



**DEPARTMENT OF CONSUMER AFFAIRS**  
 CALIFORNIA BOARD OF ACCOUNTANCY  
 2000 EVERGREEN STREET, SUITE 250  
 SACRAMENTO, CA 95815-3832  
 TELEPHONE: (916) 263-3680  
 FACSIMILE: (916) 263-3675  
 WEB ADDRESS: <http://www.cba.ca.gov>



**CALIFORNIA BOARD OF ACCOUNTANCY  
 PUBLIC MEETING NOTICE FOR THE MOBILITY STAKEHOLDER GROUP,  
 ENFORCEMENT PROGRAM OVERSIGHT COMMITTEE, LEGISLATIVE  
 COMMITTEE, COMMITTEE ON PROFESSIONAL CONDUCT, NEW MEMBER  
 ORIENTATION, AND CALIFORNIA BOARD OF ACCOUNTANCY MEETINGS**

- |                                       |  |
|---------------------------------------|--|
| <b>DATE:</b> Thursday, March 17, 2016 | <b>CALIFORNIA BOARD OF ACCOUNTANCY MEETING</b><br><b>TIME:</b> 9:00 a.m.   |
| <b>DATE:</b> Thursday, March 17, 2016 | <b>MOBILITY STAKEHOLDER GROUP MEETING</b><br><b>TIME:</b> 10:30 a.m.<br>Or upon adjournment of the California Board of Accountancy Meeting         |
| <b>DATE:</b> Thursday, March 17, 2016 | <b>ENFORCEMENT PROGRAM OVERSIGHT COMMITTEE MEETING</b><br><b>TIME:</b> 11:15 a.m.<br>Or upon adjournment of the Mobility Stakeholder Group Meeting |
| <b>DATE:</b> Thursday, March 17, 2016 | <b>LEGISLATIVE COMMITTEE MEETING</b><br><b>TIME:</b> 11:30 a.m.<br>Or upon adjournment of the Enforcement Program Oversight Committee Meeting      |
| <b>DATE:</b> Thursday, March 17, 2016 | <b>COMMITTEE ON PROFESSIONAL CONDUCT MEETING</b><br><b>TIME:</b> 11:45 a.m.<br>Or upon adjournment of the Legislative Committee Meeting            |
| <b>DATE:</b> Thursday, March 17, 2016 | <b>CALIFORNIA BOARD OF ACCOUNTANCY MEETING</b><br><b>TIME:</b> 1:30 p.m. to 5:00 p.m.  |
| <b>DATE:</b> Friday, March 18, 2016   | <b>CALIFORNIA BOARD OF ACCOUNTANCY MEETING</b><br><b>TIME:</b> 9:00 a.m. 3:00 p.m.   |

**DATE:** Friday, March 18, 2016

**CALIFORNIA BOARD OF ACCOUNTANCY  
NEW MEMBER ORIENTATION**

**TIME:** 3:00 p.m. 5:00 p.m.

**PLACE:** DoubleTree Guest Suites Anaheim Resort  
2085 South Harbor Blvd.  
Anaheim, CA 92802  
Telephone: (714) 750-3000

Enclosed for your information is a copy of the agendas for the Mobility Stakeholder Group, Enforcement Program Oversight Committee, Legislative Committee, Committee on Professional Conduct, New Member Orientation, and California Board of Accountancy meetings on March 17-18, 2016. For further information regarding these meetings, please contact:

Corey Riordan, Board Relations Analyst  
(916) 561-1716 or [cfriordan@cba.ca.gov](mailto:cfriordan@cba.ca.gov)  
California Board of Accountancy  
2000 Evergreen Street, Suite 250  
Sacramento, CA 95815

An electronic copy of this notice can be found at <http://www.dca.ca.gov/cba/calendar.shtml>

The meeting is accessible to individuals who are physically disabled. A person who needs a disability-related accommodation or modification in order to participate in the meeting may make a request by contacting Corey Riordan at (916) 561-1716, or email [cfriordan@cba.ca.gov](mailto:cfriordan@cba.ca.gov), or send a written request to the California Board of Accountancy Office at 2000 Evergreen Street, Ste. 250, Sacramento, CA 95815. Providing your request at least five (5) business days before the meeting will help to ensure availability of the requested accommodation.



