



DEPARTMENT OF CONSUMER AFFAIRS
 CALIFORNIA BOARD OF ACCOUNTANCY
 2000 EVERGREEN STREET, SUITE 250
 SACRAMENTO, CA 95815-3832
 TELEPHONE: (916) 263-3680
 FACSIMILE: (916) 263-3675
 WEB ADDRESS: <http://www.cba.ca.gov>



**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)
 PUBLIC MEETING NOTICE FOR THE COMMITTEE ON PROFESSIONAL CONDUCT
 (CPC), ENFORCEMENT PROGRAM OVERSIGHT COMMITTEE (EPOC),
 LEGISLATIVE COMMITTEE (LC), AND CBA MEETINGS**

DATE: Wednesday, November 17, 2010

COMMITTEE MEETING (LC)

TIME: 9:00 a.m.

COMMITTEE MEETING (CPC)

TIME: 9:45 a.m., or upon adjournment
 of the LC meeting

COMMITTEE MEETING (EPOC)

TIME: 10:00 a.m., or upon adjournment
 of the CPC meeting

CBA MEETING

TIME: 1:00 p.m. to 5:00 p.m.

DATE: Thursday, November 18, 2010

CBA MEETING

TIME: 9:00 a.m. to 4:30 p.m.

PLACE: Crowne Plaza Irvine
 17941 Von Karman Ave.
 Irvine, CA 92614
 Telephone: (949) 474-7236

Enclosed for your information is a copy of the agendas for the CPC, EPOC, LC, and CBA meetings on November 17-18, 2010. For further information regarding these meetings, please contact:

Veronica Daniel, Board Relations Analyst
 (916) 561-1716, or vdaniel@cba.ca.gov
 California Board of Accountancy
 2000 Evergreen Street, Suite 250
 Sacramento, CA 95815

An electronic copy of this notice can be found at <http://www.dca.ca.gov/cba/calendar.shtml>

The next CBA meeting is scheduled for January 27-28, 2011 in Irvine, CA.

The meeting is accessible to the physically disabled. A person who needs a disability-related accommodation or modification in order to participate in the meeting may make a request by contacting Veronica Daniel at (916) 561-1718, or email vdaniel@cba.ca.gov, or send a written request to the Board Office at 2000 Evergreen Street, Ste. 250, Sacramento, CA 95815. Providing your request is at least five (5) business days before the meeting will help to ensure availability of the requested accommodation.



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DEPARTMENT OF CONSUMER AFFAIRS (DCA)
CALIFORNIA BOARD OF ACCOUNTANCY (CBA)

CBA MEETING
AGENDA

Wednesday, November 17, 2010
1:00 p.m. – 5:00 p.m.

Thursday, November 18, 2010
9:00 a.m. – 4:30 p.m.

Crowne Plaza Irvine
 17941 Von Karman Ave.
 Irvine, CA 92614
 Telephone: (949) 863-1999
 Fax: (949) 474-7236

- November 17, 2010**
- 1:00-2:00**
- I. Roll Call and Call to Order (**Manuel Ramirez**).
 - II. Report of the President (**Manuel Ramirez**).
 - A. Update on Peer Review Implementation (**Rafael Ixta**).
 - B. DCA Legal Presentation – Litigation Against CBA Members (**LaVonne Powell**).
 - C. Resolution(s) for Retiring CBA Member(s).
 - D. 2011 CBA Meeting Locations.
 - E. CBA Member Committee Interest Survey.
- 2:00-4:15**
TIME CERTAIN
- III. Petitions, Stipulations, and Proposed Decisions [Closed Session Government Code Section 111269(c)(3)]. Petition Hearings are Public Before the CBA with a Subsequent Closed Session.
 - A. Gary A. Porter – Petition for Modification of Probation.

- B. Rom De Guzman – Petition for Reinstatement of Revoked Certificate.
- C. Felix Wasser – Proposed Decision.
- D. Richard M. Large – Stipulated Settlement.
- E. Jack Garrett – Stipulated Settlement.

4:15-4:30

- IV. Report of the Vice President (**Sally Anderson**).
 - A. Recommendation for Appointment of Enforcement Advisory Committee (EAC) Chair.
 - B. Recommendation for Appointment of EAC Vice Chair.
 - C. Recommendation for Appointment of Qualifications Committee (QC) Chair.
 - D. Recommendation for Appointment of QC Vice Chair.

