



DEPARTMENT OF CONSUMER AFFAIRS
 CALIFORNIA BOARD OF ACCOUNTANCY
 2000 EVERGREEN STREET, SUITE 250
 SACRAMENTO, CA 95815-3832
 TELEPHONE: (916) 263-3680
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 WEB ADDRESS: <http://www.cba.ca.gov>



**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)
 PUBLIC MEETING NOTICE FOR THE COMMITTEE ON PROFESSIONAL CONDUCT
 (CPC), LEGISLATIVE COMMITTEE (LC) AND CBA MEETINGS**

DATE: Thursday, January 26, 2012

COMMITTEE MEETING (CPC)

TIME: 10:00 a.m.

COMMITTEE MEETING (LC)

TIME: 10:30 a.m., or upon adjournment
 of the CPC meeting

CBA MEETING

TIME: 1:00 p.m. to 5:00 p.m.

DATE: Friday, January 27, 2012

CBA MEETING

TIME: 9:00 a.m. to 1:00 p.m.

PLACE: Crowne Plaza Irvine
 17941 Von Karman Ave.
 Irvine, CA 92614
 Telephone: (949) 863-1999
 Fax: (949) 474-7236

TELECONFERENCE LOCATION (1/27/12):

Imani Community Church
 3300 MacArthur Blvd.
 Oakland, CA 94602
 Telephone: (510) 531-5411

Enclosed for your information is a copy of the agendas for the CPC, LC and CBA meetings on January 26-27, 2012. For further information regarding these meetings, please contact:

Veronica Daniel, Board Relations Analyst
 (916) 561-1716, or vdaniel@cba.ca.gov
 California Board of Accountancy
 2000 Evergreen Street, Suite 250
 Sacramento, CA 95815

An electronic copy of this notice can be found at <http://www.dca.ca.gov/cba/calendar.shtml>

The next CBA meeting is scheduled for March 22-23, 2012 in Northern CA.

The meeting is accessible to individuals who are physically disabled. A person who needs a disability-related accommodation or modification in order to participate in the meeting may make a request by contacting Veronica Daniel at (916) 561-1718, or email vdaniel@cba.ca.gov, or send a written request to the CBA Office at 2000 Evergreen Street, Ste. 250, Sacramento, CA 95815. Providing your request is at least five (5) business days before the meeting will help to ensure availability of the requested accommodation.



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**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)
COMMITTEE ON PROFESSIONAL CONDUCT (CPC)
AGENDA**

Thursday, January 26, 2012
10:00 a.m.

Crowne Plaza Irvine
17941 Von Karman Ave.
Irvine, CA 92614
Telephone: (949) 863-1999
Fax: (949) 474-7236

Roll Call and Call to Order (**Michael Savoy, CPC Chair**).

- I. Approve Minutes of the November 17, 2011 CPC Meeting (**Michael Savoy, CPC Chair**).
- II. Discussion on Title 16, CCR Sections 37 – Reissuance, 12(d) and 12.5(f) – Experience Obtained Five or More Years Prior to Application, Section 87 – Basic Requirements, Section 87.1 – Conversion to Active Status Prior to Renewal, and Section 88 – Programs Which Qualify (**Deanne Pearce, CBA Staff**).
- III. Discussion on Initiating a Rulemaking to Amend Title 16, CCR Sections 87(e) and 87.1(d) – Eight-Hour Fraud Continuing Education Requirement (**Matthew Stanley, CBA Staff**).
- IV. Discussion on Initiating a Rulemaking to Amend Title 16, CCR Sections 40 and 45 – Peer Review (**April Freeman, CBA Staff**).
- V. Public Comments.*
- VI. Agenda Items for Next Meeting.

Adjournment.

Action may be taken on any item on the agenda. In accordance with the Bagley-Keene Open Meetings Act, all meetings of the CPC are open to the public.

*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the CPC prior to the CPC taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the CPC. Individuals may appear before the CPC to discuss items not on the agenda; however, the CPC can take no official action on these items at the time of the same meeting. (Government Code sec. 11125.7(a).)

CBA members who are not members of the CPC may be attending the meeting. However, if a majority of members of the full board are present at the CPC meeting, members who are not members CPC may attend the meeting only as observers.