**DEPARTMENT OF CONSUMER AFFAIRS**  
 CALIFORNIA BOARD OF ACCOUNTANCY  
 2000 EVERGREEN STREET, SUITE 250  
 SACRAMENTO, CA 95815-3832  
 TELEPHONE: (916) 263-3680  
 FACSIMILE: (916) 263-3675  
 WEB ADDRESS: <http://www.cba.ca.gov>



**CBA MISSION:** To protect consumers by ensuring only qualified licensees practice public accountancy in accordance with established professional standards

**DEPARTMENT OF CONSUMER AFFAIRS  
 CALIFORNIA BOARD OF ACCOUNTANCY**

**MEETING AGENDA**

**March 17, 2016**

**9:00 a.m. – 10:30 a.m.**

**1:30 p.m. – 5:00 p.m.**

**March 18, 2016**

**9:00 a.m. – 3:00 p.m.**

**DoubleTree Guest Suites Anaheim Resort  
 2085 South Harbor Blvd.  
 Anaheim, CA 92802  
 Telephone: (714) 750-3000**

**Important Notice to the Public**

All times indicated, other than those identified as “time certain,” are approximate and subject to change. Agenda items may be discussed and action taken out of order at the discretion of the California Board of Accountancy President. The meeting may be cancelled without notice. For verification of the meeting, call (916) 561-1716 or access California Board of Accountancy’s website at <http://www.cba.ca.gov>.

**Thursday,  
 March 17, 2016**

Call to Order, Roll Call, Establishment of Quorum, and Opening Remarks (**Katrina Salazar, President**).

**9:00 a.m. –  
 10:30 a.m.**

- I. Presentation Regarding Assessing the Quality of Employee Benefit Plan Audits.
- A. Ian Dingwall, CPA, Chief Accountant, United States Department of Labor.

**10:30 a.m. –  
 12:00 p.m.**

The California Board of Accountancy will recess to convene committee meetings.

**12:00 p.m. –  
 1:30 p.m.**

Lunch

**TIME CERTAIN**  
**Thursday,**  
**March 17, 2016**  
**1:30 p.m.**

- II. Regulations (**Pat Billingsley, Regulations Analyst**).
  - A. Regulation Hearing Regarding Title 16, California Code of Regulations Section 9.1 – Approved Credentials Evaluation Service Status.
  - B. Discussion and Possible Action to Amend Title 16, California Code of Regulations Section 9.1 – Approved Credentials Evaluation Service Status.

**1:35 p.m. –**  
**3:00 p.m.**

- III. Report of the President (**Katrina Salazar**).
  - A. Introduction of New California Board of Accountancy Member Karriann Farrell Hinds, Esq.
  - B. National Association of State Boards of Accountancy/American Institute of Certified Public Accountants Committee Interest Form.
  - C. Proposed 2017 California Board of Accountancy Meeting Dates and Locations (**Corey Riordan, Board Relations Analyst**).
  - D. Resolutions for Retiring Qualifications Committee Members Charles Hester and David Papotta.
  - E. Exposure Draft Regarding the American Institute of Certified Public Accountants Professional Ethics Division’s Omnibus Proposal Regarding Proposed Revisions to the American Institute of Certified Public Accountants Code of Professional Conduct (**Pat Billingsley**).
  - F. Comments Regarding the American Institute of Certified Public Accountants and the National Association of State Boards of Accountancy Re-Issue Exposure Draft Regarding Statement on Standards for Continuing Professional Education Programs (**Gina Sanchez, Licensing Chief**).
  - G. Discussion Regarding the Results of the California Board of Accountancy’s Study of the Attest Experience Requirement (**Gina Sanchez/CPS HR Consulting**).
  - H. Developments Since the February 2015 United States Supreme Court Decision: North Carolina State Board of Dental Examiners v. Federal Trade Commission (**Kristy Schieldge, Department of Consumer Affairs Legal Counsel**).
  - I. Discussion on the California Little Hoover Commission Hearings Regarding Occupational Licensing (**Matthew Stanley, Information and Planning Officer**).

J. Department of Consumer Affairs Director's Report on Departmental Activities (**DCA Representative**).

3:00 pm. –  
3:05 p.m.

