO 3 YEARS
27	OVER 3 YEARS
236	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines

774	ISSUED
774	ISSUED WITH A FINE
0	WITHDRAWN
2	DISMISSED
N/A	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines

\$437,509	ASSESSED
\$0	REDUCED
\$356,634	COLLECTED

BUREAU OF REAL ESTATE

Summary of Enforcement Activity continued

Criminal/Civil Actions

29	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

CalBRE Legal Cases

N/A	REFERRALS FOR CRIMINAL/CIVIL ACTION
451	CASES CLOSED
N/A	CASES PENDING

Number of Days to Complete Legal Cases

876	1 YEAR
206	1 TO 2 YEARS
84	2 TO 3 YEARS
27	3 TO 4 YEARS
25	OVER 4 YEARS
N/A	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed

235	STATEMENTS OF ISSUES FILED
482	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
31	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
43	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders

117	LICENSE APPLICATIONS DENIED
411	REVOCAION
114	SURRENDER OF LICENSE
N/A	PROBATION WITH SUSPENSION
120	SUSPENSION ONLY
167	RESTRICTED
13	PUBLIC REPROVAL
57	DESIST AND REFRAIN ORDERS

Petition for Modification or Termination of Probation

N/A	GRANTED
N/A	DENIED
N/A	TOTAL

Petition for Reinstatement of Revoked License/
Registration/Certification

80	GRANTED
N/A	DENIED
80	TOTAL

Consumer Recovery Account

73	CLAIMS FILED
81	CLAIMS PAID
\$3,396,293	AMOUNT PAID

Receipt of Complaint to Assignment to Investigator

25	AVERAGE NUMBER OF DAYS
-----------	------------------------

Investigations: Opening to Closing of Case

236	AVERAGE NUMBER OF DAYS
------------	------------------------

Investigations: Closure of Investigation to Imposing
Formal Discipline

279	AVERAGE NUMBER OF DAYS
------------	------------------------

BUREAU OF REAL ESTATE APPRAISERS

Real Estate Appraisers

Licenses and regulates real estate appraisers and registered appraisal management companies.

STAFF:

35 civil service

1 exempt

LICENSES:

10,886

BUREAU STAFF:

Bureau Chief:

Jim Martin

jim.martin@orea.ca.gov

Deputy Bureau Chief:

Loretta Dillon

loretta.dillon@orea.ca.gov

APPRAISAL MANAGEMENT
COMPANY REGISTRATIONS:

266

STRATEGIC PLAN ADOPTED:

2014

www.brea.ca.gov



LAWS AND REGULATIONS:

CALIFORNIA:

Business and Professions Code §§ 11300 – 11423

Title 10, Chapter 6.5, California Code of Regulations §§ 3500 – 3780

FEDERAL:

Title 11, United States Code §§ 1101 – 1126

Title 15, United States Code § 1639e

Title 12, Code of Federal Regulations §§ 225.61 – 225.67

Title 12, Code of Federal Regulations §§ 1222.20-1222.26

SUNSET REVIEW:

Last review: 2016

Inoperative/Repeal dates: January 1, 2021

RECIPROCITY (16 CCR § 3569)

The Bureau offers reciprocity when an appraiser has a valid license from a compliant state whose own requirements meet or exceed those of California at the time of application. Licenses are issued without additional examination, but the licensing fee is still required.

MAJOR ACCOMPLISHMENTS

- Bureau Chief appeared before the Legislature in May for the 2016 Sunset Review. The issues raised and recommendations made in 2012 by the Senate Committee on Business, Professions, and Economic Development and the Assembly Committee on Business and Professions were addressed. BREA successfully demonstrated its efforts to ensure the success of its mission to "Safeguard public trust by promoting professionalism in the real estate appraisal industry through licensing, education, and enforcement."
- Implemented process/procedural changes based on new federal laws/rules and state regulations. Such changes include meeting new minimum licensing requirements (California Code of Regulations (CCR) Section 3528) and trainee/supervisor requirements (CCR Section 3568), eliminating proof of citizenship requirement (CCR Section 3530), and developing/modifying

BUREAU OF REAL ESTATE APPRAISERS

CONTINUED

the Appraisal Management Company (AMC) program. The implementation of these new laws and processes ensures the success of BREAs mission to safeguard public trust.

- Enforcement Unit developed a trainee outreach program. Staff now make an effort to contact each trainee licensee by phone to discuss information that is frequently misunderstood or overlooked. The discussion includes information about licensing requirements, such as the trainee/supervisor relationship and responsibilities, educational requirements, and common errors that have been identified during training and the application process. As an extension of the outreach program, staff often contact trainee licensees who have submitted work samples with their applications to discuss ways to improve minor errors of the trainee's work product. The outreach program was well received and the feedback has been positive; a significant improvement has been seen in the quality of application packages submitted.
- Enforcement Division has diligently worked to create operational efficiencies that reduced the number of enforcement cases pending as well as the number of complaints taking more than one year to resolve (federal mandate). Most cases are now resolved within 180 days of receipt of the complaint, providing for quicker resolution for all parties involved.
- Developed and implemented an online complaint process for both appraisers and AMCs. The program is creating significant improvement in the overall complaint process and timelines of resolution. The Bureau has noticed an increase in complaints and an increase in the documentation provided within the complaints due to the streamlined complaint process. The ease of use of the online complaint process is attributed to the increase based on the positive feedback from the program.

- Developed and implemented a revised customer satisfaction survey. The survey asked approximately 12,000 stakeholders (licensees, AMCs, course providers, consumers) to rate their satisfaction with Bureau operations; the first sample included data gathered over a two-week period and included over 1,200 responses. Seventy to 80 percent of responses indicated a positive experience when interacting with the Bureau. The survey is available on the BREAs website, <http://www.orea.ca.gov/html/CustomerSurvey.html>.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- BREAs has submitted six regulations for Department of Consumer Affairs approval, including:
 - Section 3543—basic and continuing education requirements.
 - Sections 3542, 3570, 3577—consulting appraisals.
 - Two sets of form revisions (approximately 18 forms).
- AB 1381 (Weber, Chapter 854, Statutes of 2016) will require the Bureau to post the continuing education courses taken by licensees on its website.
- SB 1196 (Hill, Chapter 800, Statutes of 2016) will subject the Bureau to the sunset review process by January 1, 2021. This bill will also require applicants for an initial appraiser's license to complete a course and examination related to state and federal appraisal laws and regulations as a condition of licensure, and establish a two-year course approval for the law course.

FEES*

Real Estate Appraiser Trainee Level	Actual Fee	Statutory Limit
INITIAL	\$405	\$450
RENEWAL	\$335	\$450

Real Estate Appraiser Residential Level	Actual Fee	Statutory Limit
INITIAL	\$405	\$450
RENEWAL	\$385	\$450
SEPARATE FEDERAL FEE	\$80	N/A

Real Estate Appraiser Certified Level	Actual Fee	Statutory Limit
INITIAL	\$455	\$525
RENEWAL	\$385	\$525
SEPARATE FEDERAL FEE	\$80	N/A

*The Bureau licenses additional categories that are not included in this list. Those fees can be found in Business and Professions Code §§ 11400 – 11409 and Title 16, California Code of Regulations §§ 3582 and 3583.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
TRAINEE	349	58	192
RESIDENTIAL	582	14	564
CERTIFIED RESIDENTIAL	2,496	29	2,411
CERTIFIED GENERAL	1,417	83	1,284
UPGRADES	115	N/A	N/A
TEMPORARY PRACTICE PERMITS	292	N/A	N/A
APPRAISAL MANAGEMENT COMPANY (AMC)	148	36	99

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
TRAINEE	N/A	528	N/A
RESIDENTIAL	N/A	1,276	N/A
CERTIFIED RESIDENTIAL	N/A	5,866	N/A
CERTIFIED GENERAL	N/A	3,216	N/A
AMC	N/A	266	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
LICENSEE	EVERY 2 YEARS	28
AMC	EVERY 2 YEARS	0
COURSE PROVIDER	EVERY 4 YEARS	0

Exams		
PASS	FAIL	TOTAL
280	275	555

Summary of Enforcement Activity

Consumer Complaints—Intake	
285	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
285	REFERRED FOR INVESTIGATION
3	PENDING

Conviction/Arrest Notification Complaints	
N/A	RECEIVED
N/A	CLOSED/REFERRED FOR INVESTIGATION
N/A	PENDING

Inspections	
N/A	INSPECTIONS CONDUCTED
N/A	INSPECTION CITATIONS ISSUED

Investigations	
285	OPENED
299	CLOSED
123	PENDING

Number of Days to Complete Intake and Investigations	
126	UP TO 90 DAYS
56	91 TO 180 DAYS
82	181 DAYS TO 1 YEAR
29	1 TO 2 YEARS
6	2 TO 3 YEARS
0	OVER 3 YEARS
179	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

BUREAU OF REAL ESTATE APPRAISERS

Summary of Enforcement Activity continued

Citations and Fines

58	ISSUED
56	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
184	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines

\$116,250	ASSESSED
\$0	REDUCED
\$72,862	COLLECTED

Criminal/Civil Actions

0	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of Attorney General/Disciplinary Actions

19	CASES OPENED/INITIATED
19	CASES CLOSED
14	CASES PENDING

Number of Days to Complete AG Cases

3	1 YEAR
11	1 TO 2 YEARS
5	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
658	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed

3	STATEMENTS OF ISSUES FILED
31	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders

4	LICENSE APPLICATIONS DENIED
15	REVOCAION
5	SURRENDER OF LICENSE
3	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
5	RESTRICTED
0	PUBLIC REPROVAL
3	DESIST AND REFRAIN ORDERS

Petition for Modification or Termination of Probation

N/A	GRANTED
N/A	DENIED
N/A	TOTAL

Petition for Reinstatement of Revoked License/
Registration/Certification

0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA

\$0	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings

\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGER
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNS/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator

4	AVERAGE NUMBER OF DAYS
----------	------------------------

Investigations: Opening to Closing of Case

179	AVERAGE NUMBER OF DAYS
------------	------------------------

Investigations: Closure of Investigation to Imposing
Formal Discipline

658	AVERAGE NUMBER OF DAYS
------------	------------------------

BOARD OF REGISTERED NURSING

Registered Nursing

Licenses and regulates registered nurses and evaluates them for certification in nursing specialties.

STAFF:

157 civil service
1 exempt

LICENSES AND
CERTIFICATES:

528,198

BOARD STAFF:

Executive Officer:

Joseph Morris
joseph.morris@dca.ca.gov

Assistant Executive Officer:

Stacie Berumen
stacie.berumen@dca.ca.gov

BOARD MEMBERSHIP:

5 licensees
4 public representatives

STRATEGIC PLAN ADOPTED:

2014

www.rn.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 2700 – 2838.4

Title 16, Division 14, California Code of Regulations §§ 1402 – 1495.4

SUNSET REVIEW:

Last review: 2015

Inoperative/Repeal date: January 1, 2018

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
IMELDA CEJA-BUTKIEWICZ	JUNE 1, 2017	Governor/Public	NO
DONNA GERBER	JUNE 1, 2020	Assembly/Public	NO
MICHAEL DEANGELO JACKSON (PRESIDENT)	JUNE 1, 2016	Governor/Professional (RN Educator)	NO
CINDY CIPRES KLEIN	JUNE 1, 2018	Governor/Professional (RN Direct Care)	NO
RAYMOND MALLEL (VICE PRESIDENT)	JUNE 1, 2017	Governor/Public	NO
TRANDE PHILLIPS	JUNE 1, 2019	Governor/Professional (RN Direct Care)	NO
BARBARA YAROSLAVSKY	JUNE 1, 2020	Senate/Public	NO
ELIZABETH WOODS	JUNE 1, 2018	Governor/Professional (RN Advanced Practice)	NO
PILAR DE LA CRUZ-REYES	JUNE 1, 2019	Governor/Professional (RN Administrator)	NO

RECIPROCITY

(Business and Professions Code §2732.1(b)) The Board may issue a license upon written application to any individual, without examination, who is licensed or registered as a nurse in another state, district, or territory of the United States or Canada if they have qualifications equal to those required by the Board or have passed a comparable examination for licensure or registration and meet all other Board requirements.

BOARD OF REGISTERED NURSING

CONTINUED

COMMITTEES

The Nurse-Midwifery Committee, created by statute, consists of Board members appointed by the Board and meets on a regular basis to discuss specific issues in depth and provide feedback and recommendations to the full Board.

MAJOR ACCOMPLISHMENTS

- The Board began posting its application processing timeframes online to provide additional customer service to initial license and renewal applicants.
- Posted annual attrition/retention data on the BRN website, as well as school and nursing program accreditation status for each prelicensure program to assist prospective students with selecting a nursing program.
- Beginning in January 2016, prior to each Board meeting, BRN staff conducted informational sessions to faculty, students, and staff regarding an overview of the licensing and conviction/discipline disclosure process. The sessions are designed to help applicants navigate the application process as quickly as possible.
- On October 12, 2015, the Board unveiled its Facebook page. The Board's social media site is used to provide valuable information to our consumers, applicants, and licensees. In addition to using Facebook, communication efforts are also provided via the BRN website that include the following: meeting notifications, updates to our licensing and renewal processing times, outreach to assist obtaining expert witnesses, and notifications from the Board's Intervention Program.
- The Enforcement Division reduced the average number of days to complete the overall discipline process from 806 days to 737 days and continues to make process improvements in all areas in an effort to meet the Consumer Protection Enforcement Initiative goal to complete disciplinary actions within 12–18 months.
- Completed and made available the 2014–2015 *New Grad Hiring Survey*. To better understand the employment experience of newly licensed RNs, a sixth annual statewide survey was conducted in fall 2015. A summary of this survey is available on Health Impact's website at healthimpact.org and provides an update on employment of newly licensed RNs in California from the RN's perspective.
- Completed and made available the 2014–2015 *Annual School Report* for both pre- and post-licensure nursing education programs. The pre-licensure nursing program annual school report contains sub-reports for data summary for pre-licensure nursing programs and regional reports. These surveys collect data about nursing programs and their students and faculty, and have been developed to support nursing, nursing education, and workforce planning in California. BRN believes the results of these surveys provide data-driven evidence to influence policy at the local, state, federal, and institutional levels.
- Completed and made available the first survey on *Simulation in Nursing Education* in 2015. The survey was sent to recently licensed California RNs to learn about their clinical education experiences and how they thought it prepared them for practice as an RN. The purpose of the survey was to obtain data about the outcome of clinical simulation and practice experience from the student/RN perspective and learn more about clinical simulation experiences in California nursing programs. The survey was supplemented with analysis of data from the BRN Annual School Survey and National Council Licensure Examination score data. This data may be helpful for future decision-making for educational programs and policy development in the area of clinical simulation and determine what additional research might be helpful.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- AB 637, Campos (Chapter 217, Statutes of 2015), authorizes the signature of a nurse practitioner acting under the supervision of the physician and within the scope of practice authorized by law to create a valid Physician Orders for Life Sustaining Treatment form.
- SB 408 Morrell, (Chapter 280, Statutes of 2015), authorizes a midwife assistant to perform certain

CONTINUED

assistive activities under the supervision of certified nurse-midwife, including the administration of medicine, the withdrawing of blood, and midwife technical support services. The bill defines terms for these purposes. The bill prohibits a midwife assistant from being employed for inpatient care in a licensed general acute care hospital.

- SB 464 Hernandez, (Chapter 387, Stutes of 2015), , notwithstanding any other law, authorizes an RN acting in accordance with the authority of the Nursing Practice Act, a certified nurse-midwife acting within the scope of specified existing law relating to nurse-midwives, a nurse practitioner acting within the scope of specified existing law relating to nurse practitioners, among others, to use a self-screening tool that will identify patient risk factors for the use of self-administered hormonal contraceptives by a patient, and, after an appropriate prior examination, to prescribe, furnish, or dispense, as applicable, self-administered hormonal contraceptives to the patient.
- SB 466 Hill, (Chapter 489, Statutes of 2015), extends the repeal date of the BRN's operation to January 1, 2018. This bill requires the Board to contract with the California State Auditor's Office to conduct a performance audit of the Board's enforcement program, as specified, by February 1, 2016. The bill requires the Office to report the results of the audit to the Governor, the Department of Consumer Affairs (DCA), and the appropriate policy committees of the Legislature by January 1, 2017. This bill requires the Board to deny or revoke approval of a school of nursing that does not give student applicants credit in the field of nursing for military education and experience by the use of challenge examinations or other methods of evaluation. The bill requires the Board, by January 1, 2017, to adopt regulations requiring schools seeking approval to have a process to evaluate and grant credit, as defined, for military education and experience. The bill would require the Board to review a school's policies and practices regarding granting credit for military education and experience at least once every five years to ensure consistency in evaluation and application across schools. The bill requires the Board to post on website information related to the acceptance of military coursework and experience at each approved school.
- SB 800 (Committee on Business, Professions and Economic Development) is the omnibus bill for the healing arts boards of DCA. The Nursing Practice Act provides for the licensure and regulation of RNs and nurse practitioners by the Board. The act, on and after January 1, 2008, requires an applicant for initial qualification or certification as a nurse practitioner who has not been qualified or certified as a nurse practitioner to meet specified requirements. Certain provisions allow the Board to find RNs qualified to use the title of "nurse practitioner." This bill deletes those title provisions. The act also provides for a diversion program to identify and rehabilitate RNs whose competency may be impaired due to abuse of alcohol and other drugs, or due to mental illness. This bill refers to the program as an intervention program.

FEES*

Registered Nurse	Actual Fee	Statutory Limit
CALIFORNIA EXAM APPLICATION FEE	\$300	\$1,000
U.S. EXAM APPLICATION FEE	\$350	\$1,000
INTERNATIONAL EXAM APPLICATION FEE	\$750	\$1,500
BIENNIAL RENEWAL FEE	\$180 + 10***	\$750

Public Health Nurse**	Actual Fee	Statutory Limit
APPLICATION FEE	\$500	\$1,500
BIENNIAL RENEWAL FEE	\$125	\$500

Nurse Practitioners**	Actual Fee	Statutory Limit
APPLICATION FEE	\$500	\$1,500
BIENNIAL RENEWAL FEE	\$150	\$1,000

*The Program has additional licensee populations and fees that can be found in Business and Professions Code §§ 2815, 2815.1, 2815.5, 2815.7, 2816, 2830.7, 2831, 2833, 2836.3, and 2838.2 and California Code of Regulations § 1417.

