



**DEPARTMENT OF CONSUMER AFFAIRS**  
 CALIFORNIA BOARD OF ACCOUNTANCY  
 2000 EVERGREEN STREET, SUITE 250  
 SACRAMENTO, CA 95815-3832  
 TELEPHONE: (916) 263-3680  
 FACSIMILE: (916) 263-3675  
 WEB ADDRESS: <http://www.cba.ca.gov>



**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)  
 PUBLIC MEETING NOTICE FOR THE STRATEGIC PLANNING WORKSHOP, MOBILITY  
 STAKEHOLDER GROUP (MSG), LEGISLATIVE COMMITTEE (LC), AND CBA MEETINGS**

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|---|---|
| <b>DATE:</b> Wednesday, July 22, 2015   | <b>CBA STRATEGIC PLANNING WORKSHOP<br/>TIME:</b> 1:30 p.m.  |
| <b>DATE:</b> Wednesday, July 22, 2015   | <b>CBA MEETING<br/>TIME:</b> 3:30 p.m. to 5:00 p.m. or upon<br>adjournment of the Strategic Planning Workshop |
| <b>DATE:</b> Thursday, July 23, 2015  | <b>MSG MEETING<br/>TIME:</b> 9:00 a.m.  |
| <b>DATE:</b> Thursday, July 23, 2015  | <b>LC MEETING<br/>TIME:</b> 9:45 a.m. or upon adjournment<br>of the MSG Meeting                               |
| <b>DATE:</b> Thursday, July 23, 2015  | <b>CBA MEETING<br/>TIME:</b> 10:00 a.m. to 5:00 p.m.  |
| <b>PLACE:</b> Holiday Inn Capitol Plaza<br>300 J Street<br>Sacramento, CA 95814<br>Telephone: (916) 446-0100<br>Fax: (916) 446-0117 |   |

Enclosed for your information is a copy of the agendas for the Strategic Planning Workshop, MSG, LC, and CBA meetings on July 22-23, 2015. For further information regarding these meetings, please contact:

Corey Riordan, Board Relations Analyst  
 (916) 561-1716 or [cfriordan@cba.ca.gov](mailto:cfriordan@cba.ca.gov)  
 California Board of Accountancy  
 2000 Evergreen Street, Suite 250  
 Sacramento, CA 95815

An electronic copy of this notice can be found at <http://www.dca.ca.gov/cba/calendar.shtml>

The meeting is accessible to individuals who are physically disabled. A person who needs a disability-related accommodation or modification in order to participate in the meeting may make a request by contacting Corey Riordan at (916) 561-1718, or email [cfriordan@cba.ca.gov](mailto:cfriordan@cba.ca.gov), or send a written request to the CBA Office at 2000 Evergreen Street, Ste. 250, Sacramento, CA 95815. Providing your request at least five (5) business days before the meeting will help to ensure availability of the requested accommodation.



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**DEPARTMENT OF CONSUMER AFFAIRS (DCA)  
 CALIFORNIA BOARD OF ACCOUNTANCY (CBA)**

**CBA MEETING  
 AGENDA**

**July 22, 2015**

**3:30 p.m. – 5:00 p.m.**

**Or Upon Adjournment of the Strategic Planning Workshop**

**July 23, 2015**

**10:00 a.m. – 5:00 p.m.**

**Holiday Inn Capitol Plaza**

**300 J Street**

**Sacramento, CA 95814**

**Telephone: (916) 446-0100**

**Important Notice to the Public**

All times indicated, other than those identified as “time certain,” are approximate and subject to change. Agenda items may be discussed and action taken out of order at the discretion of the CBA President. The meeting may be cancelled without notice. For verification of the meeting, call (916) 561-1716 or access the CBA’s website at <http://www.cba.ca.gov>.

**Wednesday,  
 July 22, 2015  
 3:30 p.m. –  
 5:00 p.m.**

Call to Order, Roll Call, and Establishment of Quorum  
 (**Jose Campos, President**).

