



California Board of Accountancy
2450 Venture Oaks Way, Suite 300
Sacramento, CA 95833

phone: (916) 263-3680 fax: (916) 263-3675 web: www.cba.ca.gov



Practice Privilege Pre-Notification of Listed Events Form

CONTACT INFORMATION:

Name: Last First MI

Business* Mailing Address:

Business Phone #: Business Fax #: Business Email:

State(s) of License #: Expiration Date:

* May provide home address if no business address is available. To help CBA maintain privacy, please write "home" next to any home address that is provided.

EVENTS:

Please review the following and check all that apply. In the past seven years have you:

- A. Been the subject of any final disciplinary action by the licensing or disciplinary authority of another jurisdiction with respect to any professional license or have any charges of professional misconduct pending against you in another jurisdiction?

For the purposes of responding to this question, "disciplinary action" is an administrative action that resulted in a restriction or penalty being placed on any professional license you have or have possessed, such as a revocation, suspension, or probation. It does not include other types of administrative actions such as citations and fines, orders of abatement, or orders to take specified continuing education courses.

- B. Had a license in another jurisdiction reinstated after a suspension or revocation?
C. Been denied issuance or renewal of a professional license or certificate in any other jurisdiction for any reason other than an inadvertent administrative error?

- D. Been convicted of a crime or subjected to pending criminal charges in another jurisdiction other than a minor traffic violation?

All misdemeanors, felonies, infractions or citations, including traffic violations, must be reported. Convictions that were later expunged from the record of the court or set aside pursuant to section 1203.4 of the California Penal Code or equivalent non-California law MUST be disclosed. "Minor Traffic Violations" should NOT be reported. For the purposes of responding to this question, "minor traffic violations" means traffic infractions under \$1000 not involving alcohol, dangerous drugs, or controlled substances. Convictions that were adjudicated in the juvenile court or convictions under California Health and Safety Code sections 11357(b), (c), (d), or (e), or section 11360(b) which are two years or older should NOT be reported.

- E. Acquired either of the following disqualifying conditions:

- Revocation, suspension, denial, surrender, or other discipline or sanctions involving any license, permit, registration, certificate, or other authority to practice any profession in this or any other state or foreign country or to practice before any state, federal, or local court or agency, or the Public Company Accounting Oversight Board.
- Any judgment or arbitration award involving professional conduct in the amount of thirty thousand dollars (\$30,000) or greater.

If you checked a condition above, you are not authorized to practice public accountancy in California unless and until you receive written approval from the CBA.

In addition, you must complete and return Attachment 1 providing explanatory details along with this form to the CBA to meet your reporting requirement. Any misrepresentation or omission in connection with this notification may disqualify you from the California practice privilege.

I hereby certify, under penalty of perjury under the laws of the State of California, that all statements, answers, and representations on this form and any accompanying attachments are true, complete, and accurate. I further certify that I have read this entire form. By submitting this form and signing below, I am granting permission to the CBA to verify the information provided and to perform any investigation pertaining to the information I have provided as the CBA deems necessary.

Signature: _____ Date: _____

Name: _____

Attachment 1

1. Please provide explanatory details of your listed event:

NOTICE OF PERSONAL INFORMATION COLLECTION AND ACCESS: The information provided in this form will be used by the California Board of Accountancy to determine whether you qualify for practice privilege in California. Sections 5096 through 5096.21 of the California Business and Professions Code authorize the collection of this information. Failure to provide any of the required information is grounds for rejection of the form as being incomplete. Information provided may be transferred to the Department of Justice, a District Attorney, a City Attorney, or to another government agency as may be necessary to permit the Board, or the transferee agency, to perform its statutory or constitutional duties, or otherwise transferred or disclosed as provided in California Civil Code section 1798.24. Each individual has the right to review his or her file, except as otherwise provided by the California Information Practices Act. Certain information provided may be disclosed to a member of the public, upon request, under the California Public Records Act. The Executive Officer of the California Board of Accountancy is responsible for maintaining the information in this form, and may be contacted at 2450 Venture Oaks Way, Suite 300, Sacramento, CA 95833, telephone number (916) 263-3680 regarding questions about this notice or access to records.