**Any licensee holding an advanced practitioner certification must first have a registered nurse license.

***\$10 is assessed and collected for the Registered Nurse Education Fund, pursuant to Section 2815.1.

BOARD OF REGISTERED NURSING

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
REGISTERED NURSE LICENSE	38,049	24,135	194,392
CLINICAL NURSE SPECIALIST CERTIFICATE	156	172	1,732
NURSE ANESTHETIST CERTIFICATE	206	201	1,062
NURSE MIDWIFE CERTIFICATE	60	63	597
NURSE MIDWIFE FURNISHING CERTIFICATE	54	63	422
NURSE PRACTITIONER CERTIFICATE	1,698	1,845	10,339
NURSE PRACTITIONER FURNISHING	1,761	1,800	8,501
PSYCHIATRIC MENTAL HEALTH CERTIFICATE	15	2	168
PUBLIC HEALTH NURSE CERTIFICATE	2,756	2,163	29,335
CONTINUING EDUCATION PROVIDER	217	145	1,166
SCHOOL/PROGRAM APPROVALS			
TEMPORARY LICENSE	9,241	4,367	N/A
INTERIM PERMIT	2,957	2,342	N/A

Licensing Population by Type

TYPE	CERTS./ PERMITS	LICENSES/ REGS.	APPROVALS
REGISTERED NURSE LICENSE	N/A	418,980	N/A
CLINICAL NURSE SPECIALIST CERTIFICATE	3,603	N/A	N/A
NURSE ANESTHETIST CERTIFICATE	2,421	N/A	N/A
NURSE MIDWIFE CERTIFICATE	1,298	N/A	N/A
NURSE MIDWIFE FURNISHING CERTIFICATE	950	N/A	N/A
NURSE PRACTITIONER CERTIFICATE	21,930	N/A	N/A
NURSE PRACTITIONER FURNISHING	18,101	N/A	N/A
PSYCHIATRIC MENTAL HEALTH CERTIFICATE	332	N/A	N/A
PUBLIC HEALTH NURSE CERTIFICATE	60,583	N/A	N/A
CONTINUING EDUCATION PROVIDER	3,060	N/A	N/A
SCHOOL/PROGRAM APPROVALS	N/A	N/A	N/A

Exams

PASS	FAIL	TOTAL
12,433	7,793	20,226

Renewal and Continuing Education

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
RN LICENSES	EVERY 2 YEARS	30
CLINICAL NURSE SPECIALIST CERTIFICATE	EVERY 2 YEARS	N/A
NURSE ANESTHETIST CERTIFICATE	EVERY 2 YEARS	N/A
NURSE MIDWIFE CERTIFICATE	EVERY 2 YEARS	N/A
NURSE MIDWIFE FURNISHING CERTIFICATE	EVERY 2 YEARS	N/A
NURSE PRACTITIONER CERTIFICATE	EVERY 2 YEARS	N/A
NURSE PRACTITIONER FURNISHING	EVERY 2 YEARS	N/A
PSYCHIATRIC MENTAL HEALTH CERTIFICATE	EVERY 2 YEARS	N/A
PUBLIC HEALTH NURSE CERTIFICATE	EVERY 2 YEARS	N/A
CONTINUING EDUCATION PROVIDER	EVERY 2 YEARS	N/A
SCHOOL/PROGRAM APPROVALS	EVERY 5 YEARS	N/A

Summary of Enforcement Activity

Consumer Complaints—Intake

3,383	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
3,933	REFERRED FOR INVESTIGATION
232	PENDING

Conviction/Arrest Notification Complaints

4,374	RECEIVED
4,324	CLOSED/REFERRED FOR INVESTIGATION
50	PENDING

Investigations

7,617	OPENED
8,061	CLOSED
2,403	PENDING

Citations and Fines

541	ISSUED
541	ISSUED WITH A FINE
45	WITHDRAWN
27	DISMISSED
133	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Summary of Enforcement Activity continued

Number of Days to Complete Intake and Investigations	
5,405	UP TO 90 DAYS
717	91 TO 180 DAYS
876	181 DAYS TO 1 YEAR
936	1 TO 2 YEARS
93	2 TO 3 YEARS
17	OVER 3 YEARS
125	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Total Amount of Fines	
\$294,988	ASSESSED
\$60,300	REDUCED
\$240,256	COLLECTED

Criminal/Civil Actions	
41	REFERRALS FOR CRIMINAL/CIVIL ACTION
15	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
1,744	CASES OPENED/INITIATED
1,695	CASES CLOSED
1,692	CASES PENDING

Number of Days to Complete AG Cases	
391	1 YEAR
490	1 TO 2 YEARS
258	2 TO 3 YEARS
189	3 TO 4 YEARS
95	OVER 4 YEARS
737	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
143	STATEMENTS OF ISSUES FILED
1,133	ACCUSATIONS FILED
21	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
2	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
41	ACCUSATIONS WITHDRAWN/DISMISSED

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
87	TOTAL NUMBER FILED

Administrative Outcomes/Final Orders	
29	LICENSE APPLICATIONS DENIED
429	REVOCAION
214	SURRENDER OF LICENSE
6	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
476	PROBATION ONLY
183	PUBLIC REPRIMAND
9	OTHER DECISIONS

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
46	REVOCAION
22	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
3	PROBATION ONLY
1	PUBLIC REPRIMAND
2	OTHER DECISIONS

Petition for Modification or Termination of Probation	
44	GRANTED
2	DENIED
48	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
49	GRANTED
6	DENIED
56	TOTAL

Cost Recovery to DCA	
\$3,328,750	ORDERED
\$1,092,219	COLLECTED

Receipt of Complaint to Assignment to Investigator	
16	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
125	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
242	AVERAGE NUMBER OF DAYS

RESPIRATORY CARE BOARD OF CALIFORNIA

Respiratory Care

Licenses and regulates respiratory care practitioners.

STAFF:

17.4 civil service
1 exempt

LICENSES:

23,215

BOARD STAFF:

Executive Officer:
Stephanie Nunez
stephanie.nunez@dca.ca.gov

BOARD MEMBERSHIP:

4 licensees
1 physician
4 public representatives

STRATEGIC PLAN ADOPTED:

2013

www.rcb.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 3700 – 3779

Title 16, Division 13.6, California Code of Regulations §§ 1399.300 – 1399.395

SUNSET REVIEW:

Last review: 2012/13 Inoperative/Repeal Date: January 1, 2018

BOARD MEMBERS*:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
MARY ELLEN EARLY	JUNE 1, 2019	Governor/Public	NO
REBECCA FRANZOIA	JUNE 1, 2020	Governor/Public	NO
MARK GOLDSTEIN	JUNE 1, 2019	Governor/Professional	NO
MICHAEL HARDEMAN	JUNE 1, 2020	Assembly/Public	NO
RONALD LEWIS, MD	JUNE 1, 2018	Senate/Physician	NO
JUDY MCKEEVER	JUNE 1, 2017	Assembly/Professional	NO
LAURA C. ROMERO, PHD	JUNE 1, 2017	Senate/Public	NO
ALAN ROTH (PRESIDENT)	JUNE 1, 2019	Assembly/Professional	NO
THOMAS WAGNER	JUNE 1, 2018	Senate/Professional	NO

* The Board consists of nine members, including four public members, four practitioner members, and one physician and surgeon member. Each appointing authority, the Governor, the Senate Rules Committee and the Speaker of the Assembly, appoints three members.

RECIPROCITY (BPC § 3735)

Currently, the Board recognizes and accepts the National Board for Respiratory Care's Registered Respiratory Therapist credential in lieu of passage of the state licensing examinations. However, education requirements must also be met and background checks performed prior to license issuance. Further, verification of licensure, including discipline history, is required from each state where the applicant has been licensed.

CONTINUED

MAJOR ACCOMPLISHMENTS

- Conducted a Respiratory Care Workforce Study with the University of California, San Francisco, Institute for Health Policy Studies. Among other areas, the study is examining the feasibility and impact of establishing a baccalaureate degree as the minimum education requirement for respiratory care practitioners in California.
- Formally recognized and supported the California Society for Respiratory Care's white paper, *Position Statement Pertaining to Concurrent Therapy*, for purposes of educating the public, licensees, and facilities that such practice is unsafe with potential harm to the public.
- Began an outreach effort to notify licensees of a 2017 continuing education increase.
- Initiated a redesign of the Board's website aimed at making it easier to navigate, and performed a comprehensive review of and revisions to all online forms.
- Finalized the development of various BreEZe reports essential to reporting accurate data, and continued to work closely with the Department of Consumer Affairs' BreEZe team to implement fixes for current workarounds in the system.
- Established licensing performance measure targets to monitor operational efficiency by evaluating the cycle time from receipt of a complete application to issuance of an initial license.
- Finalized the design of the initial application for BreEZe set to launch during Fiscal Year 2016–17.
- Reduced the application approval time for complete applications to four days, as a result of re-engineered processes implemented in prior years.

MAJOR NEW LEGISLATION OR REGULATIONS*(Extends through the 2016 calendar year)*

- SB 525, Nielsen (Chapter 247, Statutes of 2015), clarifies areas of the respiratory scope of practice that were not initially drafted to accommodate advancements in technology and changes in patient care for future interpretation.
- AB 923 (Steinorth, Chapter 253, Statutes of 2016) will reorganize the list of actions that qualify as unprofessional conduct and for which the Board may take disciplinary action against a licensee who is found to have committed. This bill will also allow the Board to take action against a licensee or applicant who knowingly provides false statements or information. Finally, this bill allows the Board to take disciplinary action against a licensee regardless of the license status.

LICENSING REQUIREMENTS

DEGREE/PROFESSIONAL SCHOOLING	YES
EXAMINATION	YES
CONTINUING EDUCATION/COMPETENCY	YES
FINGERPRINTING REQUIREMENT	YES

RESPIRATORY CARE BOARD OF CALIFORNIA

FEES

Respiratory Therapist	Actual Fee	Statutory Limit
APPLICATION FEE	\$300	\$300
EXAMINATION FEES	\$390	Actual cost
TOTAL INITIAL LICENSE FEES	\$690	–
BIENNIAL RENEWAL FEE	\$230	\$330

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
RESPIRATORY CARE PRACTITIONER	1,252	1,146	9,417

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
RESPIRATORY CARE PRACTITIONER	N/A	23	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
RESPIRATORY CARE PRACTITIONER	EVERY 2 YEARS	15

Exams		
PASS	FAIL	TOTAL
2,560	1,875	4,435

Summary of Enforcement Activity

Consumer Complaints—Intake	
376	RECEIVED
18	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
357	REFERRED FOR INVESTIGATION
1	PENDING

Conviction/Arrest Notification Complaints	
452	RECEIVED
448	CLOSED/REFERRED FOR INVESTIGATION
4	PENDING

Inspections	
N/A	

Investigations	
776	OPENED
821	CLOSED
170	PENDING

Number of Days to Complete Intake and Investigations	
592	UP TO 90 DAYS
102	91 TO 180 DAYS
62	181 DAYS TO 1 YEAR
62	1 TO 2 YEARS
3	2 TO 3 YEARS
0	OVER 3 YEARS
97	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
77	ISSUED
77	ISSUED WITH A FINE
1	WITHDRAWN
4	DISMISSED
129	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$44,538	ASSESSED
\$6,900	REDUCED
\$38,176	COLLECTED

Summary of Enforcement Activity continued

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
58	CASES OPENED/INITIATED
67	CASES CLOSED
51	CASES PENDING

Number of Days to Complete AG Cases	
20	1 YEAR
33	1 TO 2 YEARS
11	2 TO 3 YEARS
3	3 TO 4 YEARS
0	OVER 4 YEARS
535	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
9	STATEMENTS OF ISSUES FILED
47	ACCUSATIONS FILED
8	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
2	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
1	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
22	REVOCATION
8	SURRENDER OF LICENSE
14	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
9	PROBATION ONLY
2	PUBLIC REPRIMAND
2	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
5	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/ Final Orders	
8	REVOCATION
4	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
3	GRANTED
1	DENIED
4	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
1	GRANTED
1	DENIED
2	TOTAL

Cost Recovery to DCA	
\$251,520	ORDERED
\$63,105	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
2	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
97	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
315	AVERAGE NUMBER OF DAYS

BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

Security & Investigative

Licenses and regulates security guards, proprietary private security employers and officers, private investigators, alarm companies and employees, locksmith companies and locksmiths, private patrol operators, and repossession agencies and their employees. The Bureau also has jurisdiction over firearm and baton training facilities and their instructors.

STAFF:

57.40

LICENSES, CERTIFICATES, OR PERMITS:

367,957

BUREAU STAFF:

Chief: Laura Alarcon
laura.alarcon@dca.ca.gov

Deputy Chief:
Clarisa Serrato-Chavez
clarisa.serrato-chavez@dca.ca.gov

Deputy Chief:
Connie Bouvia
connie.bouvia@dca.ca.gov

STRATEGIC PLAN ADOPTED:

2015

www.bsis.ca.gov

LAWS AND REGULATIONS:

Business and Professions Code §§ 6980 – 6980.84, §§ 7500 – 7599.75

Title 16, Division 7, California Code of Regulations §§ 600 – 645

SUNSET REVIEW:

Last Review: 2015 Scheduled for Review: 2019

ADVISORY COMMITTEE MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
TIM B. WESTPHAL	JUNE, 30 2018	DCA Director/Industry	NO
SANDRA L. HARDIN	JUNE, 30 2018	DCA Director/Industry	NO
AARON "RILEY" PARKER	JUNE, 30 2018	DCA Director/Industry	NO
MATTHEW J. LUJAN	JUNE, 30 2018	DCA Director/Industry	NO
MARCELLE L. EGGLEY	JUNE, 30 2018	DCA Director/Industry	NO
SIMON M. CRUZ	JUNE, 30 2018	DCA Director/Industry	NO
LYNN S. MOHRFELD	JUNE, 30 2018	DCA Director/Public	NO
TODD C. INGLIS	JUNE, 30 2018	DCA Director/Public	NO
DOUGLAS R. LEE	JUNE, 30 2018	DCA Director/Public	NO
NANCY MURRISH	JUNE, 30 2018	DCA Director/Public	NO
VACANT	N/A	DCA Director/Industry	NO
VACANT	N/A	DCA Director/Public	NO
VACANT	N/A	DCA Director/Public	NO

MAJOR ACCOMPLISHMENTS

- Successfully transitioned to BreEze on January 19, 2016. BreEze is the relational licensing and enforcement system that provides online license application ability, including fee payment, to essentially all Bureau license types. BreEze also allows individuals to file a complaint online regarding a Bureau licensee or unlicensed activity. Licensing and enforcement information residing in one database enhances the Bureau's abilities to



CONTINUED

DISCIPLINARY REVIEW COMMITTEE MEMBERS:

ALARM COMPANY DISCIPLINARY REVIEW COMMITTEE			
Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
MATTHEW WESTPHAL	NONE	Governor/Public	NO
JONATHAN SARGENT	NONE	Governor/Professional	NO
STEVE SOPKIN	NONE	Governor/Professional	NO
RANDY KAJIOKA	NONE	Governor/Public	NO
KACI PATTERSON	NONE	Governor/Professional	NO

PRIVATE SECURITY DISCIPLINARY REVIEW COMMITTEE (NORTHERN)			
Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
SCOTT F. MCDONALD	MAY 23, 2017	Governor/Professional	NO
ROBERT HESSEE	MAY 23, 2017	Governor/Public	NO
COLLIN WONG	JUNE 21, 2017	Governor/Professional	NO
LESLYE M. TINSON	APRIL 7, 2019	Governor/Public	NO
SUSAN JOHNSON	OCTOBER 28, 2018	Governor/Professional	NO

PRIVATE SECURITY DISCIPLINARY REVIEW COMMITTEE (SOUTHERN)			
Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
MARIO CAMPOS	JULY 10, 2017	Governor/Professional	NO
DAVID CHANDLER	MAY 23, 2017	Governor/Professional	NO
HUGO RODRIGUEZ	OCTOBER 21, 2017	Governor/Public	NO
GWENDOLYN CROSS	MAY 23, 2017	Governor/Professional	NO
NANCY TEEL	OCTOBER 21, 2017	Governor/Public	NO

carry out its regulatory responsibilities. Online capabilities make it easier for applicants to file for licensure and consumers to file complaints.

- Established a new quality-control audit procedure for all company license applications to promote appropriate licensing determinations. This enhancement aligns with the Bureau's goal in its Strategic Plan to identify and implement process improvements that promote efficiency.
- Processed 6,721 veteran applications through the Bureau's Veterans Come First Program. Since the inception of the program in 2012, the Bureau has assisted a total of 15,794 current and former military personnel through the license application process. (The increase from prior years is due to BreEZe's ability to track online veteran applicants. The former online system, which only guard registration applicants could utilize, did not capture veteran information; consequently, the Bureau reported only veteran information for paper applications.)
- Implemented a new private investigator (PI) qualified manager examination. The new exam more appropriately aligns with the core skills and knowledge PI licensees must possess to perform their duties safely and competently to promote consumer protection.
- Utilized the Bureau's automatic suspension authority for security guards, alarm companies and their employees, and locksmith companies and their employees to suspend the license or registration of 638 licensees and registrants convicted of a serious crime. The automatic suspension authority enables the Bureau to take timely disciplinary action, which enhances consumer protection.
- Conducted 225 on-site compliance inspections of Bureau-licensed Firearm and Baton Training Facilities and Private Patrol and Alarm Company Operators. These inspections help ensure licensees are

BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

CONTINUED

carrying out their business operations in accordance with the law, which supports consumer protection and public safety.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

The Bureau promulgated regulations to establish the reassignment fee for Private Investigator licensees and Alarm Company Operator licensees to assign a current license to a new business structure under specified conditions, and made nonsubstantive changes to clean up several of the Bureau's regulations.

- SB 177, Wieckowski (Chapter 140, Statutes of 2015)—Extends the sunset date for the Bureau to issue an Alarm Company Operator license to an alarm company organized as a limited liability company from January 1, 2016, to January 1, 2019.
- AB 1097, Holden (Chapter 439, Statutes of 2015)—Authorizes alarm companies to carry out their alarm contract activities with consumers electronically, provided the transactions comply with the Uniform Electronic Transactions Act (UETA) and with the consent of the consumer.
- AB 921, Jones (Chapter 635, Statutes of 2015)—Establishes the Private Investigator Disciplinary Review Committee effective July 1, 2017. The Committee is comprised of five individuals—three industry members and two public members—appointed by the Governor, and is authorized to hear appeals of the Bureau's denials for licensure or the issuance of a citation. This bill also permits an individual who is a licensee to gain qualifying experience under the qualified manager on his/her license. Prior law only recognized an individual's experience gained as an employee of a licensee.
- AB 281, Gallagher (Chapter 740, Statutes of 2015)—Establishes the Collateral Recovery Disciplinary Review Committee effective July 1, 2017. The Committee is comprised of five individuals—three industry members and two public members—appointed by the Governor, and is authorized to hear appeals of the Bureau's denials for licensure or the issuance of a citation. The bill also makes various technical changes to the Collateral Recovery Act.
- AB 1859 (Gallagher, Chapter 509, Statutes of 2016) will amend the Collateral Recovery Act to reinstate the term skip tracing to the definition of assignment, add the term repossession order as a recognized synonym for an assignment, and delete the definition of repossession from the Act.
- AB 2632 (Olsen, Chapter 333, Statutes of 2016) will amend the Private Investigator Act to permit applicants for private investigator licensure to use experience acquired through investigative journalism as qualifying experience toward the 6,000 hour requirement for licensure, as specified.
- SB 1196 (Hill, Chapter 800, Statutes of 2016) will amend the Locksmith Act, Collateral Recovery Act, Private Investigator Act, Proprietary Security Services Act, Private Security Services Act, and the Alarm Company Act. The changes will include but are not limited to: (1) establishing a statutory requirement for the powers and duties of the Bureau's regulatory activities to be reviewed by the Legislature as if the Act were scheduled to be repealed as of January 1, 2020; (2) establishing an assessment requirement for firearm permit applicants who are security guards; (3) revising various citation and fine amounts across practice acts; (4) requiring the Bureau to conduct a compliance inspection of a firearms training facility within 120 days of licensure; (5) clarifying that a private investigator who possesses both a BSIS Firearms Permit and a concealed weapons permit issued by a law enforcement agency may carry a concealed weapon while on duty; (6) clarifying reporting requirements of a private patrol operator when a guard employee discharges his or her firearm while on duty; (7) providing certain training exemptions for federal law enforcement officers; and (8) requiring an alarm agreement with an automatic renewal provision for a term greater than one month to provide a distinct and separate disclosure to the consumer regarding the provision.

BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

FEES*

Alarm Company	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$35	\$35
INITIAL LICENSE	\$280	\$280
TOTAL INITIAL LICENSURE	\$315	\$315
BIENNIAL RENEWAL APPLICATION	\$335	\$335

Alarm Company Qualified Manager	Actual Fee	Statutory Limit
INITIAL APPLICATION & EXAMINATION	\$105	\$105
BIENNIAL RENEWAL APPLICATION	\$120	\$120

Alarm Agent	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$17	\$17
BIENNIAL RENEWAL APPLICATION	\$7	\$7

Baton Permit	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$50	\$50
BIENNIAL RENEWAL APPLICATION	N/A	N/A

Baton Training — Instructor	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$250	\$250
BIENNIAL RENEWAL APPLICATION	\$250	\$250

Baton Training — Facility	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$500	\$500
BIENNIAL RENEWAL APPLICATION	\$500	\$500

Firearms Permit	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$80	\$80
BIENNIAL RENEWAL APPLICATION	\$60	\$60

Firearm Training Instructor	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$250	\$250
BIENNIAL RENEWAL APPLICATION	\$250	\$250

Firearm Training Facility	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$500	\$500
BIENNIAL RENEWAL APPLICATION	\$500	\$500

Locksmith	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$30	\$30
INITIAL LICENSE	\$45	\$45
TOTAL INITIAL LICENSURE	\$75	\$75
BIENNIAL RENEWAL APPLICATION	\$45	\$45

Locksmith Employee	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$20	\$20
BIENNIAL RENEWAL APPLICATION	\$20	\$20

Private Investigator Company	Actual Fee	Statutory Limit
INITIAL APPLICATION & EXAMINATION	\$50	\$50
INITIAL LICENSE	\$175	\$175
TOTAL INITIAL LICENSURE	\$225	\$225
BIENNIAL RENEWAL APPLICATION	\$125	\$125

Private Patrol Operator Company	Actual Fee	Statutory Limit
INITIAL APPLICATION & EXAMINATION	\$500	\$500
INITIAL LICENSE	\$700	\$700
TOTAL INITIAL LICENSURE	\$1,200	\$1,200
BIENNIAL RENEWAL APPLICATION	\$700	\$700

Repossession Agency	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$825	\$825
BIENNIAL RENEWAL APPLICATION	\$715	\$715

Repossession Agency Qualified Manager	Actual Fee	Statutory Limit
INITIAL APPLICATION & EXAMINATION	\$325	\$325
BIENNIAL RENEWAL APPLICATION	\$450	\$450

Repossession Agency Employee	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$75	\$75
BIENNIAL RENEWAL APPLICATION	\$60	\$60

Security Guard	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$50	\$50
BIENNIAL RENEWAL APPLICATION	\$35	\$35

*The fee amounts do not include Live Scan fees. Every license type except proprietary private security employer registration requires the submission of fingerprints to perform a criminal history background check.

BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ALARM COMPANY OPERATOR—BRANCH	19	29	105
ALARM COMPANY EMPLOYEE REGISTRATION	5,104	4,443	4,790
ALARM COMPANY OPERATOR	117	91	943
ALARM COMPANY QUALIFIED MANAGER	93	66	1,039
FIREARM PERMIT*	10,894	9,950	13,208
SECURITY GUARD REGISTRATION	56,067	51,994	87,961
LOCKSMITH—BRANCH	2	1	23
LOCKSMITH COMPANY OPERATOR	210	150	1,251
LOCKSMITH EMPLOYEE REGISTRATION	246	206	787
PRIVATE INVESTIGATOR	346	204	4,652
PRIVATE INVESTIGATOR—BRANCH	19	24	55
PRIVATE PATROL OPERATOR-BRANCH	43	37	113
PRIVATE PATROL OPERATOR	373	192	1,127
PROPRIETARY PRIVATE SECURITY OFFICER (PPSO)	2,203*	1,647	1,168
REPOSSESSION AGENCY	25	15	122
REPOSSESSION AGENCY EMPLOYEE	300	246	284
REPOSSESSION AGENCY—QUALIFIED MANAGER	18	10	107
TRAINING FACILITY—BATON	25	5	81
TRAINING FACILITY—FIREARM	33	15	159
TRAINING INSTRUCTOR—BATON	25	8	111
TRAINING INSTRUCTOR—FIREARM	69	41	284
PROPRIETARY PRIVATE SECURITY EMPLOYER	185	40	206

*The Bureau believes this number is inflated due to guard registrants applying for a PPSO registration in error right after BreEze transition.

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ALARM COMPANY OPERATOR—BRANCH	231	N/A	N/A
ALARM COMPANY EMPLOYEE REGISTRATION	N/A	19,709	N/A
ALARM COMPANY OPERATOR	N/A	1,922	N/A
ALARM COMPANY QUALIFIED MANAGER	2,028	N/A	N/A
FIREARM PERMIT	42,037	N/A	N/A
SECURITY GUARD REGISTRATION	N/A	275,711	N/A
LOCKSMITH—BRANCH	45	N/A	N/A
LOCKSMITH COMPANY OPERATOR	N/A	2,688	N/A
LOCKSMITH EMPLOYEE REGISTRATION	N/A	2,309	N/A
PRIVATE INVESTIGATOR	N/A	9,273	N/A
PRIVATE INVESTIGATOR—BRANCH	137	N/A	N/A
PRIVATE PATROL OPERATOR—BRANCH	379	N/A	N/A
PRIVATE PATROL OPERATOR	N/A	2,283	N/A
PROPRIETARY PRIVATE SECURITY OFFICER	N/A	5,824	N/A
REPOSSESSION AGENCY	N/A	291	N/A
REPOSSESSION AGENCY EMPLOYEE	N/A	813	N/A
REPOSSESSION AGENCY-QUALIFIED MANAGER	306	N/A	N/A
TRAINING FACILITY—BATON	176	N/A	N/A
TRAINING FACILITY—FIREARM	339	N/A	N/A
TRAINING INSTRUCTOR-BATON	233	N/A	N/A
TRAINING INSTRUCTOR-FIREARM	614	N/A	N/A
PROPRIETARY PRIVATE SECURITY EMPLOYER	N/A	609	N/A

Summary of Licensing Activity

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
ALARM COMPANY OPERATOR—BRANCH	EVERY 2 YEARS	N/A
ALARM COMPANY EMPLOYEE REGISTRATION	EVERY 2 YEARS	N/A
ALARM COMPANY OPERATOR	EVERY 2 YEARS	N/A
ALARM COMPANY QUALIFIED MANAGER	EVERY 2 YEARS	N/A
FIREARM PERMIT	EVERY 2 YEARS	8
SECURITY GUARD REGISTRATION	EVERY 2 YEARS	16
LOCKSMITH—BRANCH	EVERY 2 YEARS	N/A
LOCKSMITH COMPANY OPERATOR	EVERY 2 YEARS	N/A
LOCKSMITH EMPLOYEE REGISTRATION	EVERY 2 YEARS	N/A
PRIVATE INVESTIGATOR	EVERY 2 YEARS	N/A
PRIVATE INVESTIGATOR —BRANCH	EVERY 2 YEARS	N/A
PRIVATE PATROL OPERATOR-BRANCH	EVERY 2 YEARS	N/A
PRIVATE PATROL OPERATOR	EVERY 2 YEARS	N/A
PROPRIETARY PRIVATE SECURITY OFFICER	EVERY 2 YEARS	4
REPOSSESSION AGENCY	EVERY 2 YEARS	N/A
REPOSSESSION AGENCY EMPLOYEE	EVERY 2 YEARS	N/A
REPOSSESSION AGENCY—QUALIFIED MANAGER	EVERY 2 YEARS	N/A
TRAINING FACILITY—BATON	EVERY 2 YEARS	N/A
TRAINING FACILITY—FIREARM	EVERY 2 YEARS	N/A
TRAINING INSTRUCTOR—BATON	EVERY 2 YEARS	N/A
TRAINING INSTRUCTOR — FIREARM	EVERY 2 YEARS	N/A
PROPRIETARY PRIVATE SECURITY EMPLOYER	EVERY 2 YEARS	N/A

Exams		
PASS	FAIL	TOTAL
477	237	714

Summary of Enforcement Activity

Consumer Complaints—Intake	
1,536	RECEIVED
471	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,042	REFERRED FOR INVESTIGATION
127	PENDING

Conviction/Arrest Notification Complaints	
21,565	RECEIVED
20,894	CLOSED/REFERRED FOR INVESTIGATION
671	PENDING

Inspections	
92	INSPECTIONS CONDUCTED
0	INSPECTION CITATIONS ISSUED

Investigations	
5,761	OPENED
5,383	CLOSED
2,272	PENDING

Number of Days to Complete Intake and Investigations	
5,008*	UP TO 90 DAYS
556	91 TO 180 DAYS
227	181 DAYS TO 1 YEAR
115	1 TO 2 YEARS
11	2 TO 3 YEARS
9	OVER 3 YEARS
76**	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

*Data includes application investigations.

**Average number of days data reflects application investigation activities.

Citations and Fines	
47	ISSUED
47	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
218	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$32,682	ASSESSED
\$5,285	REDUCED
\$10,370	COLLECTED

BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

Summary of Enforcement Activity continued

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
91	CASES OPENED/INITIATED
27	CASES CLOSED
103	CASES PENDING

Number of Days to Complete AG Cases	
17	1 YEAR
33	1 TO 2 YEARS
25	2 TO 3 YEARS
9	3 TO 4 YEARS
6	OVER 4 YEARS
787*	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

*Average AG number of days data has increased due to report logic changes after BreZe conversion; namely, automatic suspensions are no longer reported in this disciplinary category.

Formal Actions Filed/Withdrawn/Dismissed	
14	STATEMENTS OF ISSUES FILED
40	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
1	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
8	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
1,349	LICENSE APPLICATIONS DENIED
102	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
638	SUSPENSION ONLY
7	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
0	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
1	GRANTED
0	DENIED
1	TOTAL

Cost Recovery to DCA	
\$9,092	ORDERED
\$21,407	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$17,276	AMOUNT REFUNDED
\$599	REWORK AT NO CHARGE
\$99,727	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$117,602	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
4	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
104	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
565	AVERAGE NUMBER OF DAYS

SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

Speech & Hearing

Licenses and regulates speech-language pathologists and assistants, audiologists, and hearing aid dispensers.

STAFF:

8 civil service
1 exempt

LICENSES:

22,330

BOARD MEMBERSHIP:

6 licensees
3 public representatives

BOARD STAFF:

Executive Officer:
Paul Sanchez
paul.sanchez@dca.ca.gov

STRATEGIC PLAN ADOPTED:

2015

www.speechandhearing.ca.gov

LAWS AND REGULATIONS:

Business and Professions Codes §§ 2530 – 2539.14

Title 16, Division 13.3, California Code of Regulations §§ 1399.100 – 1399.144

Title 16, Division 13.4, California Code of Regulations §§ 1399.150 – 1399.199.14

SUNSET REVIEW:

Last review: 2013 Inoperative/Repeal date: January 1, 2018

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
RODNEY DIAZ, M.D.	JANUARY 1, 2020	Governor/Public	NO
ALISON GRIMES, DISPENSING AUDIOLOGIST (CHAIR)	JANUARY 1, 2017	Governor/Professional	NO
JAIME LEE	NOVEMBER 30, 2017	Assembly Speaker/Public	NO
DEANE MANNING, HEARING AID DISPENSOR	JANUARY 1, 2019	Governor/Professional	NO
DEE PARKER, SPEECH LANGUAGE PATHOLOGIST	JANUARY 1, 2017	Governor/Professional	NO
MARCIA RAGGIO, DISPENSING AUDIOLOGIST	JANUARY 1, 2019	Governor/Professional	NO
AMNON SHALEV, HEARING AID DISPENSOR	JANUARY 1, 2020	Governor/Professional	NO
DEBRA SNOW	NOVEMBER 30, 2017	Senate Rules/Public	NO
PATTI SOLOMON-RICE, SPEECH LANGUAGE PATHOLOGIST	JANUARY 1, 2020	Governor/Professional	NO

RECIPROCITY

The Board does not have automatic reciprocity; however, the Board may issue a temporary license for a period of six months from the date of issuance to a speech language pathologist or audiologist who holds an unrestricted license from another state or territory of the United States. The Board may issue a temporary license for a period of one year to a hearing aid dispenser who has held an unrestricted license from another state for two years immediately prior to application.



SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

CONTINUED

MAJOR ACCOMPLISHMENTS

- Collaborating with stakeholders, the Board completed the development of its Strategic Plan for 2016–2020. The plan strongly emphasizes consumer protection around five goal areas, with objectives focused on improving services to consumers and licensees, increasing outreach to stakeholders, and enhancing the Board's enforcement program. Through interviews and surveys conducted by the Department of Consumer Affairs' (DCA's) SOLID unit, the Board identified challenges and opportunities in moving forward to build a foundation for the protection of, service to, and excellence in care of consumers with speech, language, and hearing impairments. The Board adopted the new strategic plan in November 2015.
- Improved production in all areas of DCA's Enforcement Performance Measures, despite an increase in the number of complaints received and investigations conducted. The Board obtained a mid-year increase to the Attorney General budget allocation that provided sufficient funding necessary to allow disciplinary proceedings to continue without interruption and consistent with the Consumer Protection Enforcement Initiative and the Board's consumer protection mandate.
- Implemented continued improvements to the Board's licensing processes including enhanced systems for tracking and processing documents. The changes resulted in an elimination of the licensing backlog and a 60 percent reduction of the licensing application processing times (from application to issuance of the license). The improvements allowed the Board to accomplish its objective of reducing licensing processing times to better meet consumer and professional needs. To help prevent future licensing backlogs, the Board was successful in obtaining approval and funding for an additional position to assist with the Board's increased licensing workload.
- Doubled the number of Hearing Aid Dispensing Practical examinations from four to eight. Passing the practical examination is required for licensure of dispensing audiologists and hearing aid dispensers. The Board is required by law to conduct at least two practical examinations annually. By increasing the number of examinations, the Board met its objective

of improved access to consumers needing audiology and hearing aid dispensing services while increasing access for examination applicants.

- Completed a Workload and Staffing Analysis Study to formally assess the Board's staffing and workload levels. The study evaluated processes and procedures used by Board staff, with the goal of improving service to consumers, licensees, and applicants while meeting the Board's mission.

The scope of the study included (a) documenting the existing workload of Board positions by identifying major tasks and the time needed to complete those tasks, (b) identifying over- or understaffing for existing workload, (c) documenting work not getting done due to insufficient staffing or enhancements needed to meet future needs, and (d) comparing Board staffing levels and performance measures to similar DCA boards.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Submitted multiple regulatory packages on various topics, including disciplinary guidelines, uniform standards for substance abusing licensees, fee increases, advertising guidelines, continuing education, and licensing requirements. These proposed regulations are in the process of being revised, under review, or awaiting approval.
- Approval revisions to the Board's disciplinary guidelines. This draft proposal included an updated single disciplinary guidelines document that replaced the Board's two existing disciplinary guidelines, which were last updated in 1997 for hearing aid dispensers and 2004 for speech-language pathology and audiology professions. The guidelines incorporate the legislative-mandated Uniform Standards Related to Substance Abuse.
- Proposed changes that will increase its application and license renewal fees to effectively sustain operations necessary for protecting consumers through its licensing and enforcement programs. Existing law authorizes the Board to assess fees associated with these duties and responsibilities.

SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

CONTINUED

- AB 2317 (Mullin, Chapter 267, Statutes of 2016) will authorize the California State University to award the Doctor of Audiology degree. The bill will require the degree to be distinguished from doctoral degree programs at the University of California. The bill will also require that the degree be focused on preparing audiologists to provide healthcare services and be consistent with the standards for accreditation set forth by the Council on Academic Accreditation in Audiology and Speech-Language Pathology.

FEES*

Speech-Language Pathologist (SLP)	Actual Fee	Statutory Limit
APPLICATION AND INITIAL LICENSE FEE	\$60	\$150
NATIONAL EXAMINATION FEE	\$115	Set by ETS**
TOTAL INITIAL LICENSE FEES	\$175	-
BIENNIAL RENEWAL FEE	\$110	\$150

Hearing Aid Dispenser (HAD)	Actual Fee	Statutory Limit
APPLICATION FEE	\$75	\$75
INITIAL LICENSE FEE	\$280	\$280
WRITTEN EXAMINATION FEE	\$225	Actual Cost
PRACTICAL EXAMINATION FEE	\$500	Actual Cost
TOTAL INITIAL LICENSE FEES	\$1,080	-
ANNUAL RENEWAL FEE	\$280	\$280

Dispensing Audiologist	Actual Fee	Statutory Limit
APPLICATION AND INITIAL LICENSE FEE	\$280	\$280
NATIONAL EXAMINATION FEE	\$115	Set by ETS**
WRITTEN EXAMINATION FEE	\$225	Actual Cost
PRACTICAL EXAMINATION FEE	\$500	Actual Cost
TOTAL INITIAL LICENSE FEES	\$1,120	-
ANNUAL RENEWAL FEE	\$280	\$280

Non-Dispensing Audiologist	Actual Fee	Statutory Limit
APPLICATION + INITIAL REGISTRATION FEE	\$60	\$150
NATIONAL EXAMINATION FEE	\$115	Set by ETS**
TOTAL INITIAL LICENSE FEES	\$175	-
BIENNIAL RENEWAL FEE	\$110	\$150

Speech Language Pathology Assistant	Actual Fee	Statutory Limit
APPLICATION + INITIAL REGISTRATION FEE	\$50	\$100
TOTAL INITIAL LICENSE FEES	\$50	-
BIENNIAL RENEWAL FEE	\$75	\$150

*Additional fees can be found in Business and Professions Code §§ 2534.2 and 2538.57.

**Educational Testing Service

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
BRANCH	407	407	587
HEARING AID DISPENSER	136	142	852
TEMPORARY/TRAINEE	173	177	175
TEMPORARY	16	17	N/A
SP - SPEECH LANGUAGE PATHOLOGIST	1,235	1,333	6,645
AU - AUDIOLOGIST	79	68	1,240
SPA - SPEECH-LANGUAGE PATHOLOGY ASSISTANT	550	602	1,007
AIDES	46	44	N/A
RPE - TEMPORARY REQUIRED PROFESSIONAL EXPERIENCE LICENSES	932	836	N/A
SPT - SPEECH TEMPORARY LICENSE	0	0	N/A
AUT - AUDIOLOGY TEMPORARY LICENSE	0	0	N/A
PDP - PROFESSIONAL DEVELOPMENT PROVIDER	22	22	62
SPEECH-LANGUAGE PATHOLOGY ASSISTANT PROGRAM	0	0	N/A
DAU - DISPENSING AUDIOLOGIST	26	26	962

SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

Summary of Licensing Activity continued

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
BRANCH	N/A	963	N/A
HEARING AID DISPENSER	N/A	996	N/A
TEMPORARY/TRAINEE	N/A	158	N/A
TEMPORARY	N/A	18	N/A
SP - SPEECH LANGUAGE PATHOLOGIST	N/A	14,860	N/A
AU - AUDIOLOGIST	N/A	556	N/A
SPA - SPEECH-LANGUAGE PATHOLOGY ASSISTANT	N/A	2,795	N/A
AIDES	N/A	133	N/A
RPE - TEMPORARY REQUIRED PROFESSIONAL EXPERIENCE LICENSES	N/A	806	N/A
SPT - SPEECH TEMPORARY LICENSE	N/A	0	N/A
AUT - AUDIOLOGY TEMPORARY LICENSE	N/A	0	N/A
PDP - PROFESSIONAL DEVELOPMENT PROVIDER	N/A	160	N/A
SPEECH-LANGUAGE PATHOLOGY ASSISTANT PROGRAM	N/A	0	7
DAU - DISPENSING AUDIOLOGIST	N/A	1,045	N/A

Exams - Hearing Aid Dispenser - Written

PASS	FAIL	TOTAL
162	127	289

Exams - Hearing Aid Dispenser - Practical

PASS	FAIL	TOTAL
174	132	306

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
BRANCH	EVERY YEAR	N/A
HEARING AID DISPENSER	EVERY YEAR	9
TEMPORARY/TRAINEE	N/A	N/A
TEMPORARY	N/A	N/A
SPEECH LANGUAGE PATHOLOGIST	EVERY 2 YEARS	24
AUDIOLOGIST	EVERY 2 YEARS	24
SPEECH-LANGUAGE PATHOLOGY ASSISTANT	EVERY 2 YEARS	12
AIDES	N/A	N/A
TEMPORARY REQUIRED PROFESSIONAL EXPERIENCE LICENSES	VARIES	N/A
SPEECH TEMPORARY LICENSE	ONCE FOR 6 MONTHS	N/A
AUDIOLOGY TEMPORARY LICENSE	ONCE FOR 6 MONTHS	N/A
PROFESSIONAL DEVELOPMENT PROVIDER	EVERY 2 YEARS	N/A
SPEECH-LANGUAGE PATHOLOGY ASSISTANT PROGRAM	N/A	N/A
DAU - DISPENSING AUDIOLOGIST	EVERY YEAR	12

Summary of Enforcement Activity

Consumer Complaints—Intake	
117	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
117	REFERRED FOR INVESTIGATION
2	PENDING

Conviction/Arrest Notification Complaints	
85	RECEIVED
85	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	

Investigations	
202	OPENED
239	CLOSED
71	PENDING

SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

Summary of Enforcement Activity continued

Number of Days to Complete Intake and Investigations	
146	UP TO 90 DAYS
39	91 TO 180 DAYS
22	181 DAYS TO 1 YEAR
27	1 TO 2 YEARS
5	2 TO 3 YEARS
0	OVER 3 YEARS
104	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
9	ISSUED
8	ISSUED WITH A FINE
1	WITHDRAWN
0	DISMISSED
250	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$8,350	ASSESSED
\$6,500	REDUCED
\$1,850	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
37	CASES OPENED/INITIATED
9	CASES CLOSED
34	CASES PENDING

Number of Days to Complete AG Cases	
1	1 YEAR
3	1 TO 2 YEARS
0	2 TO 3 YEARS
1	3 TO 4 YEARS
5	OVER 4 YEARS
708	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Cost Recovery to DCA	
\$72,553	ORDERED
\$31,873	COLLECTED

Formal Actions Filed/Withdrawn/Dismissed	
2	STATEMENTS OF ISSUES FILED
21	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
2	LICENSE APPLICATIONS DENIED
3	REVOCAION
1	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
5	PROBATION ONLY
0	PUBLIC REPRIMAND
12	OTHER DECISIONS

Petition for Modification or Termination of Probation	
1	GRANTED
0	DENIED
1	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
2	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
140	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
431	AVERAGE NUMBER OF DAYS

STRUCTURAL PEST CONTROL BOARD

Structural Pest

Licenses and regulates fumigators, pest control companies, pest management professionals, and structural pesticide applicators.

