



MEMORANDUM

DATE	May 5, 2022
TO	Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board
FROM	Paul Sanchez, Executive Officer
SUBJECT	Agenda Item 6: Executive Officer Report

This report and the statistical information provided by staff is to update you on the current operations of the Board.

a) Administration Update

Staffing – The Board has experienced delays in backfilling the analyst position created by the Business Modernization project workload. We are currently in the process of recruiting for this temporary position to start in June and the position to remain throughout the duration of the Business Modernization Project.

b) Business Modernization Project

Since the last Board meeting, Board staff and management continue making progress on the Business Modernization Project. Most recent efforts have been focused on working with DCA's Office of Information Services (OIS) on securing external vendor contracts for web services and SimpliGov solution development who will work with the Board's project lead and owner Tim Yang and DCA OIS. Mr. Yang has worked for the Board in both the licensing and examination unit as well as the enforcement unit and brings a wealth of programmatic and process knowledge and experience. Mr. Yang will be working directly with DCA OIS staff and external vendors to implement the online applications with the oversight of the Board's Executive Officer and Assistant Executive Officer.

The Board was able to secure the necessary contracts in Spring 2022 and will begin the project within the next month. The Board projects to launch its first few applications within the next six months and all initial license applications by summer of 2023.

c) Outreach Update

On March 26, 2022, the Executive Officer and Board members Holly Kaiser and Gilda Dominguez presented at the California Speech-Language Hearing Association Convention in Pasadena during their general session. This is the first time the Board presented at CSHA's General session. The Board received positive feedback and the session was well-attended. Topics discussed included an overview of the Board, the importance of a license, the process of regulations, and current professional and licensing issues that impact the SLP profession.

In April 2022, the Executive Officer provided a licensing update and discussion to California Council of Academic Programs in Communication Sciences and Disorders, made a presentation to the San Francisco State Student Audiology Association, and was a guest speaker during a Hearing Loss Association of America live webcast hosted by their Mission Viejo Chapter.

d) Budget Report

Included in your Board materials is the most recent Expenditure Projection Report (Item 6e). This report reflects fiscal activity through February 28, 2022 and is based on data provided by DCA's Budgets Office. The initial projections in this report will continue to be adjusted to more accurately reflect the Boards projections and actual expenditures. Also included in your materials is the Board's current fund condition which reflects additional revenue due to the most recent fee increases discussed at our last Board meeting.

e) Regulations Report

Below is a table with the Board's pending rulemaking files that are either in the DCA Initial Review Process or in the Official Rulemaking Process with the Office of Administrative Law. A separate detailed report (Agenda Item 12) is provided in your materials and will be discussed during the full Board meeting.

Rulemaking File	Final OAL Filing Date	Status	Comments
AB 2138: Criminal Conviction Substantial Relationship and Rehabilitation Criteria	3/5/2021	5/7/2021 – Approved by OAL 11/18/2020 – Submitted to OAL 10/12/2020 – Submitted for Agency review 10/8/2020 – Submitted for DCA review 7/6/2020 – 15-day comment period 6/30/2020 – Board approved modified text 3/6/2020 – Initial 45-day comment period 12/31/2019 – Submitted for Agency review 7/30/2019 – Submitted for DCA review 4/30/2019 – Submitted for Legal review 4/11/2019 – Board approved language	Regulations effective 5/7/2021
Speech-Language Pathology and Audiology Fees	8/6/2021	6/29/21 – Approved by OAL 4/7/2021 – Submitted to OAL 12/28/2020 – Submitted for Agency review 12/18/2020 – Submitted for DCA review 8/7/2020 – Initial 45-day comment period 6/1/2020 – Submitted for Agency review 1/23/2020 – Submitted for DCA review 10/8/2019 – Submitted for Legal review 7/19/2019 – Board approved language	Regulations effective 7/1/2021; new fees implemented 11/1/2021
Required Professional Experience Direct Supervision Requirements and Tele-Supervision		4/5/2022 – Submitted for DCA review 5/17/2021 – Submitted for Legal review 5/14/2021 – Board approved language	
Speech-Language Pathology Assistants Requirements		8/13/2021 – Board approved language	Board staff drafting regulatory documents