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CALIFORNIA BOARD OF ACCOUNTANCY (CBA)
LEGISLATIVE COMMITTEE (LC)
AGENDA

Thursday, January 26, 2012
10:30 a.m. or
Upon adjournment of the CPC

Crowne Plaza Irvine
17941 Von Karman Ave.
Irvine, CA 92614
Telephone: (949) 863-1999
Fax: (949) 474-7236

Roll Call and Call to Order **(Sally Anderson, LC Chair)**.

- I. Approve Minutes of the July 21, 2011 LC Meeting **(Sally Anderson, LC Chair)**.
- II. Discussion and Possible Action on Draft Language for Changes to Business and Professions Code Section 5070.1 – Retired Status **(Matthew Stanley, CBA Staff)**.
- III. Public Comments.*
- IV. Agenda Items for Next Meeting.

Adjournment.

Action may be taken on any item on the agenda. In accordance with the Bagley-Keene Open Meetings Act, all meetings of the LC are open to the public.

*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the LC prior to the LC taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the LC. Individuals may appear before the LC to discuss items not on the agenda; however, the LC can take no official action on these items at the time of the same meeting. (Government Code sec. 11125.7(a).)

CBA members who are not members of the LC may be attending the meeting. However, if a majority of members of the full board are present at the LC meeting, members who are not members LC may attend the meeting only as observers.



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**DEPARTMENT OF CONSUMER AFFAIRS (DCA)
CALIFORNIA BOARD OF ACCOUNTANCY (CBA)**

**CBA MEETING
AGENDA**

**Thursday, January 26, 2012
1:00 p.m. – 5:00 p.m.**

**Friday, January 27, 2012
9:00 a.m. – 1:00 p.m.**

Important Notice to the Public

The order of agenda times other than those identified as “time certain” are approximate. Agenda items may be discussed and action taken out of order at the discretion of the CBA President.

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Roll Call and Call to Order (**Marshal Oldman, President**).

- I. Report of the President (**Marshal Oldman**).
 - A. Report of the January 5, 2012 Executive Leadership Roundtable.
 - B. Educational Presentation on License Renewal and Continuing Education Requirements for CPAs (**Kris Rose, Licensing Manager**).
 - C. Announcement of New CBA Committee and Liaison Appointments.
- II. Report of the Vice President (**Leslie LaManna**).

A. Recommendations for Appointment(s) to the Enforcement Advisory Committee (EAC).

B. Recommendations for Appointment(s) to the Qualifications Committee (QC).

III. Report of the Secretary/Treasurer (**Michael Savoy**).

Discussion of Governor's Budget.

**1/26/2012
TIME CERTAIN
2:00 PM**

IV. Open Session. Petitions for Reinstatement.

A. Silver D. Sack – Petition for Reinstatement of Revoked Certificate.

B. Roland Zita – Petition for Reinstatement of Revoked Certificate.

V. Closed Session. Pursuant to Government Code Section 11126(c)(3), the CBA Will Convene Into Closed Session to Deliberate on Disciplinary Matters (Stipulations, Default Decisions, Proposed Decisions, and Petitions for Reinstatement).

VI. Regulation Hearings and Possible Action on Proposed Regulations (**Kari O'Connor, CBA Staff**).

**1/27/2012
TIME CERTAIN
9:00 AM**

A. Regulation Hearing Regarding Title 16, California Code of Regulations (CCR) Sections 15, 15.1, 15.2, 15.3, 15.4, 70, 71, and 87.1 – Retired Status.

1. Discussion and Possible Action to Adopt or Amend Proposed Text at Title 16, CCR Sections 15, 15.1, 15.2, 15.3, 15.4, 70, 71, and 87.1, and Adopt New Article 2.5 Regarding Retired Status.

**1/27/2012
TIME CERTAIN
9:05 AM**

B. Regulation Hearing Regarding Title 16, CCR Section 4 – Safe Harbor.

1. Discussion and Possible Action to Adopt or Amend Proposed Text at Title 16, CCR Section 4 – Safe Harbor Language.

**1/27/2012
TIME CERTAIN
9:10 AM**

C. Regulation Hearing Regarding Title 16, CCR Section 37.5 – Fingerprinting and Disclosure Requirements.

1. Discussion and Possible Action to Adopt or Amend Proposed Text at Title 16, CCR Section 37.5 – Fingerprinting and Disclosure Requirements.

