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# 2016

## ANNUAL REPORT

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California Department of  
**CONSUMER AFFAIRS**



**EDMUND G. BROWN JR.**  
*Governor*



**ALEXIS PODESTA**  
*Acting Secretary of the Business, Consumer  
Services and Housing Agency*



**AWET KIDANE**  
*Director of the Department of Consumer Affairs*

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#### **ABOUT THIS BOOK**

The Department of Consumer Affairs is one of eight agencies operating under the direction of the Business, Consumer Services and Housing Agency, which is overseen by the Governor Edmund G. Brown Jr. administration.

The Department of Consumer Affairs' (DCA's) *Annual Report 2016* was designed and edited by DCA's Office of Publications, Design & Editing and printed on-site by DCA's Digital Print Services in compliance with the Business and Professions Code 312(a).

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## Our Mission

To protect consumers through effective enforcement activities and oversight of California's licensed professionals.

## Our Vision

To be the premier consumer protection agency.

## Our Values

### **ACCOUNTABILITY**

We operate transparently and encourage public participation in our decision-making when appropriate.

### **CONSUMER PROTECTION**

We make effective and informed decisions to protect the health and safety of Californians.

### **CUSTOMER SERVICE**

We acknowledge our stakeholders as customers, listen to them, and take their needs into account.

### **INNOVATION**

We value creative problem-solving, responsible risk-taking, and enthusiastic pursuit of new ideas.

### **INTEGRITY**

We are committed to honesty, ethical conduct, and responsibility.

### **DIVERSITY**

We foster a welcoming environment of openness and appreciation for all.

### **EMPLOYEES**

We recognize and value employee contributions and talent, and foster leadership development and professional growth of our workforce.

### **LEADERSHIP**

We set the standard for leadership in government by holding ourselves accountable to our employees and stakeholders.



# Message from the Director

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Welcome to the Department of Consumer Affairs' *2015–16 Annual Report*.

Over the past year, the Department of Consumer Affairs (DCA) has remained committed to consumer protection through effective enforcement activities and oversight of California's licensed professionals.

DCA's portfolio of licensing boards, bureaus, committees and commission (boards) expanded this last year with the addition of the Bureau of Medical Cannabis Regulation. The Medical Cannabis Regulation and Safety Act which created the bureau took effect January of 2016. While licensing isn't expected until 2018, the bureau hit the ground running. Bureau chief Lori Ajax was appointed in February and in the spring the bureau conducted nine informational sessions across California. The intent of the meetings was to bring together cannabis business owners, patients, law enforcement, local authorities and the public to introduce the bureau and to learn about the industry. This outreach was unprecedented in state government, but was extremely valuable to the bureau so that the regulations drafted are right for California.

Staying true to DCA's mission of consumer protection, the department set out guidelines for enforcement through the Consumer Protection Enforcement Initiative (CPEI). The intent of the CPEI was to streamline and standardize the complaint intake/analysis process and decrease the average processing time for complaint intake, investigation and prosecution. Acknowledging that several entities lacked incentive to follow the guidelines, Senate Bill 467 (Hill, Chapter 656, Statutes of 2015) was passed and signed into law. This bill further refined DCA's guidelines to improve timelines for case referral, processing and investigations. The provisions of this bill assigned the more complex and urgent cases or complaints that could result in serious patient harm, injury, or death to DCA's Division of Investigation, and routine cases to board enforcement staff.

As you read through each board's section, I welcome your questions and feedback. I look forward to working with DCA's stakeholders and partners to coordinate and continue protecting California's consumers.

Sincerely,  
Awet Kidane, Director

# DCA's Support System

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**The Department of Consumer Affairs' (DCA) boards, bureaus, and other entities are supported by a dedicated and highly skilled staff of legal, technical, and administrative professionals. These professionals provide a wide range of support services, including human resources, information technology, investigations, professional examinations, training, strategic planning, budgeting, and more.**

**Here is an overview of what the various offices and divisions of DCA's support system do for its many boards, bureaus, and other entities.**

**THE OFFICE OF ADMINISTRATIVE SERVICES** provides accounting, business, personnel, and budget services. It consists of Business Services, Human Resources, and Fiscal Operations. Business Services ensures that DCA entities promote sound business decisions and practices in contracting and purchasing goods and services. It also manages DCA's many facilities, vehicle fleet, emergency response, and mailroom and records services. Human Resources provides human resources support for DCA employees. Fiscal Operations provides budget, accounting, and central cashiering services.

**THE OFFICE OF INFORMATION SERVICES** directs and manages information technology for all of DCA. It consists of Application Services, Enterprise Technology Services, Infrastructure Services, Client Services, Enterprise Project Services, and the Information Security Office. Application Services maintains the Consumer Affairs System and the Applicant Tracking System databases that form the core of DCA's business processes. Enterprise Technology Services maintains and supports DCA's UNIX/Wide Area Network as well as the Internet and Intranet sites, and maintains the enterprise architecture. Infrastructure Services maintains the desktop and network services, and phone services. Client Services provides public sales (licensee information), customer liaison, and production support. It includes the Family Support Unit, which maintains systems that involve processing limited license hold issues related to child support systems, and the Service Desk. Enterprise Project Services provides project management assistance, controls agency liaison services, oversees the OIS change management and release process, manages the BreZE project, and is implementing IT Governance. The Office of Information Security establishes the IT security and data privacy policies, maintains the business continuity planning process, investigates IT security breaches, and acts as liaison to the State Information Technology Agency in matters related to the IT security of DCA.

**THE CONSUMER INFORMATION CENTER (CIC)** is DCA's information resource center for consumers and licensees. Through its Call Center and Correspondence Unit, CIC provides consumers and licensees with user-friendly information and identifies for them the government agency or community organization that can best address their needs. CIC phone agents answer calls from consumers and licensees via DCA's toll-free number. Correspondence Unit staff respond to e-mails and letters sent to DCA.

**THE EQUAL EMPLOYMENT OPPORTUNITY OFFICE (EEO)** promotes equal employment opportunity at DCA. The EEO Office also promotes affirmative action for people with disabilities and works to prevent and eliminate discriminatory practices through policy implementation, training, education, and outreach.

## DCA'S SUPPORT SYSTEM

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**THE DIVISION OF INVESTIGATION (DOI)** is the law enforcement and investigative branch of DCA. DOI staff work to provide timely, objective, and cost-effective investigations regarding allegations of misconduct by licensees of client agencies, and to develop information for filing criminal, administrative, and civil actions on behalf of these agencies. DOI field investigations frequently involve allegations of the illegal use and theft of drugs, sexual misconduct, quality-of-care issues, and unlicensed activity. DOI is composed of four units. The **Health Quality Investigation Unit (HQIU)**: On July 1, 2014, HQIU was established under DOI in accordance with SB 304 (Hill, 2013). HQIU provides investigative services to the Medical Board of California, the Physician Assistant Board, and the Board of Podiatric Medicine. The **Investigation and Enforcement Unit's (IEU's)** goal is to protect the health, safety, and welfare of California consumers by conducting investigations and by filing criminal, administrative, and civil actions against subject licensees or unlicensed individuals on behalf of the boards. The **Enforcement Support Unit (ESU)** serves as the lead in DOI's outreach initiative for the boards' enforcement programs. ESU works closely with these boards in providing supplemental investigative services and support to their enforcement programs. The **Special Operations Unit (SOU)** is a specialized law enforcement unit within DOI. Some of the investigative services, training, and program management services provided by SOU include internal affairs investigations on behalf of DCA; background investigations of board, DOI, and DCA staff; program administration for DCA and oversight of the Infraction Citation Authority Program and the Criminal Offender Record Information clearance system; and investigations pursuant to DCA's Workplace Violence Prevention Policy.

**THE LEGAL DIVISION** includes the Legal Office, the Legal Services Unit, and the Administrative Unit. These units provide legal services to DCA's Executive staff and to all DCA entities. The Legal Office serves as in-house counsel for the Director as well as the boards, bureaus, programs, and other entities of DCA. Legal Office lawyers provide legal analysis and opinions on laws, issues, proposed legislation, government contracts, employer-employee matters, the Open Meetings Act, the Public Records Act, and the Information Practices Act. The Legal Services Unit counsels the Director in carrying out the consumer mandates of the Consumer Affairs Act. This unit created and maintains several consumer handbooks and more than 20 consumer-specific legal guides. The Administrative Unit provides in-house counsel to DCA's administrative divisions and Division of Investigation and represents DCA before the State Personnel Board and the Department of Personnel Administration.

**THE LEGISLATIVE AND REGULATORY REVIEW DIVISION** serves as DCA's resource on legislative and regulatory matters, representing DCA's positions on these matters before the Legislature. The Division monitors and analyzes legislative bills on consumer issues, reviews proposed regulation packages, and provides legislative recommendations, technical assistance, and regulatory review to DCA, the Business, Consumer Services and Housing Agency, and the Governor. The Division hosts monthly legislative roundtables to provide an open forum to discuss legislation, including fiscal, legal, and information technology impacts among DCA's various boards and bureaus.

**THE OFFICE OF PROFESSIONAL EXAMINATION SERVICES (OPES)** provides psychometric consulting services for the management of occupational licensure examination programs. OPES' services include occupational analysis, item writing, examination development, standard setting, program evaluation, and statistical analysis of examination performance. OPES follows the highest



technical and professional standards in the industry to ensure that licensing examinations are valid, job-related, and legally defensible. In addition to servicing 30–40 inter-agency contracts with DCA boards, bureaus, and committees, OPES also oversees the master contract for examination administration at computer-based testing centers throughout the state.

**THE OFFICE OF PUBLIC AFFAIRS (OPA)** creates and executes strategic media and communications plans for DCA, proactively provides news media with information of interest to consumers, responds to media inquiries, and alerts media to unlicensed activity sweeps. OPA's videographers assist DCA boards and bureaus with the creation of public service announcements, training, instructional, and consumer videos and with the webcasting of public meetings.

**THE OFFICE OF PUBLICATIONS, DESIGN & EDITING (PDE)/DIGITAL PRINT SERVICES (DPS)** designs, edits, revises, and distributes more than 200 consumer publications, newsletters, and reports produced by DCA's various entities and by its Executive Office. PDE supports DCA's licensees by producing and publishing online newsletters for many of its boards and bureaus, and supports DCA staff by producing *Did You Know?*, the monthly online newsletter for employees. PDE staff also write, edit, design, and distribute DCA's quarterly consumer magazine, *Consumer Connection*. Digital Print Services provides full in-house print shop services, including black-and-white and color digital printing, copying, bindery, and other various services to all of DCA.

**SOLID TRAINING SOLUTIONS** supports the development of all DCA employees through the design, delivery, evaluation, and administration of training and education programs. Its mission is to develop and manage a centralized program for training, education, and human resources development that ensures a skilled workforce that can meet DCA's strategic objectives. In addition, SOLID offers strategic planning assistance and process improvement workshops.

## DCA Leadership



### **AWET KIDANE, Director**

Awet Kidane was appointed Director in July 2014. As Director, he oversees the 40 regulatory entities and other divisions within DCA. Prior to his appointment, he served as Chief Deputy Director of DCA, chief of staff, a senior advisor and a consultant in the State Legislature.



### **JEFFREY MASON, Chief Deputy Director**

Jeffrey Mason was appointed Chief Deputy Director in July 2016. Prior to his appointment, he served as Chief Deputy Commissioner at the California Bureau of Real Estate. He was Chief of the Department of Consumer Affairs' Bureau of Security and Investigative Services from 2010 to 2013; manager of education, training, and client relations at the Lyle Company from 2006 to 2009; and a project manager at InsWeb from 2005 to 2006. Mason was owner and operator of a personal chef service at Jump Shots Coffee from 2003 to 2005, manager of the New Products and Technology Implementation Team at AT&T Wireless Services from 1996 to 2003, and a legislative assistant in the Office of California State Assemblymember Denise Ducheny from 1994 to 1995. He was a legislative advocate at Rees Associates from 1992 to 1994 and served as a legislative clerk in the Office of the California State Assembly Chief Clerk from 1990 to 1992. Mason served as a hospital corpsman in the U.S. Navy from 1981 to 1987.



### **DOREATHEA JOHNSON, Deputy Director, Legal Affairs Division**

Doreathea Johnson was initially appointed Deputy Director of Legal Affairs and General Counsel in 2000 and then reappointed in February 2013. As Deputy Director of Legal Affairs and General Counsel, Doreathea directs the activities of the Department's Legal Affairs Division and advises the Director, the Department's executive staff, and the staff and executive officers of DCA's regulatory entities. Prior to her appointment, Ms. Johnson practiced as Senior Tax Counsel with the California Employment Development Department and as an Administrative Law Judge for the California Unemployment Insurance Appeals Board. Ms. Johnson earned her Juris Doctor degree from the University of California Hastings College of the Law.



### **RYAN MARCROFT, Assistant Chief Counsel, Legal Affairs Division**

Ryan Marcroft was appointed in August 2016. Prior to his appointment at DCA, Ryan was a Deputy Attorney General at the California Department of Justice, Office of the Attorney General, since 2010. He was a Deputy Legal Affairs Secretary in the Office of the Governor from 2006 to 2010 and a staff attorney at the Institute for Administrative Justice, California Parole Advocacy Program, from 2004 to 2006. He earned a Juris Doctor degree from the University of the Pacific, McGeorge School of Law.



**CHRISTINE LALLY, Deputy Director, Board and Bureau Relations**

Christine Lally was appointed Deputy Director of Board and Bureau Relations in June 2013. As Deputy Director of Board and Bureau Relations, Christine serves as DCA's primary liaison between the Business, Consumer Services and Housing Agency and DCA's boards and bureaus. Prior to her appointment, she served as Assistant Secretary of Communications and Legislation at the California Technology Agency. She also served as Deputy Secretary of Legislative Affairs at the California State and Consumer Services Agency, and Director of Government Affairs and Constituency Outreach at the Office of Lieutenant Governor John Garamendi.



**ADAM QUIÑONEZ, Deputy Director, Legislation and Regulatory Review**

Adam Quiñonez was appointed Deputy Director of Legislative and Regulatory Review in July 2016. Prior to his appointment, Adam served as DCA's Assistant Deputy Director of Legislative and Regulatory Review beginning in May 2015. Adam served as a Legislative Manager at the California Employment Development Department. Before that he was a research analyst at the California Workforce Investment Board from 2010 to 2012, where he also served as an associate governmental program analyst from 2009 to 2010 and as a staff services analyst from 2007 to 2009.



**VERONICA HARMS, Deputy Director, Communications Division**

Veronica Harms was appointed Deputy Director of Communications in February 2016. As Deputy Director of Communications, Veronica oversees the offices of Public Affairs and Publications, Design and Editing, Digital Print Services. Prior to her appointment, Veronica worked at the California State Senate Democratic Caucus, where she served as consultant and media specialist since 2012. She held multiple positions at Ogilvy Public Relations from 2007 to 2012, including senior account executive and account supervisor. She held multiple positions at KCRA-TV from 2004 to 2007, including national sales assistant, local sales assistant, and account executive and was a local sales assistant at KOVR-TV in 2003. She earned a Bachelor of Arts degree in Communications from the University of California, Davis, and Master of Business Administration degree from California State University, Sacramento.



**TONYA CORCORAN, Deputy Director, Administrative Services**

Tonya Corcoran was appointed Deputy Director of Administrative Services in June 2014. As Deputy Director of Administrative Services, Tonya oversees DCA's Office of Administrative Services, which covers many vital operations including human resources, fiscal operations, and business services functions. She joined DCA in 1989, and has served as its Labor Relations Officer, Bureau Chief for Hearing Aid Dispensers, Naturopathic Medicine, Telephone Medical Advice Services, and the Bureau of Electronic Appliance Repair and Home Furnishing and Thermal Insulation. Most recently, Tonya served as Deputy Chief of the Bureau of Automotive Repair.

## DCA LEADERSHIP

**JASON PICCIONE**, *Deputy Director, Office of Information Services*

Jason Piccione was appointed Deputy Director of Information Services by the DCA Director in November 2015. As Chief Information Officer, he oversees the Department's Office of Information Services (OIS) as well as sets IT direction and coordinates infrastructure and service delivery across the Department. Prior to being appointed, Jason served as the Department's Chief Technology Officer. He has held various positions at the Bureau for Private Postsecondary Education and the Contractors State Licensing Board. Jason has worked more than 15 years in public service. He obtained a Bachelor's Degree from the University of California, Davis.