STAFF:

29.5 civil service

LICENSES:

26,391

BOARD MEMBERSHIP:

3 licensees
4 public representatives

BOARD STAFF:

Executive Officer:

Susan Saylor
susan.saylor@dca.ca.gov

Assistant Executive Officer:

Robert Lucas
robert.lucas@dca.ca.gov

STRATEGIC PLAN ADOPTED:

2015

www.pestboard.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 8500 – 8698.6

Title 16, Division 19, California Code of Regulations §§ 1900 – 1999.5

SUNSET REVIEW:

Last review: 2014 Inoperative/Repeal date: January 1, 2019

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
RONNA BRAND	JUNE 1, 2017	Governor/Public	NO
NARESH DUGGAL	JUNE 1, 2017	Governor/Public	NO
MIKE DURAN	JUNE 1, 2019	Governor/Professional	NO
CURTIS GOOD (VICE PRESIDENT)	JUNE 1, 2017	Governor/Professional	NO
VACANT	JUNE 1, 2016	Senate Rules/Public	NO
DAVE TAMAYO (PRESIDENT)	JUNE 1, 2020	Speaker of Assembly/Public	NO
DARREN VAN STEENWYK	JUNE 1, 2019	Governor/Professional	NO

RECIPROCITY

- The Board does not offer reciprocity with other states.

MAJOR ACCOMPLISHMENTS

- In coordination with the Office of Professional Examination Services, the Board began consolidating, updating, and improving the quality of the reference materials used in the creation of its licensing examinations.
- In early 2016, the Board completed a full Occupational Analysis of its Branch 2 Field Representative licensing category in a continued effort to update and improve all its licensing examinations.
- At the March 13–14, 2016, Structural Pest Control Board meeting, Board President Dave Tamayo announced the formation of the Board’s Research Advisory Panel and began appointing its members in order for them to begin scheduling meetings and to select research projects that the Board will fund.

CONTINUED

- The Board and the Department of Pesticide Regulation conducted a three-day training exercise for County Agricultural Commissioner (CAC) enforcement personnel.
- Collected more than \$43,318 in investigative cost recovery for Board-initiated disciplinary actions.
- Continued to support the CACs on the collection of outstanding fumigation enforcement fees owed by structural pest control companies and licensees.
- Saved consumers more than \$182,180 through its investigation and mediation programs.
- Continued to audit its licensees to ensure that continuing education requirements are met. Additionally, the Board began auditing continuing education providers in an effort to encourage quality content is delivered to its licensees.
- AB 551 (Nazarian, Chapter 599, Statutes of 2016) will require landlords to provide prospective tenants with specified information about bed bugs and the procedure to report suspected bed bug infestations. This bill will also require tenants to cooperate with the detection and treatment of bed bugs and will require the landlord to notify tenants of the pest control operator's findings. Additionally, this bill makes legislative findings that the Structural Pest Control Board should incorporate training in bed bug management, among other findings.
- AB 1874 (Wood, Chapter 181, Statutes of 2016) will modify the definition of a qualified manager who is designated as the supervisor of a Structural Pest Control Board (Board) registered company to require that they be physically present at their designated locations at least nine days every three consecutive calendar months to supervise and assist the company's employees. This bill will also require the company to document the days and provide them to the Board upon request.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- SB 1039 (Hill), which the Board anticipates will be chaptered, amends Business and Professions Code Sections 8516 and 8518 and make-significant beneficial changes to the Board's laws governing the inspection, treatment, and reporting related to wood-destroying pests and organisms.
- On February 29, 2016, Title 16, California Code of Regulations Section 1960 was approved by the Office of Administrative Law and made effective immediately. This addition made it a requirement that any licensee who has not completed a Live Scan must do so as a condition of license renewal. As a result of this change, the Board can now fully ascertain the possible criminal history of its entire licensing population and make an informed determination as to their suitability for licensure.
- AB 2362 (Chu, Chapter 330, Statutes of 2016) will require homeowners associations to provide notification to owners in their common interest development of all instances of pesticide application by an unlicensed applicator and stipulate the frequency of notification of pesticide application.
- AB 2529 (Linder, Chapter 359, Statutes of 2016) will specify when county enforcement personnel may hold an employee responsible for failing to wear personal protective equipment, instead of the employer. This bill will also allow all branches of structural pest control to employ unlicensed individuals and clarify the conditions under which an unlicensed individual may be hired by a Board registered company. Additionally, this bill will establish a statutory minimum and maximum for fining employees and employers who violate the personal protection equipment requirements.

STRUCTURAL PEST CONTROL BOARD

FEES*

Applicator Fees	Actual Fee	Statutory Limit
LICENSURE FEE	\$10	\$50
EXAMINATION FEE	\$55	\$55
TOTAL INITIAL LICENSE FEES	\$65	–
BIENNIAL RENEWAL FEE	\$10	\$50

Field Representative Fees	Actual Fee	Statutory Limit
LICENSURE FEE	\$30	\$45
EXAMINATION FEE	\$50	\$75
TOTAL INITIAL LICENSE FEES	\$80	–
BIENNIAL RENEWAL FEE	\$30	\$45

Operator Fees	Actual Fee	Statutory Limit
LICENSURE FEE	\$120	\$150
EXAMINATION FEE	\$75	\$100
TOTAL INITIAL LICENSE FEES	\$195	-
BIENNIAL RENEWAL FEE	\$120	\$150

Company Fees	Actual Fee	Statutory Limit
COMPANY REGISTRATION	\$120	\$120
BRANCH OFFICE REGISTRATION	\$60	\$60

* Additional fees may be required per Business and Professions Code § 8674 and 16 CCR §1948.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
APPLICATOR	N/A	1,429	531
FIELD REPRESENTATIVE	N/A	1,520	2,360
OPERATOR	N/A	177	979
COMPANY REGISTRATION	N/A	240	N/A
BRANCH OFFICE	N/A	44	N/A

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
APPLICATOR	N/A	7,451	N/A
FIELD REPRESENTATIVE	N/A	11,369	N/A
OPERATOR	N/A	4,116	N/A
COMPANY REGISTRATION	N/A	3,004	N/A
BRANCH OFFICE	N/A	451	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
APPLICATOR	EVERY 3 YEARS	12
FIELD REPRESENTATIVE	EVERY 3 YEARS	16-24
OPERATOR	EVERY 3 YEARS	16-24

Exams		
PASS	FAIL	TOTAL
4,014	4,350	8,364

Summary of Enforcement Activity

Consumer Complaints—Intake	
555	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
557	REFERRED FOR INVESTIGATION
1	PENDING

Conviction/Arrest Notification Complaints	
1,129	RECEIVED
N/A	CLOSED/REFERRED FOR INVESTIGATION
91	PENDING

Inspections	
56	INSPECTIONS CONDUCTED
4	INSPECTION CITATIONS ISSUED

Investigations	
573	OPENED
678	CLOSED
173	PENDING

Number of Days to Complete Intake and Investigations	
389	UP TO 90 DAYS
65	91 TO 180 DAYS
104	181 DAYS TO 1 YEAR
79	1 TO 2 YEARS
36	2 TO 3 YEARS
5	OVER 3 YEARS
178	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

STRUCTURAL PEST CONTROL BOARD

Citations and Fines

165	ISSUED
155	ISSUED WITH A FINE
5	WITHDRAWN
0	DISMISSED
N/A	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines

\$138,552	ASSESSED
\$13,000	REDUCED
\$76,718	COLLECTED

Summary of Enforcement Activity continued

Criminal/Civil Actions

1	REFERRALS FOR CRIMINAL/CIVIL ACTION
1	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions

65	CASES OPENED/INITIATED
68	CASES CLOSED
74	CASES PENDING

Number of Days to Complete AG Cases

32	1 YEAR
26	1 TO 2 YEARS
9	2 TO 3 YEARS
1	3 TO 4 YEARS
0	OVER 4 YEARS
428	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed

15	STATEMENTS OF ISSUES FILED
54	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
2	STATEMENTS OF ISSUES WITHDRAWN DISMISSED
4	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes Final Orders

3	LICENSE APPLICATIONS DENIED
40	REVOCAION
4	SURRENDER OF LICENSE
12	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
19	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation

0	GRANTED
1	DENIED
1	TOTAL

Petition for Reinstatement of Revoked License/
Registration/Certification

2	GRANTED
3	DENIED
5	TOTAL

Cost Recovery to DCA

\$49,913	ORDERED
\$43,328	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings

\$0	RESTITUTION ORDERED
\$164,802	AMOUNT REFUNDED
\$17,378	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$182,180	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator

3	AVERAGE NUMBER OF DAYS
----------	------------------------

Investigations: Opening to Closing of Case

187	AVERAGE NUMBER OF DAYS
------------	------------------------

Investigations: Closure of Investigation to Imposing
Formal Discipline

428	AVERAGE NUMBER OF DAYS
------------	------------------------

TELEPHONE MEDICAL ADVICE SERVICES BUREAU

TMAS

Registers businesses that provide telephone medical advice to California residents.

STAFF:

1 civil service

REGISTRATIONS:

68

BOARD STAFF:

Bureau Chief:

Sherrie Moffet-Bell

sherrie.moffet-bell@dca.ca.gov

BOARD MEMBERSHIP:

0

STRATEGIC PLAN ADOPTED:

2014

www.dca.ca.gov/tmas

LAWS AND REGULATIONS:

Business and Professions Code §§ 4999 – 4999.7

Health and Safety Code § 1348.8

Insurance Code § 10279

Title 16, Division 38, California Code of Regulations §§ 4000 – 4040

SUNSET REVIEW:

Not applicable

RECIPROCITY

The Bureau registers businesses, including those outside of the state, that give advice to California consumers, but there is no reciprocity.

MAJOR ACCOMPLISHMENTS

- Completed guidelines and procedures to start conducting on-site inspections, thus ensuring call centers are using properly licensed healthcare providers.
- With the passage of SB 800, the Bureau was able to streamline its regulations. We also added naturopathic doctors and licensed professional clinical counselors to the list of approved healthcare professionals who can provide phone medical advice.
- Started the process of reducing registration and renewal fees. These fee reductions will benefit the companies required to register with the Bureau.

LICENSING REQUIREMENTS (BPC §§ 4999 – 4999.7)*

Any business that employs, contracts, or subcontracts, directly or indirectly, with the full-time equivalent of five or more persons whose primary function is to provide telephone medical advice to California residents must register with the Bureau. This includes businesses located inside and outside the state of California. Individuals who offer these services incidental to their primary practice are not required to register. For example, a physician's office staff that occasionally follows up a patient's office visit with a phone call does not qualify. Healthcare professionals working for a registrant are licensed and



CONTINUED

under the jurisdiction of their respective healing arts board as applicable to services provided.

DEGREE/PROFESSIONAL SCHOOLING	NO
EXAMINATION	NO
CONTINUING EDUCATION/COMPETENCY	NO
FINGERPRINTING REQUIREMENTS	NO

* While registrants of the Bureau do not need to meet these requirements, employees of a registrant are typically licensed by one of the other healing arts programs under the Department of Consumer Affairs and must meet one or all of these requirements.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- SB 1039 (Committee on Business, Professions, and Economic Development, Chapter 799, Statutes of 2016) eliminates the Bureau and places enforcement of the Telephone Medical Advice Services chapter, as it affects licensed healthcare professionals, on the Department of Consumer Affairs' healing arts boards.

FEES*

Registered Provider	Actual Fee	Statutory Limit
REGISTRATION FEE	\$7,500	Actual cost
BIENNIAL RENEWAL FEE	\$7,500	Actual cost

* The Bureau has additional fees that can be found in California Code of Regulations § 4040.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
TMAS REGISTRANT	7	7	30

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
TMAS REGISTRANT	0	68	0

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
TMAS REGISTRANT	EVERY 2 YEARS	0

Exams	
	N/A

Summary of Enforcement Activity

Consumer Complaints—Intake	
30	RECEIVED
25	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
0	REFERRED FOR INVESTIGATION
5	PENDING

Conviction/Arrest Notification Complaints	
0	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Number of Days to Complete Intake and Investigations	
22	UP TO 90 DAYS
2	91 TO 180 DAYS
1	181 DAYS TO 1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
34	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
0	ISSUED
0	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
0	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

TELEPHONE MEDICAL ADVICE SERVICES BUREAU

Summary of Enforcement Activity continued

Total Amount of Fines	
\$0	ASSESSED
\$0	REDUCED
\$0	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
0	CASES OPENED/INITIATED
0	CASES CLOSED
0	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
0	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/ Final Orders	
0	REVOCAION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$0	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
0	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
0	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS

VETERINARY MEDICAL BOARD

Veterinary Medicine

Licenses and regulates veterinarians, registered veterinary technicians, and veterinary premises.

STAFF:

20.7 civil service
1 exempt

LICENSES:

25,799

BOARD STAFF:

Executive Officer:
Annemarie Del Mugnaio
annemarie.delmugnaio@dca.ca.gov

BOARD MEMBERSHIP:

4 veterinarians
1 registered veterinary technician
3 public representatives

STRATEGIC PLAN ADOPTED:

2015

www.vmb.ca.gov



LAWS AND REGULATIONS:

Business and Professions Code §§ 4800 – 4917

Title 16, Division 20, California Code of Regulations §§ 2000 – 2086.9

Civil Code §§ 3051, § 3052, §§ 3080 – 3080.03, §§ 1834.5 – 1834.6

Health and Safety Code §§ 122125 – 122220

SUNSET REVIEW:

Last reviewed: 2012/2013

Inoperative/Repeal date: January 1, 2017

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
KATHY BOWLER	JUNE 1, 2018	Governor/Public	NO
LEE HELLER	JUNE 1, 2020	Senate/Public	NO
JENNIFER LOREDO, RVT	JUNE 1, 2018	Governor/Professional	NO
JUDIE MANCUSO	JUNE 1, 2018	Assembly/Public	NO
JAYMIE NOLAND	JUNE 1, 2019	Governor/Professional	
MARK NUNEZ, DVM (PRESIDENT)	JUNE 1, 2017	Governor/Professional	NO
RICHARD SULLIVAN, DVM	JUNE 1, 2018	Governor/Professional	NO
CHERYL WATERHOUSE, DVM (VICE PRESIDENT)	JUNE 1, 2020	Governor/Professional	NO

LICENSEE STATISTICS:

Licensee Category	Number of Active Licensees
VETERINARIAN	13,908
REGISTERED VETERINARY TECHNICIAN (RVT)	8,209
VETERINARY ASSISTANT CONTROLLED SUBSTANCES PERMIT (VACSP)	0*
PREMISES PERMIT	3,682
TOTAL	25,799

* VACSP program rolled out on October 1, 2016.

VETERINARY MEDICAL BOARD

CONTINUED

RECIPROCIITY

- Any person who has passed the veterinary national licensing examination at the time of original licensure in another state and has been practicing veterinary medicine full time for two out of the three years immediately preceding the application may apply for reciprocity (one-year license) if he or she has no disciplinary action taken against the license.
- International veterinary graduates may apply for reciprocity if they meet all of the above requirements and if they have completed a recognized education equivalence program.
- All reciprocity licensees must complete a three-day course on regionally specific diseases and conditions within 12 months of the date of issue of their temporary license to receive unrestricted licensure. Courses are offered in March and September.
- Licensed out-of-state RVTs are limited to working as unregistered assistants until they pass the California RVT exam. Out-of-state RVTs are eligible to sit for the California exam if they have 4,416 hours of practical experience under supervision of a licensed veterinarian, have taken the national examination or an equivalent, and have no disciplinary actions against them.

MAJOR ACCOMPLISHMENTS

- Created a task force to address issues regarding animal rehabilitation after the recommendation of the Legislature at the Board's Sunset Review Oversight Hearing. The intent of the task force is to examine the issue of persons involved in rehabilitative services for animals and the Board's role in regulating animal rehabilitation services. The concept of regulation is approached from the standpoint of how to most effectively protect the public and the public's animals, while considering issues such as access as well as the vast difference of the levels of experience and training of individuals who provide this specialized care. As such, the composition of the task force includes veterinarians, RVTs, animal rehabilitation and related animal industry professions, consumers, and representatives from the Legislature. In June 2016, the Board held its first Animal Rehabilitation Task

Force meeting and has one more scheduled meeting in Fiscal Year 2016–17. Recommendations must be presented to the Board by January 2017.

- In cooperation with the Office of Professional Examination Services, the Board initiated the process of conducting an Occupational Analysis (OA) of the California Registered Veterinary Technician (CRVT) profession that will identify the tasks performed by CRVTs in current practice and the knowledge required to perform those tasks. Four phases of the OA have been initiated, which include literature review, subject matter expert (SME) interviews, SME task and knowledge statement workshops, and development of an OA questionnaire. The Board recruited SMEs who were interviewed to refine the task and knowledge lists and additional SMEs to convene two focus group workshops to review task and knowledge statements. Distribution of the OA questionnaire, analyses of the questionnaire data, and recruitment of SMEs to convene two focus group workshops that review the data and finalize the description of the practice are the final phases of the OA. Once complete, the results of the OA will be used to update the CRVT licensing program examination.
- Obtained four permanent status positions through a Budget Change Proposal to extend the limited-term positions assigned to the Veterinary Assistant Controlled Substances Permit (VACSP) program. Funding was granted on a limited-term basis pending full implementation of the VACSP program.

MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- Adopted regulations defining the application process and supervision standards for the new Veterinary Assistant Controlled Substances Permit Program. The regulations will require every applicant to furnish a set of fingerprints to the Department of Justice for the purposes of conducting both a state and federal criminal history record check to ensure that individuals handling controlled substances do not have any drug- or alcohol-related felony convictions. The regulations become effective and the Board will begin accepting applications in early FY 2016–17.

CONTINUED

- Adopted regulations to amend existing Civil Penalties for Citation regulations. The regulations serve to recast the class of violations, establish new fine ranges for each class, increase the maximum amount of fine to \$5,000, and provide an administrative tool to deter unlicensed activity. The regulations become effective in early Fiscal Year 2016–17.
- AB 2505 (Quirk, Chapter 105, Statutes of 2016) will prohibit the use of carbon dioxide to euthanize a dog or cat.
- SB 945 (Monning, Chapter 364, Statutes of 2016) will establish minimum facility and care standards for pet boarding facilities, require consumer notice, and create civil penalties for violation of the minimum standards created by this bill.
- SB 1039 (Hill, Chapter 799, Statutes of 2016) will make a number of policy changes, including authorizing veterinarians from other states to serve in a consultation role under the direction of a licensed veterinarian.
- SB 1193 (Hill, Chapter 484, Statutes of 2016) will extend sunset dates, and provide various policy changes for the Board of Psychology, Board of Pharmacy, and the Veterinary Medical Board. This bill will extend the operation of the Board until January 1, 2021, create a new university license type, and authorize veterinarians and specified staff to compound certain drugs for various uses.

FEES

Veterinarians*	Actual Fee	Statutory Limit
APPLICATION FOR EXAMINATION REVIEW	\$125	\$350
STATE BOARD EXAMINATION FEE	\$200	\$350
VETERINARY LAW EXAMINATION	\$100	\$100
INITIAL VETERINARY LICENSE (MORE THAN ONE YEAR/LESS THAN ONE YEAR)	\$290/\$145	\$500/\$250
TOTAL INITIAL LICENSE FEES	\$570 – \$715	\$1,050 – \$1,300
BIENNIAL RENEWAL	\$290 + \$12 CURES FEE	\$500

* Some additional fees may be required per Business and Professions Code § 4905.

Registered Veterinary Technicians*	Actual Fee	Statutory Limit
EXAMINATION APPLICATION REVIEW	\$125	\$350
EXAMINATION	\$175	\$300
INITIAL REGISTRATION (MORE ONE YEAR/LESS THAN ONE YEAR)	\$140/\$70	\$350/\$175
TOTAL INITIAL LICENSE FEES	\$370 – \$440	\$825 – \$1,000
BIENNIAL REGISTRATION	\$140	\$350

* Some additional fees may be required per Business and Professions Code § 4842.5.