I. Closed Session.\*\*

A. Pursuant to Government Code Section 11126(c)(3), the CBA Will Convene Into Closed Session to Deliberate on Disciplinary Matters (Stipulated Settlements, Default Decisions, and Proposed Decisions).

**Thursday,  
 July 23, 2015  
 10:00 a.m. –  
 10:40 a.m.**

II. Report of the President (**Jose Campos**).

A. Report on the National Association of State Boards of Accountancy’s June 17-19, 2015 Western Regional Meeting.

B. Report of the CBA Strategic Planning Workshop.

- C. Comments Regarding the American Institute of Certified Public Accountants and NASBA Exposure Draft Regarding Statement on Standards for Continuing Education (CPE) Programs.
  - D. DCA Directors Report (**Awet Kidane, Director**).
- 10:40 a.m. – 10:50 a.m.**
- III. Report of the Vice President (**Katrina Salazar**).
    - A. Recommendations for Appointment(s)/Reappointment(s) to the Enforcement Advisory Committee (EAC).
    - B. Recommendations for Appointment(s)/Reappointment(s) to the Qualifications Committee (QC).
    - C. Recommendations for Appointment(s)/Reappointment(s) to the Peer Review Oversight Committee (PROC).
- 10:50 a.m. – 11:00 a.m.**
- IV. Report of the Secretary/Treasurer (**Alicia Berhow**).
    - A. Discussion of Governor’s Budget.
- TIME CERTAIN 11:00 a.m.**
- V. Petition Hearing.
    - A. Lawrence KY Pon – Petition for Termination of Probation.
    - B. Closed Session. Pursuant to Government Code Section 11126(c)(3), the CBA Will Convene into Closed Session to Deliberate on Disciplinary Matters (Petition for Termination of Probation).
- 12:00 p.m.**
- Lunch
- 1:30 p.m. – 1:35 p.m.**
- VI. Report of the Executive Officer (**Patti Bowers**).
    - A. Update on the Relocation of the CBA’s Office.
    - B. Update on Staffing.
    - C. Update on the CBA 2013-2015 Communications and Outreach Plan (**Written Report Only**).
- 1:35 p.m. – 1:40 p.m.**
- VII. Report on the Enforcement Advisory Committee, Qualifications Committee and Peer Review Oversight Committee.
    - A. Enforcement Advisory Committee (EAC) (**Jeffrey De Lyser, Chair**).
      - 1. Report of the July 9, 2015 EAC Meeting.

B. Qualifications Committee (QC) (**Robert Ruehl, Chair**).

No Report.

C. Peer Review Oversight Committee (PROC) (**Robert Lee, Chair**).

No Report.

1:40 p.m. –  
2:15 p.m.

VIII. Report of the Enforcement Chief (**Dominic Franzella**).

A. Enforcement Activity Report.

B. Discussion Regarding the CBA's Probation Monitoring Program.

C. Discussion and Possible Action to Direct Staff to Bring Proposed Modifications to the Use of Tolling in 2016 for Licensees Permanently Residing Out of State or Who Are Disciplined Under California's Mobility Provisions.

2:15 p.m. –  
2:25 p.m.

IX. Report of the Licensing Chief (**Gina Sanchez**).

A. Licensing Activity Report.

2:25 p.m.–  
2:55 p.m.

X. Committee Reports.

A. Legislative Committee (LC) (**Mark Silverman**).

1. Report of the July 23, 2015 LC Meeting.

2. 2015-16 Legislative Tracking List (**Written Report Only**).