**TRACY MONTEZ**, *Chief, Division of Programs and Policy Review*

Tracy Montez was appointed the role of Chief of Programs and Policy Review by the DCA Director in February 2016. As Chief of Division of Programs and Policy Review, Tracy oversees a number of units within DCA, including the Office of Professional Examination Services (OPES) and the Consumer Information Center. Prior to her appointment, Tracy served as the Branch Administrator for the District, School, and Innovation Branch in the Deputy Superintendent's Office within the California Department of Education. She has more than 20 years of experience providing assessment and regulatory expertise to city, state, and national organizations. Previously, she held the position of Chief at the DCA's OPES and served as an independent consultant, contracting with several boards under DCA. Tracy holds a Doctorate of Philosophy in Industrial and Organizational Psychology from Kansas State University.

**DAVID M. CHRISS**, *Chief, Division of Investigation*

David M. Chriss was appointed the role of Chief of the Division of Investigation by the DCA Director in January 2016. Since 2012, he had served as Deputy Chief for the Division. With more than 27 years of investigative and managerial law enforcement experience, David has held various law enforcement positions throughout his career including Deputy Inspector General, Senior for the Office of the Inspector General, Supervising Investigator, Senior Special Investigator, and Investigator. He also served as a Police Officer, Police Corporal, and Police Sergeant for the Lincoln Police Department.

# Overview of Statistical Information

DCA's regulatory boards and bureaus protect the public by licensing, registering, certifying, or approving individuals or businesses in various professions. These entities also investigate complaints and discipline license holders who violate practice requirements.

In this *Annual Report*, each DCA entity notes its major accomplishments for the 2015–2016 fiscal year, and new laws or regulations from the 2015–2016 fiscal year extending through 2016 calendar year. Tables that follow summarize the licensing and enforcement activities of each entity. Boards and bureaus vary in the data they report because they are governed by different laws. Therefore, not all categories of data apply to all programs. If a particular category does not apply, the table will indicate that.

Here is an overview of the information included.

## STAFF

The number of civil service positions and the number of exempt positions approved in the State budget (including vacancies). Exempt employees are executive-level personnel (usually an executive officer or a bureau chief) appointed by the board, the DCA Director, or the Governor.

## BOARD MEMBERSHIP

Boards are semiautonomous entities. Members of a board are usually split between people representing the profession and people representing the public. Board members are appointed by the Governor, the Senate Rules Committee, or the Speaker of the Assembly. The number of board members, who they represent, and who appoints them is set in state law. Membership on a DCA board is not a salaried position. Those who serve receive a small stipend and travel expenses to attend meetings.

## BUREAU ADVISORY COMMITTEE MEMBERSHIP

Bureaus, committees, programs, and commissions are under the direct control of DCA, but may have an advisory committee made up of professionals and public members.

## STRATEGIC PLAN

Each DCA entity is expected to have its own strategic plan that outlines its mission, vision, and goals.

## LICENSES

The total number of licenses, permits, certificates, and approvals granted by each entity during the fiscal year. Totals for each type of license or permit can be found in the Summary of Licensing Activity.

## FEES

List of most associated fees.

## SUMMARY OF LICENSING ACTIVITY

### INITIAL LICENSES/CERTIFICATES/PERMITS

The number of initial applications, the number of licenses issued, and the number of licenses renewed.

### LICENSING POPULATION BY TYPE

How many licenses, certificates, or permits each entity has at the end of the fiscal year. Listed by type.

### RENEWAL AND CONTINUING EDUCATION

How often a license must be renewed and how many hours of continuing education, if any, are required for renewal.

### EXAM RESULTS

The number of candidates who passed or failed an exam for licensure.



## OVERVIEW OF STATISTICAL INFORMATION (CONTINUED)

**SUMMARY OF ENFORCEMENT ACTIVITY****CONSUMER COMPLAINTS—INTAKE**

These include complaints from the public, government, law enforcement, licensed professional groups, internal, others, or anonymous. A complaint is defined as, “an allegation or inquiry from any source indicating a probable violation of any law, rule, or order of any regulatory agency, including violations of the Business and Professions Code relating to businesses and professions licensed by any agency of the Department of Consumer Affairs.”

**CONVICTION/ARREST NOTIFICATION COMPLAINTS**

Convictions, applicant-reported convictions, or subsequent arrests are listed. “Pending” includes all conviction/arrest notification complaints pending at the end of the fiscal year regardless of the date the complaint was received.

**INSPECTIONS (IF APPLICABLE)**

The total number of enforcement inspections conducted during the fiscal year. The total includes initial, routine, complaint-driven, and follow-up inspections and the number of citations issued as a result of an inspection.

**INVESTIGATIONS**

An investigation is defined as the collection and verification of facts to determine jurisdiction and potential violations of law. Investigations begin as soon as they are assigned. The number reported includes desk investigations and field investigations.

**NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS**

The timeline begins from the date the complaint was received to the date the investigation was closed, plus the average number of days to complete intake and investigation.

**CITATIONS AND FINES**

These numbers show citations issued with or without an administrative fine, and citations withdrawn or dismissed. The average refers to the average number of days from the date the complaint was received to the date a citation was issued.

**TOTAL AMOUNT OF FINES**

Amount assessed, reduced, and collected.

**CRIMINAL/CIVIL ACTIONS**

These are referrals to or filings by a district attorney or city attorney for criminal or civil action. These may include misdemeanor citations. A referral may be made both to the district attorney and the Office of the Attorney General and will be reflected in both categories.

**OFFICE OF THE ATTORNEY GENERAL/DISCIPLINARY ACTIONS**

Complaints and investigations in which the evidence and facts have substantiated a violation of the law are referred to the Attorney General for disciplinary action. Outcomes of disciplinary action may affect the status of a professional's license.

**NUMBER OF DAYS TO COMPLETE ATTORNEY GENERAL (AG) CASES**

This table refers to the number of closed cases in the previous table. The timeline covers the date the complaint was received to the date the order became effective.

**FORMAL ACTIONS FILED/WITHDRAWN/DISMISSED**

All actions filed, withdrawn, or dismissed during the year.

**ADMINISTRATIVE OUTCOMES/FINAL ORDERS**

Disciplinary action taken in the cases closed by the Attorney General.

**PETITION FOR MODIFICATION OR TERMINATION OF PROBATION**

Outcome of petitions by licensees to reduce terms and conditions of probation or to terminate probation early.

**PETITION FOR REINSTATEMENT OF REVOKED LICENSE/REGISTRATION/CERTIFICATION**

The outcome of those requests.

**COST RECOVERY TO DCA**

Total dollar amount of administrative costs ordered repaid to DCA. Costs ordered may never be received.

**CONSUMER RESTITUTION TO CONSUMERS/ REFUNDS/SAVINGS (IF APPLICABLE)**

The total of court-ordered restitution to consumers as a result of mediation efforts. In some instances, services were performed without charge to the consumer, a consumer's bill was reduced, or a product was returned or exchanged.

## CALIFORNIA BOARD OF ACCOUNTANCY

# Accountancy

Licenses and regulates accountants and accountancy firms.

## STAFF:

**94.5 civil service positions**  
**2 exempt**

## LICENSEES:

**100,736**

## BOARD MEMBERSHIP:

**8 public representatives**  
**7 licensees**

## BOARD STAFF:

**Executive Officer:**

**Patti Bowers**

patti.bowers@cba.ca.gov

**Assistant Executive Officer:**

**Deanne Pearce**

deanne.pearce@cba.ca.gov

## STRATEGIC PLAN ADOPTED:

**2015**

[www.dca.ca.gov/cba](http://www.dca.ca.gov/cba)



## LAWS AND REGULATIONS:

**Business and Professions Code §§ 5000 – 5158**

**Title 16, Division 1, California Code of Regulations §§ 1 – 99.1**

## SUNSET REVIEW:

**Last review: 2015**

**Inoperative/Repeal date: January 1, 2020**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>ALICIA BERHOW (VICE PRESIDENT)</b>	JANUARY 1, 2019	Assembly/Public	NO
<b>JOSE A. CAMPOS, CPA</b>	NOVEMBER 26, 2019	Governor/Professional	NO
<b>GEORGE FAMALLET, CPA</b>	JANUARY 1, 2019	Governor/Professional	NO
<b>LAURENCE KAPLAN</b>	JANUARY 1, 2017	Assembly/Public	NO
<b>KAY KO</b>	NOVEMBER 26, 2016	Governor/Public	NO
<b>LESLIE LAMANNA, CPA</b>	JANUARY 1, 2016	Governor/Professional	NO
<b>XOCHITL A. LEÓN</b>	JANUARY 1, 2019	Senate/Public	NO
<b>JIAN OU-YANG, CPA</b>	NOVEMBER 26, 2017	Governor/Professional	NO
<b>DEIDRE ROBINSON</b>	NOVEMBER 26, 2018	Governor/Public	NO
<b>KATRINA L. SALAZAR, CPA (PRESIDENT)</b>	NOVEMBER 26, 2019	Governor/Professional	NO
<b>MICHAEL M. SAVOY, CPA (SECRETARY/TREASURER)</b>	NOVEMBER 26, 2018	Governor/Professional	NO
<b>MARK J. SILVERMAN, ESQ.</b>	JANUARY 1, 2018	Governor/Public	NO
<b>KATHLEEN K. WRIGHT, CPA</b>	NOVEMBER 26, 2017	Governor/Professional	NO
<b>KARRIANN FARRELL HINDS, ESQ.</b>	JANUARY 1, 2019	Governor/Public	NO
<b>VACANT</b>		Senate/Public	NO

**RECIPROCITY (BPC §§ 5096 – 5096.21)**

Currently, certified public accountants licensed in jurisdictions recognized by the Board as having “substantially equivalent” licensure standards may practice in California under the Board’s “practice privilege” criteria without

## CALIFORNIA BOARD OF ACCOUNTANCY

## CONTINUED

prior authorization from the Board as long as the individual meets certain requirements. All practice privilege holders must self-report any change in conditions that can be disqualifying. This law sunsets on January 1, 2019, and, if not extended, will revert to the prior practice privilege program with its notice and fee provisions.

**MAJOR ACCOMPLISHMENTS**

- Completed the notification process for licensees for whom an electronic record of the licensee's fingerprints did not exist in the Department of Justice criminal offender record information database. The notification directed them to submit fingerprints and undergo a state and federal level criminal offender record information search when renewing their license in an active status.
- Took action on 82 disciplinary matters, which represents a 32 percent increase over the prior fiscal year.
- Conducted a study of California's attest experience requirement for CPA licensure. The study involved surveying a wide range of groups in California and included a national survey of other state boards of accountancy in the remaining 54 jurisdictions. In March 2016, the CBA reviewed the final report of the study, which included comments and feedback from 10,163 stakeholders. Following an in-depth review and discussion, the CBA concluded that the current 500-hour attest experience requirement for CPA licensure was necessary and sufficient in supporting the CBA's mission to protect consumers.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- **Experience in Academia:** This regulation, effective January 1, 2016, establishes guidelines for experience in academia to qualify as general accounting experience for licensure as authorized by Business and Professions Code Section 5093(d)(3). Specifically, this regulatory proposal would equate 48 semester units of instruction, or its equivalent in quarter units, to one year of general accounting experience.
- **Continuing Education for Licensure and Reissuance:** This regulation, effective October 1, 2015, would allow time for the CBA to notify an applicant who applies for licensure with experience obtained five or more years prior of the continuing education (CE) requirement and would allow time for the applicant to complete it. Previously, 80 hours of CE had to be completed in the two years prior to receipt of the application. The amendment to this regulation allows applicants to complete specified CE in the two years preceding "approval" of the application by the CBA.
- **Disciplinary Guidelines and Model Orders:** This regulation, effective October 1, 2015, provides CBA staff and Administrative Law Judges updated Disciplinary Guidelines and Model Orders, including factors to be considered in aggravation and mitigation, standard probationary terms, and guidelines for specific offenses. The guidelines for specific offenses are referenced to the statutory and regulatory provisions violated.
- **Practice Privilege Notification of Pending Criminal Charges Form:** This regulation, effective October 1, 2015, incorporates a Practice Privilege Notification of Pending Criminal Charges Form. This form is required to be completed by individuals practicing in California under a practice privilege who attain pending criminal charges. The form must be submitted to the CBA within 30 days and may be used to initiate an investigation regarding the criminal charges. The CBA will use the information obtained during the investigation to determine whether action is needed to assist the CBA in meeting its mission of consumer protection.



CONTINUED

- AB 181, Committee on Business, Professions, and Economic Development (Chapter 430, Statutes of 2015), clarifies the restoration requirements of a license placed in retired status to reference existing law that states that a canceled license may not be restored, renewed, or reinstated. For out-of-state license applicants, the bill recast and strengthened the requirements regarding license status from "valid and unrevoked" to "current, active, and unrestricted." In addition, clarified that those authorized to practice with a practice privilege may use the CPA designation in California.
- AB 1352, Eggman (Chapter 646, Statutes of 2015), requires the court to permit a defendant who was granted deferred entry of judgment (DEJ) on or after January 1, 1997; who has performed satisfactorily during the period in which DEJ was granted; and for whom the criminal charge or charges were dismissed to withdraw his or her plea and enter a plea of not guilty.
- SB 467, Hill (Chapter 656, Statutes of 2015), extends the CBA's sunset date from January 1, 2016, to January 1, 2020, and contains a provision to allow the CBA to include permanent practice restrictions as part of a disciplinary order.
- SB 560, Monning (Chapter 389, Statutes of 2015), requires a licensing board to provide personal information regarding licensees to the Employment Development Department upon request.
- AB 2560 (Obernolte, Chapter 302, Statutes of 2016) will authorize the Board to expedite the rulemaking process for removing out-of-state licensees from the Board's practice privilege program.
- SB 1479 (Committee on Business, Professions and Economic Development, Chapter 634, Statutes of 2016) will amend the Board's ethics study education requirements for Certified Public Accountant licensure to provide a level of flexibility by changing the current course title requirement to a subject requirement.

**FEES\***

Certified Public Accountant Fees	Actual Fee	Statutory Limit
CPA EXAMINATION APPLICATION FEE	\$100 (\$50 reapplication)	\$600
NATIONAL ASSOCIATION OF STATE BOARDS OF ACCOUNTANCY (NASBA) UNIFORM CPA EXAM FEE	\$734.10	Set by NASBA
CPA CERTIFICATE APPLICATION FEE	\$250 (individual) \$150 (firm)	\$250
INITIAL LICENSE FEE	\$120	\$250
<b>TOTAL INITIAL FEES</b>	<b>\$1,204.10 (individual) \$270 (firm)</b>	—
BIENNIAL RENEWAL FEE	\$120	\$250

\* Some additional fees may be required per Business and Professions Code § 5134.

**Fee Changes**

On July 1, 2016, as required by California Board of Accountancy regulation, fees returned to the levels that existed prior to the reduction that was effective July 1, 2014.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
INDIVIDUAL	<b>3,725</b>	<b>3,470</b>	<b>41,624</b>
PUBLIC ACCOUNTANT	<b>0</b>	<b>0</b>	<b>5</b>
CORPORATION	<b>270</b>	<b>228</b>	<b>1,791</b>
PARTNERSHIP	<b>87</b>	<b>72</b>	<b>671</b>
FICTITIOUS NAME PERMIT	<b>157</b>	<b>132</b>	<b>270</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
INDIVIDUAL	<b>N/A</b>	<b>94,799</b>	<b>N/A</b>
PUBLIC ACCOUNTANT	<b>N/A</b>	<b>53</b>	<b>N/A</b>
CORPORATION	<b>N/A</b>	<b>4,364</b>	<b>N/A</b>
PARTNERSHIP	<b>N/A</b>	<b>1,520</b>	<b>N/A</b>
FICTITIOUS NAME PERMIT	<b>2,082</b>	<b>N/A</b>	<b>N/A</b>

## CALIFORNIA BOARD OF ACCOUNTANCY

## Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
CPA - INDIVIDUAL	<b>EVERY 2 YEARS</b>	<b>80</b>
PA - PUBLIC ACCOUNTANT	<b>EVERY 2 YEARS</b>	<b>80</b>
CPA - CORPORATION	<b>EVERY 2 YEARS</b>	<b>N/A</b>
CPA - PARTNERSHIP	<b>EVERY 2 YEARS</b>	<b>N/A</b>
CPA - FICTITIOUS NAME PERMIT	<b>EVERY 5 YEARS</b>	<b>N/A</b>

Exams		
PASS	FAIL	TOTAL
<b>3,244*</b>	<b>N/A</b>	<b>N/A</b>

\* This number represents the total number of candidates who passed the final section of the Uniform CPA Examination (CPA Exam). The CPA Exam consists of four sections—Auditing and Attestation, Business Environment and Concepts, Financial Accounting and Reporting, and Regulation. The CPA Exam is computerized and candidates sit for each section individually. Once a candidate successfully completes one section of the CPA Exam, the candidate must pass the remaining sections of the CPA Exam within 18 months to pass the CPA Exam. The California Board of Accountancy does not currently have the capability to provide the number of candidates who failed the CPA Exam during 2015-16.