Veterinary Assistant Controlled Substances Permit	Actual Fee	Statutory Limit
APPLICATION FEE FOR VACSP	\$50	\$100
INITIAL PERMIT FEE (MORE THAN ONE YEAR/LESS THAN ONE YEAR)	\$50	
TOTAL INITIAL LICENSE FEES	\$100	\$100
BIENNIAL RENEWAL	\$50	\$50

Veterinary Premises	Actual Fee	Statutory Limit
INITIAL REGISTRATION	\$200	\$400
TOTAL INITIAL LICENSE FEES	\$200	\$400
REGISTRATION RENEWAL	\$200	\$400

VETERINARY MEDICAL BOARD

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
VETERINARIAN	712	678	5,963
VETERINARY TECHNICIAN	575	571	3,123
HOSPITAL	298	291	3,535
INTERNSHIP	28	28	N/A
RECIPROCITY	28	28	N/A

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
VETERINARIAN	N/A	13,803	N/A
VETERINARY TECHNICIAN	N/A	9,493	N/A
HOSPITAL	N/A	3,609	N/A
INTERNSHIP	N/A	28	N/A
RECIPROCITY	N/A	28	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
VETERINARIAN	EVERY 2 YEARS	36
VETERINARY TECHNICIAN	EVERY 2 YEARS	20
HOSPITAL	EVERY YEAR	N/A
INTERNSHIP	NONE	N/A
RECIPROCITY	NONE	N/A

Exam			
EXAM TITLE	PASS	FAIL	TOTAL
CALIFORNIA STATE BOARD EXAM	443	104	547
REGISTERED VETERINARY TECHNICIAN EXAM	847	95	942
TOTAL	1,290	199	1,489

Summary of Enforcement Activity

Consumer Complaints—Intake	
866	RECEIVED
23	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
854	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
26	RECEIVED
25	CLOSED/REFERRED FOR INVESTIGATION
1	PENDING

Inspections	
628	INSPECTIONS CONDUCTED
0	INSPECTION CITATIONS ISSUED

Investigations	
854	OPENED
890	CLOSED
678	PENDING

Number of Days to Complete Intake and Investigations	
268	UP TO 90 DAYS
150	91 TO 180 DAYS
310	181 DAYS TO 1 YEAR
136	1 TO 2 YEARS
14	2 TO 3 YEARS
12	OVER 3 YEARS
169	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
52	ISSUED
52	ISSUED WITH A FINE
0	WITHDRAWN
5	DISMISSED
N/A	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Summary of Enforcement Activity continued

Total Amount of Fines	
\$20,750	ASSESSED
N/A	REDUCED
\$10,500	COLLECTED

Criminal/Civil Actions	
N/A	REFERRALS FOR CRIMINAL/CIVIL ACTION
N/A	CRIMINAL ACTIONS FILED
N/A	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
61	CASES OPENED/INITIATED
50	CASES CLOSED
78	CASES PENDING

Number of Days to Complete AG Cases	
8	1 YEAR
8	1 TO 2 YEARS
9	2 TO 3 YEARS
7	3 TO 4 YEARS
14	OVER 4 YEARS
1,324	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
9	STATEMENTS OF ISSUES FILED
37	ACCUSATIONS FILED
2	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
3	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
3	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
9	REVOCAION
7	SURRENDER OF LICENSE
12	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
13	PROBATION ONLY
1	PUBLIC REPRIMAND
1	OTHER DECISIONS

Petition for Modification or Termination of Probation	
1	GRANTED
1	DENIED
2	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$211,232	ORDERED
\$56,443	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
8	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
169	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
822	AVERAGE NUMBER OF DAYS

BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS

VN & PT

Licenses and regulates licensed vocational nurses (LVNs) and psychiatric technicians (PTs).

STAFF:

67.9 civil service
1 exempt

LICENSES, CERTIFICATES,
AND PERMITS:

134,410

BOARD STAFF:

Executive Officer:
Kameka Brown, Ph.D.
kameka.brown@dca.ca.gov

Acting Assistant Executive Officer:
Cheryl Anderson
cheryl.anderson@dca.ca.gov

Board Legislative Contact:
Jennifer Almanza
jennifer.almanza@dca.ca.gov

BOARD MEMBERSHIP:

5 licensees
6 public representatives

www.bvnpt.ca.gov



STRATEGIC PLAN ADOPTED:

2014

LAWS AND REGULATIONS:

Business and Professions Code §§ 2840 – 2895.5 and §§ 4500 – 4548

Title 16, Division 25, California Code of Regulations §§ 2500 – 2557.3 and §§ 2560 – 2595.3

SUNSET REVIEW:

Last review: 2014 Inoperative/Repeal date: January 1, 2018

BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
TODD D'BRAUNSTEIN	JUNE 1, 2020	Governor/PT Professional	NO
JOHN DIERKING	JUNE 1, 2020	Senate/Public	NO
TAMMY ENDOZO	JUNE 1, 2019	Governor/ LVN Professional	NO
SAMANTHA JAMES-PEREZ (PRESIDENT)	JUNE 1, 2019	Governor/PT Professional	NO
ERIC MAH	JUNE 1, 2020	Assembly/Public	NO
BERNICE BASS DE MARTINEZ	JUNE 1, 2019	Governor/Public	NO
ANDREW MORENO, VICE PRESIDENT	JUNE 1, 2020	Governor/Public	NO
DONNA NORTON	JUNE 1, 2020	Governor/LVN Professional	NO
JOHN VERTIDO	JUNE 1, 2020	Governor/LVN Professional (Educator)	NO
VACANT		Governor/Public	NO
VACANT		Governor/Public	NO

MAJOR ACCOMPLISHMENTS

- Successfully transitioned to the new BreEZe licensing and enforcement system on January 19, 2016. The new system enhanced online services including license renewal, application for examinations and licensure, name and address changes, and interim permits.

BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS

CONTINUED

- Launched the Board's new and improved website in July 2016. The new website is designed to be more user-friendly, providing easier navigation for our consumers, licensees, and applicants.
- Expanded the candidate pool for four vacant nursing education consultant positions to allow qualified candidates to work in a "home-based" capacity anywhere in California, and was able to fill all four vacancies.
- Expanded outreach to its consumer stakeholders with three new brochures being developed, designed, and printed: *Consumer Protection and the Enforcement Program*, *Enforcement Division*, and *Protecting California's Healthcare Consumers*.

MAJOR NEW LEGISLATION OR REGULATIONS*(Extends through the 2016 calendar year)*

- On July 27, 2015, the Office of Administrative Law approved the Board's Rulemaking file that amends Sections 2517.5 and 2575.5 of the California Code of Regulations for the purpose of updating the requirement regarding disclosure of convictions for violation of the law. Previously, traffic infractions not involving alcohol, dangerous drugs, or controlled substances under \$300 did not have to be disclosed. Effective October 1, 2015, this regulatory action amends that provision to exclude traffic infractions under \$1,000.

FEES*

Vocational Nurse (VN)	Actual Fee	Statutory Limit
EXAM APPLICATION FEE	\$150	\$150
EXAM ADMINISTRATION FEE	\$200	Set by NCSBN**
INITIAL LICENSE FEE	\$150	\$150
TOTAL INITIAL LICENSE FEES	\$500	-
BIENNIAL RENEWAL FEE	\$155***	\$155***

Psychiatric Technician	Actual Fee	Statutory Limit
EXAM APPLICATION FEE	\$150	\$150
EXAM ADMINISTRATION FEE		
INITIAL LICENSE FEE	\$300	\$300
TOTAL INITIAL LICENSE FEES	\$450	-
BIENNIAL RENEWAL FEE	\$300	\$300

* Some additional fees may be required per Business and Professions Code § 2895 and § 4548.

** NCSBN is the National Council of State Boards of Nursing. International applicants must pay an additional \$150 to the NCSBN to take this exam.

*** Although the Board's renewal fee is \$150, the Board is required to collect an additional \$5 at the time of renewal from its Licensed Vocational Nurse to fund VN education efforts. The \$5 goes to the Vocational Nurse Education Fund.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
VOCATIONAL NURSE (VN)	6,784	6,100	50,348
PSYCHIATRIC TECHNICIANS (PT)	591	404	5,437

BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS

Summary of Licensing Activity continued

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
VN	N/A	122,467	N/A
PT	N/A	11,943	N/A
VN NONRENEWABLE INTERIM PERMITS	146	N/A	N/A
VN INTRAVENOUS THERAPY (IV)	894	N/A	N/A
VN BLOOD WITHDRAWAL (BW)	667	N/A	N/A
VN IV AND BW	3,689	N/A	N/A
PT BW	2	N/A	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
VN LICENSE	EVERY 2 YEARS	30
PT LICENSE	EVERY 2 YEARS	30
VN INTERIM PERMITS	N/A	N/A
PT INTERIM PERMITS	N/A	N/A
POST-LICENSURE CERTIFICATIONS	N/A	N/A

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
VOCATIONAL NURSE (NCLEX)	4,399	2,071	6,470
PSYCHIATRIC TECHNICIAN (PSI)	343	151	494
TOTAL	4,742	2,222	6,964

Summary of Enforcement Activity

Consumer Complaints—Intake	
1,061	RECEIVED
16	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,080	REFERRED FOR INVESTIGATION
1	PENDING

Conviction/Arrest Notification Complaints	
2,391	RECEIVED
2,412	CLOSED/REFERRED FOR INVESTIGATION
3	PENDING

Inspections	
N/A	

Investigations	
3,623	OPENED
5,471	CLOSED
1,367	PENDING

Number of Days to Complete Intake and Investigations	
1,942	UP TO 90 DAYS
858	91 TO 180 DAYS
1,115	181 DAYS TO 1 YEAR
879	1 TO 2 YEARS
455	2 TO 3 YEARS
222	OVER 3 YEARS
265	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
350	ISSUED
350	ISSUED WITH A FINE
10	WITHDRAWN
0	DISMISSED
393	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$189,272	ASSESSED
\$1,400	REDUCED
\$116,261	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
364	CASES OPENED/INITIATED
440	CASES CLOSED
342	CASES PENDING

BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS

Summary of Enforcement Activity continued

Number of Days to Complete AG Cases	
10	1 YEAR
130	1 TO 2 YEARS
155	2 TO 3 YEARS
75	3 TO 4 YEARS
70	OVER 4 YEARS
1,005	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
30	STATEMENTS OF ISSUES FILED
257	ACCUSATIONS FILED
7	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
5	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
7	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
33	LICENSE APPLICATIONS DENIED
161	REVOCAION
50	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
150	PROBATION ONLY
4	PUBLIC REPRIMAND
44	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
25	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
24	REVOCAION
14	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
2	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
4	GRANTED
1	DENIED
5	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
17	GRANTED
10	DENIED
27	TOTAL

Cost Recovery to DCA	
\$623,440	ORDERED
\$183,002	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
9	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
297	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
556	AVERAGE NUMBER OF DAYS

Pg. 205 **Section 139 Report**

Pg. 216 **Expenditure Categories**

Pg. 219 **Fund Conditions**

Pg. 222 **Revenue Sources**

Section 139 Report

EXAMINATION PROGRAM			PREREQUISITES ¹ for admittance to the examination			EXAMINATION VALIDATION ² OA = Occupational Analysis				COSTS (IN THOUSANDS) ³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)
			B&P	CCR									
ACCOUNTANCY, CALIFORNIA BOARD OF	CERTIFIED PUBLIC ACCOUNTANT (CPA)	UNIFORM CERTIFIED PUBLIC ACCOUNTANT EXAMINATION (CPA EXAM)	5081 5092 5093	9.2	OA; INTERNAL/ EXTERNAL REVIEW AS NEEDED	2015	2008	CRITERION- REFERENCED		\$0	\$796	\$0	
		PROFESSIONAL ETHICS FOR CERTIFIED PUBLIC ACCOUNTANTS – SUPPLEMENTAL EXAMINATION	5018 5083 5092 5093	10	PREREQUISITE TO APPLY FOR LICENSURE ONLY	2013	2013	SET IN REGULATION	X	\$0	\$0	\$0	\$0
ACUPUNCTURE BOARD	ACUPUNCTURE LICENSE	CALIFORNIA ACUPUNCTURE LICENSING EXAMINATION	4938 4939 4940	1399.420 1399.432 1399.434 1399.436 1399.439	NO NATIONAL COMPETENCY EXISTS FOR PROFESSION, SO OA UPDATE EVERY 5 YEARS	2015	2015	MODIFIED ANGOFF	X	\$0	\$306	\$279	\$355
		ARCHITECT REGISTRATION EXAMINATION (ARE)	5550 5552	116	OA; INTERNAL/ EXTERNAL REVIEW AS NEEDED	2012	2012	MODIFIED ANGOFF	X	\$0	\$3	\$157	\$16
ARCHITECTS BOARD, CALIFORNIA	ARCHITECT	CALIFORNIA SUPPLEMENTAL EXAMINATION (CSE)	5550 5552	116	OA; INTERNAL REVIEW EVERY 5 YEARS OR AS NEEDED	2014	2007	MODIFIED ANGOFF	X	\$0	\$252	\$180	\$18
		SMOG CHECK INSPECTOR	HEALTH & SAFETY CODE 44014 44031.5	3340.28 3340.29	OA; INTERNAL REVIEW EVERY 5 YEARS	2016	2012	MODIFIED ANGOFF		\$81	\$25	\$77	\$12
AUTOMOTIVE REPAIR, BUREAU OF	SMOG CHECK	SMOG CHECK REPAIR TECHNICIAN	9887.2	3310		2011	2011	MODIFIED ANGOFF	X	\$46	\$45	\$22	\$16
		BRAKE ADJUSTER (A,B,C) LAMP ADJUSTER	9887.2	3310		2011	2011	MODIFIED ANGOFF		\$0	\$7	\$17	\$9
BARBERING AND COSMETOLOGY, BOARD OF	BARBER	BARBER	7321.5			2011	2011	MODIFIED ANGOFF (FIRST FORM OFF OA) EQUATING (SUBSEQUENT FORMS)		\$0	\$4	\$20	\$4
		COSMETOLOGY	7321	909 910 924 926	EXTERNAL REVIEW AS NEEDED	2014	2009	MODIFIED ANGOFF (CANDIDATE VOLUME DOES NOT SUPPORT EQUATING)		\$0	\$4	\$20	\$4
BARBERING AND COSMETOLOGY, BOARD OF	ELECTROLOGY	ELECTROLOGY	7330			2011	2011	MODIFIED ANGOFF (FIRST FORM OFF OA) EQUATING (SUBSEQUENT FORMS)	X	\$0	\$7	\$17	\$9
		ESTHETICIAN	7324	909 910		2012	2012	MODIFIED ANGOFF (FIRST FORM OFF OA) EQUATING (SUBSEQUENT FORMS)		\$0	\$4	\$20	\$4
BARBERING AND COSMETOLOGY, BOARD OF	MANICURIST	MANICURIST	7326			2013	2013	MODIFIED ANGOFF (FIRST FORM OFF OA) EQUATING (SUBSEQUENT FORMS)		\$0	\$4	\$20	\$4
										\$1,827	\$1,561.4	\$0	\$0

1. Prerequisites for admittance to the examination are mandated by Business and Professions (B&P) Code and/or California Code of Regulations (CCR), and assessed using a number of methods, including valid occupational analyses, national standards, and regulatory review. CCR codes are Title 16 unless otherwise indicated.
 2. Methods used to establish passing scores vary across exam administrations and are based on minimum competence criteria necessary for licensure.
 3. Included are costs for personnel required to perform these functions.

Section 139 Report

EXAMINATION PROGRAM			PREREQUISITES ¹ for admittance to the examination			EXAMINATION VALIDATION ²				COSTS (IN THOUSANDS) ³			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)
			B&P	CCR									
BEHAVIORAL SCIENCES, BOARD OF	LICENSED CLINICAL SOCIAL WORKER (LCSW)	CALIFORNIA LCSW LAW AND ETHICS	4992.05 (B)		OA: ANNUAL INTERNAL/ EXTERNAL REVIEW	2010	2010	MODIFIED ANGOFF	X				\$0
		ASSOCIATION OF SOCIAL WORK BOARD (ASWB) CLINICAL EXAMINATION NATIONAL EXAM	4992.05(C) (1-3)			2015	2015						
	LICENSED EDUCATIONAL PSYCHOLOGIST (LEP)	LEP	4989.20			2015	2015						
	LICENSED PROFESSIONAL CLINICAL COUNSELOR (LPCC)	CALIFORNIA LPCC LAW AND ETHICS	4999.53(B)		2010	2010	CRITERION- REFERENCED	\$916.7: TOTAL ANNUAL COSTS FOR ALL BBS PROGRAMS					
	LICENSED MARRIAGE AND FAMILY THERAPIST (MFT)	NATIONAL BOARD OF CERTIFIED COUNSELORS NATIONAL CLINICAL MENTAL HEALTH COUNSELOR EXAMINATION	4999.53(C) (1-3)		2012	2012	MODIFIED ANGOFF						
		LMFT LAW AND ETHICS	4980.397(B)										
CEMETERY AND FUNERAL BUREAU	CEMETERY BROKER	CEMETERY BROKER	7651		ANNUAL INTERNAL REVIEW	2013	2013	MODIFIED ANGOFF	X	\$0	\$1		\$0
	CEMETERY MANAGER	CEMETERY MANAGER	7651.1			2012	2012						
	CEMETERY MANAGER	CEMETERY MANAGER	7651.2			2010	2010						
	CEMETERY MANAGER	CEMETERY MANAGER	7651.4		2014	2014	\$22	\$7	\$5				
	EMBALMER	EMBALMER	7712.10	2326.1(A)(1)	2011	2011	\$0	\$1	\$6				
	FUNERAL DIRECTOR	FUNERAL DIRECTOR	7642	1235	2014	2014	\$0	\$0	\$0				
CHIROPRACTIC EXAMINERS, BOARD OF	DOCTOR OF CHIROPRACTIC	NATIONAL BOARD OF CHIROPRACTIC EXAMINERS EXAMINATION PARTS I, II, III, IV AND PHYSIOTHERAPY	SECTION 6, CHIROPRACTIC INITIATIVE ACT		EXTERNAL 5 YEARS	2015	2009	MODIFIED ANGOFF AND EQUATING	X	\$21	\$0	\$0	\$0
		CALIFORNIA CHIROPRACTIC LAW EXAMINATION (CCLE)	SECTION 5, CHIROPRACTIC INITIATIVE ACT		INTERNAL 5 YEARS	2014	2014			\$53.2	\$0	\$0	\$0

1. Prerequisites for admittance to the examination are mandated by Business and Professions (B&P) Code and/or California Code of Regulations (CCR), and assessed using a number of methods, including valid occupational analyses, national standards, and regulatory review. CCR codes are Title 16 unless otherwise indicated.
 2. Methods used to establish passing scores vary across exam administrations and are based on minimum competence criteria necessary for licensure.
 3. Included are costs for personnel required to perform these functions.