- IV. Report of the Vice-President (**Alicia Berhow, Vice-President**).
- A. Recommendations for Appointment(s)/Reappointment(s) to the Enforcement Advisory Committee.
  - B. Recommendations for Appointment(s)/Reappointment(s) to the Qualifications Committee.
  - C. Recommendations for Appointment(s)/Reappointment(s) to the Peer Review Oversight Committee.

3:05 p.m. –  
3:20 p.m.

- V. Report of the Secretary/Treasurer (**Michael Savoy, Secretary/Treasurer**).
- A. Fiscal Year 2015-16 Mid-Year Financial Statement and Governor's Budget.

3:20 p.m. –  
3:40 p.m.

- VI. Report of the Executive Officer (**Patti Bowers, Executive Officer**).
- A. Update on the Relocation of the California Board Accountancy's Office.
  - B. Update on Staffing.
  - C. Update Regarding the Department of Consumer Affairs Proposed Revisions to the Enforcement Performance Measures.
  - D. Educational Presentation on the California Board of Accountancy's Redesigned Website and Update on Communications and Outreach (**Matthew Stanley**).

3:40 pm. –  
3:45 p.m.

- VII. Report on the Enforcement Advisory Committee, Qualifications Committee, and Peer Review Oversight Committee.
- A. Enforcement Advisory Committee (**Joseph Rosenbaum, Chair**).  
No Report.
  - B. Qualifications Committee (**Jenny Bolsky, Chair**).  
No Report.

C. Peer Review Oversight Committee (**Jeffrey De Lyser, Vice-Chair**).

1. Report of the January 29, 2016 Peer Review Oversight Committee Meeting (**Jeff De Lyser**).
2. Presentation and Approval of the 2015 Peer Review Oversight Committee Annual Report (**Jeff De Lyser**).

3:45 p.m. –  
4:00 p.m.

VIII. Report of the Enforcement Chief (**Dominic Franzella, Enforcement Chief**).

A. Enforcement Activity Report.

4:00 p.m. –  
4:15 p.m.

IX. Report of the Licensing Chief (**Gina Sanchez, Licensing Chief**).

A. Licensing Activity Report.

4:15 p.m. –  
4:45 p.m.

X. Committee Reports.

A. Committee on Professional Conduct (**Leslie LaManna, Chair**).

1. Report of the March 17, 2016 Committee on Professional Conduct Meeting.
2. Discussion and Possible Action to Make Technical (“Section 100”) or Regulatory Changes to Amend Title 16, California Code of Regulations Sections 20 and 36.1.

B. Enforcement Program Oversight Committee (**Kathleen Wright, Chair**).

1. Report of the March 17, 2016 Enforcement Program Oversight Committee Meeting.
2. Discussion Regarding the Revision Schedule for the Disciplinary Guidelines and Model Orders.
3. Discussion and Possible Approval of Model Orders for Permanent Restricted Practice for Inclusion in Proposed Amendments to the California Board of Accountancy Disciplinary Guidelines and Model Orders.

C. Legislative Committee (**Deidre Robinson, Chair**).

1. Report of the March 17, 2016 Legislative Committee Meeting.
2. Review and Consideration of Possible Positions on Legislation Impacting the California Board of Accountancy.
  - a. Assembly Bill 1566 – Reports to the Legislature
  - b. Assembly Bill 1707 – Requirements for Denials of Public Records Requests.
  - c. Assembly Bill 1939 – Study of Licensing Requirements.
  - d. Assembly Bill 2560 – Professional Land Surveyors’ Act.
  - e. Assembly Bill 2853 – Public records.
  - f. Assembly Bill 2859 – Professions and vocations: retired category: licenses.
  - g. Assembly Concurrent Resolution 131 – Professions and vocations: licensing fees: equity.
  - h. Senate Bill 1155 – Professions and vocations: licenses: military service fee waiver.
  - i. Senate Bill 1251 – Publication of state financial obligations.
  - j. Senate Bill 1348 – Licensure applications: military experience.
  - k. Senate Bill 1445 – Taxation.
  - l. Update on Previously Approved Legislative Proposal Regarding Expedited Rulemaking Authority for Practice Privilege Program.
  - m. Other Bills Being Watched by the California Board of Accountancy (Assembly Bill 1868, Assembly Bill 1887, Assembly Bill 1949, Assembly Bill 2421, Assembly Bill 2423, Assembly Bill 2691, Assembly Bill 2701, Assembly Bill 2843, Senate Bill 1130, Senate Bill 1444, and Senate Bill 1448).