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>2,035</b>	RECEIVED
<b>303</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>1,738</b>	REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>698</b>	RECEIVED
<b>698</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

Inspections	
	<b>N/A</b>

Investigations	
<b>2,040</b>	OPENED
<b>2,150</b>	CLOSED
<b>1,056</b>	PENDING

## Summary of Enforcement Activity continued

Number of Days to Complete Intake and Investigations	
<b>1,041</b>	UP TO 90 DAYS
<b>379</b>	91 TO 180 DAYS
<b>444</b>	181 DAYS TO 1 YEAR
<b>188</b>	1 TO 2 YEARS
<b>94</b>	2 TO 3 YEARS
<b>4</b>	OVER 3 YEARS
<b>177</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>256</b>	ISSUED
<b>256</b>	ISSUED WITH A FINE
<b>51</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>147</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$100,450</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$65,067</b>	COLLECTED

Criminal/Civil Actions	
<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>105</b>	CASES OPENED/INITIATED
<b>83</b>	CASES CLOSED
<b>115</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>1</b>	1 YEAR
<b>29</b>	1 TO 2 YEARS
<b>27</b>	2 TO 3 YEARS
<b>19</b>	3 TO 4 YEARS
<b>7</b>	OVER 4 YEARS
<b>807</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## CALIFORNIA BOARD OF ACCOUNTANCY

## Formal Actions Filed/Withdrawn/Dismissed

<b>2</b>	STATEMENTS OF ISSUES FILED
<b>90</b>	ACCUSATIONS FILED
<b>2</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>3</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes/Final Orders

<b>2</b>	LICENSE APPLICATIONS DENIED
<b>24</b>	REVOCATION
<b>16</b>	SURRENDER OF LICENSE
<b>1</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>40</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

<b>5</b>	TOTAL NUMBER FILED
----------	--------------------

Subsequent Disciplinary—Administrative Outcomes/  
Final Orders

<b>1</b>	REVOCATION
<b>1</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>2</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>5</b>	GRANTED
<b>1</b>	DENIED
<b>6</b>	TOTAL

Petition for Reinstatement of Revoked License/  
Registration/Certification

<b>4</b>	GRANTED
<b>2</b>	DENIED
<b>6</b>	TOTAL

## Cost Recovery to DCA

<b>\$376,930</b>	ORDERED
<b>\$338,963</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>4</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>183</b>	AVERAGE NUMBER OF DAYS
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Investigations: Closure of Investigation to Imposing  
Formal Discipline

<b>438</b>	AVERAGE NUMBER OF DAYS
------------	------------------------

## CALIFORNIA ACUPUNCTURE BOARD

# Acupuncture

Licenses and regulates acupuncturists and acupuncture schools.

## STAFF:

**10.5 civil service positions**  
**1 exempt**

## LICENSES:

**16,126**

## BOARD MEMBERSHIP:

**2 licensees**  
**4 public members**

## BOARD STAFF:

**Executive Officer:**  
**Ben Bodea**  
ben.bodea@dca.ca.gov

## STRATEGIC PLAN ADOPTED:

**2013**

[www.acupuncture.ca.gov](http://www.acupuncture.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 4925 – 4979**

**Title 16, Division 13.7, California Code of Regulations §§ 1399.400 – 1399.489.2**

## SUNSET REVIEW:

**Last review: 2016**

**Inoperative/Repeal date: January 1, 2019**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>HILDEGARDE BELLO AGUINALDO (PRESIDENT)</b>	JUNE 1, 2017	Governor/Public	YES
<b>KITMAN CHAN</b>	JUNE 1, 2017	Governor/Public	YES
<b>MICHAEL CORRADINO</b>	JUNE 1, 2017	Governor/Professional	YES
<b>FRANCISCO HSIEH</b>	JUNE 1, 2017	Speaker of the Assembly/Public	N/A
<b>JEANNIE KANG</b>	JUNE 1, 2017	Governor/Professional	YES
<b>JAMIE ZAMORA (VICE PRESIDENT)</b>	JUNE 1, 2017	Senate Rules Committee/Public	N/A
<b>VACANT</b>		Governor/Professional	YES

## RECIPROCITY

The California Acupuncture Board does not accept licensure from other states, nor does it accept for licensure those individuals who take and pass the national examination (administered by the National Certification Commission for Acupuncture and Oriental Medicine).

## MAJOR ACCOMPLISHMENTS

- Significantly shortened timeframes for processing complaints, investigations, and probation, bringing the Board in line with its targets. With the Board working through cases more quickly, violations and noncompliance are addressed faster, ensuring rapid attention to consumer safety.
- Implemented working relationships with the Bureau for Private Postsecondary Education (BPPE) and the Accreditation Commission for

## CONTINUED

Acupuncture and Oriental Medicine (ACAOM). SB 1246 changes the Acupuncture Training Program approval process beginning in 2017. The new process now requires approval from three organizations. The Board has worked closely with both BPPE and ACAOM to establish processes and protocols that will support the Board's ability to ensure minimum entry level competency training for licensure at approved acupuncture training programs. This improves the Board's ability to meet its mandate of consumer protection and improves the quality of training a potential licensee receives.

- Audited the National Certification Commission for Acupuncture and Oriental Medicine (NCCAOM) examination and Board decision to move to requiring the four modules of the NCCAOM along with a California Supplemental. The Board requested that the Department of Consumer Affairs' Office of Professional Examination Services (OPES) complete a comprehensive review of the NCCAOM examination. The examination consists of four tests: Foundations of Oriental Medicine, Biomedicine, Acupuncture with Point Location, and Chinese Herbology. The purpose of the OPES review was to evaluate the suitability of the NCCAOM examinations as part of the requirements for licensure as an acupuncturist in California. This review was conducted jointly by OPES staff and two psychometric experts working as independent consultants.

After reviewing the audit report, the Board established conditions that would allow it to work with OPES and NCCAOM to develop by 2019 a licensure route that would require the four modules offered by the NCCAOM along with a supplemental exam for California-specific requirements provided by the Board through OPES. The Board deliberated extensively to ensure all of California's high standards for minimum-level competency will be met.

- Began running out-of-state applicants through the National Practitioners Database as a condition of licensure. This is an extra level of protection for California consumers that identifies any applicants who may not have a Record of Arrests and

Prosecutions sheet through Department of Justice/FBI databases, but may still have relevant discipline, specifically pertaining to their healthcare license.

## MAJOR NEW LEGISLATION OR REGULATIONS

*(Extends through the 2016 calendar year)*

- The new Consumer Protection Enforcement Initiative regulations became effective on October 1, 2015. This was a significant regulatory change for the Board that brought several new improvements and tools to the Board's enforcement unit that will further protect the California consumer. One big change was that, for any individual registered as a sex offender, the Board shall deny an acupuncture license to the individual, revoke the license of the individual, and deny a petition for reinstatement or reissuance. The new regulations also indicate that an individual failing to provide requested copies of documents within 15 days of receipt of the request or within the time specified in the request, whichever is the later, is guilty of unprofessional conduct. It also states that failing to cooperate and participate in any Board investigation pending against the licensee is also considered unprofessional conduct. Finally, the new regulations set out new reporting requirements that require licensees to report the following:

1. An indictment or information charging a felony
2. An arrest
3. The conviction, including any verdict of guilty, or pleas of guilty or no contest, of any felony or misdemeanor
4. Any disciplinary action taken by another licensing entity or authority of this state or of another state or an agency of the federal government or the U.S. military

The Board is moving through the rulemaking process with additional regulations to strengthen its enforcement capacity by adding the Uniform Standards Related to Substance Abusing Licensees to the Board's Disciplinary Guidelines, updating its Conditions of Office to address prostitution and other sexual acts occurring on the licensee's business premises, and beginning discussions on an ethics requirement for licensee continuing education.

## CALIFORNIA ACUPUNCTURE BOARD

CONTINUED

- AB 2190 (Salas, Chapter 667, Statutes of 2016) will extend the operation of the Board and the Board's authority to appoint an executive officer until January 1, 2019, and will authorize the Board to require an applicant who completed education outside of the United States to submit documentation of his or her education to a credential service approved by the Board to assess the educational equivalency of the applicant, and require the Board to establish by regulation an application process, criteria, and procedures for the approval of a credential evaluation service.

## FEES\*

Acupuncturist	Actual Fee	Statutory Limit
APPLICATION FEE	\$75	\$75
EXAMINATION FEE	\$550	Actual cost to Board
INITIAL LICENSE FEE	\$176 – \$325**	\$325
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$801 – \$950</b>	—
BIENNIAL RENEWAL FEE	\$325	\$325
DELINQUENT LICENSEE FEE	\$25	\$25
DUPLICATE WALL LICENSE	\$15	\$15
DUPLICATE POCKET LICENSE	\$10	\$10
ENDORSEMENT	\$10	\$10
CEU PROVIDER FEE	\$150	\$150
ACUPUNCTURE SUPERVISOR FEE	\$200	\$200
SUPERVISOR RENEWAL FEE	\$50	\$50
TRAINEE APPLICATION FEE	\$25	\$25
TRAINEE RENEWAL FEE	\$10	\$10
SCHOOL APPLICATION FEE***	\$1,500	\$3,000
TRAINEE DELINQUENT FEE (50% OF TRAINEE RENEWAL FEE)	\$5	\$5
SCHOOL INSPECTION FEE***	VARIES	Varies

\* Some additional fees may be required per Business and Professions Code § 4970.

\*\* There is a range listed since initial certification fee is pro-rated depending on an applicant's birth date, as per CCR § 1399.460(b).

\*\*\* This fee will no longer be assessed beginning January 1, 2017.

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ACUPUNCTURE LICENSE	<b>523</b>	<b>518</b>	<b>5,645</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ACUPUNCTURE LICENSE	<b>N/A</b>	<b>16,126</b>	<b>N/A</b>
ACUPUNCTURE SCHOOLS	<b>N/A</b>	<b>N/A</b>	<b>34</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
ACUPUNCTURE LICENSE	<b>EVERY 2 YEARS</b>	<b>50</b>

Exams		
PASS	FAIL	TOTAL
<b>514</b>	<b>420</b>	<b>934</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>208</b>	RECEIVED
<b>8</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>199</b>	REFERRED FOR INVESTIGATION
<b>1</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>121</b>	RECEIVED
<b>124</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>1</b>	PENDING

Inspections	
	<b>N/A</b>

Investigations	
<b>321</b>	OPENED
<b>353</b>	CLOSED
<b>171</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>148</b>	UP TO 90 DAYS
<b>50</b>	91 TO 180 DAYS
<b>62</b>	181 DAYS TO 1 YEAR
<b>79</b>	1 TO 2 YEARS
<b>12</b>	2 TO 3 YEARS
<b>2</b>	OVER 3 YEARS
<b>224</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>107</b>	ISSUED
<b>105</b>	ISSUED WITH A FINE
<b>2</b>	WITHDRAWN
<b>7</b>	DISMISSED
<b>224</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$88,450</b>	ASSESSED
<b>\$15,550</b>	REDUCED
<b>\$58,050</b>	COLLECTED

Criminal/Civil Actions	
<b>5</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>1</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>25</b>	CASES OPENED/INITIATED
<b>27</b>	CASES CLOSED
<b>24</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>3</b>	1 YEAR
<b>3</b>	1 TO 2 YEARS
<b>6</b>	2 TO 3 YEARS
<b>7</b>	3 TO 4 YEARS
<b>8</b>	OVER 4 YEARS
<b>120</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>2</b>	STATEMENTS OF ISSUES FILED
<b>23</b>	ACCUSATIONS FILED
<b>2</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>1</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
<b>5</b>	LICENSE APPLICATIONS DENIED
<b>5</b>	REVOCATION
<b>6</b>	SURRENDER OF LICENSE
<b>2</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>12</b>	PROBATION ONLY
<b>2</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## CALIFORNIA ACUPUNCTURE BOARD

## Summary of Enforcement Activity continued

## Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

<b>1</b>	TOTAL NUMBER FILED
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## Subsequent Disciplinary — Administrative Outcomes/ Final Orders

<b>0</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>1</b>	OTHER DECISIONS

## Petition for Penalty Relief

<b>1</b>	GRANTED
<b>1</b>	DENIED
<b>2</b>	TOTAL

## Petition for Reinstatement of Revoked License/ Registration/Certification

<b>0</b>	GRANTED
<b>1</b>	DENIED
<b>1</b>	TOTAL

## Cost Recovery to DCA

<b>\$212,524</b>	ORDERED .
<b>\$61,662.60</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>0</b>	RESTITUTION ORDERED
<b>0</b>	AMOUNT REFUNDED
<b>0</b>	REWORK AT NO CHARGE
<b>0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>5</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>240</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Closure of Investigation to Imposing Formal Discipline

<b>676</b>	AVERAGE NUMBER OF DAYS
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## ARBITRATION CERTIFICATION PROGRAM

# Arbitration Certification

Certifies and monitors third-party arbitration programs of participating automobile manufacturers to ensure that they comply with California law on new vehicle warranties and state-certified arbitration programs.

## STAFF:

**8 civil service positions**

## CERTIFICATIONS:

**24**

## BOARD STAFF:

## Program Chief:

**Sherrie Moffet-Bell**

sherrie.moffet-bell@dca.ca.gov

## Deputy Program Chief:

**José Escobar**

jose.escobar@dca.ca.gov

## STRATEGIC PLAN ADOPTED:

**2014**

[www.dca.ca.gov/acp](http://www.dca.ca.gov/acp)  
[www.LemonLaw.ca.gov](http://www.LemonLaw.ca.gov)



## LAWS AND REGULATIONS:

**Business and Profession Code §§ 472 – 472.5**

**Commercial Code Division 2 commencing with § 2101**

**Health and Safety Code §§ 43204 – 43205.5**

**Song-Beverly Consumer Warranty Act (Lemon Law): Civil Code §§ 1790 – 1795.8**

**Vehicle Code Chapter 4 of Division 5 commencing with § 11700**

**Title 16, Division 33.1, California Code of Regulations §§ 3396.1 – 3399.6**

## SUNSET REVIEW:

**Pursuant to Business and Professions Code § 472.4, the Arbitration Certification Program is required to submit a biennial report to the Legislature evaluating the effectiveness of the Certification of Third-Party Dispute Resolution Processes for New Motor Vehicles. The report is due in 2017.**

## STATE CERTIFICATION STATISTICS

The Arbitration Certification Program has approved arbitration programs to provide third-party arbitration services for participating automobile manufacturers. These manufacturers represent most of the major car brands, with the exception of Acura, Daewoo, Daihatsu, Honda, Lexus, Mercedes-Benz, Mitsubishi, Peugeot, Subaru, Suzuki, and Volvo.

## MAJOR ACCOMPLISHMENTS

- Approved the joint certification of Fiat Chrysler Automobile (FCA US LLC) and National Center for Dispute Settlement (NCDS) to operate in the California Dispute Settlement Program (CDSP).
- Re-engineered its Consumer Satisfaction Survey to better gauge satisfaction with automotive manufacturers, arbitration programs, and consumers' vehicles. The annual Consumer Satisfaction Survey is mandated by the Legislature and was revamped to evaluate and compile better response statistics from consumers by using a pre- and post-survey methodology.
- Successfully facilitated the return of \$12.5 million to consumers in the form of refunds, replacement vehicles, extended service contracts, and repairs awarded by state-certified arbitration programs.

## ARBITRATION CERTIFICATION PROGRAM

## FEES

The Arbitration Certification Program's operating expenses are generated by a fee of up to \$1 for each motor vehicle sold or leased by manufacturers in California. These fees are collected by the New Motor Vehicle Board within the Department of Motor Vehicles, and deposited into the Certification Account.

