



**DEPARTMENT OF CONSUMER AFFAIRS**  
CALIFORNIA BOARD OF ACCOUNTANCY  
2000 EVERGREEN STREET, SUITE 250  
SACRAMENTO, CA 95815-3832  
TELEPHONE: (916) 263-3680  
FACSIMILE: (916) 263-3675  
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**CALIFORNIA BOARD OF ACCOUNTANCY  
PUBLIC MEETING NOTICE FOR THE MOBILITY STAKEHOLDER GROUP,  
COMMITTEE ON PROFESSIONAL CONDUCT, ENFORCEMENT PROGRAM  
OVERSIGHT COMMITTEE, AND CALIFORNIA BOARD OF ACCOUNTANCY  
MEETINGS**

- DATE:** Thursday, September 15, 2016      **MOBILITY STAKEHOLDER GROUP MEETING**  
**TIME:** 9:00 a.m.
- DATE:** Thursday, September 15, 2016      **COMMITTEE ON PROFESSIONAL CONDUCT MEETING**  
**TIME:** 9:45 a.m.  
Or upon adjournment of the Mobility Stakeholder Group Meeting
- DATE:** Thursday, September 15, 2016      **ENFORCEMENT PROGRAM OVERSIGHT COMMITTEE MEETING**  
**TIME:** 10:15 a.m.  
Or upon adjournment of the Committee on Professional Conduct Meeting
- DATE:** Thursday, September 15, 2016      **CALIFORNIA BOARD OF ACCOUNTANCY MEETING**  
**TIME:** 10:45 a.m. to 4:30 p.m.
- DATE:** Friday, September 16, 2016      **CALIFORNIA BOARD OF ACCOUNTANCY MEETING**  
**TIME:** 9:00 a.m. to 11:00 a.m.
- PLACE:** Wyndham Irvine Orange  
County Airport  
17941 Von Karman Avenue  
Irvine, CA 92614  
(949) 863-1999

Enclosed for your information is a copy of the agendas for the Mobility Stakeholder Group, Committee on Professional Conduct, Enforcement Program Oversight Committee, and California Board of Accountancy meetings on September 15-16, 2016. For further information regarding these meetings, please contact:

Rebecca Reed, Board Relations Analyst  
(916) 561-1716 or [rebecca.reed@cba.ca.gov](mailto:rebecca.reed@cba.ca.gov)  
California Board of Accountancy  
2000 Evergreen Street, Suite 250  
Sacramento, CA 95815

An electronic copy of this notice can be found at <http://www.dca.ca.gov/cba/about-cba/calendar.shtml>

The meeting is accessible to individuals who are physically disabled. A person who needs a disability-related accommodation or modification in order to participate in the meeting may make a request by contacting Rebecca Reed at (916) 561-1716, or email [rebecca.reed@cba.ca.gov](mailto:rebecca.reed@cba.ca.gov), or send a written request to the California Board of Accountancy Office at 2000 Evergreen Street, Ste. 250, Sacramento, CA 95815. Providing your request at least five (5) business days before the meeting will help to ensure availability of the requested accommodation.



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**CALIFORNIA BOARD OF ACCOUNTANCY  
 MOBILITY STAKEHOLDER GROUP**

**MEETING AGENDA**  
**Thursday, September 15, 2016**  
**9:00 a.m.**

**Wyndham Irvine-Orange County Airport**  
**17941 Von Karman Avenue**  
**Irvine, CA 92614**  
**Telephone: (949) 863-1999**

**Important Notice to the Public**

All times indicated, other than those identified as "time certain," are approximate and subject to change. Agenda items may be discussed and action taken out of order at the discretion of the Mobility Stakeholder Group Chair. The meeting may be cancelled without notice. For verification of the meeting, call (916) 561-1716 or access the California Board of Accountancy's website at <http://www.cba.ca.gov>.