Rulemaking File	Final OAL Filing Date	Status	Comments
Uniform Standards Related to Substance-Abusing Licensees		3/24/2022 – Submitted for Legal review 8/13/2021 – Board approved language	
Board Location and Processing Times		4/8/2022 – Submitted to OAL 3/17/2022 – Submitted for Legal review 10/8/2021 – Board approved language	OAL has 30 working days for review

f) Licensing Report

Licensing Cycle Times – The chart below provides a snapshot of Board’s current and past licensing cycle times. While the licensing workload has increased, Board staff have been successful in keeping cycle times to within 30 days for most complete applications. Expected timeframes are regularly updated on the Board’s website.

Licensing Cycle Times	6/1/21	8/1/21	10/1/21	2/1/22	5/1/22
Speech-Language Pathologists (SLP) and Audiologists (AUD) Complete Licensing Applications	5 weeks	7 weeks	9 weeks	4 weeks	4 weeks
Review and Process SLP and AUD Supporting Licensing Documents	3 weeks	5 weeks	6 weeks	3 weeks	3 weeks
Review and Process RPE Applicant’s Verification Forms for Full Licensure	5 weeks	8 weeks	8 weeks	4 weeks	3 weeks
Hearing Aid Dispensers (HAD) Applications	Current	Current	Current	Current	3 weeks

g) Practical Examination Report

This fiscal year practical examinations have been conducted in July and October of 2021 and January and April of 2022. The next practical examinations will be held on July 22-23, 2022. Below is a summary of results from the practical examination that were conducted January – April of 2022:

HAD Practical Examination Results for January - April 2022					
Candidate Type	Number of Candidates	Passed	%	Failed	%
Applicants with Supervision (Temporary Trainee License)					
Hearing Aid Dispensers	44	29	66%	15	34%
Audiologists					
Required Professional Experience					
Aide					
Applicants Licensed in Another State (Temporary License)					
Heaing Aid Dispenser	6	3	50%	3	50%
Audiologist					
Applicants without Supervision					
Hearing Aid Dispensers	3	2	67%	1	33%
Audiologists	10	6	60%	4	40%
Required Professional Experience	6	4	67%	2	33%
Total Number of Candidates					
	69	44	64%	25	36%

h) Enforcement Report

The Board has received 97 complaints and subsequent arrest notifications through the second quarter of the 2021-22 reporting year. During this same period the Board has issued four (4) citations and fines. The number of complaints and subsequent arrest notifications have declined in the past two years compared to previous years.

There are currently nine (9) formal discipline cases pending with the Attorney General's Office. The Board is currently monitoring 19 probationers of which four (4) probationers require drug or alcohol testing and five (5) are in a tolled status. Included in your Board materials (Item 6i) is the Board's statistical Enforcement report.

The following disciplinary actions have been adopted by the Board during the past 12 months:

Name	License No.	License Type	Case No.	Effective Date	Action Taken
Long, Wayne Erwin	HA 7793	Hearing Aid Dispenser	1C-2017-019	December 3, 2021	Revocation of License
Lindahl, Holli Jollette	SPA 1981	Speech-Language Pathologist	1I-2019-154	December 2, 2021	Revocation of License

Name	License No.	License Type	Case No.	Effective Date	Action Taken
Avina, Arturo Frank	SP 27490	Speech- Language Pathologist	11-2019- 032	September 26, 2021	Revocation Stayed, Five Years' Probation with Specified Terms and Conditions
Rios, Keith Eric	HA 5058	Hearing Aid Dispenser	1C- 2010- 155	September 10, 2021	Probation extended seven years, actual suspension, ordered to pay cost recovery.
Jackson, Alisa	SPA 6731	Speech- Language Pathology Assistant	11-2019- 124	August 1, 2021	Revocation stayed, actual suspension, three years' probation with specified terms and conditions.
Stanton, Christine Lee	SP 9045	Speech- Language Pathologist	11-2012- 055	July 17, 2021	Petition for early termination of probation granted. Probation terminated.

Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board - 0376

FY 2021-22 BUDGET REPORT

May 13, 2022 Board Meeting

FM 6

OBJECT DESCRIPTION	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	FY 2021-22				
	ACTUAL EXPENDITURES (MONTH 13)	ACTUAL EXPENDITURES (MONTH 13)	ACTUAL EXPENDITURES (MONTH 13)	ACTUAL EXPENDITURES (Prelim FM13)	GOVERNOR'S BUDGET 2021-22	CURRENT YEAR EXPENDITURES 02.28.2022	PERCENT SPENT	PROJECTIONS TO YEAR END	UNENCUMBERED BALANCE
PERSONNEL SERVICES									
Salary & Wages (Staff)	478,930	525,967	601,545	599,726	794,000	545,802	69%	823,097	(29,097)
Statutory Exempt (EO)	91,296	94,944	98,268	92,318	82,000	79,138	97%	105,864	(23,864)
Temp Help	8,446	224	64,729	38,449	1,000	37,337	3734%	52,583	(51,583)
Board Member Per Diem	5,100	4,700	4,600	1,700	6,000	3,100	52%	4,133	1,867
Overtime/Flex Elect	19,003	36,663	55,901	54,620	5,000	46,955	939%	62,606	(57,606)
Staff Benefits	309,624	332,488	434,247	418,932	484,000	358,926	74%	541,000	(57,000)
TOTALS, PERSONNEL SVC	912,400	994,986	1,259,290	1,205,746	1,372,000	1,071,258	78%	1,589,283	(217,283)
OPERATING EXPENSE AND EQUIPMENT									
General Expense	42,122	34,923	48,858	67,144	68,000	65,261	96%	80,852	(12,852)
Printing	9,772	10,587	11,227	19,251	28,000	37,438	134%	37,806	(9,806)
Communication	6,228	5,986	7,072	7,482	21,000	1,541	7%	4,200	16,800
Postage	25,482	19,259	7,155	1,725	12,000	4,108	34%	5,478	6,522
Travel In State	15,163	5,210	13,115	9,148	30,000	10,590	35%	12,581	17,419
Training	0	0	7,088	0	9,000	0	0%	0	9,000
Facilities Operations	73,447	86,769	101,321	82,568	99,000	122,384	124%	135,725	(36,725)
C & P Services - Interdept.	38	49	52	70	75,000	0	0%	0	75,000
Attorney General	133,121	112,665	156,882	298,782	143,000	151,859	106%	202,479	(59,479)
Office Admin. Hearings	45,135	37,170	8,025	128,785	22,000	19,935	91%	26,580	(4,580)
C & P Services - External	82,277	71,696	73,529	79,957	768,000	49,530	6%	95,545	672,455
DCA Pro Rata	339,000	392,000	367,221	355,665	545,000	411,000	75%	545,000	0
DOI - Investigations	153,000	200,000	200,908	32,198	121,000	89,250	74%	121,000	0
Interagency Services	0	0	0	2,196	29,000	82	0%	2,000	27,000
IA w/ OPES	0	500	67,039	24,264	60,000	17,944	30%	47,009	12,991
Consolidated Data Center	3,258	195	4,971	14,553	17,000	13,661	80%	18,214	(1,214)
Information Technology	1,240	2,013	431	5,210	171,000	6,017	4%	7,407	163,593
Equipment	3,220	0	15,400	30,670	5,000	1,591	32%	13,033	(8,033)
Other - Clothing & Pers Supp		0			0	0	0%	0	0
Other Items of Expense		0	113,356	2,553	0	1,626	0%	2,629	(2,629)
Other (Vehicle Operations)		0		0	0	0	0%	0	0
TOTALS, OE&E	1,032,524	1,233,062	1,203,675	1,162,379	2,223,000	973,745	44%	1,357,538	865,462
TOTAL EXPENSE	1,944,924	2,228,048	2,462,965	2,368,125	3,595,000	2,045,003	57%	2,946,821	648,179
Sched. Reimb. - Fingerprints	(31,000)	(33,143)	(31,000)	(45,276)	(31,000)	30,072	-97%	(31,000)	0
NET APPROPRIATION	1,911,924	2,174,452	2,429,965	2,304,144	3,595,000	2,075,075	58%	2,913,821	648,179
SURPLUS/(DEFICIT):									18.03%