## Summary of Licensing Activity

## Initial Licenses/Certificates/Permits Received, Issued, and Renewed this Fiscal Year

LICENSE NAME	APPS RECEIVED	ISSUED	RENEWED
CERTIFICATION OF ARBITRATION PROGRAM	1	0	N/A

## Active Licenses by License Category

LICENSE NAME	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
CERTIFICATION OF ARBITRATION PROGRAM	24	N/A	N/A

## License Renewal and Continuing Education (CE)

LICENSE NAME	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
		N/A

## Summary of Enforcement Activity

## Complaints

97	TOTAL COMPLAINTS RECEIVED
97	COMPLAINTS CLOSED
22	COMPLAINTS REFERRED FOR INVESTIGATION
0	COMPLAINTS PENDING

## Convictions and Arrest Notifications

N/A
-----

## Investigations

N/A
-----

## Formal Actions Filed

N/A
-----

## Citations and Fines

N/A
-----

## Inspections/Audits

8	TOTAL NUMBER OF INSPECTIONS
0	INSPECTION CITATIONS ISSUED

## Petition for Modification or Termination of Probation

N/A
-----

## Petition for Reinstatement of Revoked License/Registration/Certification

N/A
-----

## Administrative Outcomes/Final Orders

N/A
-----

## Cost Recovery

N/A	AMOUNT OF ORDERED TO DCA
N/A	TOTAL AMOUNT COLLECTED
N/A	AMOUNT OF COURT ORDERED RESTITUTION TO CONSUMERS
\$12,500,000	AMOUNT REFUNDED TO CONSUMERS
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$12,500,000	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Timeline for Disposition of Investigations

N/A
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## Receipt of Complaint to Assignment to Investigator

0	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

0	AVERAGE NUMBER OF DAYS
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## Investigations: Closure of Investigation to Imposing Formal Discipline

0	AVERAGE NUMBER OF DAYS
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## CALIFORNIA ARCHITECTS BOARD

# Architects

Licenses and regulates more than 20,000 architects responsible for designing billions of dollars' worth of structures throughout the state. The Board's regulation of the practice of architecture protects consumers of architectural services, as well as those who inhabit or use the designed structures.

## STAFF:

**23.9 civil service positions**  
**1 exempt**

## LICENSES:

**20,914**

## BOARD STAFF:

**Executive Officer:**

**Doug McCauley**

doug.mccauley@dca.ca.gov

**Assistant Executive Officer:**

**Vickie Mayer**

vickie.mayer@dca.ca.gov

## BOARD MEMBERSHIP:

**5 licensees**

**5 public representatives**

## STRATEGIC PLAN ADOPTED:

**2015**

[www.cab.ca.gov](http://www.cab.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 5500 – 5683**

**Title 16, Division 2, California Code of Regulations §§ 100 – 160**

**Title 16, Division 26, California Code of Regulations §§ 2602 – 2680**

## SUNSET REVIEW:

**Last review: 2014/15**

**Inoperative/Repeal date: January 1, 2020**

## BOARD MEMBERS

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>JON BAKER (PRESIDENT)</b>	JUNE 30, 2017	Governor/Professional	NO
<b>DENISE CAMPOS</b>	JUNE 30, 2018	Senate/Public	NO
<b>TIAN FENG</b>	JUNE 30, 2017	Governor/Professional	NO
<b>PASQUAL GUTIERREZ</b>	JUNE 30, 2020	Governor/Professional	NO
<b>SYLVIA KWAN (SECRETARY)</b>	JUNE 30, 2019	Governor/Professional	NO
<b>EBONY LEWIS</b>	JUNE 30, 2019	Governor/Public	NO
<b>MATTHEW MCGUINNESS (VICE PRESIDENT)</b>	JUNE 30, 2020	Governor/Public	NO
<b>ROBERT PEARMAN, JR.</b>	JUNE 30, 2020	Assembly/Public	NO
<b>NILZA SERRANO</b>	JUNE 30, 2020	Governor/Public	NO
<b>BARRY WILLIAMS</b>	JUNE 30, 2018	Governor/Professional	NO

**RECIPROCITY (16 CCR §121)**

To be eligible for reciprocal licensure in California, an architect must hold a current and valid license in a qualifying jurisdiction, provide verification of eight years of combined education and work experience, meet the licensing requirements equivalent to those in California, and successfully complete the California Supplemental Examination (CSE).

The Board has authority to grant eligibility for the CSE to architects meeting the requirements based on four categories of reciprocity candidacy: (1) architects licensed in another U.S. jurisdiction (pre-1966); (2) architects licensed in another U.S. jurisdiction (1966–present); (3)



## CALIFORNIA ARCHITECTS BOARD

CONTINUED

architects licensed in Canada with a National Council of Architectural Registration Boards (NCARB) Certificate; and (4) architects licensed in a foreign country with a NCARB Certificate.

The Board requires that new candidates complete the Architectural Experience Program (AXP). In order to be eligible for reciprocity and not be subject to the AXP requirement, applicants must possess three years of licensed architectural practice in another U.S. jurisdiction.

There is a \$35 charge for the Board to review a California Architect Reciprocity Application to take the CSE that itself carries a \$100 fee.

**MAJOR ACCOMPLISHMENTS**

- Collaborated extensively with the three California institutions—the University of Southern California, the NewSchool of Architecture & Design, and Woodbury University—that are establishing Integrated Path to Architectural Licensure (IPAL) programs. The collaboration is in support of the national effort to promote a path to licensure that integrates the experience and examination components of licensure into the degree programs. In addition, the Board secured amendments to its Sunset Review bill (SB 1479 [Committee on Business, Professions and Economic Development]) to grant candidates enrolled in an IPAL program early eligibility to take the national Architect Registration Examination.
- Continued to provide quality services to consumers and consistently exceeded its enforcement performance measures defined by the Department of Consumer Affairs' Consumer Protection Enforcement Initiative. The Board's enforcement efforts resulted in the closure of 411 investigations and the issuance of 65 citations, which are the Board's highest number of case closures in the past five years.
- Continued to enhance the Board's influence on national policy. Reciprocity, enforcement, examination, and education requirements are all areas that are vastly shaped by NCARB requirements. This year, the Board was able to defeat a proposal that would have precluded

California architects without a professional degree to attain the NCARB Certificate, which would prevent them from attaining reciprocity in many states. In addition, the Board was able to secure appointments to five different NCARB committees, which affords the opportunity to shape national policy early in the process. Finally, as a leader in NCARB, the Board gave presentations on a variety of critical topics, such as the *North Carolina Dental Board v. Federal Trade Commission* case, sunset review, and IPAL initiatives.

**MAJOR NEW LEGISLATION OR REGULATIONS**

(Extends through the 2016 calendar year)

- Adopted a regulatory proposal to amend California Code of Regulations (CCR) Section 109 to require AXP participants to only document the core hour requirement to complete AXP (formerly known as the Intern Development Program), effectively reducing the total length of the required experience from 5,600 hours to 3,740.
- Adopted a regulatory proposal to amend CCR Sections 109 and 111 to revise the Application for Eligibility Evaluation, per Business and Professions Code (BPC) Section 115.4. BPC 115.4 requires the Board, on and after July 1, 2016, to expedite or, when applicable, assist the initial licensure process for a candidate who supplies satisfactory evidence to the Board that they served as an active duty member of the armed forces of the United States and were honorably discharged.

**LICENSING REQUIREMENTS**

DEGREE/PROFESSIONAL SCHOOLING	YES*
INTERN DEVELOPMENT PROGRAM	YES**
EXAMINATION	YES
CONTINUING EDUCATION/COMPETENCY	YES***
FINGERPRINTING REQUIREMENT	NO

\* Degree/professional education requirement can also be met by five years of training (work) experience credit for architects.

\*\* Does not apply to landscape architects who must have at least two years of training (work) experience credit.

\*\*\* Five hours of coursework in disability access requirements for architects.

## FEES

Architect*	Actual Fee	Statutory Limit
APPLICATION FEE (ARE ELIGIBILITY)	\$100	\$100
ARCHITECT REGISTRATION EXAMINATION (ARE) FEE**	\$210/division	-
CALIFORNIA SUPPLEMENTAL EXAMINATION (CSE) FEE	\$100	\$100
LICENSURE FEE	\$300	\$400
<b>TOTAL INITIAL LICENSE FEES***</b>	<b>\$500</b>	-
BIENNIAL RENEWAL FEE	\$300	\$400

\* Additional fees may be required per BPC § 5604 and 16 CCR §144.

\*\* Fee paid directly to the National Council of Architectural Registration Boards (NCARB) for ARE divisions (ARE 4.0 has seven divisions and ARE 5.0 has six).

\*\*\* Total shown does not include ARE costs that are: ARE 4.0 (\$1,470) or ARE 5.0 (\$1,260).

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
C-ARCHITECTS	<b>668</b>	<b>663</b>	<b>12,199</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
C-ARCHITECTS	<b>0</b>	<b>20,914</b>	<b>0</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
C-ARCHITECTS	<b>BIENNIAL</b>	<b>5</b>

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
BUILDING DESIGN AND CONSTRUCTION SYSTEMS	<b>650</b>	<b>477</b>	<b>1,127</b>
BUILDING SYSTEMS	<b>628</b>	<b>370</b>	<b>998</b>
CONSTRUCTION DOCUMENTS AND SERVICES	<b>805</b>	<b>701</b>	<b>1,506</b>
PROGRAMMING, PLANNING, AND PRACTICE	<b>768</b>	<b>557</b>	<b>1,325</b>
SCHEMATIC DESIGN	<b>760</b>	<b>323</b>	<b>1,083</b>
SITE PLANNING AND DESIGN	<b>789</b>	<b>574</b>	<b>1,363</b>
STRUCTURAL SYSTEMS	<b>585</b>	<b>298</b>	<b>883</b>
CALIFORNIA SUPPLEMENTAL EXAMINATION	<b>661</b>	<b>315</b>	<b>976</b>
<b>TOTALS</b>	<b>5,646</b>	<b>3,615</b>	<b>9,261</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>384</b>	RECEIVED
<b>0</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>384</b>	REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>1</b>	RECEIVED
<b>1</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

Inspections	
<b>N/A</b>	

Investigations	
<b>385</b>	OPENED
<b>411</b>	CLOSED
<b>82</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>254</b>	UP TO 90 DAYS
<b>72</b>	91 TO 180 DAYS
<b>57</b>	181 DAYS TO 1 YEAR
<b>24</b>	1 TO 2 YEARS
<b>3</b>	2 TO 3 YEARS
<b>1</b>	OVER 3 YEARS
<b>116</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>65</b>	ISSUED
<b>65</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>8</b>	DISMISSED
<b>270</b>	AVERAGE NUMBER OF DAYS TO COMPLETE A CITATION AND FINE

Total Amount of Fines	
<b>\$79,750</b>	ASSESSED
<b>\$1,250</b>	REDUCED
<b>\$60,536</b>	COLLECTED

## CALIFORNIA ARCHITECTS BOARD

## Summary of Enforcement Activity continued

## Criminal/Civil Actions

<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

## Office of the Attorney General/Disciplinary Actions

<b>4</b>	CASES OPENED/INITIATED
<b>4</b>	CASES CLOSED
<b>6</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>0</b>	1 YEAR
<b>3</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>1</b>	OVER 4 YEARS
<b>924</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>1</b>	STATEMENTS OF ISSUES FILED
<b>4</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes/Final Orders

<b>0</b>	LICENSE APPLICATIONS DENIED
<b>1</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>1</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>1</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

<b>1</b>	TOTAL NUMBER FILED
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## Subsequent Disciplinary—Administrative Outcomes/Final Orders

<b>0</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>1</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Petition for Reinstatement of Revoked License/Registration/Certification

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Cost Recovery to DCA

<b>\$27,758</b>	ORDERED
<b>\$11,143</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$3,083</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$3,083</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>1</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>115</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Closure of Investigation to Imposing Formal Discipline

<b>471</b>	AVERAGE NUMBER OF DAYS
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## CALIFORNIA STATE ATHLETIC COMMISSION

# Athletic Commission

Licenses and regulates boxing, kickboxing, and mixed martial arts by licensing all participants and supervising the events. Also administers the Professional Boxer's Pension Fund.

## STAFF:

**7 civil service positions**  
**1 exempt**

## LICENSES:

**3,550**

## BOARD STAFF:

**Executive Officer:**

**Andy Foster**

andy.foster@dca.ca.gov

COMMISSION  
MEMBERSHIP:

**7 public representatives**

## STRATEGIC PLAN ADOPTED:

**2012**

[www.dca.ca.gov/csac](http://www.dca.ca.gov/csac)



## LAWS AND REGULATIONS:

**Business and Profession Code §§ 18600 – 18887**

**Title 4, Division 2, California Code of Regulations §§ 201 – 829**

## SUNSET REVIEW:

**Last review: 2015**

**Inoperative/Repeal dates: January 1, 2019**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>LUIS AYALA</b>	JANUARY 1, 2019	Senate/Public	NO
<b>JOHN CARVELLI (CHAIR)</b>	JANUARY 1, 2018	Governor/Public	YES
<b>JOHN FRIERSON</b>	JANUARY 1, 2019	Assembly/Public	NO
<b>MARY LEHMAN (VICE CHAIR)</b>	JANUARY 1, 2017	Governor/Public	YES
<b>VAN GORDON SAUTER</b>	JANUARY 1, 2018	Governor/Public	YES
<b>MARTHA SHEN-UQUIRDEZ</b>	JANUARY 1, 2017	Governor/Public	YES
<b>VERNON WILLIAMS</b>	JANUARY 1, 2019	Governor/Public	YES

**RECIPROCITY**

Applicants licensed in another state must meet or exceed the Commission's licensing requirements because no national governing body exists. However, medical examinations from other states by a licensed physician may be accepted if they are completed to the Commission's standards and requirements.

**MAJOR ACCOMPLISHMENTS**

- Continued efforts in recognizing and educating the harmful effects of athletes practicing massive weight cutting through dehydration followed by rapid rehydration.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- Promulgated emergency regulations to allow an earlier weigh-in for competing athletes to ensure healthier rehydration and penalize athletes who engage in extreme weight cutting. The emergency regulation also



## CALIFORNIA STATE ATHLETIC COMMISSION

CONTINUED

banned the use of intravenous therapies to rehydrate.

- Publicized emergency regulations requiring the use of headgear in all amateur contests for the protection of all amateur boxers.
- SB 469, chaptered in September 21, 2015, became effective January 1, 2016. This bill authorizes the Commission to conduct enhanced drug testing necessary to promote the health and safety of licensees by providing that the administration or

use of any drugs, alcohol, stimulants, or injections in any part of the body, or the use of any prohibited substance specified in the Prohibited Substances List of the World Anti-Doping Code, as promulgated by the World Anti-Doping Agency, is prohibited. SB 469 also authorizes the Commission to conduct drug testing at any time during the period of licensure to ensure compliance with this prohibition. Any licensee in violation of the prohibition is subject to a fine of up to 40 percent of the value of the total purse.