D. Mobility Stakeholder Group (**Jose Campos, Chair**).

1. Report of the March 17, 2016 Mobility Stakeholder Group Meeting.
2. Mobility Stakeholder Group Decision Matrix and Stakeholder Objectives (**Written Report Only**).
3. Timeline for Activities Regarding Determinations to be Made Pursuant to Business and Professions Code Section 5096.21 (**Written Report Only**).
4. Discussion and Possible Action Regarding the Findings of the National Association of State Boards of Accountancy Related to Business and Professions Code Section 5096.21(c).
5. Discussion Regarding the National Association of State Boards of Accountancy's Activities and CPAverify.
6. Discussion Regarding Proposed Agenda Items for the Next Mobility Stakeholder Group Meeting.

4:45 p.m. –  
4:50 p.m.

XI. Acceptance of Minutes.

- A. Draft Minutes of the January 21-22, 2016 California Board of Accountancy Meeting.
- B. Minutes of the January 21, 2016 Committee on Professional Conduct Meeting.
- C. Minutes of the January 21, 2016 Legislative Committee Meeting.
- D. Minutes of the November 19, 2015 Enforcement Program Oversight Committee Meeting.
- E. Minutes of the January 21, 2016 Mobility Stakeholder Group Meeting.
- F. Minutes of the December 9, 2015 Peer Review Oversight Committee Meeting.

4:50 p.m. –  
4:55 p.m.

XII. Other Business.

- A. American Institute of Certified Public Accountants.
  1. Report on Public Meetings of the American Institute of Certified Public Accountants Attended by a California Board of Accountancy Representative.

- B. National Association of State Boards of Accountancy.
  - 1. Report on Public Meetings of the National Association of State Boards of Accountancy Attended by a California Board of Accountancy Representative.
  - 2. Proposed Responses to the National Association of State Boards of Accountancy’s Focus Questions Regarding Issues Relevant to the Regulation of the Accounting Profession (**Corey Riordan**).

4:55 p.m. –  
5:00 p.m.

- XIII. Closing Business.
  - A. Public Comments.\*
  - B. Agenda Items for Future California Board of Accountancy Meetings.

**TIME CERTAIN**  
Friday,  
March 18, 2016  
9:00 a.m. –  
12:00 p.m.

- XIV. Petition Hearings.
  - A. Federico Quinto Jr., License No. 68925 –Petition for Reduction of Penalty
  - B. Rom N. De Guzman – Petition for Reinstatement of Revoked Certificate.
  - C. Jack Rickman Sowell – Petition for Reinstatement of Revoked Certificate.
  - D. Closed Session. Pursuant to Government Code Section 11126(c)(3), the California Board of Accountancy Will Convene into Closed Session to Deliberate on Disciplinary Matters (Petitions for Reinstatement of Revoked Certificate and Reduction of Penalty).

12:00 p.m. –  
1:00 p.m.

Lunch

1:00 p.m. –  
3:00 p.m.

- XV. Closed Session.\*\*
  - A. Pursuant to Government Code Section 11126(c)(3), the California Board of Accountancy Will Convene Into Closed Session to Deliberate on Disciplinary Matters (Stipulated Settlements, Default Decisions, and Proposed Decisions).

B. Pursuant to Government Code Section 11126(e), the California Board of Accountancy Will Meet In Closed Session to Receive Advice from Legal Counsel on Litigation (David Greenberg v. California Board of Accountancy, Los Angeles County Superior Court, Case No. BS155045; David B. Greenberg v. California Board of Accountancy, Orange County Superior Court, Case No. 30-2015-00809799-CU-WM-CJC.; David B. Greenberg v. California Board of Accountancy, Orange County Superior Court, Case No. 30-2015-00809802-CU-WM-CJC.; and David Greenberg v. Erin Sunseri, et al., U.S. District Court, Southern District of Florida, Case No. 15-CV-80624.).

Return to Open Session.