|                                                                                                                                                                                                                                      | <b><u>CBA Item #</u></b> |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| Call to Order, Roll Call, Establishment of Quorum, and Opening Remarks ( <b>Jose A. Campos, Chair</b> ).                                                                                                                             |                          |
| I. Approve Minutes of the July 21, 2016 Mobility Stakeholder Group Meeting.                                                                                                                                                          | IX.E.                    |
| II. Mobility Stakeholder Group Decision Matrix and Stakeholder Objectives ( <b>Written Report Only</b> ).                                                                                                                            | VIII.C.2.                |
| III. Timeline for Activities Regarding Determinations to be Made for Out-of-State Practitioners Pursuant to Business and Professions Code Section 5096.21. ( <b>Written Report Only</b> ).                                           | VIII.C.3.                |
| IV. Discussion and Possible Action Regarding the Findings of the National Association of State Boards of Accountancy Related to Business and Professions Code Section 5096.21(c) ( <b>Nooshin Movassaghi, Legislative Analyst</b> ). | VIII.C.4.                |
| V. Discussion and Possible Action Regarding Next Steps for Making Determinations Pursuant to Business and Professions Code Section 5096.21(a)-(b) ( <b>Nooshin Movassaghi</b> ).                                                     | VIII.C.5.                |
| VI. Overview of Practice Privilege/Mobility Provisions in Other States/Jurisdictions ( <b>Veronica Daniel, Licensing Manager</b> ).                                                                                                  | VIII.C.6.                |

- VII. Discussion Regarding the National Association of State Boards of Accountancy's Activities and CPAverify (Nooshin Movassaghi). VIII.C.7.
- VIII. Discussion Regarding Proposed Agenda Items for the Next Mobility Stakeholder Group Meeting (Nooshin Movassaghi). VIII.C.8.
- IX. Public Comments\*

### Adjournment

In accordance with the Bagley-Keene Open Meeting Act, all meetings of the California Board of Accountancy are open to the public. While the California Board of Accountancy intends to webcast this meeting, it may not be possible to webcast the entire open meeting due to limitations on resources or technical difficulties.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the California Board of Accountancy prior to the California Board of Accountancy taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the California Board of Accountancy, but the California Board of Accountancy President may, at his or her discretion, apportion available time among those who wish to speak. Individuals may appear before the California Board of Accountancy to discuss items not on the agenda; however, the California Board of Accountancy can neither discuss nor take official action on these items at the time of the same meeting (Government Code sections 11125, 11125.7(a)).

California Board of Accountancy members who are not members of the Mobility Stakeholder Group may be attending the meeting. However, if a majority of members of the full board are present at the Mobility Stakeholder Group meeting, members who are not Mobility Stakeholder Group members may attend the meeting only as observers.



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**CBA MISSION:** To protect consumers by ensuring only qualified licensees practice public accountancy in accordance with established professional standards

**CALIFORNIA BOARD OF ACCOUNTANCY  
 COMMITTEE ON PROFESSIONAL CONDUCT**

**MEETING AGENDA**

**Thursday, September 15, 2016  
 9:45 a.m.**

**Or Upon Adjournment of the Mobility Stakeholder Group Meeting**

**Wyndham Irvine-Orange County Airport  
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- |                                                                                                                                                                                                                                                                                     | <b><u>CBA Item #</u></b> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| Call to Order, Roll Call, Establishment of Quorum, and Opening Remarks ( <b>Leslie LaManna, Chair</b> ).                                                                                                                                                                            |                          |
| I. Approve Minutes of the July 21, 2016, Committee on Professional Conduct Meeting.                                                                                                                                                                                                 | IX.B.                    |
| II. Discussion and Possible Action on Evaluating Criminal Convictions Involving Drugs and Alcohol and the Authority to Take Administrative Actions Pursuant to Business and Professions Code Sections 480, 490, and 5100 ( <b>Dominic Franzella, Chief, Enforcement Division</b> ). | VIII.A.2.                |
| III. Public Comments*                                                                                                                                                                                                                                                               |                          |
| IV. Agenda Items for Next Meeting.                                                                                                                                                                                                                                                  |                          |
| Adjournment                                                                                                                                                                                                                                                                         |                          |

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California Board of Accountancy members who are not members of the Mobility Stakeholder Group may be attending the meeting. However, if a majority of members of the full board are present at the Mobility Stakeholder Group meeting, members who are not Mobility Stakeholder Group members may attend the meeting only as observers.