**FEES\***

Professional Athlete	Application Fee	Renewal Fee
ACTUAL FEE	\$60	N/A
STATUTORY CAP	\$60	N/A

Second	Application Fee	Renewal Fee
ACTUAL FEE	\$50	N/A
STATUTORY CAP	\$50	N/A

Manager	Application Fee	Renewal Fee
ACTUAL FEE	\$150	\$150
STATUTORY CAP	\$150	\$150

Matchmaker	Application Fee	Renewal Fee
ACTUAL FEE	\$200	\$200
STATUTORY CAP	\$200	\$200

Professional Referee	Application Fee	Renewal Fee
ACTUAL FEE	\$150	\$150
STATUTORY CAP	\$150	\$150

Professional Judge	Application Fee	Renewal Fee
ACTUAL FEE	\$150	\$150
STATUTORY CAP	\$150	\$150

Timekeeper	Application Fee	Renewal Fee
ACTUAL FEE	\$50	\$50
STATUTORY CAP	\$50	\$50

Professional Trainer	Application Fee	Renewal Fee
ACTUAL FEE	\$200	\$200
STATUTORY CAP	\$200	\$200

Professional Promoter	Application Fee	Renewal Fee
ACTUAL FEE	\$1,000	\$1,000
STATUTORY CAP	\$1,000	\$1,000

\* Some additional fees may be required per Business and Professions Code § 7137.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PROMOTER	<b>9</b>	<b>7</b>	<b>41</b>
REFEREE	<b>3</b>	<b>3</b>	<b>43</b>
TIMEKEEPER	<b>4</b>	<b>4</b>	<b>10</b>
PRO ATHLETE	<b>1,193</b>	<b>1,193</b>	<b>N/A</b>
JUDGE	<b>10</b>	<b>10</b>	<b>34</b>
MATCHMAKER	<b>10</b>	<b>10</b>	<b>14</b>
SECOND	<b>2,056</b>	<b>2,056</b>	<b>0</b>
MANAGER	<b>39</b>	<b>38</b>	<b>87</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PROMOTER	<b>N/A</b>	<b>48</b>	<b>N/A</b>
REFEREE	<b>N/A</b>	<b>46</b>	<b>N/A</b>
TIMEKEEPER	<b>N/A</b>	<b>14</b>	<b>N/A</b>
PRO ATHLETE	<b>N/A</b>	<b>1,193</b>	<b>N/A</b>
JUDGE	<b>N/A</b>	<b>44</b>	<b>N/A</b>
MATCHMAKER	<b>N/A</b>	<b>24</b>	<b>N/A</b>
SECOND	<b>N/A</b>	<b>2,056</b>	<b>N/A</b>
MANAGER	<b>N/A</b>	<b>125</b>	<b>N/A</b>



## CALIFORNIA STATE ATHLETIC COMMISSION

## Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PROMOTER	<b>ANNUAL</b>	<b>N/A</b>
REFEREE	<b>ANNUAL</b>	<b>N/A</b>
TIMEKEEPER	<b>ANNUAL</b>	<b>N/A</b>
PRO ATHLETE	<b>ANNUAL</b>	<b>N/A</b>
JUDGE	<b>ANNUAL</b>	<b>N/A</b>
MATCHMAKER	<b>ANNUAL</b>	<b>N/A</b>
SECOND	<b>ANNUAL</b>	<b>N/A</b>
MANAGER	<b>ANNUAL</b>	<b>N/A</b>

Exams	
	<b>N/A</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>30</b>	RECEIVED
<b>30</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>0</b>	REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

Conviction/Arrest Notification Complaints	
	<b>N/A</b>

Inspections / Investigations	
	<b>N/A</b>

Number of Days to Complete Intake and Investigations	
	<b>N/A</b>

Citations and Fines	
<b>24</b>	ISSUED
<b>24</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>30</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$79,214.70</b>	ASSESSED
<b>\$200</b>	REDUCED
<b>\$72,735.80</b>	COLLECTED

Criminal/Civil Actions	
<b>N/A</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>N/A</b>	CRIMINAL ACTIONS FILED
<b>N/A</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>4</b>	CASES OPENED/INITIATED
<b>4</b>	CASES CLOSED
<b>0</b>	CASES PENDING

Number of Days to Complete AG Cases	
	<b>N/A</b>

Administrative Outcomes/Final Orders	
<b>2</b>	LICENSE APPLICATIONS DENIED
<b>2</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>24</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

Petition for Modification or Termination of Probation	
	<b>N/A</b>

Petition for Reinstatement of Revoked License/Registration/Certification	
<b>1</b>	GRANTED
<b>1</b>	DENIED
<b>2</b>	TOTAL

Cost Recovery to DCA	
	<b>N/A</b>

Consumer Restitution to Consumers/Refunds/Savings	
	<b>N/A</b>

Receipt of Complaint to Assignment to Investigator	
<b>7</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>30</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>90</b>	AVERAGE NUMBER OF DAYS

## BUREAU OF AUTOMOTIVE REPAIR

# Automotive Repair

Registers/licenses and regulates automotive repair dealers, brake and lamp adjusters and stations, and Smog Check stations and technicians. Administers the Smog Check and Consumer Assistance Programs to reduce air pollution produced by motor vehicles.

## STAFF:

**588 civil service positions**  
**2 exempt**

REGISTRATIONS, LICENSES,  
AND PERMITS:

**75,042**

## BUREAU STAFF:

**Chief: Patrick Dorais**  
patrick.dorais@dca.ca.gov

**Assistant Chief: Doug Balatti**  
douglas.balatti@dca.ca.gov

**Deputy Chief, Field Operations & Enforcement: Dan Povey**  
dan.povey@dca.ca.gov

STRATEGIC PLAN ADOPTED:  
**2013**

[www.bar.ca.gov](http://www.bar.ca.gov)  
[www.smogcheck.ca.gov](http://www.smogcheck.ca.gov)  
[www.autorepair.ca.gov](http://www.autorepair.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 9880 – 9889.68**

**Health and Safety Code §§ 44000 – 44126**

**Title 16, Division 33, California Code of Regulations §§ 3300 – 3395.5**

## SUNSET REVIEW:

**Last Review: 2014**

**Next Review: 2018**

## ADVISORY AND EDUCATIONAL GROUP MEMBERS

These groups are not created by statute or regulation. Members are appointed by the Bureau Chief.

Bureau Advisory Group Members	Affiliation
<b>LOUIS J. ANAPOLSKY</b>	Knox, Lemmon & Anapolsky, LLP
<b>JASON BARTANEN</b>	Inter-Industry Conference on Auto Collision Repair
<b>DENNY BOWEN</b>	Automotive Maintenance and Repair Association/Motorist Assurance Program
<b>DREW CARLSON</b>	California Automotive Teachers
<b>JOHAN GALLO</b>	California Automotive Business Coalition
<b>VINCE GREGORY</b>	AAA Northern California
<b>GEORGE HRITZ</b>	California Automotive Teachers
<b>DAVID LANG</b>	Automobile Club of Southern California
<b>BRIAN MAAS</b>	California New Car Dealers Association
<b>JON MCCONNEL</b>	Independent Automotive Professionals Association
<b>JACK MOLODANOF</b>	California Auto Body Association
<b>JONATHAN MORRISON</b>	Auto Advisory Services, Inc.
<b>TRACY RENEE</b>	Automotive Service Councils of California
<b>ROSEMARY SHAHAN</b>	Consumers for Auto Reliability and Safety
<b>CHRIS WALKER</b>	Nossaman, Guthner, Knox & Elliott, LLP
<b>SUSAN MONSER WARD</b>	California Emission Testing Industries Association

DEPARTMENT OF CONSUMER AFFAIRS



Bureau of Automotive Repair

CONTINUED

Educational Advisory Group Members	Affiliation
<b>JIM CUSTEAU</b>	Cuyamaca College
<b>PHIL FOURNIER</b>	Contract Instructor
<b>MIKE MORSE</b>	Yuba College
<b>KEVIN ROGERS</b>	Cosumnes River College
<b>STEVE TOMOROY</b>	Rio Hondo College
<b>BUD RICE</b>	Quality Tune-Up
<b>CRAIG JOHNSON</b>	Craig Johnson Automotive
<b>MIKE PALMER</b>	Midas Muffler
<b>GARY HOUSEMAN</b>	Smog Check Technician

## FEES

Automotive Repair Dealer	Actual Fee	Statutory Limit
APPLICATION FEE	\$200	\$200
RENEWAL FEE (ANNUAL)	\$200	\$200

Smog Check Station	Actual Fee	Statutory Limit
APPLICATION FEE	\$100	"reasonable cost"
RENEWAL FEE (ANNUAL)	\$100	"reasonable cost"

Brake and/or Lamp Station	Actual Fee	Statutory Limit
APPLICATION FEE	\$10	\$10
RENEWAL FEE (ANNUAL)	\$5	\$5

Smog Check Inspector and/or Repair Technician	Actual Fee	Statutory Limit
APPLICATION FEE	\$20	"reasonable cost"
EXAM FEE	\$45	"reasonable cost"
RENEWAL FEE (BIENNIAL)	\$20	"reasonable cost"

Brake and/or Lamp Adjuster	Actual Fee	Statutory Limit
APPLICATION FEE	\$10	\$10
RENEWAL FEE (FOUR YEARS)	\$5	\$5

## RECIPROCITY

The Bureau offers no reciprocity with licenses obtained in other states.

## MAJOR ACCOMPLISHMENTS

- Retired 47,868 vehicles and provided financial assistance to repair an additional 5,630 vehicles through the Consumer Assistance Program, resulting in an estimated 6,822 tons of reduced emissions.
- Implemented an online application for repair assistance and vehicle retirement.
- Performed 15,542 roadside emission surveys of vehicles to evaluate the effectiveness of the Smog Check Program.
- Published the first complete evaluation of the Smog Check Program since implementation of major reforms set forth in AB 2289 (Eng, Chapter 258, Statutes of 2010), including the incentive-based performance program known as STAR. The results indicated marked improvement of the quality of inspections performed by the Smog Check industry.
- Worked with the California Air Resources Board (CARB) to identify and study certain vehicles that are unable to set a Malfunction Indicator Light (MIL) due to a problem with the vehicle's emission control system. ARB used this information to address these problems with vehicle manufacturers.
- Completed 2,217 audit inspections of test equipment used by licensed Smog Check stations and technicians to verify compliance with specifications designed to provide consistent and accurate testing of vehicles.
- Conducted a Smog Check occupational analysis that resulted in a new and improved repair technician licensing examination.
- Released online training for licensed technicians on hybrid vehicles, which were added to the Smog Check Program in April 2016.

## BUREAU OF AUTOMOTIVE REPAIR

## CONTINUED

- Began implementing an electronic transmittal system for investigations in order to improve efficiency and reduce costs associated with the filing of administrative actions against registrants for violations of the Automotive Repair Act.
- Filed 95 administrative actions using data captured by new Smog Check test equipment for vehicles equipped with on-board diagnostic systems, a 579 percent increase over the prior year. Secured nearly 40 court-ordered interim suspension orders against licensed stations and technicians for issuing fraudulent Smog Check certificates of compliance.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- AB 2167 (Achadjian, Chapter 518, Statutes of 2016) will eliminate the existing requirement that businesses collect specified information from a tow truck operator within one day if a vehicle is dropped off after business hours, and instead clarify what information is required to be collected by a business that takes possession of a vehicle from a tow truck operator if the vehicle is dropped off after business hours. This clean-up bill will also allow businesses to accept a tow truck driver's identification number, issued by a motor club or other government authorized unique identifiers, in lieu of a driver's license number.
- AB 2387 (Mullin, Chapter 694, Statutes of 2016) will enhance restrictions against counterfeit airbags by prohibiting the deliberate manufacturing, importation, installation, reinstallation, distribution, sale, or offer for sale of a counterfeit or nonfunctional replacement airbag. This bill will also prohibit the intentional sale, installation, or reinstallation of any device that causes the vehicle's diagnostic system to fail to warn when the vehicle is equipped with a counterfeit supplemental restraint system component or nonfunctional airbag, or when no airbag is installed. Finally, the bill will prescribe that violations of these provisions constitutes a misdemeanor punishable by a fine not exceeding \$5,000, up to one year in county jail, or both fine and imprisonment.
- SB 1046 (Hill, Chapter 783, Statutes of 2016) will require a driving under the influence offender to install an ignition interlock device on his or her vehicle for a specified period of time in order to get a restricted license or to reinstate his or her license and to remove the required suspension time before a person can get a restricted license. This bill will also authorize the Bureau to issue a citation or suspend or revoke the registration of an automotive repair dealer who installs, maintains, or services ignition interlock devices in a manner inconsistent with specified provisions, including failing to comply with the fee schedule requirements.

## BUREAU OF AUTOMOTIVE REPAIR

## Summary of Licensing Activity

Initial Registrations/Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
AUTOMOTIVE REPAIR DEALER	4,047	3,392	34,864
SMOG CHECK TEST AND REPAIR STATION	552	524	4,933
SMOG CHECK TEST ONLY STATION	451	380	1,976
SMOG CHECK REPAIR ONLY STATION	14	9	34
STAR CERTIFICATES	1,119	572	N/A
BRAKE AND LAMP STATION	352	265	2,021
SMOG CHECK REPAIR TECHNICIAN	895	282	4,420
SMOG CHECK INSPECTOR	2,615	1,135	6,749
BRAKE AND LAMP ADJUSTER	2,228	282	N/A

Licensing Population by Type			
TYPE	CERTIFICATIONS/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
AUTOMOTIVE REPAIR DEALER	N/A	36,788	N/A
SMOG CHECK TEST AND REPAIR STATION	N/A	5,306	N/A
SMOG CHECK TEST ONLY STATION	N/A	2,146	N/A
SMOG CHECK REPAIR ONLY STATION	N/A	42	N/A
STAR CERTIFICATES	4,557	N/A	N/A
BRAKE STATION	N/A	1,054	N/A
LAMP STATION	N/A	1,042	N/A
SMOG CHECK REPAIR TECHNICIAN	N/A	9,889	N/A
SMOG CHECK INSPECTOR	N/A	15,552	N/A
BRAKE ADJUSTER	N/A	1,677	N/A
LAMP ADJUSTER	N/A	1,454	N/A

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
SMOG CHECK REPAIR TECHNICIAN	250	359	609
SMOG CHECK INSPECTOR	1,141	1,049	2,190
BRAKE ADJUSTER	419	162	581
LAMP ADJUSTER	377	352	729
<b>TOTALS</b>	<b>2,187</b>	<b>1,922</b>	<b>4,109</b>

## Renewal and Continuing Education (CE)

TYPE	FREQUENCY OF RENEWAL	NUMBER OF HOURS REQUIRED EACH CYCLE
AUTOMOTIVE REPAIR DEALER	ANNUAL	0
SMOG CHECK TEST AND REPAIR STATION	ANNUAL	0
SMOG CHECK TEST ONLY STATION	ANNUAL	0
SMOG CHECK REPAIR ONLY STATION	ANNUAL	0
STAR CERTIFICATES	N/A	0
BRAKE AND LAMP STATION	ANNUAL	0
SMOG CHECK REPAIR TECH	EVERY 2 YEARS	12
SMOG CHECK INSPECTOR	EVERY 2 YEARS	4
BRAKE AND LAMP ADJUSTER	EVERY 4 YEARS	N/A

## Summary of Enforcement Activity

## Consumer Complaints—Intake

19,499	RECEIVED
509	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
18,948	REFERRED FOR INVESTIGATION
197	PENDING

## Conviction/Arrest Notification Complaints

N/A	RECEIVED
N/A	CLOSED/REFERRED FOR INVESTIGATION
N/A	PENDING

## Inspections

26,403	INSPECTIONS CONDUCTED
917	INSPECTIONS CITATIONS ISSUED

## Number of Days to Complete Intake and Investigations

17,612	UP TO 90 DAYS
1,012	91 TO 180 DAYS
296	181 DAYS TO 1 YEAR
44	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
43	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

## BUREAU OF AUTOMOTIVE REPAIR

## Summary of Enforcement Activity continued

Investigations	
<b>18,949</b>	OPENED
<b>18,946</b>	CLOSED
<b>2,384</b>	PENDING

Citations and Fines	
<b>3,381</b>	ISSUED
<b>642</b>	ISSUED WITH A FINE
<b>8</b>	WITHDRAWN
<b>205</b>	DISMISSED
<b>73</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$1,174,500</b>	ASSESSED*
<b>\$393,000</b>	REDUCED
<b>\$605,000</b>	COLLECTED

\* Assessed does not include citations currently under appeal.

Criminal/Civil Actions	
<b>105</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>N/A</b>	CRIMINAL ACTIONS FILED
<b>N/A</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Action	
<b>354</b>	CASES OPENED/INITIATED
<b>237</b>	CASES CLOSED*
<b>559</b>	CASES PENDING

\* BAR may have multiple licenses listed on a single case/accusation. Therefore, administrative outcomes/final orders does not match the number of cases closed.

Administrative Outcomes/Final Orders*	
<b>21</b>	LICENSE APPLICATIONS DENIED
<b>266</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>62</b>	PROBATION WITH SUSPENSION
<b>1</b>	SUSPENSION ONLY
<b>85</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>48</b>	OTHER DECISIONS

\* BAR may have multiple licenses listed in a single case/accusation. Therefore, administrative outcomes/final orders does not match the number of cases closed.

Number of Days to Complete AG Cases	
<b>19</b>	1 YEAR
<b>106</b>	1 TO 2 YEARS
<b>86</b>	2 TO 3 YEARS
<b>26</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>721</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>43</b>	STATEMENTS OF ISSUES FILED
<b>231</b>	ACCUSATIONS FILED
<b>44</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>16</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>6</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Petition for Modification or Termination of Probation	
<b>2</b>	GRANTED
<b>0</b>	DENIED
<b>2</b>	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

Cost Recovery to DCA	
<b>\$1,323,685</b>	ORDERED
<b>\$679,854</b>	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
<b>\$60,109</b>	RESTITUTION ORDERED
<b>\$2,556,476</b>	AMOUNT REFUNDED
<b>\$1,362,751</b>	REWORK AT NO CHARGE
<b>\$1,093,820</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$5,073,156</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
<b>3</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>43</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>556</b>	AVERAGE NUMBER OF DAYS

## BOARD OF BARBERING AND COSMETOLOGY

# Barber/Cosmetology

Licenses and regulates barbers, cosmetologists, manicurists, estheticians, and electrologists, and the establishments they work in. The Board's mission is to ensure the health and safety of California consumers by promoting ethical standards and enforcing beauty industry laws.