Adjournment

In accordance with the Bagley-Keene Open Meeting Act, all meetings of the California Board of Accountancy are open to the public. While the California Board of Accountancy intends to webcast this meeting, it may not be possible to webcast the entire open meeting due to limitations on resources.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the California Board of Accountancy prior to the California Board of Accountancy taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the California Board of Accountancy, but the California Board of Accountancy President may, at his or her discretion, apportion available time among those who wish to speak. Individuals may appear before the California Board of Accountancy to discuss items not on the agenda; however, the California Board of Accountancy can neither discuss nor take official action on these items at the time of the same meeting (Government Code sections 11125, 11125.7(a)).

\*\*Action may be taken on any item on the agenda. The time and order of agenda items, including closed session, are subject to change at the discretion of the California Board of Accountancy President and may be taken out of order.



**DEPARTMENT OF CONSUMER AFFAIRS**  
 CALIFORNIA BOARD OF ACCOUNTANCY  
 2000 EVERGREEN STREET, SUITE 250  
 SACRAMENTO, CA 95815-3832  
 TELEPHONE: (916) 263-3680  
 FACSIMILE: (916) 263-3675  
 WEB ADDRESS: <http://www.cba.ca.gov>



**CBA MISSION:** To protect consumers by ensuring only qualified licensees practice public accountancy in accordance with established professional standards

**CALIFORNIA BOARD OF ACCOUNTANCY  
 MOBILITY STAKEHOLDER GROUP**

**MEETING AGENDA**

**Thursday, March 17, 2016**

**10:30 a.m.**

**Or Upon Adjournment of the California Board of Accountancy Meeting**

**DoubleTree Guest Suites Anaheim Resort  
 2085 South Harbor Blvd.  
 Anaheim, CA 92802  
 Telephone: (714) 750-3000**

**Important Notice to the Public**

All times indicated, other than those identified as "time certain," are approximate and subject to change. Agenda items may be discussed and action taken out of order at the discretion of the Mobility Stakeholder Group Chair. The meeting may be cancelled without notice. For verification of the meeting, call (916) 561-1716 or access the California Board of Accountancy's website at <http://www.cba.ca.gov>.

	<b><u>CBA Item #</u></b>
Call to Order, Roll Call, Establishment of Quorum, and Opening Remarks ( <b>Jose A. Campos, Chair</b> ).	
I. Approval of Minutes of the January 21, 2016 Mobility Stakeholder Group Meeting.	XI.E.
II. Mobility Stakeholder Group Decision Matrix and Stakeholder Objectives ( <b>Written Report Only</b> ).	X.D.2.
III. Timeline for Activities Regarding Determinations to be Made Pursuant to Business and Professions Code Section 5096.21. ( <b>Written Report Only</b> ).	X.D.3.
IV. Discussion and Possible Action Regarding the Findings of the National Association of State Boards of Accountancy Related to Business and Professions Code Section 5096.21(c) ( <b>Matthew Stanley, Information and Planning Officer</b> ).	X.D.4.
V. Discussion Regarding the National Association of State Boards of Accountancy's Activities and CPAverify ( <b>Matthew Stanley</b> ).	X.D.5.

VI. Discussion Regarding Proposed Agenda Items for the Next  
Mobility Stakeholder Group Meeting (**Matthew Stanley**).

X.D.6.

VII. Public Comments.\*

Adjournment

Action may be taken on any item on the agenda. In accordance with the Bagley-Keene Open Meeting Act, all meetings of the Mobility Stakeholder Group are open to the public.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the Mobility Stakeholder Group prior to the Mobility Stakeholder Group taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the Mobility Stakeholder Group. Individuals may appear before the Mobility Stakeholder Group to discuss items not on the agenda; however, the Mobility Stakeholder Group can take no official action on these items at the time of the same meeting. (Government Code section 11125.7(a))

California Board of Accountancy members who are not members of the Mobility Stakeholder Group may be attending the meeting. However, if a majority of members of the full California Board of Accountancy are present at the Mobility Stakeholder Group meeting, members who are not Mobility Stakeholder Group members may attend the meeting only as observers.



