



DEPARTMENT OF CONSUMER AFFAIRS
CALIFORNIA BOARD OF ACCOUNTANCY
2000 EVERGREEN STREET, SUITE 250
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INSURER/LICENSED INSURANCE BROKER
CALIFORNIA BOARD OF ACCOUNTANCY
REPORTABLE EVENTS REPORTING FORM

Pursuant to California Business and Professions Code, Division 3, Chapter 1, Section 5063.2
(Please read the attached information before completing and submitting this form.)

Please check the appropriate box. Insurance Company [ ] Licensed Surplus Broker [ ]
Name: \_\_\_\_\_
Address: \_\_\_\_\_
Contact Person: \_\_\_\_\_ Telephone: ( ) \_\_\_\_\_

Please check the appropriate box. Settlement [ ] Arbitration Award [ ]
Total Amount of Settlement or Arbitration Award \_\_\_\_\_
Amount Paid by Insurer/Licensed Surplus Broker \_\_\_\_\_ Date Paid \_\_\_\_\_
Name and Location of Court/Arbitrator \_\_\_\_\_

LICENSED ACCOUNTANT AND/OR LICENSED ACCOUNTING FIRM

Licensee Name \_\_\_\_\_ License Number \_\_\_\_\_
Company Name \_\_\_\_\_ License Number \_\_\_\_\_
Address \_\_\_\_\_
Policy Number \_\_\_\_\_

PAYEE

If more than one payee is named, please attach a separate sheet listing additional payee(s).

Payee Name \_\_\_\_\_
Address \_\_\_\_\_

Signature of Reporting Agent or Insurer

Name and Title (Printed or Typed)

Date

**The following is an excerpt from the California Business and Professions Code.**

**Section 5063.2.** Within 30 days of payment of all or any portion of any civil action settlement or arbitration award against a licensee of the board in which the amount or value of the settlement or arbitration award is thirty thousand (\$30,000) or greater, any insurer or licensed surplus broker providing professional liability insurance to that licensee shall report to the board the name of the licensee, the amount or value of the settlement or arbitration award, the amount paid by the insurer or licensed surplus broker, and the identity of the payee.

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**Please submit the form to:**

**California Board of Accountancy  
Attention: Enforcement Division  
2000 Evergreen Street, Suite 250  
Sacramento, CA 95815**

**PERSONAL INFORMATION COLLECTION AND ACCESS NOTICE:** The California Board of Accountancy (CBA) collects the information requested on this form as authorized by Business and Professions Code Sections 325, 326, and 5103. The personal information collected is used principally to administer and to enforce licensing standards set by law and regulation. The personal information provided may be transferred to the Department of Justice, a District Attorney, a City Attorney, or to another government agency as may be necessary to permit the CBA, or the transferee agency, to perform its statutory or constitutional duties, or otherwise transferred or disclosed as permitted by the Information Practices Act. Each individual has the right to review his or her personal information in his or her file, except as otherwise permitted by the Information Practices Act. Certain information provided may be disclosed to a member of the public, upon request, under the California Public Records Act and Information Practices Act.