## STAFF:

**82.1 civil service**

**1 exempt**

## LICENSES:

**602,637**

## BOARD STAFF:

**Executive Officer:**

**Kristy Underwood**

kristy.underwood@dca.ca.gov

**Assistant Executive Officer:**

**Heather Berg**

heather.berg@dca.ca.gov

## BOARD MEMBERSHIP:

**4 licensees**

**5 public representatives**

## STRATEGIC PLAN ADOPTED:

**2013**

[www.barbercosmo.ca.gov](http://www.barbercosmo.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 7301 – 7426.5**

**Title 16, Division 9, California Code of Regulations §§ 901 – 999**

## SUNSET REVIEW:

**Last review: 2015**

**Inoperative/Repeal date: January 1, 2020**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>MARY LOU AMARO</b>	JANUARY 1, 2017	Governor/Professional	YES
<b>BOBBIE JEAN ANDERSON</b>	JANUARY 1, 2019	Governor/Public	YES
<b>ANDREW DRABKIN</b>	JANUARY 1, 2017	Governor/Public	YES
<b>JOSEPH AARON FEDERICO (PRESIDENT)</b>	JANUARY 1, 2019	Governor/Professional	YES
<b>RICHARD HEDGES</b>	JANUARY 1, 2017	Senate/Public	NO
<b>POLLY ANN SEAVER-CODORNIZ</b>	JANUARY 1, 2019	Governor/Professional	YES
<b>KARI WILLIAMS (VICE PRESIDENT)</b>	JANUARY 1, 2017	Governor/Professional	YES
<b>CHARLES CHING</b>	JANUARY 1, 2019	Assembly/Public	NO
<b>LISA THONG</b>	JANUARY 1, 2017	Governor/Public	YES

**RECIPROCITY (BPC §§ 7331)**

The Board grants a license to practice to an applicant if the applicant submits all of the following to the Board:

- A completed application form and all fees required by the Board.
- Proof of a current license issued by another state to practice that meets all of the following requirements:
  - It is not revoked, suspended, or otherwise restricted.
  - It is in good standing.
  - It has been active for three of the last five years, during which time the applicant has not been subject to disciplinary action or a conviction.





## BOARD OF BARBERING AND COSMETOLOGY

CONTINUED

**MAJOR ACCOMPLISHMENTS**

- Revised, in some way, nearly all sections of law that comprise the Board's health and safety rules (Article 12 of Division 9, Title 16 of the California Code of Regulations [CCR]). In many cases, the changes are minor, however two entirely new sections have been added: Section 977, which defines a number of terms used in the regulations themselves, and Section 980.4, which details cleaning procedures and other requirements for shops that use disposable liners in their foot spa tubs or basins.
- Continued to improve language access for California licensees and consumers. The licensing unit is sending examination admission letters in the applicant's preferred language (English, Korean, Spanish, or Vietnamese). The examination sites now provide written examination instructions in English, Korean, Spanish, or Vietnamese. The Board participated in a town hall meeting with Vietnamese licensees and community leaders. The Board produced the *Foot Spa Cleaning and Disinfecting* video in Vietnamese and posted it on its website.
- Participated in a Medical Services Task Force (MST) meetings on May 4, 2015, and on August 3, 2015. MST's focus is to discuss regulatory changes that could clarify services that can be performed by Board licensees. Representatives of the task force were two Board members, the Board's Executive Officer, a Board inspector, Board Enforcement unit staff, the U.S. Food and Drug Administration (FDA), an FDA regulatory attorney, a Board-licensed esthetician, a Board-licensed establishment owner and esthetician, the California Department of Public Health, the Medical Board of California, the Professional Beauty Federation of California, a dermatologist, a representative from Paramedical Consultants, and a representative from AmSpa.

**MAJOR NEW LEGISLATION OR REGULATIONS***(Extends through the 2016 calendar year)*

- Made additional changes on regulations concerning apprenticeships, crossover course curriculums, and text and reference books for students. The changes include two new CCR sections, Sections 914.1 and 914.2, that set limits on who can enroll as an apprentice and the number of re-enrollments. Section 918 has been amended to limit to two the number of apprentices a trainer may supervise at any one time. The apprenticeship curriculums detailed in CCR Sections 921, 921.1, and 921.2 have also been amended to conform to the format adopted by the Board for brick-and-mortar school curriculums. The Board repealed the crossover courses detailed in CCR Sections 950.8 and 950.9 so that students would receive full credit for all relevant school training when transferring from one course of study to another, as required under Business and Professions Code Section 7367. The elimination of these sections means that all crossover candidates need to do to qualify to take the examination is to fulfill whatever part of the cosmetology or barber curriculum they haven't already completed as part of their original program. The Board also modified CCR Section 961 to reflect that the vendor who develops the licensing examinations on behalf of the Board now reviews the textbook and other educational materials used in schools. This function was previously performed by Board staff when California developed its own examinations.
- AB 1322 (Daly, Chapter 741, Statutes of 2016) will exempt beauty salons and barbershops from acquiring a license or permit to serve a beer or a glass of wine to their customers provided that it is supplied as part of their service and there is no additional fee associated with it.
- AB 2025 (Gonzalez, Chapter 409, Statutes of 2016) will require the Board, by July 1, 2017, to: 1) provide all written materials in English, Korean, Spanish, and Vietnamese; 2) collect language preference on its licensure applications through optional questions; 3) require the Board's Health and Safety Committee to provide the Board with recommendations on ensuring licensees are aware of basic labor laws; 4)



## CONTINUED

require applicants for a professional license or an establishment license to sign an acknowledgement that they understand their rights and responsibilities under California's labor laws and the written materials provided by the Board; and, 5) include instruction on basic labor law in its curriculum requirements.

- AB 2125 (Chiu, Chapter 564, Statutes of 2016) will require the Department of Toxic Substances Control to publish guidelines for use by cities and counties when voluntarily implementing local healthy nail salon recognition programs by January 1, 2018. This bill also will authorize the Board to notify a local jurisdiction if a recognized salon is in violation of the Board's regulations. Upon this notification, the jurisdiction will be required to remove the healthy nail salon recognition from that salon.
- AB 2437 (Ting, Chapter 357, Statutes of 2016) will require establishments licensed by the Board to conspicuously post by July 1, 2017, a notice in English, Spanish, Vietnamese, and Korean detailing certain employee workplace rights and wage and hour laws. This bill will require the Labor Commissioner to prepare a model notice for use in complying with this bill and to translate this notice into Spanish, Vietnamese, and Korean. This bill will also require the Board to inspect for compliance with this requirement when conducting inspections and authorizes the Board to levy administrative fines for noncompliance.
- SB 1044 (Nguyen, Chapter 233, Statutes of 2016) will require the Board to determine via regulation whether both an establishment owner and an individual licensee should be fined for the same violation; in doing so, the Board must take into consideration the egregiousness of the violation and whether it is a repeated violation. This bill will also authorize the Board to enter into a payment plan with licensees who owe more than \$500 in fines and require the Board to promulgate regulations to define the parameters of the payment plan. Additionally, this bill will clarify that initial licensure or licensure renewal may be made contingent upon all fines being paid.

**FEES\***

Barber/Cosmetologist/ Electrologist	Actual Fee	Statutory Limit
PRE-APPLICATION FEE	\$9	Established by Board
APPLICATION AND EXAMINATION FEE	\$75	Actual cost to Board
INITIAL LICENSE FEE	\$50	\$50
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$134</b>	-
LICENSE RENEWAL FEE	\$50	\$50

Esthetician	Actual Fee	Statutory Limit
PRE-APPLICATION FEE	\$9	Established by Board
APPLICATION AND EXAMINATION FEE	\$75	Actual cost to Board
INITIAL LICENSE FEE	\$40	\$40
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$124</b>	-
LICENSE RENEWAL FEE	\$50	\$50

Manicurist	Actual Fee	Statutory Limit
PRE-APPLICATION FEE	\$9	Established by Board
APPLICATION AND EXAMINATION FEE	\$75	Actual cost to Board
INITIAL LICENSE FEE	\$35	\$35
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$119</b>	-
LICENSE RENEWAL FEE	\$50	\$50

\* Additional licensing categories and associated licensing fees can be found in Business and Professions Code §§ 7415 – 7426.5.

## BOARD OF BARBERING AND COSMETOLOGY

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
BARBER	4,871	1,929	9,453
BARBER APPRENTICE	570	495	N/A
COSMETOLOGIST	17,349	10,488	116,671
COSMETOLOGY APPRENTICE	704	604	N/A
ELECTROLOGIST	66	35	637
ELECTROLOGY APPRENTICE	0	0	0
ESTABLISHMENT	7,086	6,823	17,716
ESTHETICIAN	6,968	4,555	29,152
MANICURIST	10,638	6,163	45,633
MOBILE UNIT	10	8	7

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
BARBER	N/A	27,289	N/A
BARBER APPRENTICE	N/A	854	N/A
COSMETOLOGIST	N/A	311,476	N/A
COSMETOLOGY APPRENTICE	N/A	1,142	N/A
ELECTROLOGIST	N/A	1,860	N/A
ELECTROLOGY APPRENTICE	N/A	0	N/A
ESTABLISHMENT	N/A	52,607	N/A
ESTHETICIAN	N/A	79,489	N/A
MANICURIST	N/A	127,881	N/A
MOBILE UNIT	N/A	39	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
BARBER	EVERY 2 YEARS	N/A
BARBER APPRENTICE	NO RENEWAL	N/A
COSMETOLOGIST	EVERY 2 YEARS	N/A
COSMETOLOGY APPRENTICE	NO RENEWAL	N/A
ELECTROLOGIST	EVERY 2 YEARS	N/A
ELECTROLOGY APPRENTICE	NO RENEWAL	N/A
ESTABLISHMENT	EVERY 2 YEARS	N/A
ESTHETICIAN	EVERY 2 YEARS	N/A
MANICURIST	EVERY 2 YEARS	N/A
MOBILE UNIT	EVERY 2 YEARS	N/A

Exams		
PASS	FAIL	TOTAL
49,782	13,421	63,203

## Summary of Enforcement Activity

Consumer Complaints—Intake	
3,732	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
3,710	REFERRED FOR INVESTIGATION
23	PENDING

Conviction/Arrest Notification Complaints	
214	RECEIVED
213	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
14,346	INSPECTIONS CONDUCTED
11,514	INSPECTION CITATIONS ISSUED

Investigations	
3,924	OPENED
3,900	CLOSED
997	PENDING

Number of Days to Complete Intake and Investigations	
2,382	UP TO 90 DAYS
785	91 TO 180 DAYS
534	181 DAYS TO 1 YEAR
169	1 TO 2 YEARS
30	2 TO 3 YEARS
0	OVER 3 YEARS
110	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
19,264	ISSUED
17,918	ISSUED WITH A FINE
71	WITHDRAWN
50	DISMISSED
30	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$8,042,018	ASSESSED
\$1,142,325	REDUCED
\$6,186,329	COLLECTED

## BOARD OF BARBERING AND COSMETOLOGY

## Summary of Enforcement Activity continued

## Criminal/Civil Actions

<b>4</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

## Office of the Attorney General/Disciplinary Actions

<b>88</b>	CASES OPENED/INITIATED
<b>44</b>	CASES CLOSED
<b>105</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>2</b>	1 YEAR
<b>15</b>	1 TO 2 YEARS
<b>18</b>	2 TO 3 YEARS
<b>8</b>	3 TO 4 YEARS
<b>2</b>	OVER 4 YEARS
<b>856</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>3</b>	STATEMENTS OF ISSUES FILED
<b>35</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes/Final Orders

<b>0</b>	LICENSE APPLICATIONS DENIED
<b>22</b>	REVOCATION
<b>4</b>	SURRENDER OF LICENSE
<b>41</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petitions to Revoke Probation Filed Petitions and Accusations to Revoke Probation Filed

<b>6</b>	TOTAL NUMBER FILED
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Subsequent Disciplinary–Administrative Outcomes/  
Final Orders

<b>0</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

Petition for Reinstatement of Revoked License/  
Registration/Certification

<b>9</b>	GRANTED
<b>3</b>	DENIED
<b>12</b>	TOTAL

## Cost Recovery to DCA

<b>\$112,913</b>	ORDERED
<b>\$79,136</b>	COLLECTED

## Consumer Restitution to Consumer/Refunds/Savings

<b>N/A</b>	RESTITUTION ORDERED
<b>N/A</b>	AMOUNT REFUNDED
<b>N/A</b>	REWORK AT NO CHARGE
<b>N/A</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>N/A</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>3</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>105</b>	AVERAGE NUMBER OF DAYS
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Investigations: Closure of Investigation to Imposing  
Formal Discipline

<b>638</b>	AVERAGE NUMBER OF DAYS
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## BOARD OF BEHAVIORAL SCIENCES

# Behavioral Sciences

Licenses and regulates marriage and family therapists and interns, clinical social workers and associates, educational psychologists, professional clinical counselors and interns, and referral services.

## STAFF:

**57.7 civil service**  
**1 exempt**

## LICENSES:

**105,613**

## BOARD STAFF:

**Executive Officer:**

**Kim Madsen**

kim.madsen@dca.ca.gov

**Assistant Executive Officer:**

**Steve Sodergren**

steve.sodergren@dca.ca.gov

## BOARD MEMBERSHIP:

**6 licensees**  
**7 public representatives**

## STRATEGIC PLAN ADOPTED:

**2014**

[www.bbs.ca.gov](http://www.bbs.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 4980 – 4999.129**

**Title 16, Division 18, California Code of Regulations §§ 1800 – 1889.3**

## SUNSET REVIEW:

**Last review: 2016**

**Inoperative/repeal date: January 1, 2021**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>SAMARA ASHLEY</b>	JUNE 1, 2017	Governor/Public	YES
<b>SCOTT BOWLING</b>	JUNE 1, 2018	Governor/Public	YES
<b>DR. LEAH BREW</b>	JUNE 1, 2020	Governor/Professional	YES
<b>DEBORAH BROWN (CHAIR)</b>	JUNE 1, 2017	Governor/Public	YES
<b>PETER CHIU</b>	JUNE 1, 2019	Governor/Public	YES
<b>ELIZABETH CONNOLLY</b>	JUNE 1, 2020	Governor/Professional	YES
<b>PATRICIA LOCK-DAWSON (VICE CHAIR)</b>	JUNE 1, 2017	Governor/Public	YES
<b>SARITA KOHLI</b>	JUNE 1, 2018	Governor/Professional	YES
<b>RENEE LONNER</b>	JUNE 1, 2018	Governor/Professional	YES
<b>KAREN PINES</b>	JUNE 1, 2017	Governor/Professional	YES
<b>DR. CHRISTINE WIETLISBACH</b>	JUNE 1, 2019	Senate/Public	NO
<b>CHRISTINA WONG</b>	JUNE 1, 2017	Governor/Professional	YES
<b>MAX DISPOSTI</b>	JUNE 1, 2019	Assembly/Public	NO

## RECIPROCITY

The Board does not offer reciprocity.

## MAJOR ACCOMPLISHMENTS

- On January 1, 2016, the Examination Restructure became effective. Under the new examination process, all Board registrants must take the California Law and Ethics examination within one year of registering with the Board. Additionally, the Board implemented the use of the Associate Social Work Boards national clinical examination for licensure in California.