**Speech-Language Pathology and Audiology and Hearing Aid
Dispensers Board
(Dollars in Thousands)**

**2022 Governors Budget with Fiscal Month 09 Expenditure
and Revenue Projections**

	Actual 2019-20	Actual 2020-21	CY 2021-22	BY 2022-23	BY+1 2023-24
BEGINNING BALANCE	\$2,357	\$ 1,853	\$ 1,545	\$ 1,082	\$ 1,395
Prior Year Adjustment	-\$281	-\$35	\$0	\$0	\$0
Adjusted Beginning Balance	\$ 2,076	\$ 1,818	\$ 1,545	\$ 1,082	\$ 1,395
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS					
Revenues					
4129200 - Other regulatory fees	\$51	\$37	\$51	\$36	\$36
4129400 - Other regulatory licenses and permits	\$362	\$361	\$492	\$529	\$529
4127400 - Renewal fees	\$1,726	\$1,766	\$2,065	\$2,289	\$2,289
4121200 - Delinquent fees	\$26	\$29	\$30	\$27	\$27
4163000 - Income from surplus money investments	\$48	\$10	\$2	\$6	\$8
4171400 - Escheat of unclaimed checks and warrants	\$3	\$5	\$3	\$8	\$8
4172500 - Miscellaneous revenues	\$1	\$0	\$0	\$0	\$0
Totals, Revenues	\$2,217	\$2,208	\$2,643	\$2,895	\$2,897
General Fund Transfers and Other Adjustments					
TOTALS, REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	\$2,217	\$2,208	\$2,643	\$2,895	\$2,897
TOTAL RESOURCES	\$4,293	\$4,026	\$4,188	\$3,977	\$4,292

EXPENDITURES AND EXPENDITURE ADJUSTMENTS	Actual 2019-20	Actual 2020-21	CY 2021-22	BY 2022-23	BY+1 2023-24
Expenditures:					
1111 Program Expenditures (State Operations)	\$2,291	\$2,304	\$2,910	\$2,400	\$2,472
9892 Supplemental Pension Payments (State Operations)	\$38	\$38	\$38	\$38	\$38
9900 Statewide Pro Rata	\$111	\$139	\$158	\$144	\$144
TOTALS, EXPENDITURES AND EXPENDITURE ADJUSTMENTS	\$2,440	\$2,481	\$3,106	\$2,582	\$2,654
FUND BALANCE					
Reserve for economic uncertainties	\$1,853	\$1,545	\$1,082	\$1,395	\$1,638
Months in Reserve	9.0	6.0	5.0	6.3	7.4

NOTES:

Assumes workload and revenue projections are realized in BY +1 and ongoing.
Expenditure growth projected at 3% beginning BY +1.
CY revenue and expenditures are projections.

Speech-Language Pathology & Audiology & Hearing Aid Dispensers Board

LICENSES ISSUED	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20	FY 20/21	FY 21/22
							QTR 3
AU	48	53	77	63	63	71	64
DAU	26	24	30	35	31	23	17
AUT	0	0	2	4	3	1	4
SLP	1,352	1,457	1,482	1,446	1,444	1,621	1,347
SPT	0	0	0	0	0	0	0
SLPA	606	501	558	602	615	505	509
RPE	834	897	945	977	1,059	1,039	981
AIDE	44	44	33	32	44	22	34
PDP	22	21	20	15	5	13	9
HAD Permanent	140	120	137	135	95	55	81
HAD Trainee	180	152	169	156	116	93	91
HAD Licensed in Another State	16	16	20	17	12	11	17
HAD Branch	407	315	341	333	312	249	189
TOTAL LICENSES ISSUED	3,675	3,600	3,814	3,815	3,799	3,703	3,343