3. Discussion and Possible Action on Legislation on Which the CBA Has Taken a Position.

a. AB 85 – Open Meetings

b. AB 507 – DCA: BreEZe: Annual Report

c. AB 750 – Business and Professions: Retired Licenses

d. AB 1060 – Professions and Vocations: Licensure

e. SB 8 – Taxation

f. SB 467 – CBA's Sunset Review Bill

g. SB 799 – Omnibus Bill

4. Consideration of Positions on Other Legislation Impacting the CBA.

a. AB 1351 – Deferred Entry of Judgment: Pretrial Diversion

b. AB 1352 – Deferred Entry of Judgment: Withdrawal of Plea

5. Additional Legislation Impacting the CBA Identified by Staff After the Posting of the Meeting Notice.

B. Mobility Stakeholder Group (MSG) (**Katrina Salazar**).

1. Report of the July 23, 2015 MSG Meeting.

2. The MSG Decision Matrix and Stakeholder Objectives (Written Report Only).

3. Discussion and Recommendation Regarding the Timeline for Practice Privilege Activities Pursuant to Business and Professions Code Section 5096.21.

4. Discussion and Decision Regarding the Approach for Comparing State Boards of Accountancy's Enforcement Practices to the National Association of State Boards of Accountancy's (NASBA) Guiding Principles of Enforcement.

5. Discussion Regarding NASBA's Activities and CPAVerify.

6. Discussion Regarding Proposed Agenda Items for the Next MSG Meeting.

**2:55 p.m. –  
3:00 p.m.**

XI. Acceptance of Minutes.

A. Draft Minutes of the May 28-29, 2015 CBA Meeting.

B. Minutes of May 28, 2015 MSG Meeting.

C. Minutes of the May 28, 2015 Joint CBA & MSG Meeting.

D. Minutes of the May 28, 2015 LC Meeting.

E. Minutes of the April 30, 2015 EAC Meeting.

**3:00 p.m. –  
3:05 p.m.**

XII. Other Business.

A. American Institute of Certified Public Accountants.

B. National Association of State Boards of Accountancy.

1. Report on Strategic Planning Task Force (**Michael Savoy**).

**3:05 p.m.**

XIII. Closing Business.

A. Public Comments.\*

B. Agenda Items for Future CBA Meetings.

C. Press Release Focus (**Deanne Pearce**).

Adjournment

\*\*Action may be taken on any item on the agenda. The time and order of agenda items, including closed session, are subject to change at the discretion of the CBA President and may be taken out of order.

In accordance with the Bagley-Keene Open Meeting Act, all meetings of the CBA are open to the public. While the CBA intends to webcast this meeting, it may not be possible to webcast the entire open meeting due to limitations on resources.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the CBA prior to the CBA taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the CBA, but the CBA President may, at his or her discretion, apportion available time among those who wish to speak. Individuals may appear before the CBA to discuss items not on the agenda; however, the CBA can neither discuss nor take official action on these items at the time of the same meeting (Government Code sections 11125, 11125.7(a)).



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**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)  
 MOBILITY STAKEHOLDER GROUP (MSG)**

**MSG MEETING  
 AGENDA**

**Thursday, July 23, 2015  
 9:00 a.m.**

**Holiday Inn Capitol Plaza  
 300 J Street  
 Sacramento, CA 95814  
 Telephone: (916) 446-0100**

**Important Notice to the Public**

All times indicated, other than those identified as "time certain," are approximate and subject to change. Agenda items may be discussed and action taken out of order at the discretion of the MSG Chair. The meeting may be cancelled without notice. For verification of the meeting, call (916) 561-1716 or access the CBA's website at <http://www.cba.ca.gov>.

	<b><u>CBA Item #</u></b>
Call to Order, Roll Call, and Establishment of Quorum <b>(Katrina Salazar, Chair).</b>	
I. Approval of Minutes of the May 28, 2015 MSG Meeting and the May 28, 2015 Joint CBA and MSG Meeting.	XI.B.-XI.C.
II. The MSG Decision Matrix and Stakeholder Objectives ( <b>Written Report Only</b> ).	X.B.2.
III. Discussion and Recommendation Regarding the Timeline for Practice Privilege Activities Pursuant to Business and Professions Code Section 5096.21 ( <b>Matthew Stanley, Manager, Practice Privilege and Examination Manager</b> ).	X.B.3.
IV. Discussion and Decision Regarding the Approach for Comparing State Boards of Accountancy's Enforcement Practices to National Association of State Boards of Accountancy's (NASBA) Guiding Principles of Enforcement ( <b>Matthew Stanley</b> ).	X.B.4.
V. Discussion Regarding NASBA's Activities and CPAVerify ( <b>Matthew Stanley</b> ).	X.B.5.
VI. Discussion Regarding Proposed Agenda Items for the Next MSG Meeting ( <b>Matthew Stanley</b> ).	X.B.6.