## CONTINUED

- Implemented telehealth regulations, which address the use of telehealth in the provision of psychotherapy, clarify when a California license is needed, and indicate actions a licensee must take to protect a client in a telehealth setting.
  - Conducted and webcast numerous meetings throughout the state to maximize stakeholder attendance and participation. The Board's Supervision Committee and stakeholders collaborated to develop revisions to the requirements to become a Supervisor of Board registrants and improve the supervision of registrants gaining supervised work experience.
- MAJOR NEW LEGISLATION OR REGULATIONS**  
*(Extends through the 2016 calendar year)*
- Proposed regulations to allow the Board to grant time-and-a-half on a Board-administered examination to an English as a Second Language applicant, if the applicant meets specific criteria demonstrating limited English proficiency.
  - AB 1808 (Wood, Chapter 292, Statutes of 2016) will include marriage and family therapist trainees, clinical counselor trainees, clinical psychologists, registered psychologists, registered psychological assistants, psychology trainees, associate clinical social workers, and social work interns (collectively, persons) on the list of professional persons who may perform mental health treatment or residential shelter services with a consenting minor 12 years of age or older under specified circumstances. Specifically, marriage and family therapist trainees, clinical counselor trainees, psychology trainees, and social work interns (collectively, trainees) will be required to provide treatment notification to a supervisor under specific circumstances.
  - AB 1917 (Obernolte, Chapter 70, Statutes of 2016) among other things, will: 1) modify the definition of "out-of-state" as it pertains to accepted forms of education for a licensed professional clinical counselor (counselor) or a licensed marriage and family therapist (therapist); 2) provide uniformity to the coursework and practicum requirements of counselor and therapist applicants and licensees; and, 3) eliminate the possibility for remediation of certain educational areas by counselor applicants.
  - AB 2191 (Salas, Chapter 458, Statutes of 2016) will extend the effective date of the Board by four years from January 1, 2017, to January 1, 2021.
  - SB 1478 (Senate Committee on Business, Professions, and Economic Development, Chapter 489, Statutes of 2016) will update the term "associate social workers" with "associate clinical social workers;" modify licensed clinical social worker applicant's exam eligibility; require program certification for licensed professional clinical counselor applicants; delete provisions related to grandfathering and remediation periods for licensed clinical counselors; allow the Board to accept regionally and national institutional accreditation agencies as acceptable types of accreditation; repeal language for school approval in lieu of approval by the Bureau for Private Postsecondary Education; and will change the "intern" title for licensed marriage and family therapists and licensed professional clinical counselor registrants to "associate."

## BOARD OF BEHAVIORAL SCIENCES

## FEES\*

Licensed Marriage and Family Therapist	Actual Fee	Statutory Limit
EXAM APPLICATION FEE	\$100	\$100
CALIFORNIA LAW AND ETHICS EXAM FEE	\$100	\$100
CLINICAL EXAM FEE	\$100	\$100
INITIAL LICENSURE FEE (PRORATED)	\$130	\$180
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$430</b>	-
BIENNIAL RENEWAL FEE	\$130	\$180

Licensed Clinical Social Worker	Actual Fee	Statutory Limit
EXAM APPLICATION FEE	\$100	\$150
CALIFORNIA LAW AND ETHICS EXAM FEE	\$100	\$100
CLINICAL EXAM FEE	\$260	N/A**
INITIAL LICENSURE FEE (PRORATED)	\$100	\$155
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$560</b>	-
BIENNIAL RENEWAL FEE	\$100	\$155

Licensed Educational Psychologist	Actual Fee	Statutory Limit
EXAM APPLICATION FEE	\$100	\$100
WRITTEN EXAM FEE	\$100	\$100
INITIAL LICENSURE FEE (PRORATED)	\$80	\$150
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$280</b>	-
BIENNIAL RENEWAL FEE	\$80	\$150

Licensed Professional Clinical Counselor	Actual Fee	Statutory Limit
EXAM APPLICATION FEE	\$180	\$250
CALIFORNIA LAW AND ETHICS EXAM FEE	\$100	\$150
CLINICAL EXAM FEE	\$275	N/A***
INITIAL LICENSURE FEE (PRORATED)	\$200	\$250
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$755</b>	-
BIENNIAL RENEWAL FEE	\$175	\$250

\*The Program has additional fees that were not included but can be found in Business and Professions Code §§ 4984.7, 4989.68, 4996.3, 4999.120, California Code of Regulations §§ 1816, 1816.1 – 1816.7.

\*\*Exam is not Board-administered. The Association of Social Work Boards (ASWB) administers this exam.

\*\*\*Exam is not Board-administered. The National Board for Certified Counselors (NBCC) administers this exam.

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
MARRIAGE AND FAMILY THERAPIST (MFT)	<b>2,248</b>	<b>94</b>	<b>17,153</b>
MARRIAGE AND FAMILY INTERN	<b>2,984</b>	<b>3,626</b>	<b>11,502</b>
LICENSED CLINICAL SOCIAL WORKER	<b>1,422</b>	<b>1,419</b>	<b>10,228</b>
ASSOCIATE SOCIAL WORKER	<b>2,262</b>	<b>2,969</b>	<b>8,626</b>
LICENSED EDUCATIONAL PSYCHOLOGIST	<b>153</b>	<b>65</b>	<b>777</b>
CONTINUING EDUCATION PROVIDER	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>
LICENSED PROFESSIONAL CLINICAL COUNSELOR	<b>102</b>	<b>101</b>	<b>765</b>
LICENSED PROFESSIONAL CLINICAL COUNSELOR INTERN	<b>702</b>	<b>724</b>	<b>879</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
MARRIAGE AND FAMILY THERAPIST (MFT)	<b>N/A</b>	<b>40,360</b>	<b>N/A</b>
MARRIAGE AND FAMILY INTERN	<b>N/A</b>	<b>19,783</b>	<b>N/A</b>
MFT REFERRAL SERVICE	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>
LICENSED CLINICAL SOCIAL WORKER	<b>N/A</b>	<b>24,197</b>	<b>N/A</b>
ASSOCIATE SOCIAL WORKER	<b>N/A</b>	<b>15,784</b>	<b>N/A</b>
LICENSED EDUCATIONAL PSYCHOLOGIST	<b>N/A</b>	<b>2,195</b>	<b>N/A</b>
LICENSED PROFESSIONAL CLINICAL COUNSELOR	<b>N/A</b>	<b>1,390</b>	<b>N/A</b>
LICENSED PROFESSIONAL CLINICAL COUNSELOR INTERN	<b>N/A</b>	<b>1,940</b>	<b>N/A</b>

## Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
MARRIAGE AND FAMILY THERAPIST (MFT)	<b>EVERY 2 YEARS</b>	<b>36</b>
MARRIAGE AND FAMILY INTERN	<b>EVERY YEAR</b>	<b>N/A</b>
MFT REFERRAL SERVICE	<b>N/A</b>	<b>N/A</b>
LICENSED CLINICAL SOCIAL WORKER	<b>EVERY 2 YEARS</b>	<b>36</b>
ASSOCIATE SOCIAL WORKER	<b>EVERY YEAR</b>	<b>N/A</b>
LICENSED EDUCATIONAL PSYCHOLOGIST	<b>EVERY 2 YEARS</b>	<b>36</b>
CONTINUING EDUCATION PROVIDER	<b>EVERY 2 YEARS</b>	<b>N/A</b>
LICENSED PROFESSIONAL CLINICAL COUNSELOR	<b>EVERY 2 YEARS</b>	<b>36</b>
LICENSED PROFESSIONAL CLINICAL COUNSELOR INTERN	<b>EVERY YEAR</b>	<b>N/A</b>

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
LICENSED CLINICAL SOCIAL WORKER (LCSW) STANDARD	<b>943</b>	<b>693</b>	<b>1,636</b>
LICENSED CLINICAL SOCIAL WORKER CLINICAL VIGNETTE (CV)	<b>855</b>	<b>201</b>	<b>1,056</b>
LICENSED CLINICAL SOCIAL WORKER (LCSW) LAW & ETHICS	<b>2,078</b>	<b>352</b>	<b>2,430</b>
LICENSED EDUCATIONAL PSYCHOLOGIST	<b>48</b>	<b>55</b>	<b>103</b>
MARRIAGE AND FAMILY THERAPIST (MFT) STANDARD	<b>1,349</b>	<b>1,014</b>	<b>2,363</b>
MARRIAGE AND FAMILY THERAPIST CV	<b>1,440</b>	<b>489</b>	<b>1,929</b>
MARRIAGE AND FAMILY THERAPIST (MFT) LAW AND ETHICS	<b>2,801</b>	<b>529</b>	<b>3,330</b>
MARRIAGE AND FAMILY THERAPIST (MFT) CLINICAL	<b>792</b>	<b>155</b>	<b>947</b>
LICENSED PROFESSIONAL CLINICAL COUNSELOR LAW AND ETHICS	<b>151</b>	<b>21</b>	<b>172</b>
<b>TOTALS</b>	<b>10,457</b>	<b>3,519</b>	<b>13,966</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>1,121</b>	RECEIVED
<b>251</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>876</b>	REFERRED FOR INVESTIGATION
<b>207</b>	PENDING

Conviction/Arrest/Notification Complaints	
<b>975</b>	RECEIVED
<b>0</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>105</b>	PENDING

Inspections	
	<b>N/A</b>

Investigations	
<b>2,016</b>	OPENED
<b>2,088</b>	CLOSED
<b>478</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>1,171</b>	UP TO 90 DAYS
<b>503</b>	91 TO 180 DAYS
<b>237</b>	181 DAYS TO 1 YEAR
<b>49</b>	1 TO 2 YEARS
<b>6</b>	2 TO 3 YEARS
<b>22</b>	OVER 3 YEARS
<b>100</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>94</b>	ISSUED
<b>90</b>	ISSUED WITH A FINE
<b>32</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>77</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$174,450</b>	ASSESSED
<b>\$84,800</b>	REDUCED
<b>\$24,750</b>	COLLECTED



## BOARD OF BEHAVIORAL SCIENCES

## Summary of Enforcement Activity continued

## Criminal/Civil Actions

<b>N/A</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>N/A</b>	CRIMINAL ACTIONS FILED
<b>N/A</b>	CIVIL ACTIONS FILED

## Office of the Attorney General/Disciplinary Actions

<b>150</b>	CASES OPENED/INITIATED
<b>137</b>	CASES CLOSED
<b>162</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>14</b>	1 YEAR
<b>60</b>	1 TO 2 YEARS
<b>36</b>	2 TO 3 YEARS
<b>22</b>	3 TO 4 YEARS
<b>5</b>	OVER 4 YEARS
<b>737</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>31</b>	STATEMENTS OF ISSUES FILED
<b>96</b>	ACCUSATIONS FILED
<b>3</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>7</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>6</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes/Final Orders

<b>27</b>	LICENSE APPLICATIONS DENIED
<b>27</b>	REVOCATION
<b>17</b>	SURRENDER OF LICENSE
<b>1</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>57</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>8</b>	OTHER DECISIONS

## Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

<b>11</b>	TOTAL NUMBER FILED
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## Subsequent Disciplinary—Administrative Outcomes/Final Orders

<b>7</b>	REVOCATION
<b>8</b>	SURRENDER OF LICENSE
<b>1</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>1</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>13</b>	GRANTED
<b>6</b>	DENIED
<b>19</b>	TOTAL

## Petition for Reinstatement of Revoked License/Registration/Certification

<b>0</b>	GRANTED
<b>1</b>	DENIED
<b>1</b>	TOTAL

## Cost Recovery to DCA

<b>\$279,857</b>	ORDERED
<b>\$27,004</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>N/A</b>	RESTITUTION ORDERED
<b>N/A</b>	AMOUNT REFUNDED
<b>N/A</b>	REWORK AT NO CHARGE
<b>N/A</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>N/A</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>5</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>98</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Closure of Investigation to Imposing Formal Discipline

<b>466</b>	AVERAGE NUMBER OF DAYS
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## CEMETERY AND FUNERAL BUREAU

# Cemetery/Funeral

Licenses and regulates funeral establishments and funeral directors; embalmers and apprentices; cemetery brokers, sales people, and managers; cremated remains disposers, crematories, and crematory managers; and privately owned cemeteries in California.

## STAFF:

**20.5 civil service**  
**1 exempt**

LICENSES AND  
CERTIFICATIONS:

**12,761**

## BUREAU STAFF:

**Chief: Lisa Moore**  
lisa.moore@dca.ca.gov

**Field Operations Supervisor:**  
**Linda Kassis**  
linda.kassis@dca.ca.gov

**Program Operations Supervisor:**  
**Sandra Patterson**  
sandra.patterson@dca.ca.gov

**Staff Management Auditor:**  
**David Edwards**  
david.edwards@dca.ca.gov

ADVISORY COMMITTEE  
MEMBERSHIP:

**4 licensees**  
**3 public representatives**

[www.cfb.ca.gov](http://www.cfb.ca.gov)

## STRATEGIC PLAN ADOPTED:

**2015**

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 7600 – 7746**

**Title 16, Division 12, California Code of Regulations §§ 1200 – 1291**

**Title 16, Division 23, California Code of Regulations §§ 2300 – 2390**

**Health and Safety Codes §§ 7000 – 9677**

**Health and Safety Codes §§ 102100 – 103800**

**Government Code §§ 27460 – 27530**

**Welfare and Institutions Code §§ 11150 – 11160, §§ 12150 – 12156, §§ 17400 – 17410**

## SUNSET REVIEW:

**Last review: 2015**

**Next Review: 2020**

## ADVISORY COMMITTEE MEMBERS\*:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>CHRISTOPHER DONHOST</b>	AUGUST 2017	Bureau Chief/Professional	NO
<b>DARIN DRABING</b>	AUGUST 2017	Bureau Chief/Professional	NO
<b>JOLENA GRANDE</b>	AUGUST 2017	Bureau Chief/Professional	NO
<b>GERARD REINERT</b>	AUGUST 2017	Bureau Chief/Professional	NO
<b>TRACY HUGHES</b>	AUGUST 2017	Bureau Chief/Public	NO
<b>MARIA MANGINI</b>	AUGUST 2017	Bureau Chief/Public	NO
<b>SUZETTE SHERMAN</b>	AUGUST 2017	Bureau Chief/Public	NO

\* The Bureau's Advisory Committee consists of seven members, including three public members and four professional members. The Bureau Chief appoints all Advisory Committee members.

## RECIPROCITY

The Bureau does not offer reciprocity with other states.



CEMETERY & FUNERAL  
BUREAU

## CEMETERY AND FUNERAL BUREAU

CONTINUED

**MAJOR ACCOMPLISHMENTS**

- As part of its efforts to effectively manage its fiscal resources, the Bureau initiated a fee study and contracted with Capitol Accounting Partners, LLC, to evaluate its current fee structure and prepare a detailed cost analysis of its fees.
- Pursuant to AB 180, the Bureau began a study on the sufficiency of endowment care funds for the future maintenance of licensed cemeteries. The Bureau held a meeting with cemetery stakeholders in November 2015 to begin discussions about the study and subsequently sent out a very comprehensive letter to all cemetery licensees in March 2016 explaining the proposed methodology for the study. A focus group has been established and the Bureau will continue its work on this very important issue with a final report due to the Legislature on January 1, 2018.
- Established target time frames for processing all licensing applications for implementation of the Department of Consumer Affairs' Licensing Performance Measures to efficiently track and report application processing times.
- Appointed a new seven-member Advisory Committee composed of four industry members and three public members. The Bureau selected Committee members from a diverse and representative mix of geographic regions, licensing and consumer advocacy backgrounds, and professional experience to better serve licensees and consumers and meet its regulatory obligations in an open and transparent forum.
- Attended the International Conference of Funeral Service Examining Boards' annual meeting, held in California. At the Conference, the Bureau Chief was recognized for her commitment and leadership to the organization after serving as a committee chairperson for the development of a Model Practice Act for Funeral Service. The Model Practice Act serves as a guideline to the Legislature, regulatory officials, and members of the profession seeking to adopt or amend the laws governing funeral practice.

**MAJOR NEW LEGISLATION OR REGULATIONS***(Extends through the 2016 calendar year)*

- Amended existing funeral regulations to modernize language to reflect current industry standards and practices, clarify terms and definitions, clearly state consumer rights, and simplify regulatory language (California Code of Regulations [CCR] 16, Division 12, Sections 1209, 1214, 1216, 1221, 1255, 1258, 1258.1, 1258.2, and 1258.4).
- Amended existing cemetery regulations to allow the Bureau to issue an administrative citation for a violation of any of its laws or regulations; increased the maximum fine amount to \$5,000 pursuant to statutory authority; increased the minimum and maximum fine amount for unlicensed activity; and updated information on appeal of citations and informal office conferences (CCR 16, Division 23, Sections 2382, 2383, 2384, 2385, 2386, 2386.5, 2387, and 2388).
- AB 180, Bonilla (Chapter 395, Statutes of 2015), subjects the Bureau to a sunset review as if it were set to sunset on January 1, 2020, and includes a merger of the Cemetery Act with the Funeral Directors and Embalmers Law (effective January 1, 2016) and a merger of the Bureau's two separate special funds (effective July 1, 2016). The bill also requires the Bureau conduct a study and report to the Assembly Committee on Business and Professions and the Senate Committee on Business, Professions, and Economic Development by January 1, 2018, on the sufficiency of endowment care funds used by cemeteries for future maintenance.
- AB 181, Bonilla (Chapter 430, Statutes of 2015), requires a funeral establishment that maintains a website to post information about its goods and services with a link from the homepage containing specified key words.
- AB 1658 (Bigelow, Chapter 242, Statutes of 2016) authorizes the Happy Homestead Cemetery District to inter a limited number of nonresidents in cemeteries within their district.