4:30-5:00

- V. Report of the Secretary/Treasurer (**Marshal Oldman**).
 - A. Discussion of Governor’s Budget.
 - B. FY 2010/2011 First Quarter Financial Report.
 - C. Options for Reporting Financial Information (**Nick Ng**).

VI. Public Comments.

November 18, 2010

VII. Roll Call and Call to Order (**Manuel Ramirez**).

9:00-10:30

- VIII. Report of the Executive Officer (**Patti Bowers**).
 - A. Update on 2010/2012 CBA Communications and Outreach Plan (**Lauren Hersh**).
 - B. DCA Director’s Report (**DCA Representative**).
 - 1. Update on Hiring Freeze.
 - 2. Performance Measures.
 - 3. Update on BreEZe.
 - C. CBA Succession Plan.

- D. CBA Annual Report (**Vincent Johnston**).
 - E. Sunset of Section 5050(b) – Temporary and Incidental Practice (**Matthew Stanley**).
 - F. Consideration of Adoption of Proposed Regulation – Peer Review Provider Reporting Responsibilities (**Matthew Stanley**).
 - G. Update on Current Projects List (Written Report Only).
- 10:30-11:00 IX. Report of the Licensing Chief (**Deanne Pearce**).
- A. Report on Licensing Division Activity.
- 11:00-11:30 X. Report of the Enforcement Chief (**Rafael Ixta**).
- A. Report on Status of Enforcement Matters.
 1. Enforcement Case Activity and Status Report.
 2. Aging Inventory Report.
 3. Report on Citations and Fines.
 4. Reportable Events Report.
 - B. Update on Enforcement Improvements.
 - C. Report on Implementation of Enforcement Performance Measures.
- 11:30-1:00 **LUNCH**
- 1:00-1:30 XI. Committee and Task Force Reports.
- A. Report of the Enforcement Program Oversight Committee (EPOC) (**Herschel Elkins, Chair**).
 1. Report of the November 17, 2010 EPOC Meeting.
 2. Discussion on Probationers Being Required to Pay for the Cost of Probation Monitoring.
 3. Discussion of Documents Served with Accusations/Statements of Issue.

- 1:30-2:00
- B. Report of the Committee on Professional Conduct (CPC) **(Leslie LaManna, Chair)**.
1. Report of the November 17, 2010 CPC Meeting.
 2. Discussion on Whether Existence of Liability Insurance Should be a Mitigating Factor in Enforcement Actions **(Rafael Ixta)**.
- 2:00-2:30
- C. Report of the Legislative Committee (LC) **(Michelle Brough, Chair)**.
1. Report of the November 17, 2010 LC Meeting.
 2. Update on Bills Which the CBA Has Taken a Position.
 3. Proposed Legislation – Retirement Status.
 4. Proposed Legislation – Restatements.
 5. Proposed Legislation – Peer Review Sunset Extension.
 6. Proposed Legislation – Webcast Exemption.
 7. Proposed Legislation – Loans to the General Fund.
- D. Report of the Accounting Education Committee (AEC) **(Ruben Davila)**.
- No Report.
- E. Report of the Ethics Curriculum Committee (ECC) **(Don Driftmier)**.
- No Report.
- 2:30-2:45
- F. Report of the Peer Review Oversight Committee (PROC) **(Nancy Corrigan, Chair)**.
1. Report of the November 9, 2010 PROC Meeting.
- 2:45-3:00
- G. Report of the EAC **(Harish Khanna, Chair)**.
1. Report of the November 4, 2010 EAC Meeting.
- H. Report of the QC **(Fausto Hinojosa, Chair)**.
- No Report.