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**BOARD OF ACCOUNTANCY  
 ENFORCEMENT PROGRAM OVERSIGHT COMMITTEE**

**MEETING AGENDA  
 September 15, 2016  
 10:15 a.m.**

**Or Upon Adjournment of the Committee on Professional Conduct Meeting**

**Wyndham Irvine Orange County Airport  
 17941 Von Karman Avenue  
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 Telephone: (949) 863-1999**

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All times indicated are approximate and subject to change. Agenda items may be discussed and action taken out of order at the discretion of the Enforcement Program Oversight Committee Chair. The meeting may be cancelled without notice. For verification of the meeting, call (916) 561-1716 or access California Board of Accountancy's website at <http://www.cba.ca.gov>.

|                                                                                                                                                                                                                                                                                                                                                                                                 | <b><u>CBA Item #</u></b> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| Call to Order, Roll Call, Establishment of Quorum, and Opening Remarks ( <b>Kathleen Wright, Chair</b> ).                                                                                                                                                                                                                                                                                       |                          |
| I. Approve Minutes of the July 21, 2016, Enforcement Program Oversight Committee Meeting.                                                                                                                                                                                                                                                                                                       | IX.D.                    |
| II. Revision Schedule for the Disciplinary Guidelines and Model Orders ( <b>Written Report Only</b> ).                                                                                                                                                                                                                                                                                          | VIII.B.2.                |
| III. Discussion and Possible Action to Initiate a Rulemaking to Amend the Disciplinary Guidelines and Model Orders (Title 16, California Code of Regulations Section 98) and Title 16, California Code of Regulations Section 99.1 Rehabilitation Criteria for Denials, Suspensions, Revocations, Restorations, Reduction of Penalty ( <b>Dominic Franzella, Chief, Enforcement Division</b> ). | VIII.B.3.                |
| IV. Public Comments.*                                                                                                                                                                                                                                                                                                                                                                           |                          |
| V. Agenda Items for Next Meeting.                                                                                                                                                                                                                                                                                                                                                               |                          |

## Adjournment

In accordance with the Bagley-Keene Open Meeting Act, all meetings of the California Board of Accountancy are open to the public. While the California Board of Accountancy intends to webcast this meeting, it may not be possible to webcast the entire open meeting due to limitations on resources.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the Enforcement Program Oversight Committee prior to the Enforcement Program Oversight Committee taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the Enforcement Program Oversight Committee. Individuals may appear before the Enforcement Program Oversight Committee to discuss items not on the agenda; however, the Enforcement Program Oversight Committee can take no official action on these items at the time of the same meeting. (Government Code section 11125.7(a))

California Board of Accountancy members who are not members of the Enforcement Program Oversight Committee may be attending the meeting. However, if a majority of members of the full board are present at the Enforcement Program Oversight Committee meeting, members who are not Enforcement Program Oversight Committee members may attend the meeting only as observers.



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**DEPARTMENT OF CONSUMER AFFAIRS  
 CALIFORNIA BOARD OF ACCOUNTANCY**

**MEETING AGENDA**

**September 15, 2016  
 10:45 a.m. – 4:30 p.m.**

**September 16, 2016  
 9:00 a.m. – 11:00 a.m.**

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**Thursday,  
 September 15, 2016**

Call to Order, Roll Call, Establishment of Quorum, and Opening Remarks (**Katrina Salazar, President**).

**10:45 a.m. –  
 11:00 a.m.**

- I. Report of the President (**Katrina Salazar, President**).
  - A. Discussion of Process for Annual Officer Elections.
  - B. Discussion of Process for Annual Executive Officer Evaluation.
  - C. Developments Since the February 2015 United States Supreme Court Decision: North Carolina State Board of Dental Examiners v. Federal Trade Commission (**Kristy Schieldge, Department of Consumer Affairs, Senior Attorney III**).