LICENSEE POPULATION	FY 15/16	FY 16/17	FY 17/18	FY 18/19	FY 19/20	FY 20/21	FY 21/22
							QTR 3*
AU	556	698	720	831	837	830	848
DAU	1,045	1,211	1,246	1,334	1,384	1,375	1,411
<i>Both License Types</i>	<i>1,601</i>	<i>1,909</i>	<i>1,966</i>	<i>2,165</i>	<i>2,221</i>	<i>2,205</i>	<i>2,259</i>
AUT	0	0	2	4	7	8	8
SLP	14,860	18,024	19,161	21,374	22,527	23,309	24,550
SPT	0	0	0	0	0	0	0
SLPA	2,795	3,752	4,118	4,822	5,297	5,538	5,866
RPE	806	1,174	1,232	1,364	1,595	1,626	1,728
AIDE	133	235	216	245	273	290	311
HAD	996	1,179	1,266	1,380	1,407	1,398	1,438
HAD Trainees	158	238	204	214	237	243	246
HAD Licensed in Another State	18	18	28	31	42	47	61
HAD Branch Office	963	1,409	1,297	1,347	1,401	1,411	1,402
TOTAL LICENSEES	22,330	27,938	29,490	32,946	35,007	36,075	37,869

* Data as of May 4, 2022

Legend:

AU = Audiologist

DAU = Dispensing Audiologist

AUT = Audiologist Temporary

HAD = Hearing Aid Dispenser

RPE = Required Professional Experience

PDP = Continuing Professional Development

SLP = Speech-Language Pathologist

SPT = Speech-Language Pathologist Temporary

SLPA = Speech-Language Pathology Assistant

Speech-Language Pathology & Audiology & Hearing Aid Dispensers Board
Enforcement Report

COMPLAINTS AND CONVICTIONS	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR
	2017-18	2018-19	2019-20	2020-21	Q3 2021-22
Complaints Received	329	158	164	91	59
Convictions Received	128	124	103	45	38
Average Days to Intake	2	1	1	5	7
Closed	10	5	17	2	0
Pending	1	1	1	6	2

INVESTIGATIONS Desk	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR
	2017-18	2018-19	2019-20	2020-21	Q3 2021-22
Assigned	446	273	260	131	105
Closed	388	188	189	193	132
Average Days to Complete	102	148	222	380	525
Pending	126	198	260	198	154

INVESTIGATIONS DOI	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR
	2017-18	2018-19	2019-20	2020-21	Q3 2021-22
Assigned	17	13	9	0	0
Closed	19	12	10	8	4
Average Days to Complete	462	752	770	839	1,316
Pending	19	20	19	11	4

ALL TYPES OF INVESTIGATIONS	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR
	2017-18	2018-19	2019-20	2020-21	Q3 2021-22
Closed w/o Discipline Referral	386	183	181	187	132
Cycle Time - No Discipline	115	184	251	368	467

CITATIONS/CEASE & DESIST	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR
	2017-18	2018-19	2019-20	2020-21	Q3 2021-22
Citations Issued	26	16	11	6	4
Avg Days to Citation & Fine	82	155	336	429	1,319
Cease & Desist Letters Issued	3	1	0	2	0

ATTORNEY GENERAL CASES	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR	FISCAL YEAR
	2017-18	2018-19	2019-20	2020-21	Q3 2021-22
Pending at the AG	22	21	23	21	9
Accusations Filed	5	4	8	8	4
Statement of Issue (SOI) Filed	2	5	1	2	0
Accusation Withdrawn, Dismissed, Declined	4	3	1	0	1
SOI Withdrawn, Dismissed, Declined	0	4	1	0	0
Average Days to Discipline	780	1,741	824	2,245	1,625

Speech-Language Pathology & Audiology & Hearing Aid Dispensers Board Enforcement Report

ATTORNEY GENERAL FINAL OUTCOME	FISCAL YEAR 2017-18	FISCAL YEAR 2018-19	FISCAL YEAR 2019-20	FISCAL YEAR 2020-21	FISCAL YEAR Q3 2021-22
Probation Only	4	4	5	1	0
Surrender of License	3	1	3	3	0
License Denied (SOI)	3	2	1	0	1
Suspension & Probation	0	1	0	0	1
Revocation-No Stay of Order	3	1	3	2	4
Public Reprimand/Reproval	1	0	0	0	0

Note: All data provided uses complaint open date as the start to any "Days to" data provided in this report.