**DEPARTMENT OF CONSUMER AFFAIRS**  
 CALIFORNIA BOARD OF ACCOUNTANCY  
 2000 EVERGREEN STREET, SUITE 250  
 SACRAMENTO, CA 95815-3832  
 TELEPHONE: (916) 263-3680  
 FACSIMILE: (916) 263-3675  
 WEB ADDRESS: <http://www.cba.ca.gov>



**CBA MISSION:** To protect consumers by ensuring only qualified licensees practice public accountancy in accordance with established professional standards

**DEPARTMENT OF CONSUMER AFFAIRS  
 ENFORCEMENT PROGRAM OVERSIGHT COMMITTEE**

**MEETING AGENDA**

**March 17, 2016**

**11:15 a.m.**

**Or Upon Adjournment of the Mobility Stakeholders Group Meeting**

**DoubleTree Guest Suites Anaheim Resort  
 2085 South Harbor Blvd.  
 Anaheim, CA 92802  
 Telephone: (714) 750-3000**

**Important Notice to the Public**

All times indicated, other than those identified as "time certain," are approximate and subject to change. Agenda items may be discussed and action taken out of order at the discretion of the Enforcement Program Oversight Committee Chair. The meeting may be cancelled without notice. For verification of the meeting, call (916) 561-1716 or access California Board of Accountancy's website at <http://www.cba.ca.gov>.

	<b><u>CBA Item #</u></b>
Call to Order, Roll Call, Establishment of Quorum, and Opening Remarks ( <b>Kathleen Wright, Chair</b> ).	
I. Approve Minutes of the November 19, 2015 Enforcement Program Oversight Committee Meeting.	XI.D.
II. Discussion Regarding the Revision Schedule for the Disciplinary Guidelines and Model Orders ( <b>Dominic Franzella, Enforcement Chief</b> ).	X.B.2.
III. Discussion and Possible Approval of Model Orders for Permanent Restricted Practice for Inclusion in Proposed Amendments to the California Board of Accountancy Disciplinary Guidelines and Model Orders ( <b>Dominic Franzella</b> ).	X.B.3.
IV. Public Comments.*	

## V. Agenda Items for Next Meeting.

### Adjournment

Action may be taken on any item on the agenda. In accordance with the Bagley-Keene Open Meeting Act, all meetings of the Enforcement Program Oversight Committee are open to the public.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the Enforcement Program Oversight Committee prior to the Enforcement Program Oversight Committee taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the Enforcement Program Oversight Committee. Individuals may appear before the Enforcement Program Oversight Committee to discuss items not on the agenda; however, the Enforcement Program Oversight Committee can take no official action on these items at the time of the same meeting. (Government Code section 11125.7(a))

California Board of Accountancy members who are not members of the Enforcement Program Oversight Committee may be attending the meeting. However, if a majority of members of the full board are present at the Enforcement Program Oversight Committee meeting, members who are not Enforcement Program Oversight Committee members may attend the meeting only as observers.



**DEPARTMENT OF CONSUMER AFFAIRS**  
 CALIFORNIA BOARD OF ACCOUNTANCY  
 2000 EVERGREEN STREET, SUITE 250  
 SACRAMENTO, CA 95815-3832  
 TELEPHONE: (916) 263-3680  
 FACSIMILE: (916) 263-3675  
 WEB ADDRESS: <http://www.cba.ca.gov>



**CBA MISSION:** To protect consumers by ensuring only qualified licensees practice public accountancy in accordance with established professional standards

## CALIFORNIA BOARD OF ACCOUNTANCY LEGISLATIVE COMMITTEE

### MEETING AGENDA

Thursday, March 17, 2016

11:30 a.m.

Or Upon Adjournment of the Enforcement Program Oversight Committee Meeting

**DoubleTree Guest Suites Anaheim Resort**  
**2085 South Harbor Blvd.**  
**Anaheim, CA 92802**  
**Telephone: (714) 750-3000**

### Important Notice to the Public

All times indicated, other than those identified as "time certain," are approximate and subject to change. Agenda items may be discussed and action taken out of order at the discretion of the Legislative Committee Chair. The meeting may be cancelled without notice. For verification of the meeting, call (916) 561-1716 or access the California Board of Accountancy's website at <http://www.cba.ca.gov>.