- D. Discussion and Possible Action on or Change of Position on Legislation: Assembly Bill 2853 (**Nooshin Movassaghi, Legislative Analyst**).
- E. Update, Discussion, and Possible Action on Legislation on Which the California Board of Accountancy Has Taken a Position: Recommendation to Maintain the California Board of Accountancy's Position (AB 507, AB 2560, AB 2859, Assembly Concurrent Resolution 131, SB 1348, SB 1155, and SB 1479) (**Nooshin Movassaghi, Legislative Analyst**).
- F. Resolution for Qualifications Committee Member Tracy Garone.
- G. Department of Consumer Affairs Director's Report on Departmental Activities (**DCA Representative**).

11:00 a.m. –  
11:05 a.m.

- II. Report of the Vice-President (**Alicia Berhow, Vice-President**).
- A. Recommendations for Appointment(s)/Reappointment(s) to the Enforcement Advisory Committee.
- B. Recommendations for Appointment(s)/Reappointment(s) to the Qualifications Committee.
- C. Recommendations for Appointment(s)/Reappointment(s) to the Peer Review Oversight Committee.

11:05 a.m. –  
11:15 a.m.

- III. Report of the Secretary/Treasurer (**Michael M. Savoy, Secretary/Treasurer**).
- A. Fiscal Year 2015-16 Year-end Financial Report.

11:15 a.m. –  
11:30 a.m.

- IV. Report of the Executive Officer (**Patti Bowers, Executive Officer**).
- A. Update on the Relocation of the California Board Accountancy's Office.
- B. Update on Staffing.
- C. Update on the California Board of Accountancy's Communication and Outreach (**Matthew Stanley, Information and Planning Officer**).
- D. Presentation of the California Board of Accountancy Annual Report for Fiscal Year 2015-16 (**Matthew Stanley, Information and Planning Officer**).

11:30 a.m. –  
11:45 a.m.

- V. Report on the Enforcement Advisory Committee, Qualifications Committee, and Peer Review Oversight Committee.
  - A. Enforcement Advisory Committee (**Dominic Franzella, Enforcement Chief**).
    - 1. Approval of the 2017 Enforcement Advisory Committee Meeting Dates.
  - B. Qualifications Committee (**Jenny Bolsky, Chair**).
    - 1. Report of the July 27, 2016, Qualifications Committee Meeting Activities.
    - 2. Approval of the 2017 Qualifications Committee Meeting Dates.
  - C. Peer Review Oversight Committee (**Robert Lee, Chair**).
    - 1. Report of the August 19, 2016, Peer Review Oversight Committee Meeting Activities.
    - 2. Discussion and Possible Action to Provide Comments on the American Institute of Certified Public Accountants Paper Titled *Proposed Evolutions of Peer Review Administration, A Supplemental Discussion Paper Seeking Input from State Boards of Accountancy*, Released July 18, 2016.
    - 3. Report on the 2016 American Institute of Certified Public Accountants Peer Review Program Conference.
    - 4. Approval of the 2017 Peer Review Oversight Committee Meeting Dates.

11:45 a.m. –  
12:00 p.m.

- VI. Report of the Enforcement Chief (**Dominic Franzella, Enforcement Chief**).

- A. Enforcement Activity Report.

1:30 p.m. –  
1:35 p.m.

- VII. Report of the Licensing Chief (**Veronica Daniel, Licensing Manager**).

- A. Licensing Activity Report.

1:35 p.m.  
2:20 p.m.

- VIII. Committee Reports.

- A. Committee on Professional Conduct (**Leslie LaManna, Chair**).

- 1. Report of the September 15, 2016, Committee on Professional Conduct Meeting.