Section 139 Report

EXAMINATION PROGRAM			PREREQUISITES¹ for admittance to the examination			EXAMINATION VALIDATION² OA = Occupational Analysis					COSTS (INTHOUSANDS)³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)	
			B&P	CCR										
CONTRACTORS STATE LICENSE BOARD	C-39	ROOFING			INTERNAL REVIEW AS NEEDED	2015	2010			\$542 (PY): TOTAL COST FOR ALL CSLB PROGRAMS	\$960 (PY): TOTAL COST FOR ALL CSLB PROGRAMS	\$1,681 (PY): TOTAL COST FOR ALL CSLB PROGRAMS	\$82 (PY): TOTAL COST FOR ALL CSLB PROGRAMS	
	C-42	SANITATION SYSTEM				2012	2012							
	C-43	SHEET METAL				2014	2009							
	C-45	SIGN				2012	2012							
	C-46	SOLAR				2012	2012							
	C-47	GENERAL MANUFACTURED HOUSING				2012	2012							
	C-50	REINFORCING STEEL				2012	2012							
	C-51	STRUCTURAL STEEL				2014	2014							
	C-53	SWIMMING POOL		7056-7058		825 826	2016	2011	MODIFIED ANGOFF	X				
	C-54	CERAMIC AND MOSAIC TILE					2016	2011						
	C-55	WATER CONDITIONING					2012	2012						
	C-57	WELL DRILLING					2012	2012						
	C-60	WELDING					2013	2013						
	N/A	ASBESTOS CERTIFICATION					2015	2015						
N/A	HAZARDOUS SUBSTANCE REMOVAL CERTIFICATION				2012	2012								
N/A	LAW AND BUSINESS				2015	2010								
COURT REPORTERS BOARD OF CALIFORNIA	CERTIFIED SHORTHAND REPORTER (CSR)	CERTIFIED SHORTHAND REPORTER PRACTICAL			OA: INTERNAL/ EXTERNAL REVIEW	2009	2009	SPECIFIED IN STATUTE	N/A	\$0	\$89	\$74	\$3	
		CERTIFIED SHORTHAND REPORTER ENGLISH	8020	2418		2009	2009	MODIFIED ANGOFF	X					
		CERTIFIED SHORTHAND REPORTER PROFESSIONAL PRACTICE												
DENTAL BOARD OF CALIFORNIA	DENTIST	PORTFOLIO	1632 (C)(1)		INTERNAL/ EXTERNAL REVIEW AS NEEDED	2013	2013	CRITERION- REFERENCED	X			\$0		
		WRB	1632 (C)(2)			2014	2014	SPECIFIED IN STATUTE						
	REGISTERED DENTAL ASSISTANT	LAW & ETHICS	1632 (B)			2005	2005							
		WRITTEN PRACTICAL	1752.1	1076 1077		2016	2010							
		LAW & ETHICS	1752.1(E)			2016	2010							
	REGISTERED DENTAL ASSISTANT IN EXTENDED FUNCTIONS	WRITTEN PRACTICAL/CLINICAL	1753	1076 1077		2016	2010			CRITERION- REFERENCED	X	\$63	\$21.3	\$0
		WRITTEN	1750.2			2016	2010						\$2.8	
DENTAL SEDATION PERMIT	WRITTEN	1750.4		2010	2010									

Section 139 Report

EXAMINATION PROGRAM			PREREQUISITES ¹ for admittance to the examination			EXAMINATION VALIDATION ² OA = Occupational Analysis				COSTS (IN THOUSANDS) ³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)
			B&P	CCR									
DENTAL HYGIENE COMMITTEE OF CALIFORNIA	REGISTERED DENTAL HYGIENIST (RDH)	NATIONAL DENTAL HYGIENE BOARD EXAMINATION (NDHBE)	1082	1082	EXTERNAL REVIEW EVERY 5 YEARS	2015	2015	CRITERION-REFERENCED	X	\$0	\$0	\$0	
		WESTERN REGIONAL EXAMINATION BOARD (WREB)	1082.1	1082.1		2009	2009						
		CENTRAL REGIONAL DENTAL TESTING SERVICES EXAMINATION (CRDTS)				2014	2014						
DENTAL HYGIENE COMMITTEE OF CALIFORNIA	REGISTERED DENTAL HYGIENIST IN ALTERNATIVE PRACTICE (RDHAP)	CALIFORNIA LAW AND ETHICS EXAMINATION	1082.3	1082.3	INTERNAL REVIEW EVERY 3 YEARS	2010	2010	SPECIFIED IN STATUTE	X	\$0	\$5.3	\$0	
		CALIFORNIA LAW AND ETHICS EXAMINATION (RDHAP)	1922	1922		2000	2000						
		CALIFORNIA CLINICAL EXAMINATION (CURRENTLY NOT ADMINISTERED)	1918	1918		2000	2000						
ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR PROFESSIONAL	AGRICULTURAL ENGINEER	PE AGRICULTURAL	404	404	OA: INTERNAL/ EXTERNAL REVIEW AS NEEDED	2013	2013	MODIFIED ANGOFF	X	\$0	\$828.2	\$6.5	\$0
		PE CHEMICAL	6704 6732 6750-59	420-424 427.1 438		2009	2009						
		PE CIVIL BREADTH & DEPTH	6702 6704 6731 6731.1 6750-59			2013	2013						
ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR PROFESSIONAL	CIVIL ENGINEER (NATIONAL)	SEISMIC PRINCIPLES/ ENGINEERING SURVEY	6702 6704 6731 6731.1 6750-59	6702 6704 6731 6731.1 6750-59		2011	2011						

1. Prerequisites for admittance to the examination are mandated by Business and Professions (B&P) Code and/or California Code of Regulations (CCR), and assessed using a number of methods, including valid occupational analyses, national standards, and regulatory review. CCR codes are Title 16 unless otherwise indicated.
 2. Methods used to establish passing scores vary across exam administrations and are based on minimum competence criteria necessary for licensure.
 3. Included are costs for personnel required to perform these functions.

Section 139 Report

EXAMINATION PROGRAM			PREREQUISITES¹ for admittance to the examination			EXAMINATION VALIDATION² OA = Occupational Analysis				COSTS (IN THOUSANDS)³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year				
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)	
			B&P	CCR										
ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR PROFESSIONAL	CONTROL SYSTEMS ENGINEER	PE CONTROL SYSTEMS	6704 6732 6750-59		OA: INTERNAL/ EXTERNAL REVIEW AS NEEDED	2010	2010							
	ELECTRICAL ENGINEER	PE ELECTRICAL	6702.1 6704 6750-59	404 420-424 427.1 438		2007	2007							
	ENGINEER-IN-TRAINING (EIT)	FUNDAMENTALS OF ENGINEERING (FE)	6704 6750-59			2009	2009							
	FIRE PROTECTION ENGINEER	PE FIRE PROTECTION	6704 6732 6750-59			2011	2011							
	GEOTECHNICAL ENGINEER	GEOTECHNICAL ENGINEER	6730.2 6736.1	404 420-424 426.5 426.51 427.2		2010	2010							
	INDUSTRIAL ENGINEER	PE INDUSTRIAL	6704 6732 6750-59	404 420-424 427.1 438		2011	2011							
	LAND SURVEYOR (NATIONAL)	PRINCIPLES OF SURVEYING (PS)	8741-8743	404-424 427.1 438		2011	2011							
	LAND SURVEYOR (CALIFORNIA)	PROFESSIONAL LAND SURVEYOR	8708 8741-8743	404-424 427.1 438		2011	2011							
	LAND SURVEYOR-IN-TRAINING (LSIT)	FUNDAMENTALS OF SURVEYING (FS)	8741-8743	404 420-424 438		2012	2012							
	MECHANICAL ENGINEER	PE MECHANICAL	6702.2 6704 6750-59			2007	2007							
	METALLURGICAL ENGINEER	PE METALLURGICAL AND MATERIALS		404 420-424 427.1 438		2014	2014							
	NUCLEAR ENGINEER	PE NUCLEAR	6704 6732 6750-59			2011	2011							
	PETROLEUM ENGINEER	PE PETROLEUM		404 420-424 427.1, 438		2013	2013							

Section 139 Report

EXAMINATION PROGRAM			PREREQUISITES ¹ for admittance to the examination			EXAMINATION VALIDATION ² OA = Occupational Analysis				COSTS (INTHOUSANDS) ³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)
			B&P	CCR									
ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR PROFESSIONAL	STRUCTURAL ENGINEER (NATIONAL)	LATERAL FORCES VERTICAL FORCES	6730.2	404	INTERNAL/ EXTERNAL REVIEW AS NEEDED	2009	2009	MODIFIED ANGOFF	X	\$0: TOTAL COST FOR PROGRAMS: AGRICULTURAL ENGINEER - TRAFFIC ENGINEER	\$828.2: TOTAL COST FOR PROGRAMS: AGRICULTURAL ENGINEER - TRAFFIC ENGINEER	\$6.5: TOTAL COST FOR PROGRAMS: AGRICULTURAL ENGINEER - TRAFFIC ENGINEER	\$0: TOTAL COST FOR PROGRAMS: AGRICULTURAL ENGINEER - CERTIFIED HYDROGEOLOGIST
			6736	420-424		2010	2010						
	PROFESSIONAL GEOLOGIST	TRAFFIC ENGINEER	6763.1	426.10-427.3	INTERNAL/ EXTERNAL REVIEW AS NEEDED	2013	2013	MODIFIED ANGOFF	X	\$0: TOTAL COST FOR PROGRAMS: AGRICULTURAL ENGINEER - CERTIFIED HYDROGEOLOGIST	\$230.1	\$138.6	\$0: TOTAL COST FOR PROGRAMS: AGRICULTURAL ENGINEER - CERTIFIED HYDROGEOLOGIST
			6704	404		2015	2015						
			6732	420-424		2013	2013						
PROFESSIONAL GEOPHYSICIST	PROFESSIONAL GEOPHYSICIST (PGP)	FUNDAMENTALS OF GEOLOGY PRACTICE OF GEOLOGY CALIFORNIA SPECIFIC (CSE)	7841	3031	INTERNAL REVIEW EVERY 4 YEARS	2013	2013	EXPERT PANEL	X	EXAM IS CREATED AND ADMINISTERED BY OUTSIDE AGENCIES WITH NO EXPENSE TO THE STATE.			
			7841.1			2014	2014						
PROFESSIONAL ENGINEERING GEOLOGIST	CERTIFIED ENGINEERING GEOLOGIST (CEG)	CERTIFIED ENGINEERING GEOLOGIST (CEG)	7842	3041	INTERNAL REVIEW EVERY 4 YEARS	2013	2013	EXPERT PANEL	X	EXAM IS CREATED AND ADMINISTERED BY OUTSIDE AGENCIES WITH NO EXPENSE TO THE STATE.			
						2013	2013						
PROFESSIONAL FIDUCIARIES BUREAU	PROFESSIONAL FIDUCIARY (PF)	PROFESSIONAL FIDUCIARIES EXAM	6539	4500	INTERNAL REVIEW TWICE PER YEAR	2011	2011	MODIFIED ANGOFF	X	EXAM IS CREATED AND ADMINISTERED BY OUTSIDE AGENCIES WITH NO EXPENSE TO THE STATE.			
						2011	2011						
GUIDE DOGS FOR THE BLIND, STATE BOARD OF	GUIDE DOG INSTRUCTOR	GUIDE DOG INSTRUCTOR WRITTEN EXAMINATION GUIDE DOG INSTRUCTOR PRACTICAL/ ORAL EXAMINATION	7208	2261	INTERNAL REVIEW EVERY 4 YEARS	2011	2011	MODIFIED ANGOFF	X	EXAM IS CREATED AND ADMINISTERED BY OUTSIDE AGENCIES WITH NO EXPENSE TO THE STATE.			
			7209	2265		2011	2011						
LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE	LANDSCAPE ARCHITECT (NATIONAL)	LANDSCAPE ARCHITECTS REGISTRATION EXAMINATION (LARE)	5650	2610	INTERNAL REVIEW TWICE PER YEAR	2014	2014	MODIFIED ANGOFF	X	EXAM IS CREATED AND ADMINISTERED BY OUTSIDE AGENCIES WITH NO EXPENSE TO THE STATE.			
			5651	2615		2014	2014						
LANDSCAPE ARCHITECT (SUPPLEMENTAL)	LANDSCAPE ARCHITECT (SUPPLEMENTAL)	CALIFORNIA SUPPLEMENTAL EXAMINATION (CSE)		2620	INTERNAL REVIEW EVERY 5 YEARS OR AS NEEDED	2014	2014	MODIFIED ANGOFF	X	EXAM IS CREATED AND ADMINISTERED BY OUTSIDE AGENCIES WITH NO EXPENSE TO THE STATE.			
				2621		2014	2014						

1. Prerequisites for admittance to the examination are mandated by Business and Professions (B&P) Code and/or California Code of Regulations (CCR), and assessed using a number of methods, including valid occupational analyses, national standards, and regulatory review. CCR codes are Title 16 unless otherwise indicated.
 2. Methods used to establish passing scores vary across exam administrations and are based on minimum competence criteria necessary for licensure.
 3. Included are costs for personnel required to perform these functions.

Section 139 Report

EXAMINATION PROGRAM				PREREQUISITES¹ for admittance to the examination			EXAMINATION VALIDATION² OA = Occupational Analysis				COSTS (INTHOUSANDS)³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)	
			B&P	CCR										
MEDICAL BOARD OF CALIFORNIA	PHYSICIAN AND SURGEON	UNITED STATES MEDICAL LICENSING EXAMINATION	2170			2008	2008	MODIFIED ANGOFF WITH RASCH MODEL EQUATING						
	LICENSED MIDWIFE	NORTH AMERICAN REGISTRY OF MIDWIVES	2512.5		NATIONAL BOARDS ARE RESPONSIBLE FOR EXAMINATION DEVELOPMENT	2010	2010		X					
	POLYSOMNOGRAPHIC TECHNICIAN	CERTIFIED POLYSOMNOGRAPHIC TECHNICIAN (CPSGT)	2513			2009	2009	MODIFIED ANGOFF						
	POLYSOMNOGRAPHIC TECHNOLOGIST	REGISTERED POLYSOMNOGRAPHIC TECHNOLOGIST (RPSBT)	3575			2009	2009							
NATUROPATHIC MEDICINE COMMITTEE	NATUROPATHIC DOCTOR	NPLEX I & II	3630 3631		EXTERNAL REVIEW EVERY 5 YEARS	2012	2012	MODIFIED ANGOFF	X				NO COST TO THE COMMITTEE. NATIONAL EXAMS ARE CREATED, ADMINISTERED, AND EVALUATED BY EXTERNAL SOURCES.	
OCCUPATIONAL THERAPY, CALIFORNIA BOARD OF	OCCUPATIONAL THERAPIST	REGISTERED OCCUPATIONAL THERAPIST	2570.6		OA; EXTERNAL REVIEW	2012	2012	MODIFIED ANGOFF	X				EXAMINATIONS ARE ADMINISTERED BY AN EXTERNAL ORGANIZATION. THEREFORE, NO EXPENSES ARE INCURRED BY THE BOARD.	
	OCCUPATIONAL THERAPIST ASSISTANT	CERTIFIED OCCUPATIONAL THERAPY ASSISTANT												
OPTOMETRY, BOARD OF	OPTOMETRIST (OPT)	NATIONAL BOARD OF EXAMINERS IN OPTOMETRY- APPLIED BASIC SCIENCE (PART I); PATIENT ASSESSMENT AND MANAGEMENT (PART II); CLINICAL SKILLS (PART III) CALIFORNIA LAWS AND REGULATIONS EXAMINATION	3041.2 3046	1523		2016	2006						EXAMINATION IS ADMINISTERED BY OUTSIDE AGENCIES. THEREFORE, NO EXPENSES ARE INCURRED BY THE BOARD.	
	REGISTERED SPECTACLE LENS DISPENSER	AMERICAN BOARD OF OPTICIANRY – SPECTACLE EXAM	2559.2		EXTERNAL REVIEW	2009	2009	MODIFIED ANGOFF	X	\$0	\$24	\$0	\$0	
	REGISTERED CONTACT LENS DISPENSER	NATIONAL CONTACT LENS EXAMINERS – CONTACT LENS EXAM	2561			2013	2013						EXAMINATION IS ADMINISTERED BY OUTSIDE AGENCIES. THEREFORE, NO EXPENSES ARE INCURRED BY THE BOARD.	
	OSTEOPATHIC PHYSICIAN AND SURGEON	COMPLEX LEVEL 1 COMPLEX LEVEL 2 (CE) COMPLEX LEVEL 2 (PE) COMPLEX LEVEL 3	2099.5	1620	EXTERNAL REVIEW EVERY 3-5 YEARS	2014	2014	MODIFIED ANGOFF	X					EXAMINATIONS ARE ADMINISTERED BY THE NATIONAL BOARD OF OSTEOPATHIC MEDICAL EXAMINERS, INC. THERE ARE NO COSTS TO THE OSTEOPATHIC MEDICAL BOARD.
PHARMACY, CALIFORNIA STATE BOARD OF	CALIFORNIA PRACTICE STANDARDS AND JURISPRUDENCE EXAMINATION FOR PHARMACISTS (CPJE)		4200.1 4200.2 4200.3 4200.4 4209 4400	1719 1720 1720.1 1721 1723.1 1724 1725 1728 1749	OA; INTERNAL/ EXTERNAL REVIEW					N/A	\$134	\$85	\$58	
	REGISTERED PHARMACIST	NORTH AMERICAN PHARMACIST LICENSURE EXAMINATION (NAPLEX)			OA	2014	2014	MODIFIED ANGOFF	X					EXAMINATION ADMINISTERED BY THE NATIONAL ASSOCIATION OF BOARDS OF PHARMACY (NABP) WITH NO EXPENSE TO THE STATE.

Section 139 Report

EXAMINATION PROGRAM			PREREQUISITES ¹ for admittance to the examination			EXAMINATION VALIDATION ² OA = Occupational Analysis				COSTS (INTHOUSANDS) ³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year				
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)	
			B&P	CCR										
PHYSICAL THERAPY BOARD OF CALIFORNIA	PHYSICAL THERAPIST (PT)	NATIONAL PHYSICAL THERAPY EXAMINATION (NPTE)	1398.25 1398.26 1398.26.1 1398.26.5	1398.25 1398.26 1398.26.1 1398.26.5	OA; EVERY 5 YEARS AND AS OTHERWISE DEEMED NECESSARY	2011	2011			\$0	\$7.3	\$2.5: SHARED WITH PE COSTS	\$2.5: SHARED WITH PE COSTS	
		CALIFORNIA LAW EXAMINATION (CLE)	2650 2653	2650 2653	OA; AS DEEMED NECESSARY DUE TO CHANGES IN LAW OR REGULATION	2012	2012							
	PHYSICAL THERAPIST ASSISTANT (PTA)	NATIONAL PHYSICAL THERAPY EXAMINATION (NPTE)	1398.47 1398.50 1398.51	1398.47 1398.50 1398.51	OA; EVERY 5 YEARS AND AS OTHERWISE DEEMED NECESSARY	2011	2011	MODIFIED ANGOFF	X					
		CALIFORNIA LAW EXAMINATION (CLE)	2620.5	2620.5	OA; AS DEEMED NECESSARY DUE TO CHANGES IN LAW OR REGULATION	2012	2012				\$0	\$1	\$0	
PHYSICIAN ASSISTANT BOARD	PT SPECIALTY CERTIFICATION	KINESIOLOGICAL ELECTROMYOGRAPHY (KEMG)	1399.63 1399.65	1399.63 1399.65	OA	1990	1990							
		ELECTRONEUROMYO-GRAPHY (ENMG)	2620.5	2620.5	OA	1994	1994				\$0			
PODIATRIC MEDICINE, CALIFORNIA BOARD OF	DOCTOR OF PODIATRIC MEDICINE (DPM) - PERMANENT (E)	PANCE	3519	3519	OA; EVERY 5 YEARS	2015	2015	MODIFIED ANGOFF	X					
		NBPME PART I												
		NBPME PART II												
	DOCTOR OF PODIATRIC MEDICINE (DPM) - RESIDENT (EL)	NBPME PART III	2486	1399.660	OA; INTERNAL/ EXTERNAL REVIEW	2015	2015	MODIFIED ANGOFF	X					
NBPME PART I		2475.1	1399.668		2016	2016								
PSYCHOLOGY, BOARD OF	PSYCHOLOGIST	EXAMINATION FOR PROFESSIONAL PRACTICE IN PSYCHOLOGY (EPPP)	2914	1388	OA; EXTERNAL REVIEW	2010	2010	MODIFIED ANGOFF	X	\$0	\$0	\$0		
		CALIFORNIA PSYCHOLOGY LAWS AND ETHICS EXAMINATION				2012	2012				\$127	\$47		
REAL ESTATE, BUREAU OF	RE SALESPERSON	RE SALESPERSON	10150-10153	N/A	INTERNAL REVIEW	2010	2010	PRE-DETERMINED CUT SCORE, TRANSITIONING TO MODIFIED ANGOFF	X	\$0	\$128.7	\$389.2	\$0	
		RE Broker												

1. Prerequisites for admittance to the examination are mandated by Business and Professions (B&P) Code and/or California Code of Regulations (CCR), and assessed using a number of methods, including valid occupational analyses, national standards, and regulatory review. CCR codes are Title 16 unless otherwise indicated.
 2. Methods used to establish passing scores vary across exam administrations and are based on minimum competence criteria necessary for licensure.
 3. Included are costs for personnel required to perform these functions.