	<u>CBA Item #</u>
Call to Order, Roll Call, Establishment of Quorum, and Opening Remarks ( <b>Deidre Robinson, Chair</b> ).	
I. Approve Minutes of the January 21, 2016 Legislative Committee Meeting.	XI.C.
II. Review and Consideration of Possible Positions on Legislation Impacting the California Board of Accountancy ( <b>Nooshin Movassaghi, Legislation Analyst</b> ).	X.C.2.
A. Assembly Bill 1566 – Reports to the Legislature.	X.C.2.a.
B. Assembly Bill 1707 – Requirements for Denials of Public Records Requests.	X.C.2.b.
C. Assembly Bill 1939 – Study of Licensing Requirements.	X.C.2.c.
D. Assembly Bill 2560 – Professional Land Surveyors' Act.	X.C.2.d.
E. Assembly Bill 2853 – Public records.	X.C.2.e.

- F. Assembly Bill 2859 – Professions and vocations: retired category: licenses. X.C.2.f.
- G. Assembly Concurrent Resolution 131 – Professions and vocations: licensing fees: equity. X.C.2.g.
- H. Senate Bill 1155 – Professions and vocations: licenses: military service fee waiver. X.C.2.h.
- I. Senate Bill 1251 – Publication of state financial obligations. X.C.2.i.
- J. Senate Bill 1348 – Licensure applications: military experience. X.C.2.j.
- K. Senate Bill 1445 – Taxation. X.C.2.k.
- L. Update on Previously Approved Legislative Proposal Regarding Expedited Rulemaking Authority for Practice Privilege Program. X.C.2.l.
- M. Other Bills Being Watched by the California Board of Accountancy (Assembly Bill 1868, Assembly Bill 1887, Assembly Bill 1949, Assembly Bill 2421, Assembly Bill 2423, Assembly Bill 2691, Assembly Bill 2701, Assembly Bill 2843, Senate Bill 1130, Senate Bill 1444, and Senate Bill 1448). X.C.2.m.

III. Public Comments.\*

IV. Agenda Items for Next Meeting.

Adjournment

Action may be taken on any item on the agenda. In accordance with the Bagley-Keene Open Meeting Act, all meetings of the Legislative Committee are open to the public.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the Legislative Committee prior to the Legislative Committee taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the Legislative Committee. Individuals may appear before the Legislative Committee to discuss items not on the agenda; however, the Legislative Committee can take no official action on these items at the time of the same meeting. (Government Code section 11125.7(a))

CBA members who are not members of the Legislative Committee may be attending the meeting. However, if a majority of members of the full board are present at the Legislative Committee meeting, members who are not Legislative Committee members may attend the meeting only as observers.



**DEPARTMENT OF CONSUMER AFFAIRS**  
 CALIFORNIA BOARD OF ACCOUNTANCY  
 2000 EVERGREEN STREET, SUITE 250  
 SACRAMENTO, CA 95815-3832  
 TELEPHONE: (916) 263-3680  
 FACSIMILE: (916) 263-3675  
 WEB ADDRESS: <http://www.cba.ca.gov>



**CBA MISSION:** To protect consumers by ensuring only qualified licensees practice public accountancy in accordance with established professional standards

**CALIFORNIA BOARD OF ACCOUNTANCY  
 COMMITTEE ON PROFESSIONAL CONDUCT**

**MEETING AGENDA**

**Thursday, March 17, 2016  
 11:45 a.m.**

**Or Upon Adjournment of the Legislative Committee**

**DoubleTree Guest Suites Anaheim Resort  
 2085 South Harbor Blvd.  
 Anaheim, CA 92802  
 Telephone: (714) 750-3000**

**Important Notice to the Public**

All times indicated, other than those identified as "time certain," are approximate and subject to change. Agenda items may be discussed and action taken out of order at the discretion of the Committee on Professional Conduct Chair. The meeting may be cancelled without notice. For verification of the meeting, call (916) 561-1716 or access the California Board of Accountancy's website at <http://www.cba.ca.gov>.