2. Discussion and Possible Action on Evaluating Criminal Convictions Involving Drugs and Alcohol and the Authority to Take Administrative Actions Pursuant to Business and Professions Code Sections 480, 490, and 5100.
- B. Enforcement Program Oversight Committee (**Kathleen Wright, Committee Chair**).
1. Report of the September 15, 2016, Enforcement Program Oversight Committee.
  2. Revision Schedule for the Disciplinary Guidelines and Model Orders (Written Report Only).
  3. Discussion and Possible Action to Initiate a Rulemaking to Amend the Disciplinary Guidelines and Model Orders (Title 16, California Code of Regulations Section 98) and Title 16, California Code of Regulations Section 99.1 Rehabilitation Criteria for Denials, Suspensions, Revocations, Restorations, Reduction of Penalty.
- C. Mobility Stakeholder Group (**Jose Campos, Committee Chair**).
1. Report of the September 15, 2016, Mobility Stakeholder Group Meeting.
  2. Mobility Stakeholder Group Decision Matrix and Stakeholder Objectives (**Written Report Only**).
  3. Timeline for Activities Regarding Determinations to be Made for Out-of-State Practitioners Pursuant to Business and Professions Code Section 5096.21 (**Written Report Only**).
  4. Discussion and Possible Action Regarding the Findings of the National Association of State Boards of Accountancy Related to Business and Professions Code Section 5096.21(c).
  5. Discussion and Possible Action Regarding Next Steps for Making Determinations Pursuant to Business and Professions Code Section 5096.21(a)-(b).
  6. Overview of Practice Privilege/Mobility Provisions in Other States/Jurisdictions.
  7. Discussion Regarding the National Association of State Boards of Accountancy's Activities and CPAVerify.
  8. Discussion Regarding Proposed Agenda Items for the Next Mobility Stakeholder Group Meeting.

**2:20 p.m. –  
2:25 p.m.**

- IX. Acceptance of Minutes.
  - A. Minutes of the July 21-22, 2016, California Board of Accountancy Meeting.
  - B. Minutes of the July 21, 2016, Committee on Professional Conduct Meeting.
  - C. Minutes of the July 21, 2016, Legislative Committee Meeting.
  - D. Minutes of the July 21, 2016, Enforcement Program Oversight Committee Meeting.
  - E. Minutes of the July 21, 2016, Mobility Stakeholder Group Meeting.
  - F. Minutes of the May 5, 2016, Enforcement Advisory Committee Meeting.
  - G. Minutes of the May 6, 2016, Peer Review Oversight Committee Meeting.
  - H. Minutes of the January 20, 2016 Qualifications Committee Meeting.

**2:25 p.m. –  
2:30 p.m.**

- X. Other Business.
  - A. American Institute of Certified Public Accountants.
    - 1. Report on Public Meetings of the American Institute of Certified Public Accountants Attended by a California Board of Accountancy Representative.
  - B. National Association of State Boards of Accountancy.
    - 1. Report on Public Meetings of the National Association of State Boards of Accountancy Attended by a California Board of Accountancy Representative.

**2:30 p.m. –  
2:35 p.m.**

- XI. Closing Business.
  - A. Public Comments.\*
  - B. Agenda Items for Future California Board of Accountancy Meetings.

2:35 p.m. –  
4:30 p.m

XII. Closed Session.

- A. Pursuant to Government Code Section 11126(c)(3), the California Board of Accountancy Will Convene Into Closed Session to Deliberate on Enforcement Decisions (Stipulated Settlements, Default Decisions, and Proposed Decisions).
- B. Pursuant to Government Code Section 11126(e), the California Board of Accountancy Will Meet In Closed Session to Receive Advice from Legal Counsel on Litigation (David Greenberg v. California Board of Accountancy, Los Angeles County Superior Court, Case No. BS155045).

**Friday  
September 16,  
2016  
Time Certain  
9:00 a.m.**

XIII. Petition Hearings

- A. Terrence J. Scanlan – Petition for Reinstatement of Revoked Certificate.
- B. Closed Session: Pursuant to Government Code Section 11126(c)(3), the California Board of Accountancy Will Convene into Closed Session to Deliberate on the above petitions.

Return to Open Session.

Adjournment

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