## VII. Public Comments.\*

### Adjournment

Action may be taken on any item on the agenda. In accordance with the Bagley-Keene Open Meeting Act, all meetings of the MSG are open to the public.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the MSG prior to the MSG taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the MSG. Individuals may appear before the MSG to discuss items not on the agenda; however, the MSG can take no official action on these items at the time of the same meeting. (Government Code section 11125.7(a))

CBA members who are not members of the MSG may be attending the meeting. However, if a majority of members of the full CBA are present at the MSG meeting, members who are not MSG members may attend the meeting only as observers.



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**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)  
 LEGISLATIVE COMMITTEE (LC)**

**LC MEETING  
 AGENDA**

**Thursday, July 23, 2015  
 9:45 a.m.**

**Or Upon Adjournment of the Mobility Stakeholder Group Meeting**

**Holiday Inn Capitol Plaza  
 300 J Street  
 Sacramento, CA 95814  
 Telephone: (916) 446-0100**

**Important Notice to the Public**

All times indicated, other than those identified as "time certain," are approximate and subject to change. Agenda items may be discussed and action taken out of order at the discretion of the LC Chair. The meeting may be cancelled without notice. For verification of the meeting, call (916) 561-1716 or access the CBA's website at <http://www.cba.ca.gov>.

	<b><u>CBA Item #</u></b>
Call to Order, Roll Call, and Establishment of Quorum ( <b>Mark Silverman, Chair</b> ).	
I. Approve Minutes of the May 28, 2015, LC Meeting.	XI.D.
II. 2015-16 Legislative Tracking List ( <b>Written Report Only</b> )	X.A.2.
III. Discussion and Possible Action on Legislation on Which the CBA Has Taken a Position ( <b>Kathryn Kay, Legislation Analyst</b> ).	X.A.3.
A. AB 85 – Open Meetings	X.A.3.a.
B. AB 507 – DCA: BreEZe: Annual Report	X.A.3.b.
C. AB 750 – Business and Professions: Retired Licenses	X.A.3.c.
D. AB 1060 – Professions and Vocations: Licensure	X.A.3.d.
E. SB 8 – Taxation	X.A.3.e.
F. SB 467 – CBA's Sunset Review Bill	X.A.3.f.
G. SB 799 – Omnibus Bill	X.A.3.g.
IV. Consideration of Positions on Other Legislation Impacting the CBA ( <b>Kathryn Kay</b> ).	X.A.4.

- A. AB 1351 – Deferred Entry of Judgment: Pretrial Diversion X.A.4.a.
- B. AB 1352 – Deferred Entry of Judgment: Withdrawal of Plea X.A.4.b.

- V. Additional Legislation Impacting the CBA Identified by Staff After the Posting of the Meeting Notice (**Kathryn Kay**). X.A.5.
- VI. Public Comments.\*
- VII. Agenda Items for Next Meeting.

### Adjournment

Action may be taken on any item on the agenda. In accordance with the Bagley-Keene Open Meeting Act, all meetings of the LC are open to the public.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the LC prior to the LC taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the LC. Individuals may appear before the LC to discuss items not on the agenda; however, the LC can take no official action on these items at the time of the same meeting. (Government Code section 11125.7(a))

CBA members who are not members of the LC may be attending the meeting. However, if a majority of members of the full board are present at the LC meeting, members who are not LC members may attend the meeting only as observers.