



DEPARTMENT OF CONSUMER AFFAIRS
 CALIFORNIA BOARD OF ACCOUNTANCY
 2000 EVERGREEN STREET, SUITE 250
 SACRAMENTO, CA 95815-3832
 TELEPHONE: (916) 263-3680
 FACSIMILE: (916) 263-3675
 WEB ADDRESS: <http://www.cba.ca.gov>



DEPARTMENT OF CONSUMER AFFAIRS (DCA)
CALIFORNIA BOARD OF ACCOUNTANCY (CBA)

MINUTES OF THE
July 21, 2016
MOBILITY STAKEHOLDER GROUP (MSG) MEETING

Hilton Los Angeles Airport
 5711 West Century Boulevard
 Los Angeles, CA 90045
 Telephone: (310) 410-4000

CALL TO ORDER

Jose Campos, CPA, Chair, called the meeting of the MSG to order at 10:01 a.m. on Thursday, July 21, 2016 at the Hilton Los Angeles Airport. Mr. Campos requested that the roll be called.

MSG Members

Jose A. Campos, CPA, Chair	Present
Joe Petito, Vice Chair	Present
Donald Driftmier, CPA	Present
Dominic Franzella	Present
Ed Howard, Esq.	Absent
Michael M. Savoy, CPA	Present
Stuart Waldman	Present

CBA Members Observing

Katrina L. Salazar, CPA, President
 Herschel Elkins, Esq.
 Karriann Farrell Hinds, Esq.
 Laurence (Larry) Kaplan
 Kay Ko
 Leslie LaManna, CPA
 Xochitl León
 Mark Silverman, Esq.
 Kathleen Wright, Esq., CPA

Staff and Legal Counsel

Patti Bowers, Executive Officer
Deanne Pearce, Assistant Executive Officer
Rich Andres, Information Technology Staff
Pat Billingsley, Regulation Analyst
Veronica Daniel, Manager, Licensing Division
Emmanuel Estacio, Information Technology Staff
Paul Fisher, Enforcement Supervising ICPA
Rebecca Reed, Board Relations Analyst
Corey Faiello-Riordan, CBA Staff
Nooshin Movassaghi, Legislative Analyst
Kristy Schieldge, Legal Counsel, DCA
Carl Sonne, Deputy Attorney General, Department of Justice
Matthew Stanley, Information and Planning Officer

Other Participants

Jason Fox, California Society of Certified Public Accountants
Robert Lee, CPA, Chair, Peer Review Oversight Committee
Joe Petito, The Accountants Coalition

- I. Approve Minutes of the July 21, 2016 MSG Meeting.

It was moved by Mr. Campos; seconded by Mr. Petito to approve the minutes of the July 21, 2016 MSG Meeting.

Yes: Mr. Campos, Mr. Petito, Mr. Driftmier, Mr. Franzella, Mr. Savoy, and Mr. Waldman.

No: None

Abstain: None

Absent: None.

The motion passed.

- II. The Mobility Stakeholder Group Decision Matrix and Stakeholder Objectives.

Mr. Campos indicated this item is a written report only.

- III. Timeline for Activities Regarding Determination to be Made Pursuant to Business and Professions Code Section 5096.21.

Mr. Campos indicated this item is a written report only.

IV. Discussion Regarding the Assessment of the National Association of State Boards of Accountancy's Process for Evaluating and Information Gathered Regarding Accountancy Board Operations for Colorado, Illinois, New York, Oregon and Texas.

Ms. Movassaghi presented the assessment of NASBA's information regarding Colorado, Illinois, New York, Oregon and Texas' enforcement practices to the MSG. During their assessment, staff asked one random question from each section of the State Information Sheet to ensure that NASBA considered all the questions as important rather than putting emphasis on one or two questions that may be considered more important than the other. This approach ensured that NASBA was seeking answers to all of the questions.

NASBA's responses provided a greater context based on a complete analysis of all of the provided data rather than simply a Yes/No check box on a form. Based on the results of the assessment and the verification of states' websites with disciplinary information, staff was satisfied with NASBA's identification of these five states being substantially equivalent.

This was an informational item and no action was taken by the MSG.

V. Discussion and Possible Action Regarding the Findings of the National Association of State Boards of Accountancy Related to Business and Professions Code Section 5096.21(c).

Mr. Stanley reported that NASBA now identifies 36 jurisdictions as substantially equivalent to the Guiding Principles of Enforcement. Four states were added since the last meeting.

Additionally, Mr. Stanley reported that the September meeting will be the end of phase one and mark the beginning of phase two. Staff will present an agenda item on the next steps for phase two at the September meeting for the CBA's consideration.

It was moved by Mr. Petito, seconded by Mr. Waldman that the CBA determine the 36 states already identified by NASBA as substantially equivalent and to continue to monitor the remaining states.

Yes: Mr. Campos, Mr. Petito, Mr. Driftmier, Mr. Franzella, Mr. Savoy, and Mr. Waldman.

No: None.

Abstain: None.

Absent: None.

The motion passed.

- VI. Discussion Regarding the National Association of State Boards of Accountancy's Activities and CPAVerify.

Ms. Movassaghi presented that at this time there are 51 jurisdictions participating in ALD and CPAVerify. There are still four states – Delaware, Hawaii, Utah and Wisconsin – that are not yet participating in ALD and CPAVerify, NASBA continues its efforts to bring these jurisdictions onto the system.

She continued to state that NASBA's Eastern Regional Meeting was held on June 7-9, 2016 in Asheville, North Carolina. Its Western Regional Meeting was held on June 22-24, 2016 in Denver, Colorado. President Salazar attended both regional meetings and did a joint presentation with Stacey Grooms, from NASBA, on the CBA's comparison of other states' enforcement programs to the NASBA Guiding Principles of Enforcement.

This was an informational item and no action was taken by the MSG.

- VII. Discussion Regarding Proposed Agenda Items for the Next Mobility Stakeholder Group Meeting.

The MSG stated that the first topic for the next MSG meeting was to discuss the final findings made in comparing states to NASBA's Guiding Principles of Enforcement.

The second topic was to discuss information needed to assist the CBA in making the determinations from states not found by the CBA to be substantially equivalent to the NASBA Guiding Principles of Enforcement.

The third topic, added by the MSG, was for staff to research the ability of California licensees to practice in other states.

- VIII. Public Comments.

No public comments were received.

There being no further business, the meeting adjourned at 10:36 a.m.