- 3:00-3:10 XII. Adoption of Minutes
- A. Draft Minutes of the September 22-23, 2010 CBA Meeting.
 - B. Draft Minutes of the September 22, 2010 CPC Meeting.
 - C. Draft Minutes of the September 22, 2010 EPOC Meeting.
 - D. Minutes of the May 6, 2010 EAC Meeting.
- 3:10-3:30 XIII. Other Business.
- A. American Institute of Certified Public Accountants (AICPA).
 - 1. Update on AICPA State Board Committee (**Donald Driftmier**).
 - B. National Association of State Boards of Accountancy (NASBA).
 - 1. Update on NASBA Committees.
 - a. Accountancy Licensee Database Task Force (**Patti Bowers/Sally Anderson**).
 - b. Board Relevance & Effectiveness Committee (**Marshal Oldman**).
 - c. Compliance Assurance Committee (**Robert Petersen**).
 - d. Global Strategies Committee (**Rudy Bermúdez/Angela Chi**).
 - e. Uniform Accountancy Act Committee (UAA) (**Donald Driftmier**).
 - f. UAA Mobility Implementation (**David Swartz**).
 - 2. NASBA Regional Director's Focus Questions (**Dan Rich**).
- 3:30-4:00 XIV. Officer Elections (**Manuel Ramirez**).
- A. President.
 - B. Vice President.
 - C. Secretary/Treasurer.
- 4:00-4:30 XV. Closing Business.

- A. CBA Member Comments.
- B. Comments from Professional Societies.
- C. Public Comments.
- D. Agenda Items for Future CBA Meetings.
 - 1. CPC Charge Regarding International Delivery of the Uniform CPA Examination.
- E. Press Release Focus (**Lauren Hersh**).
 - 1. Recent Press Releases.

XVI. Adjournment.

Please note: Action may be taken on any item on the agenda. The time and order of agenda items are subject to change at the discretion of the CBA President and may be taken out of order. In accordance with the Bagley-Keene Open Meetings Act, all meetings of the CBA are open to the public. Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the CBA prior to the CBA taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the CBA, but the CBA President may, at his or her discretion, apportion available time among those who wish to speak.



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**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)
LEGISLATIVE COMMITTEE (LC)**

LC Meeting
Agenda

Wednesday, November 17, 2010
9:00 a.m.

Crowne Plaza Irvine
17941 Von Karman Ave.
Irvine, CA 92614
Telephone: (949) 863-1999
Fax: (949) 474-7236

(CBA members who are not members of the LC may be attending the meeting.)

- I. Update on Bills on Which the CBA Has Taken a Position (**Written Report Only**).
- II. Proposed Legislation- Retirement Status (**Matthew Stanley**).
- III. Proposed Legislation- Restatements (**Matthew Stanley**).
- IV. Proposed Legislation- Peer Review Sunset Extension (**Matthew Stanley**).
- V. Proposed Legislation- Webcast Exemption (**Matthew Stanley**).
- VI. Proposed Legislation- Loans to the General Fund (**Matthew Stanley**).
- VII. Comments from Members of the Public.
- VIII. Agenda Items for Next Meeting.
- IX. Adjournment.

Action may be taken on any item on the agenda.

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**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)
COMMITTEE ON PROFESSIONAL CONDUCT (CPC)**

CPC Meeting
Agenda

Wednesday, November 17, 2010
9:45 a.m.
or upon conclusion of LC

Crowne Plaza Irvine
17941 Von Karman Ave.
Irvine, CA 92614
Telephone: (949) 863-1999
Fax: (949) 474-7236

(CBA members who are not members of the CPC may be attending the meeting.)

- I. Draft Minutes of the September 22, 2010, CPC Meeting (**Leslie LaManna, Chair**).
- II. Discussion on Whether the Existence of Liability Insurance Should be a Mitigating Factor in Enforcement Actions (**Rafael Ixta**).
- III. Comments from Members of the Public.
- IV. Agenda Items for Next Meeting.
- V. Adjournment.

Action may be taken on any item on the agenda.

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**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)
ENFORCEMENT PROGRAM OVERSIGHT COMMITTEE (EPOC)**

**EPOC Meeting
Agenda**

Wednesday, November 17, 2010
10:00 a.m.
or upon conclusion of CPC

CROWNE PLAZA IRVINE
17941 Von Karman Avenue
Irvine, CA 92614
(949) 863-1999

(CBA members who are not members of the EPOC may be attending the meeting.)

- I. Call to Order (**Herschel Elkins, Chair**).
- II. Draft Minutes of September 22, 2010 CPC Meeting.
- III. Discussion on Probationers Being Required to Pay for the Cost of Probation Monitoring (**Paul Fisher**).
- IV. Discussion of Documents Served with Accusations/Statements of Issue (**Rafael Ixta**).
- V. Public Comments.
- VI. Agenda Items and Meeting Dates for Future EPOC Meetings.
- VII. Adjournment.

Action may be taken on any item on the agenda.

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(Government Code sec. 11125.7(a).)