VII. Report of the Executive Officer (EO) (**Dan Rich, CBA Staff**).

- A. Update on Staffing.
 - B. Update on CBA 2010-2012 Strategic Plan.
 - C. Update on CBA 2010-2012 Communications and Outreach Plan (Written Report Only).
- VIII. Report of the Licensing Chief (**Deanne Pearce, Assistant EO**).
- A. Report on Licensing Division Activity.
 - B. Report on Activities Related to the New Educational Requirements for CPA Licensure set to Take Effect January 1, 2014 (**Dominic Franzella, Licensing Manager**).
- IX. Report of the Enforcement Chief (**Rafael Ixta**).
- A. Enforcement Case Activity and Aging Report.
 - B. Citation and Fine Activity Report.
 - C. Reportable Events Report.
 - D. Update on Peer Review Implementation.
 - E. Discussion Regarding Options for Using Administrative Penalties in Disciplinary Cases.
 - F. Results of 1st Quarter Performance Measures Report to DCA.
- X. Committee and Task Force Reports.
- A. Enforcement Program Oversight Committee (EPOC) (**Diana Bell, Chair**).
No Report.
 - B. Committee on Professional Conduct (CPC) (**Michael Savoy, Chair**).
 - 1. Report of the January 26, 2012 CPC Meeting.
 - 2. Discussion on Title 16, CCR Sections 37 – Reissuance, 12(d) and 12.5(f) – Experience Obtained Five or More Years Prior to Application, Section 87 – Basic Requirements, Section 87.1 – Conversion to Active Status Prior to Renewal, and Section 88 – Programs Which Qualify.

3. Discussion on Initiating a Rulemaking to Amend Title 16, CCR Sections 87(e) and 87.1(d) – Eight-Hour Fraud Continuing Education Requirement.
4. Discussion on Initiating a Rulemaking to Amend Title 16, CCR Sections 40 and 45 – Peer Review.

C. Legislative Committee (LC) (**Sally, Anderson, Chair**).

1. Report of the January 26, 2012 LC Meeting.
2. Discussion and Possible Action on Draft Language for Changes to Business and Professions Code Section 5070.1 – Retired Status.

D. Peer Review Oversight Committee (PROC) (**Nancy Corrigan, Chair**).

Report of the December 9, 2011 PROC Meeting.

E. Enforcement Advisory Committee (EAC) (**Cheryl Gerhardt, Chair**).

No Report.

F. Qualifications Committee (QC) (**Fausto Hinojosa, Chair**).

Report of the January 25, 2012 QC Meeting.

XI. Acceptance of Minutes

- A. Draft Minutes of the November 17-18, 2011 CBA Meeting.
- B. Minutes of the November 17, 2011 CPC Meeting.
- C. Minutes of the July 21, 2011 LC Meeting.
- D. Minutes of the October 27, 2011 PROC Meeting.
- E. Minutes of the October 19, 2011 QC Meeting.

XII. Other Business.

A. American Institute of Certified Public Accountants (AICPA).

No Report.

B. National Association of State Boards of Accountancy (NASBA).

1. Update on NASBA Committees.
 - a. Accountancy Licensee Database Task Force
(Sally Anderson).
 - b. Board Relevance & Effectiveness Committee
(Marshal Oldman).
 - c. Education Committee **(Donald Driftmier)**.
 - d. Uniform Accountancy Act Committee (UAA)
(Sally Anderson).
2. NASBA's Request for Vice Chair Recommendations for 2012-2013.

Request to Support Walter Davenport for NASBA Vice Chair Position 2012-2013.

XIII. Closing Business.

- A. Public Comments.*
- B. Agenda Items for Future CBA Meetings.
- C. Press Release Focus **(Dan Rich, CBA Staff)**.

Recent Press Releases.

Adjournment.

Action may be taken on any item on the agenda. The time and order of agenda items are subject to change at the discretion of the CBA President and items may be taken out of order.

In accordance with the Bagley-Keene Open Meetings Act, all meetings of the CBA are open to the public. While the CBA intends to webcast this meeting, it may not be possible to webcast the entire open meeting due to limitations on resources.

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