	<b><u>CBA Item #</u></b>
Call to Order, Roll Call, Establishment of Quorum, and Opening Remarks ( <b>Leslie LaManna, Chair</b> ).	
I. Approve Minutes of the January 21, 2016 Committee on Professional Conduct Meeting.	XI.B.
II. Discussion and Possible Action to Make Technical ("Section 100") or Regulatory Changes to Amend Title 16, California Code of Regulations Sections 20 and 36.1 ( <b>Pat Billingsley, Regulatory Analyst</b> ).	X.A.2.
IV. Public Comments.*	
V. Agenda Items for Next Meeting.	
Adjournment	

Action may be taken on any item on the agenda. In accordance with the Bagley-Keene Open Meeting Act, all meetings of the Committee on Professional Conduct are open to the public.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the Committee on Professional Conduct prior to the Committee on Professional Conduct taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the Committee on Professional Conduct. Individuals may appear before the Committee on Professional Conduct to discuss items not on the agenda; however, the Committee on Professional Conduct can take no official action on these items at the time of the same meeting. (Government Code section 11125.7(a))

California Board of Accountancy members who are not members of the Committee on Professional Conduct may be attending the meeting. However, if a majority of members of the full board are present at the Committee on Professional Conduct meeting, members who are not Committee on Professional Conduct members may attend the meeting only as observers.



**DEPARTMENT OF CONSUMER AFFAIRS**  
CALIFORNIA BOARD OF ACCOUNTANCY  
2000 EVERGREEN STREET, SUITE 250  
SACRAMENTO, CA 95815-3832  
TELEPHONE: (916) 263-3680  
FACSIMILE: (916) 263-3675  
WEB ADDRESS: <http://www.cba.ca.gov>



**DEPARTMENT OF CONSUMER AFFAIRS**  
**CALIFORNIA BOARD OF ACCOUNTANCY**  
**NEW MEMBER ORIENTATION**

**MEETING AGENDA**

**Thursday, March 18, 2016**  
**3:00 p.m. – 5:00 p.m.**

**DoubleTree Guest Suites Anaheim Resort**  
**2085 South Harbor Blvd.**  
**Anaheim, CA 92802**  
**Telephone: (714) 750-3000**

- I. Overview of the California Board of Accountancy (**Katrina Salazar, CPA, CBA President**)
  - A. Welcome and Introduction
  - B. Mission, Vision, and Values
  - C. Board Composition and Term Limits
- II. California Board of Accountancy and Committee Meetings (**Patti Bowers, Executive Officer**)
  - A. Scheduled Meetings
  - B. Bagley Keene Open Meeting Act
  - C. Agenda Development
  - D. Committee Assignments and Future Leadership Opportunities
- III. California Board of Accountancy Member Role, Responsibilities, and Opportunities (**Deanne Pearce, Assistant Executive Officer**)
  - A. Meeting Preparation and Participation
  - B. Conflicts of Interest

- C. Mandatory Training
- D. Contacts from the Public
- E. Committee Liaisons
- F. National Participation
- IV. Disciplinary Information (**Dominic Franzella, Enforcement Chief**)
  - A. Overview of Enforcement Process
  - B. California Board of Accountancy Member Role in Petition Hearings
  - C. California Board of Accountancy Disciplinary Guidelines
- V. California Board of Accountancy Legal Representation (**Patti Bowers**)
- VI. California Board of Accountancy Outreach (**Deanne Pearce**)
  - A. Calls from Media
  - B. Speaking Engagements
  - C. Reports and Publications
- VII. California Board of Accountancy Staff (**Patti Bowers**)
  - A. Executive Officer
  - B. Senior Management/Management Team
  - C. Focus on Providing Excellent Customer Service
  - D. Administrative Assistance
- VIII. Overview of California Board of Accountancy Divisions
  - A. Enforcement (**Dominic Franzella**)
  - B. Licensing (**Gina Sanchez, Licensing Chief**)
  - C. Administration (**Deanne Pearce**)

IX. Legislation and Regulations (**Deanne Pearce**)

A. Legislative Process

B. Regulatory Process

X. Public Comments. \*

XI. Closing Comments (**Katrina Salazar**)

Adjournment.

Action may be taken on any item on the agenda. In accordance with the Bagley-Keene Open Meeting Act, all meetings of the New Member Orientation are open to the public.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the New Member Orientation prior to the New Member Orientation taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the New Member Orientation. Individuals may appear before the New Member Orientation to discuss items not on the agenda; however, the New Member Orientation can take no official action on these items at the time of the same meeting. (Government Code section 11125.7(a).)