Section 139 Report

EXAMINATION PROGRAM				PREREQUISITES¹ for admittance to the examination			EXAMINATION VALIDATION² OA = Occupational Analysis				COSTS (INTHOUSANDS)³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)	
			B&P	CCR										
REAL ESTATE APPRAISERS, BUREAU OF	APPRAISER	APPRAISER LICENSE	11340	3621	EXTERNAL REVIEW	2014	2014	ANNUAL SME TESTING	X					
	CERTIFIED RESIDENTIAL	CERTIFIED RESIDENTIAL LICENSE												
	CERTIFIED GENERAL	CERTIFIED GENERAL LICENSE												
REGISTERED NURSING, BOARD OF	REGISTERED NURSE	NCLEX-RN	144 2736	1412 1426	OA: INTERNAL/ EXTERNAL REVIEW: 4 YEARS FULL EVERY 8 YEARS	2015	2015	ITEM RESPONSE THEORY	X	\$0	\$0	\$21	\$0	
	RESPIRATORY CARE PRACTITIONER	THERAPIST MULTIPLE CHOICE CLINICAL SIMULATION	3740		OA: ONGOING INTERNAL REVIEW	2012	2012	MODIFIED ANGOFF -3 TO +3 PER OPTION SELECTED	X	N/A			\$11	
SECURITY AND INVESTIGATIVE SERVICES, BUREAU OF	ALARM COMPANY OPERATOR	ALARM COMPANY QUALIFIED MANAGER	144 7599			2004	2004			\$17	\$0	\$3		
	PRIVATE INVESTIGATOR	PRIVATE INVESTIGATOR QUALIFIED MANAGER	144 7525 7526 7541	601 620	INTERNAL REVIEW OF QUALIFYING EXPERIENCE/ OA ONGOING	2015	2015	MODIFIED ANGOFF	X	\$0	\$0	\$11	N/A	
	PRIVATE PATROL OPERATOR	PRIVATE PATROL OPERATOR QUALIFIED MANAGER	144 7582 7583			2012	2012			\$0	\$0	\$8		
	REPOSESSION AGENCY	REPOSESSION AGENCY QUALIFIED MANAGER	7503 7504	601		2004	2004			\$13	\$6	\$1		
	SPEECH-LANGUAGE PATHOLOGIST	THE PRAXIS SERIES	2531 2532 2532.2	1399 152.3	OA: INTERNAL/ EXTERNAL REVIEW EVERY 5 TO 7 YEARS	2010	2010	MODIFIED TUCKER- ANGOFF	X	\$52	N/A	N/A	N/A	
SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD	AUDILOGIST	HEARING AID DISPENSER WRITTEN/PRACTICAL EXAMINATIONS	2531 2532 2532.2 2532.25	1399.120 2538.25 2538.26		2008	2008	MODIFIED ANGOFF		\$60	N/A	\$337	N/A	
	HEARING AID DISPENSER					2012	2012			N/A	\$61	\$337		

1. Prerequisites for admittance to the examination are mandated by Business and Professions (B&P) Code and/or California Code of Regulations (CCR), and assessed using a number of methods, including valid occupational analyses, national standards, and regulatory review. CCR codes are Title 16 unless otherwise indicated.
 2. Methods used to establish passing scores vary across exam administrations and are based on minimum competence criteria necessary for licensure.
 3. Included are costs for personnel required to perform these functions.

Section 139 Report

EXAMINATION PROGRAM				PREREQUISITES¹ for admittance to the examination			EXAMINATION VALIDATION² OA = Occupational Analysis				COSTS (INTHOUSANDS)³ ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)	
			B&P	CCR										
STRUCTURAL PEST CONTROL BOARD	APPLICATOR	APPLICATOR	8564.5		INTERNAL/ EXTERNAL REVIEW EVERY 5 YEARS	2014	2014	SPECIFIED IN STATUTE	X	\$56	\$61	\$315	\$0	
	BRANCH 1 FIELD REPRESENTATIVE	BRANCH 1 FIELD REPRESENTATIVE	8563			2008	2008							
	BRANCH 2 FIELD REPRESENTATIVE	BRANCH 2 FIELD REPRESENTATIVE	8564			2010	2010							
	BRANCH 3 FIELD REPRESENTATIVE	BRANCH 3 FIELD REPRESENTATIVE	8566		2008	2008								
	OPERATOR	OPERATOR	8561	1934	INTERNAL REVIEW EVERY 5 YEARS	2008	2008	MODIFIED ANGOFF	N/A	N/A	N/A	N/A	N/A	
	BRANCH 1 OPERATOR	BRANCH 1 OPERATOR	8562			2010	2010							
BRANCH 2 OPERATOR	BRANCH 2 OPERATOR	8565		2008	2008									
VETERINARY MEDICAL BOARD	NAVLE	NAVLE	2010		OA; EXTERNAL REVIEW EVERY 7 YEARS	2009	2009	MODIFIED ANGOFF	N/A	N/A	N/A	N/A	N/A	
	VETERINARIAN	CSB	4846.1	2010.1	INTERNAL REVIEW EVERY 5-7 YEARS	2009	2009							
			4846.2	2014		2013	2013							
			4848	2015		2013	2013							
	VLE	VLE	2015.1	2022	INTERNAL LAW REVIEW AS NEEDED	N/A	N/A							
VLE	VLE	2015.1	2021	INTERNAL LAW REVIEW AS NEEDED	N/A	N/A								
VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS, BOARD OF	REGISTERED VETERINARY TECHNICIAN	CRVT	2010		OA; INTERNAL REVIEW EVERY 5-7 YEARS	2010	2010	MODIFIED ANGOFF	N/A	\$57	\$72	\$17	N/A	
	VOCATIONAL NURSE	NATIONAL COUNCIL LICENSURE EXAMINATION FOR PRACTICAL NURSES	4839	2014.1	EXTERNAL REVIEW EVERY 3 YEARS	2010	2010							
			4841.4	2015		2012	2012							
	4841.5	2066	2068.5	2068.6	2068.7	2012	2012							
PSYCHIATRIC TECHNICIAN	CALIFORNIA PSYCHIATRIC TECHNICIAN LICENSURE EXAM	PSYCHIATRIC TECHNICIAN	2516	2516	INTERNAL LAW REVIEW AS NEEDED	2012	2012							
			2521	2521		2015	2015							
			2530(G)	2530(G)		2012	2012							
			2532	2532		2014	2014							
			2533	2533		2014	2014							

Expenditure Categories

FISCAL YEAR 2015/16 NET EXPENDITURES (IN THOUSANDS)							FROM FM 13 Q16 CALSTARS REPORT	FROM FM 13 Q24 CALSTARS REPORT	FROM FM 13 Q16 CALSTARS REPORT	Total Net Expenditures
1111 – BUREAUS AND PROGRAMS	Fund Number and Name	FROM FM 13 Q16 CALSTARS REPORT			FROM FM 13 Q24 CALSTARS REPORT	FROM FM 13 Q16 CALSTARS REPORT	Less (-) Internal Distributed Costs	Less (-) Reimbursements	Total Net Expenditures	
		Personal Services	Operating Expenses	Subtotal (Month 13)	Less (-)	Less (-) Internal Distributed Costs				
ARBITRATION CERTIFICATION PROGRAM	0166 CERTIFICATION ACCOUNT	836	350	1,185	0	0	0	1,185		
AUTOMOTIVE REPAIR, BUREAU OF	0421 VEHICLE INSPECTION & REPAIR FUND	51,915	49,114	101,029	-738	-71	-71	100,220		
	0582 HIGH POLLUTER REPAIR OR REMOVAL ACCOUNT	3,814	31,974	35,788	0	0	0	35,788		
	3122 ENHANCED FLEET MODERNIZATION SUBACCOUNT	428	33,463	33,891	0	0	0	33,891		
	BAR - TOTAL	56,157	114,551	170,708	-738	-71	-71	169,899		
CEMETERY & FUNERAL BUREAU	0717 CEMETERY FUND	1,343	821	2,164	-17	-115	-115	2,032		
	0750 STATE FUNERAL DIRECTORS & EMBALMERS FUND	694	634	1,328	-20	0	0	1,308		
	CFB - TOTAL	2,037	1,455	3,492	-37	-115	-115	3,340		
ELECTRONIC & APPLIANCE REPAIR, HOME FURNISHINGS & THERMAL INSULATION, BUREAU OF	0752 BUREAU OF HOME FURNISHINGS & THERMAL INSULATION FUND	2,331	2,103	4,433	0	0	0	4,433		
	0325 ELECTRONIC & APPLIANCE REPAIR FUND	1,115	1,116	2,231	0	-61	-61	2,170		
	BEAR/HFTI - TOTAL	3,446	3,219	6,664	0	-61	-61	6,603		
PRIVATE POSTSECONDARY EDUCATION, BUREAU FOR	0305 PRIVATE POSTSECONDARY EDUCATION ADMINISTRATION FUND	8,128	4,512	12,640	0	0	0	11,379		
	0960 STUDENT TUITION RECOVERY FUND		1,312	1,312	0	0	0	466		
	BPPE - TOTAL	8,128	5,824	13,952	0	0	0	13,952		
PROFESSIONAL FIDUCIARIES BUREAU	3108 PROFESSIONAL FIDUCIARY FUND	352	283	635	0	0	0	635		
REAL ESTATE APPRAISERS, BUREAU OF	0400 REAL ESTATE APPRAISERS FUND	3,493	1,810	5,303	-2	0	0	5,301		
REAL ESTATE, BUREAU OF	0317 REAL ESTATE FUND	30,282	20,955	51,237	-42	0	0	51,195		
	0239 PRIVATE SECURITY SERVICES FUND	4,350	8,551	12,901	-692	-40	-40	12,169		
SECURITY & INVESTIGATIVE SERVICES	0769 PRIVATE INVESTIGATOR FUND	293	553	847	-13	0	0	834		
	BSIS - TOTAL	4,643	9,104	13,748	-705	-40	-40	13,003		
TELEPHONE MEDICAL ADVICE SERVICES BUREAU	0459 TELEPHONE MEDICAL ADVICE SERVICES FUND	131	32	163	0	0	0	163		
	1111 - TOTAL	109,505	157,563	267,087	-1,524	-287	-287	265,216		

Expenditure Categories

FISCAL YEAR 2015/16 NET EXPENDITURES (IN THOUSANDS)							FROM FM 13 Q16 CALSTARS REPORT	FROM FM 13 Q24 CALSTARS REPORT	FROM FM 13 Q16 CALSTARS REPORT	Total Net Expenditures
	Fund Number and Name	Personal Services	Operating Expenses	Subtotal (Month 13)	Less (-) Reimbursements	Less (-) Distributed Costs				
1110 - REGULATORY BOARDS										
ACCOUNTANCY, CALIFORNIA BOARD OF	0704 ACCOUNTANCY FUND	8,627	5,523	14,150	-1,459	0			12,691	
ACUPUNCTURE BOARD	0108 ACUPUNCTURE FUND	947	2,390	3,337	-132	0			3,205	
ARCHITECTS BOARD, CALIFORNIA	0706 CALIFORNIA ARCHITECTS BOARD FUND	1,929	1,674	3,603	-61	-26			3,516	
ATHLETIC COMMISSION, CALIFORNIA STATE	0326 STATE ATHLETIC FUND	909	554	1,463	0	0			1,463	
	9250 BOXERS' PENSION	57	49	106	0	0			106	
	0492 STATE ATHLETIC COMM NEURO EXAM ACCOUNT	0	21	21	0	0			21	
	ATCOM - TOTAL	966	624	1,590	0	0			1,590	
BARBERING & COSMETOLOGY, BOARD OF	0069 BARBERING & COSMETOLOGY FUND	7,053	17,125	24,178	-92	0			24,086	
BEHAVIORAL SCIENCES, BOARD OF	0773 BEHAVIORAL SCIENCES EXAMINERS FUND	4,381	5,904	10,285	-168	0			10,117	
CHIROPRACTIC EXAMINERS, BOARD OF	0152 CHIROPRACTIC EXAMINER FUND	1,725	1,756	3,481	-124	0			3,357	
	0735 CONTRACTORS LICENSE FUND	33,256	28,164	61,420	-491	0			60,929	
CONTRACTORS STATE LICENSE BOARD	0093 CONSTRUCTION MANAGEMENT EDUCATION ACCOUNT (CMEA)	0	0	0	0	0			0	
	CSLB - TOTAL	33,256	28,164	61,420	-491	0			60,929	
COURT REPORTERS BOARD OF CALIFORNIA	0771 COURT REPORTERS FUND	531	420	951	-9	0			942	
	0410 TRANSCRIPT REIMBURSEMENT FUND	0	-19	-19	-1	0			-20	
	CRB - TOTAL	531	401	932	-10	0			922	
DENTAL HYGIENE COMMITTEE	3140 STATE DENTAL HYGIENE FUND	644	690	1,334	-25	0			1,309	
	3142 STATE DENTAL ASSISTANT FUND	656	1,410	2,066	-2	0			2,064	
DENTAL BOARD OF CALIFORNIA	0741 STATE DENTISTRY FUND	5,460	5,727	11,187	-527	0			10,660	
	3039 DENTALLY UNDERSERVED ACCOUNT	0	141	141	0	0			141	
	DBC - TOTAL	6,116	7,278	13,394	-529	0			12,865	
PROFESSIONAL ENGINEERS, LAND SURVEYORS & GEOLOGISTS, BOARD FOR	0770 PROFESSIONAL ENGINEERS & LAND SURVEYORS FUND	3,658	4,312	7,970	-170	-68			7,732	
	0205 GEOLOGY & GEOPHYSICS FUND	526	610	1,136	0	0			1,136	
	BPELSG - TOTAL	4,184	4,922	9,106	-170	-68			8,868	
GUIDE DOGS FOR THE BLIND, STATE BOARD OF	0024 STATE BOARD OF GUIDE DOGS FOR THE BLIND FUND	103	58	161	0	0			161	

Expenditure Categories

FISCAL YEAR 2015/16 NET EXPENDITURES (IN THOUSANDS)						
	FROM FM 13 Q16 CALSTARS REPORT	FROM FM 13 Q24 CALSTARS REPORT	FROM FM 13 Q16 CALSTARS REPORT	FROM FM 13 Q24 CALSTARS REPORT	FROM FM 13 Q16 CALSTARS REPORT	FROM FM 13 Q16 CALSTARS REPORT
LANDSCAPE ARCHITECTS TECHNICAL COMM	376	373	749	0	0	749
0757 LANDSCAPE ARCHITECTS FUND						
0758 CONTINGENT FUND OF THE MEDICAL BOARD	14,442	46,443	60,885	-2,257	-539	58,089
0210 OUTPATIENT SETTING FUND OF THE MED BOARD	0	1	1	0	0	1
0175 DISPENSING OPTICIANS FUND	48	154	202	0	0	202
0755 LICENSED MIDWIFERY FUND (REVENUE ONLY)	0	0	0	-13	0	-13
MBC - TOTAL	14,490	46,598	61,088	-2,270	-539	58,279
NATUROPATHIC MEDICINE COMMITTEE	203	169	372	0	0	372
3069 NATUROPATHIC DOCTORS FUND						
OCCUPATIONAL THERAPY, CALIFORNIA BOARD OF	588	728	1,315	-32	0	1,283
3017 OCCUPATIONAL THERAPY FUND						
OPTOMETRY, STATE BOARD OF	714	795	1,509	-40	0	1,469
0763 STATE OPTOMETRY FUND						
OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA CONTINGENT FUND	1,006	1,019	2,025	-180	-10	1,835
0264 OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA CONTINGENT FUND						
PHARMACY, CALIFORNIA STATE BOARD OF	12,372	8,962	21,334	-1,284	0	20,050
0767 PHARMACY BOARD CONTINGENT FUND						
PHYSICAL THERAPY BOARD	1,767	2,447	4,214	-179	0	4,035
0759 PHYSICAL THERAPY FUND						
PHYSICIAN ASSISTANT BOARD	383	1,352	1,735	-84	0	1,651
0280 PHYSICIAN ASSISTANT FUND						
PODIATRIC MEDICINE, CALIFORNIA BOARD OF	4,656	583	5,239	-48	0	5,191
0295 BOARD OF PODIATRIC MEDICINE FUND						
PSYCHOLOGY, BOARD OF	1,862	2,930	4,792	-135	0	4,657
0310 PSYCHOLOGY FUND						
REGISTERED NURSING, BOARD OF	13,596	30,957	44,567	-2,164	0	42,403
0761 BOARD OF REGISTERED NURSING FUND						
RESPIRATORY CARE BOARD	1,613	1,939	3,552	-152	0	3,400
0319 RESPIRATORY CARE FUND						
SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY & HEARING AID DISPENSERS BOARD	856	1,306	2,162	-63	0	2,099
0376 SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY FUND						
STRUCTURAL PEST CONTROL BOARD	85	321	406	0	0	406
0399 SPC EDUCATION & ENFORCEMENT FUND						
STRUCTURAL PEST CONTROL BOARD	2,235	2,606	4,841	0	0	4,841
0775 STRUCTURAL PEST CONTROL FUND						
SPCB - TOTAL	2,320	2,927	5,247	0	0	5,247
VETERINARY MEDICAL BOARD	1,709	3,024	4,733	-162	0	4,571
0777 VETERINARY MEDICAL BOARD CONTINGENT FUND						
VOCATIONAL NURSING & PSYCHIATRIC TECHNICIANS, BOARD OF	4,532	5,383	9,915	-238	-37	9,640
0779 VOCATIONAL NURSING & PSYCHIATRIC TECHNICIANS FUND						
0780 PSYCHIATRIC TECHNICIANS ACCOUNT	869	1,114	1,983	-36	0	1,947
BVNPT - TOTAL	5,401	6,497	11,898	-274	-37	11,587
1110 - TOTAL	132,665	186,091	318,769	-10,166	-680	307,923
DCA - TOTAL	242,170	343,674	585,856	-11,690	-967	573,199

Fund Conditions

FISCAL YEAR 2015/16 FUND CONDITIONS (IN THOUSANDS)

From General Ledger Fund Conditions

Fund Number and Name	Total Reserves July 1 [a]	Total Revenues	Transfers	Total Expenditures [b]	Reserve June 30	Estimated Months of Reserve
1111—BUREAUS & PROGRAMS						
ARBITRATION CERTIFICATION PROGRAM	1,128	1,218	0	1,187	1,159	11.3
0166 CERTIFICATION ACCOUNT						
0421 VEHICLE INSPECTION AND REPAIR FUND	38,710	135,304	40,250	118,256	96,008	8.8
AUTOMOTIVE REPAIR, BUREAU OF	28,902	44,449	0	35,862	37,489	11.1
0582 HIGH POLLUTER REPAIR OR REMOVAL ACCOUNT						
3122 ENHANCED FLEET MODERNIZATION SUBACCOUNT	13,775	33,175	20,000	36,765	30,185	9.8
CEMETERY AND FUNERAL BUREAU	2,968	2,476	0	2,037	3,407	9.2
0750 STATE FUNERAL DIRECTORS AND EMBALMERS FUND						
0750 STATE FUNERAL DIRECTORS AND EMBALMERS FUND	1,528	1,293	0	1,310	1,511	5.7
ELECTRONIC AND APPLIANCE REPAIR, HOME FURNISHINGS AND THERMAL INSULATION, BUREAU OF	2,057	2,476	0	2,175	2,358	9.5
0325 ELECTRONIC AND APPLIANCE REPAIR FUND						
0752 HOME FURNISHINGS AND THERMAL INSULATION FUND	2,606	4,711	0	4,441	2,876	6.8
PRIVATE POSTSECONDARY EDUCATION, BUREAU FOR	9,517	10,034	0	12,667	6,884	5.1
0305 PRIVATE POSTSECONDARY EDUCATION ADMINISTRATION FUND						
0960 STUDENT TUITION RECOVERY FUND	29,626	182	0	1,312	28,496	
PROFESSIONAL FIDUCIARIES BUREAU	315	534	0	636	213	4.7
3108 PROFESSIONAL FIDUCIARY FUND						
SECURITY AND INVESTIGATIVE SERVICES, BUREAU OF	7,132	11,808	8,000	12,192	14,748	11.1
0239 PRIVATE SECURITY SERVICES FUND						
0769 PRIVATE INVESTIGATOR FUND	620	684	0	835	489	5.2
REAL ESTATE APPRAISERS, BUREAU OF	7,732	3,212	3,000	5,311	8,633	17.3
0400 REAL ESTATE APPRAISERS FUND						
REAL ESTATE, BUREAU OF	44,101	51,527	0	51,285	44,343	9.9
0317 REAL ESTATE FUND						
TELEPHONE MEDICAL ADVICE SERVICES BUREAU	973	286	0	163	1,096	73.1
0459 TELEPHONE MEDICAL ADVICE SERVICES FUND						
1110—REGULATORY BOARDS						
ACCOUNTANCY, CALIFORNIA BOARD OF	6,991	8,044	10,270	12,714	12,591	10.4
0704 ACCOUNTANCY FUND						
ACUPUNCTURE BOARD	1,937	2,613	0	3,210	1,340	4.2
0108 ACUPUNCTURE FUND						
ARCHITECTS BOARD, CALIFORNIA	4,886	4,288	0	3,523	5,651	17.9
0706 CALIFORNIA ARCHITECTS BOARD FUND						
ATHLETIC COMMISSION, CALIFORNIA STATE	593	1,919	0	1,466	1,046	7.5
0326 STATE ATHLETIC FUND						
0492 STATE ATHLETIC COMM NEURO EXAM ACCOUNT	633	9	0	21	621	122.2
9250 BOXERS' PENSION FUND	224	267	0	413	78	8.6
0069 BARBERING & COSMETOLOGY FUND	19,715	23,129	0	24,125	18,719	9.8
BEHAVIORAL SCIENCES, BOARD OF	4,044	10,181	3,600	10,134	7,691	8.1
0773 BEHAVIORAL SCIENCES EXAMINERS FUND						

[a] Total Reserves July 1 includes prior year adjustment.

[b] Expenditures in fund conditions include SCO and/or other charges that are direct transfers against each fund.

Fund Conditions

FISCAL YEAR 2015/16 FUND CONDITIONS (IN THOUSANDS)		From General Ledger Fund Conditions						
	Fund Number and Name	Total Reserves July 1 [a]	Total Revenues	Transfers	Total Expenditures [b]	Reserve June 30	Estimated Months of Reserve	
CHIROPRACTIC EXAMINERS, BOARD OF CONTRACTORS STATE LICENSE BOARD	0152 CHIROPRACTIC EXAMINERS FUND	3,437	3,460	-1,250	3,369	2,278	3.8	
	0735 CONTRACTORS LICENSE FUND	24,051	56,030	0	61,041	19,040	3.5	
COURT REPORTERS BOARD OF CALIFORNIA	0093 CONSTRUCTION MANAGEMENT EDUCATION ACCOUNT (CMEA)	84	77	0	0	161	0.0	
	0771 COURT REPORTERS FUND	1,141	938		944	1,135	11.5	
DENTAL ASSISTANT PROGRAM	0410 TRANSCRIPT REIMBURSEMENT FUND	124	1		20	105	12.6	
	3142 STATE DENTAL ASSISTANT FUND	2,831	1,871	0	2,068	2,634	11.9	
DENTAL BOARD OF CALIFORNIA	0741 STATE DENTISTRY FUND	5,565	11,444	0	10,683	6,326	5.7	
	3039 DENTALLY UNDERSERVED ACCOUNT	1,687	7	0	141	1,553		
DENTAL HYGIENE COMMITTEE	3140 STATE DENTAL HYGIENE COMMITTEE	1,285	1,870	0	1,311	1,844	10.9	
	0770 PROFESSIONAL ENGINEERS AND LAND SURVEYORS FUND	7,019	8,994	0	7,750	8,263	8.3	
GUIDE DOGS FOR THE BLIND, STATE BOARD OF LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE	0205 GEOLOGY AND GEOPHYSICS FUND	1,188	1,083	0	1,139	1,132	4.5	
	0024 STATE BOARD OF GUIDE DOGS FOR THE BLIND FUND	88	153	0	161	80	5.7	
MEDICAL BOARD OF CALIFORNIA	0757 ARCHITECTS FUND	2,521	540	0	751	2,310	27.2	
	0175 DISPENSING OPTICIANS FUND	172	190	0	204	158	6.1	
NATUROPATHIC MEDICINE COMMITTEE	0210 OUTPATIENT SETTING FUND OF THE MED BOARD	335	1	0	1	335	148.9	
	0755 LICENSED MIDWIFERY FUND	298	46	0	0	344	294.9	
OCCUPATIONAL THERAPY, CALIFORNIA BOARD OF OPTOMETRY, STATE BOARD OF	0758 CONTINGENT FUND OF THE MEDICAL BOARD	28,369	56,816	0	58,184	27,001	5.1	
	3069 NATUROPATHIC DOCTORS FUND	588	295	0	373	510	18.3	
OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA	3017 OCCUPATIONAL THERAPY FUND	2,982	1,305	0	1,285	3,002	15.5	
	0763 STATE OPTOMETRY FUND	1,478	1,896	0	1,472	1,902	11.9	
PHARMACY, CALIFORNIA STATE BOARD OF PHYSICAL THERAPY BOARD	0264 OSTEOPATHIC MEDICAL BOARD CONTINGENT FUND	3,088	1,807	0	1,838	3,057	16.0	
	0767 PHARMACY BOARD CONTINGENT FUND	11,768	18,835	0	20,085	10,518	6.1	
PHYSICIAN ASSISTANT BOARD	0759 PHYSICAL THERAPY FUND	304	3,691	1,500	4,042	1,453	3.3	
	0280 PHYSICIAN ASSISTANT FUND	1,737	1,668	0	1,654	1,751	12.6	
PODIATRIC MEDICINE, CALIFORNIA BOARD OF	0295 BOARD OF PODIATRIC MEDICINE FUND	1,008	989		1,003	994	7.9	

[a] Total Reserves July 1 includes prior year adjustment.

[b] Expenditures in fund conditions include SCO and/or other charges that are direct transfers against each fund.

Fund Conditions

FISCAL YEAR 2015/16 FUND CONDITIONS (IN THOUSANDS)		From General Ledger Fund Conditions						
	Fund Number and Name	Total Reserves July 1 [a]	Total Revenues	Transfers	Total Expenditures [b]	Reserve June 30	Estimated Months of Reserve	
PSYCHOLOGY, BOARD OF	0310 PSYCHOLOGY FUND	5,237	4,150	0	4,666	4,721	11.4	
REGISTERED NURSING, BOARD OF	0761 BOARD OF REGISTERED NURSING FUND	7,288	36,655	8,300	42,469	9,774	2.8	
RESPIRATORY CARE BOARD	0319 RESPIRATORY CARE FUND	2,497	2,710	0	3,405	1,802	5.8	
SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY & HEARING AID DISPENSERS BOARD	0376 SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY FUND	1,547	1,966	450	2,103	1,860	11.2	
STRUCTURAL PEST CONTROL BOARD	0168 SPCB RESEARCH FUND	588	150	0	0	738		
	0399 SPCB EDUCATION & ENFORCEMENT FUND	674	477	0	406	745	22.6	
VETERINARY MEDICAL BOARD	0775 SPC FUND	2,275	4,615	0	4,849	2,041	5.0	
	0777 VETERINARY MEDICAL BOARD FUND	3,608	3,843	0	4,578	2,873	6.9	
VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS, BOARD OF	0779 VOCATIONAL NURSE EXAMINERS FUND	11,334	10,945	0	9,655	12,624	11.4	
	0780 PSYCHIATRIC TECHNICIANS ACCOUNT	1,264	1,730	0	1,951	1,043	6.3	

[a] Total Reserves July 1 includes prior year adjustment.

[b] Expenditures in fund conditions include SCO and/or other charges that are direct transfers against each fund.

Revenue Sources

FISCAL YEAR 2015/16 REVENUE CATEGORIES (IN THOUSANDS)

Fund Number and Name	Other Motor Vehicle Fees (114300)	Division of Real Estate Examination (123400)	Division of Real Estate License Fees (123500)	Sub-division Filing Fees (123600)	Other Licensing and Regulatory Fees, Fines, & Penalties (125600)	Initial Licensing Fees (125700)	Renewal Fees (125800)	Delinquent Fees (125900)
0166 CERTIFICATION ACCOUNT	0	0	0	0	1,213	0	0	0
0421 VEHICLE INSPECTION & REPAIR FUND	0	0	0	0	1,863	115,814	7,845	266
0582 HIGH POLLUTER REPAIR OR REMOVAL ACCOUNT	0	0	0	0	594	43,688	0	0
3122 ENHANCED FLEET MODERNIZATION SUBACCOUNT	32,527	0	0	0	0	0	0	0
TOTAL BAR	32,527	0	0	0	2,457	159,502	7,845	266
0717 CEMETERY FUND	0	0	0	0	1,950	120	381	8
0750 STATE FUNERAL DIRECTORS & EMBALMERS FUND	0	0	0	0	130	95	1,020	40
TOTAL CEMETERY FUNERAL BUREAU	0	0	0	0	2,080	215	1,401	48
0325 ELECTRONIC & APPLIANCE REPAIR FUND	0	0	0	0	14	391	2,009	102
0752 HOME FURNISHINGS & THERMAL INSULATION FUND	0	0	0	0	89	877	3,605	116
TOTAL BEAR/HFTI	0	0	0	0	103	1,268	5,614	218
0305 PRIVATE POSTSECONDARY EDUCATION ADMINISTRATION FUND	0	0	0	0	394	522	8,683	357
0960 STUDENT TUITION RECOVERY FUND	0	0	0	0	0	0	0	0
TOTAL BPPE	0	0	0	0	394	522	8,683	357
3108 PROFESSIONAL FIDUCIARY FUND	0	0	0	0	0	116	411	2
0239 PRIVATE SECURITY SERVICES FUND	0	0	0	0	425	4,664	6	244
0769 PRIVATE INVESTIGATOR FUND	0	0	0	0	4	79	1	21
TOTAL BSIS	0	0	0	0	429	4,743	7	265
0400 REAL ESTATE APPRAISERS FUND	0	0	2,111	0	0	292	0	0
0317 REAL ESTATE FUND	0	4,010	36,672	8,388	0	207	0	0
0459 TELEPHONE MEDICAL ADVICE SERVICES FUND	0	0	0	0	0	53	225	4
0704 ACCOUNTANCY FUND	0	0	0	0	178	2,522	2,223	131
0108 ACUPUNCTURE FUND	0	0	0	0	49	750	1,788	13
0706 CALIFORNIA ARCHITECTS BOARD FUND	0	0	0	0	4	458	3,727	71
0326 STATE ATHLETIC FUND	0	0	0	0	1,634	208	70	0
0492 STATE ATHLETIC COMM NEURO EXAM ACCOUNT	0	0	0	0	8	0	0	0
9250 BOXERS PENSION FUND	0	0	0	0	0	0	0	0
TOTAL CSAC	0	0	0	0	1,642	208	70	0
0069 BARBERING & COSMETOLOGY FUND	0	0	0	0	6,355	4,473	11,018	1,168
0773 BEHAVIORAL SCIENCES EXAMINERS FUND	0	0	0	0	117	3,462	5,242	86
0152 CHIROPRACTIC EXAMINERS FUND	0	0	0	0	22	153	3,235	38
0735 CONTRACTORS LICENSE FUND	0	0	0	0	116	11,624	39,697	2,484
0093 CONSTRUCTION MANAGEMENT EDUCATION ACCOUNT (CMEA)	0	0	0	0	0	77	0	0
TOTAL CONTRACTORS STATE LICENSE BOARD	0	0	0	0	116	11,701	39,697	2,484

	Misc. Revenue From Local Agencies (131700)	Sale of Documents (141200)	Misc. Services to the Public (142500)	Interest Earned (150300)	Interest Income from Interfund Loans (150500)	Attorney General Proceeds (160100)	Escheats (160800, 161000, 261900)	Other Revenue, Cost Recoveries (161900)	Penalty Assessments (164300)	Misc./ Other Revenue	STRF Assessment 6805	Total
	0	0	0	0	5	0	0	0	0	0	0	1,218
	0	2	0	154	3	0	10	0	0	1,254	0	127,211
	30	0	0	126	0	0	12	0	0	0	0	44,450
	0	0	0	104	0	0	0	0	0	0	0	32,631
	30	2	0	384	3	0	22	0	0	1,254	0	204,292
	0	0	0	15	0	0	2	0	0	0	0	2,476
	0	0	0	8	0	0	0	0	0	0	0	1,293
	0	0	0	23	0	0	2	0	0	0	0	3,769
	0	0	0	11	0	0	20	0	0	7	0	2,554
	0	0	0	16	0	0	8	0	0	0	0	4,711
	0	0	0	27	0	0	28	0	0	7	0	7,265
	0	0	1	42	0	0	10	20	0	4	0	10,033
	0	0	0	121	0	0	0	0	0	0	61	182
	0	0	1	163	0	0	10	20	0	4	61	10,215
	0	0	0	2	0	0	0	3	0	0	0	534
	0	0	2	32	764	0	8	0	0	11	0	6,156
	0	0	0	3	0	0	1	0	0	0	0	109
	0	0	2	35	764	0	9	0	0	11	0	6,265
	0	1	0	31	615	0	0	0	98	64	0	3,212
	0	14	166	148	0	0	12	1,158	726	26	0	51,527
	0	0	0	4	0	0	0	0	0	0	0	286
	0	0	2	18	2,950	0	14	0	0	6	0	8,044
	0	0	4	8	0	0	1	0	0	0	0	2,613
	0	0	0	26	0	0	1	0	0	1	0	4,288
	0	0	0	5	0	1	0	0	0	1	0	1,919
	0	0	0	1	0	0	0	0	0	0	0	9
	0	0	0	0	0	0	0	0	0	267	0	267
	0	0	0	6	0	1	0	0	0	268	0	2,195
	0	0	0	83	0	0	17	0	0	15	0	23,129
	0	0	0	18	0	0	4	0	0	4	0	8,933
	0	0	0	11	0	0	0	0	0	1	0	3,460
	0	0	82	50	0	0	28	0	1,933	16	0	56,030
	0	0	0	0	0	0	0	0	0	0	0	77
	0	0	82	50	0	0	28	0	1,933	16	0	56,107

Revenue Sources

FISCAL YEAR 2015/16 REVENUE CATEGORIES (IN THOUSANDS)

Fund Number and Name	Other Motor Vehicle Fees (114300)	Division of Real Estate Examination (123400)	Division of Real Estate License Fees (123500)	Sub-division Filing Fees (123600)	Other Licensing and Regulatory Fees, Fines, & Penalties (125600)	Initial Licensing Fees (125700)	Renewal Fees (125800)	Delinquent Fees (125900)
0771 COURT REPORTERS FUND	0	0	0	0	11	38	865	17
0410 TRANSCRIPT REIMBURSEMENT FUND	0	0	0	0	0	0	0	0
TOTAL COURT REPORTERS BOARD	0	0	0	0	11	38	865	17
3140 STATE DENTAL HYGIENE COMMITTEE	0	0	0	0	15	158	1,638	24
3142 STATE DENTAL ASSISTANT FUND	0	0	0	0	13	456	1,297	76
0741 STATE DENTISTRY FUND	0	0	0	0	278	997	10,247	71
3039 DENTALLY UNDERSERVED ACCOUNT	0	0	0	0	0	0	0	0
TOTAL DENTAL BOARD OF CALIFORNIA	0	0	0	0	291	1,453	11,544	147
0770 PROFESSIONAL ENGINEERS & LAND SURVEYORS FUND	0	0	0	0	88	2,705	6,084	73
0205 GEOLOGY & GEOPHYSICS FUND	0	0	0	0	7	280	768	20
TOTAL BPELSG	0	0	0	0	95	2,985	6,852	93
0024 STATE BOARD OF GUIDE DOGS FOR THE BLIND FUND	0	0	0	0	0	4	149	0
0757 LANDSCAPE ARCHITECTS FUND	0	0	0	0	5	82	427	14
0175 DISPENSING OPTICIANS FUND	0	0	0	0	1	36	145	6
0210 OUTPATIENT SETTING FUND OF THE MED BOARD OF CA	0	0	0	0	0	0	0	0
0755 LICENSED MIDWIFERY FUND	0	0	0	0	0	8	36	1
0758 MEDICAL BOARD OF CALIFORNIA FUND	0	0	0	0	1,171	7,388	48,728	124
TOTAL MEDICAL BOARD OF CALIFORNIA	0	0	0	0	1,172	7,432	48,909	131
3069 NATUROPATHIC DOCTORS FUND	0	0	0	0	6	67	217	1
3017 OCCUPATIONAL THERAPY FUND	0	0	0	0	19	249	967	16
0763 STATE OPTOMETRY FUND	0	0	0	0	71	160	1,687	10
0264 OSTEOPATHIC MED BD OF CA FUND	0	0	0	0	21	338	1,419	15
0767 PHARMACY BOARD CONTINGENT FUND	0	0	0	0	2,288	3,529	12,748	193
0759 PHYSICAL THERAPY FUND	0	0	0	0	140	561	2,932	20
0280 PHYSICIAN ASSISTANT FUND	0	0	0	0	65	224	1,421	4
0295 BOARD OF PODIATRIC MEDICINE FUND	0	0	0	0	5	89	883	7
0310 PSYCHOLOGY FUND	0	0	0	0	144	687	3,282	12
0761 BOARD OF REGISTERED NURSING FUND	0	0	0	0	1,687	6,325	27,876	622
0319 RESPIRATORY CARE FUND	0	0	0	0	67	380	2,166	86
0376 SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY FUND	0	0	0	0	28	504	1,397	20
0168 SPCB RESEARCH FUND	0	0	0	0	147	0	0	0
0399 SPCB EDUCATION & ENFORCEMENT FUND	0	0	0	0	474	0	0	0
0775 SPC FUND	0	0	0	0	3,554	658	229	4
TOTAL SPCB	0	0	0	0	4,175	658	229	4
0777 VETERINARY MEDICAL BOARD FUND	0	0	0	0	80	530	2,408	8
0779 VOCATIONAL NURSE EXAMINERS FUND	0	0	0	0	265	3,355	7,118	138
0780 PSYCHIATRIC TECHNICIANS ACCOUNT	0	0	0	0	19	235	1,445	21
TOTAL VOC NURSE PSYCH TECH	0	0	0	0	284	3,590	8,563	159

	Misc. Revenue From Local Agencies (131700)	Sale of Documents (141200)	Misc. Services to the Public (142500)	Interest Earned (150300)	Interest Income from Interfund Loans (150500)	Attorney General Proceeds (160100)	Escheats (160800, 161000, 261900)	Other Revenue, Cost Recoveries (161900)	Penalty Assessments (164300)	Misc./ Other Revenue	STRF Assessment 6805	Total
	0	0	0	5	0	0	0	0	0	1	0	937
	0	0	0	1	0	0	0	0	0	0	0	1
	0	0	0	6	0	0	0	0	0	1	0	938
	0	0	0	7	0	0	0	0	0	27	0	1,869
	0	1	3	12	0	0	0	0	0	12	0	1,870
	0	0	34	27	0	0	5	0	0	2	0	11,661
	0	0	0	7	0	0	0	0	0	0	0	7
	0	1	37	46	0	0	5	0	0	14	0	13,538
	0	0	0	32	0	0	10	0	0	2	0	8,994
	0	0	0	6	0	0	1	0	0	1	0	1,083
	0	0	0	38	0	0	11	0	0	3	0	10,077
	0	0	0	0	0	0	0	0	0	0	0	153
	0	0	0	11	0	0	1	0	0	0	0	540
	0	0	0	1	0	0	0	0	0	0	0	189
	0	0	0	1	0	0	0	0	0	0	0	1
	0	0	0	1	0	0	0	0	0	0	0	46
	2	25	0	139	0	0	24	0	0	0	0	57,601
	2	25	0	142	0	0	24	0	0	0	0	57,837
	0	2	0	2	0	0	0	0	0	0	0	295
	0	0	22	14	0	0	1	0	16	1	0	1,305
	0	0	0	9	2	0	0	0	0	0	0	1,939
	0	0	0	14	0	0	0	0	0	0	0	1,807
	4	0	0	50	0	0	14	0	0	10	0	18,836
	0	0	0	9	23	0	4	0	0	2	0	3,691
	0	0	0	9	0	0	0	0	0	0	0	1,723
	0	0	0	5	0	0	0	0	0	0	0	989
	0	0	0	24	0	0	1	0	0	0	0	4,150
	0	0	57	56	0	0	9	0	0	6	0	36,638
	0	0	0	11	0	1	1	0	0	0	0	2,712
	0	0	0	7	8	0	2	0	0	1	0	1,967
	0	0	0	3	0	0	0	0	0	0	0	150
	0	0	0	3	0	0	0	0	0	0	0	477
	0	4	0	9	0	0	2	51	0	103	0	4,614
	0	4	0	15	0	0	2	51	0	103	0	5,241
	0	9	0	16	0	0	2	0	0	0	0	3,053
	0	0	2	51	0	2	9	0	0	6	0	10,946
	0	0	0	8	0	0	2	0	0	0	0	1,730
	0	0	2	59	0	2	11	0	0	6	0	12,676



STATE OF CALIFORNIA

dca

DEPARTMENT OF CONSUMER AFFAIRS

DCA | HEADQUARTERS

1625 NORTH MARKET BLVD.

SACRAMENTO, CALIFORNIA 95834

(800) 952-5210 www.dca.ca.gov