## CEMETERY AND FUNERAL BUREAU

CONTINUED

- SB 1179 (Vidak, Chapter 592, Statutes of 2016) will: 1) establish that within a public cemetery district (District) an interment right is a transferable property interest; 2) require the owner of an interment right, at the time of purchase, to determine a successor owner in writing; and, 3) provide employees or trustees of the District with immunity from liability for any claims, losses, or damages asserted.
- SB 1284 (Hernandez, Chapter 39, Statutes of 2016) will allow a conservator of a person and a conservator of an estate to control the disposition of a decedent's remains when a decedent's specified next of kin fails to act on interment arrangements, or cannot be found.
- SB 1479 (Senate Committee on Business, Professions, and Economic Development, Chapter 634, Statutes of 2016) will require cemetery authorities to adhere to Generally Accepted Accounting Principles in their annual financial reporting on Endowment Care and Special Care Trust Funds.

**FEES\***

Cemetery Salesperson	Actual Fee	Statutory Limit
LICENSE FEE	\$30	\$30
ANNUAL RENEWAL FEE	\$25	\$25

Funeral Director	Actual Fee	Statutory Limit
LICENSE FEE	\$200	\$200
EXAMINATION FEE	\$100	\$100
<b>TOTAL LICENSURE FEE</b>	<b>\$300</b>	–
ANNUAL RENEWAL FEE	200	200

Embalmer	Actual Fee	Statutory Limit
APPLICATION/EXAM/ LICENSE FEE	\$150	\$150
ANNUAL RENEWAL FEE	\$100	\$125

\* The Program licenses additional categories that can be found in Title 16, Division 12, California Code of Regulations § 1257, Title 16, Division 23, California Code of Regulations §§ 2310 – 2324; Business and Professions Code §§ 7729, 7651, 7653, 7672.1, 7729.2 – 7729.8, 7729.10 – 7731, 7712.2, and 7712.9.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
CEMETERY BROKER	18	19	257
CEMETERY MANAGER	21	17	289
CREMATORY MANAGER	30	24	496
EMBALMER	64	45	1,627
FUNERAL DIRECTOR	242	133	2,066
APPRENTICE EMBALMER	79	71	N/A
FUNERAL ESTABLISHMENT	31	34	1,109
CERTIFICATE OF AUTHORITY (CEMETERY)	2	3	196
CREMATORY	7	11	224
CEMETERY SALESPERSON	2,505	1,420	3,288
CREMATED REMAINS DISPOSER	15	13	137

## CEMETERY AND FUNERAL BUREAU

## Summary of Licensing Activity continued

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
CEMETERY BROKER	N/A	260	N/A
CEMETERY MANAGER	N/A	292	N/A
CREMATORY MANAGER	N/A	493	N/A
EMBALMER	N/A	1,603	N/A
FUNERAL DIRECTOR	N/A	2,270	N/A
APPRENTICE EMBALMER	288	N/A	N/A
FUNERAL ESTABLISHMENT	N/A	1,038	N/A
CERTIFICATE OF AUTHORITY (CEMETERY)	N/A	193	N/A
CREMATORY	N/A	226	N/A
CEMETERY SALESPERSON	N/A	4,495	N/A
CREMATED REMAINS DISPOSER	152	N/A	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
CEMETERY BROKER	EVERY YEAR	N/A
CEMETERY MANAGER	EVERY YEAR	N/A
CREMATORY MANAGER	EVERY YEAR	N/A
EMBALMER	EVERY YEAR	N/A
FUNERAL DIRECTOR	EVERY YEAR	N/A

Exams		
PASS	FAIL	TOTAL
248	67	315

## Summary of Enforcement Activity

Consumer Complaints—Intake	
565	RECEIVED
3	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
563	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
161	RECEIVED
161	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
1,277	INSPECTIONS CONDUCTED
78	INSPECTION CITATIONS ISSUED

Investigations	
723	OPENED
738	CLOSED
74	PENDING

Number of Days to Complete Intake and Investigations	
606	UP TO 90 DAYS
95	91 TO 180 DAYS
36	181 DAYS TO 1 YEAR
1	1 TO 2 YEARS
0	2 TO 3 YEARS
0	OVER 3 YEARS
44	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
145	ISSUED
104	ISSUED WITH A FINE
11	WITHDRAWN
0	DISMISSED
35	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$80,754	ASSESSED
\$1,200	REDUCED
\$67,391	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
4	CASES OPENED/INITIATED
19	CASES CLOSED
11	CASES PENDING

## CEMETERY AND FUNERAL BUREAU

## Summary of Enforcement Activity continued

Number of Days to Complete AG Cases	
<b>2</b>	1 YEAR
<b>7</b>	1 TO 2 YEARS
<b>1</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>518</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>4</b>	STATEMENTS OF ISSUES FILED
<b>4</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>2</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>1</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
<b>7</b>	LICENSE APPLICATIONS DENIED
<b>4</b>	REVOCATION
<b>4</b>	SURRENDER OF LICENSE
<b>1</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>10</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

Petition for Modification or Termination of Probation	
<b>0</b>	GRANTED
<b>2</b>	DENIED
<b>2</b>	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
<b>0</b>	GRANTED
<b>8</b>	DENIED
<b>8</b>	TOTAL

Cost Recovery to DCA	
<b>\$35,382</b>	ORDERED
<b>\$26,604</b>	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
<b>\$0</b>	RESTITUTION ORDERED
<b>\$53,291</b>	AMOUNT REFUNDED
<b>\$6,133</b>	REWORK AT NO CHARGE
<b>\$53,483</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$112,907</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
<b>2</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>14</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>446</b>	AVERAGE NUMBER OF DAYS

## BOARD OF CHIROPRACTIC EXAMINERS

# Chiropractic Examiners

Licenses and regulates chiropractors. Registers and certifies chiropractic corporations, referral services, and satellite offices.

## STAFF:

**18 civil service positions**  
**1 exempt**

## LICENSES:

**18,619**

## BOARD STAFF:

**Executive Officer:**

**Robert Puleo**

robert.puleo@dca.ca.gov

## BOARD MEMBERSHIP:

**5 licensees**  
**2 public representatives**

## STRATEGIC PLAN ADOPTED:

**2014**

[www.chiro.ca.gov](http://www.chiro.ca.gov)



## LAWS AND REGULATIONS:

**Business and Professions Code § 1000 – 1058 (Chiropractic Initiative Act)**  
**Title 16, Division 4, California Code of Regulations §§ 301 – 390.6**

## SUNSET REVIEW:

**Last Review: 2013**

**Inoperative/Repeal Date: N/A**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>SERGIO AZZOLINO, D.C. (CHAIR)</b>	FEBRUARY 10, 2020	Governor/Professional	No
<b>HEATHER DEHN, D.C. (VICE CHAIR)</b>	FEBRUARY 10, 2020	Governor/Professional	No
<b>JULIE ELGINER, PH.D. (SECRETARY)</b>	NOVEMBER 3, 2016	Governor/Public	No
<b>COREY LICHTMAN, D.C.</b>	FEBRUARY 10, 2019	Governor/Professional	No
<b>DIONNE MCCLAIN, D.C.</b>	FEBRUARY 10, 2018	Governor/Professional	No
<b>JOHN ROZA JR., D.C.</b>	FEBRUARY 10, 2018	Governor/Professional	No
<b>FRANK RUFFINO</b>	NOVEMBER 3, 2016	Governor/Public	No

**RECIPROCITY (16 CCR § 323)**

To qualify for reciprocity, the applicant must meet the following:

- Graduation from a Board-approved chiropractic college and completion of the minimum number of hours and subjects as required by California law at the time the applicant's license was issued.
- Equivalent successful examination in each of the subjects examined in California in the same year as the applicant was issued a license in the state from which he or she is applying.
- Hold a valid and up-to-date license from the state from which they are seeking reciprocity.
- The state from which they are licensed will reciprocate with California.
- Have completed five years of chiropractic practice.



CONTINUED

**MAJOR ACCOMPLISHMENTS**

- In March 2016, the Board commenced work with the Department of Consumer Affairs' Office of Professional Examination Services on an occupational analysis (OA). The OA will help define the practice of chiropractic in California in terms of actual job tasks that newly licensed chiropractors must be able to perform safely and competently at the time of licensure. The results of the OA will serve as the basis for the development of any new chiropractic licensing examination in California.
- Increased awareness among licensees of the importance of compliance with continuing education (CE) requirements by increasing the amount of disciplinary action taken during Fiscal Year 2015–16. The Board took action on 292 matters related to CE violations, which represents a 20 percent increase over the previous fiscal year.
- Increased presence on social media. The Board has since leveraged multiple social media platforms such as Facebook and Twitter to engage both licensees and the public and disseminate important information related to the Board's roles, laws, and regulations, as well as provide information on Board meetings and events. At the January 2016 Board meeting, the Board's social media efforts culminated with comprehensive social media training for both Board members and staff that would inform all parties of the important role of these platforms and their value toward advancing the mission of the Board.

**MAJOR NEW LEGISLATION OR REGULATIONS***(Extends through the 2016 calendar year)*

- In September 2010, the Governor signed AB 2699 (Bass), which provides an exemption for a healthcare practitioner, licensed or certified in another state, from the licensing requirements of the applicable California healing arts board for those healthcare practitioners who would like to provide services at a sponsored free healthcare event to uninsured or underinsured people on a short-term, voluntary basis. The Board submitted these regulatory provisions to the Office of Administrative Law and received approval of the changes in August 2015.

**FEES\***

Chiropractor	Actual Fee	Statutory Limit
APPLICATION FEE	\$100	\$100
LICENSURE FEE	\$100	\$100
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$200</b>	-
ANNUAL RENEWAL FEE**	\$250	\$250

\* Additional fees may be required per the Chiropractic Initiative Act.

\*\* Statutory increase from \$150 authorized by AB 1996 (Hill, Chapter 539, Statutes of 2010).

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
DOCTOR OF CHIROPRACTIC	<b>330</b>	<b>306</b>	<b>12,858</b>
SATELLITE OFFICES	<b>1,525</b>	<b>1,451</b>	<b>2,751</b>
CHIROPRACTIC CORPORATIONS	<b>99</b>	<b>92</b>	<b>1,357</b>
REFERRAL SERVICES	<b>1</b>	<b>1</b>	<b>N/A</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
DOCTOR OF CHIROPRACTIC	<b>N/A</b>	<b>13,262</b>	<b>N/A</b>
SATELLITE OFFICES	<b>3,995</b>	<b>N/A</b>	<b>N/A</b>
CHIROPRACTIC CORPORATIONS	<b>1,402</b>	<b>N/A</b>	<b>N/A</b>
REFERRAL SERVICES	<b>34</b>	<b>N/A</b>	<b>N/A</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
DOCTOR OF CHIROPRACTIC	<b>EVERY YEAR</b>	<b>24</b>
SATELLITE OFFICES	<b>EVERY YEAR</b>	<b>N/A</b>
CHIROPRACTIC CORPORATIONS	<b>EVERY YEAR</b>	<b>N/A</b>
REFERRAL SERVICES	<b>N/A</b>	<b>N/A</b>

## BOARD OF CHIROPRACTIC EXAMINERS

## Summary of Licensing Activity continued

Exams		
PASS	FAIL	TOTAL
<b>307</b>	<b>140</b>	<b>447</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>477</b>	RECEIVED
<b>0</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>485</b>	REFERRED FOR INVESTIGATION
<b>11</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>67</b>	RECEIVED
<b>70</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

Inspections	
<b>33</b>	INSPECTIONS CONDUCTED
<b>N/A</b>	INSPECTION CITATIONS ISSUED

Investigations	
<b>554</b>	OPENED
<b>629</b>	CLOSED
<b>201</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>295</b>	UP TO 90 DAYS
<b>102</b>	91 TO 180 DAYS
<b>162</b>	181 DAYS TO 1 YEAR
<b>59</b>	1 TO 2 YEARS
<b>7</b>	2 TO 3 YEARS
<b>4</b>	OVER 3 YEARS
<b>173</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>18</b>	ISSUED
<b>16</b>	ISSUED WITH A FINE
<b>9</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>178</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$21,950</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$16,138</b>	COLLECTED

Criminal/Civil Actions	
<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>44</b>	CASES OPENED/INITIATED
<b>43</b>	CASES CLOSED
<b>66</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>13</b>	1 YEAR
<b>22</b>	1 TO 2 YEARS
<b>5</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>3</b>	OVER 4 YEARS
<b>676</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>0</b>	STATEMENTS OF ISSUES FILED
<b>39</b>	ACCUSATIONS FILED
<b>7</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>2</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Summary of Enforcement Activity

### Administrative Outcomes/Final Orders

<b>0</b>	LICENSE APPLICATIONS DENIED
<b>4</b>	REVOCATION
<b>9</b>	SURRENDER OF LICENSE
<b>4</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>4</b>	OTHER DECISIONS

### Petition for Modification or Termination of Probation

<b>2</b>	GRANTED
<b>2</b>	DENIED
<b>4</b>	TOTAL

### Petition for Reinstatement of Revoked License/ Registration/Certification

<b>1</b>	GRANTED
<b>6</b>	DENIED
<b>7</b>	TOTAL

### Cost Recovery to DCA

<b>\$75,783</b>	ORDERED
<b>\$89,544</b>	COLLECTED

### Consumer Restitution to Consumers/Refunds/Savings

<b>N/A</b>	RESTITUTION ORDERED
<b>N/A</b>	AMOUNT REFUNDED
<b>N/A</b>	REWORK AT NO CHARGE
<b>N/A</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>N/A</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

### Receipt of Complaint to Assignment to Investigator

<b>16</b>	AVERAGE NUMBER OF DAYS
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### Investigations: Opening to Closing of Case

<b>173</b>	AVERAGE NUMBER OF DAYS
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### Investigations: Closure of Investigation to Imposing Formal Discipline

<b>920</b>	AVERAGE NUMBER OF DAYS
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## CONTRACTORS STATE LICENSE BOARD

# Contractors

Licenses and regulates contractors in 44 classifications.

## STAFF:

**404.6 civil service positions**  
**1 exempt**

LICENSES, REGISTRATIONS,  
PERMITS, AND  
CERTIFICATIONS:

**302,123**

## BOARD STAFF:

**Registrar: Cindi Christenson**  
cindi.christenson@cslb.ca.gov

**Chief Deputy Registrar:**  
**Cindy Kanemoto**  
cindy.kanemoto@cslb.ca.gov

## BOARD MEMBERSHIP:

**6 professional members**  
**9 public members**

[www.cslb.ca.gov](http://www.cslb.ca.gov)  
[www.ChecktheLicenseFirst.com](http://www.ChecktheLicenseFirst.com)



## STRATEGIC PLAN ADOPTED:

**2016**

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 7000 – 7199.7**

**Title 16, Division 8, California Code of Regulations §§ 810 – 890**

## SUNSET REVIEW:

**Last review: 2014/15      Inoperative/Repeal date: January 1, 2020**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>KEVIN ALBANESE (VICE-CHAIR)</b>	JUNE 1, 2017	Governor/Professional	YES
<b>AGUSTIN BELTRAN (CHAIR)</b>	JUNE 1, 2017	Senate Rules/Public	NO
<b>LINDA CLIFFORD</b>	JUNE 1, 2018	Governor/Professional	YES
<b>DAVID DE LA TORRE</b>	JUNE 1, 2020	Assembly/Public	NO
<b>DAVID DIAS</b>	JUNE 1, 2020	Governor/Labor Rep.	YES
<b>SUSAN GRANZELLA</b>	JUNE 1, 2020	Governor/Public	YES
<b>JOAN HANCOCK</b>	JUNE 1, 2019	Governor/Professional	YES
<b>PASTOR HERRERA JR.</b>	JUNE 1, 2018	Governor/Public	YES
<b>MICHAEL LAYTON</b>	JUNE 1, 2020	Assembly/Public	NO
<b>ED LANG (CHAIR)</b>	JUNE 1, 2018	Governor/Public	YES
<b>MARLO RICHARDSON (SECRETARY)</b>	JUNE 1, 2020	Governor/Public	YES
<b>FRANK SCHETTER</b>	JUNE 1, 2019	Governor/Professional	YES
<b>PAUL SCHIFINO</b>	JUNE 1, 2017	Governor/Professional	YES
<b>JOHNNY SIMPSON</b>	JUNE 1, 2019	Senate Rules/Public	NO
<b>NANCY SPRINGER</b>	JUNE 1, 2017	Governor/Building Official	YES

CONTINUED

**LICENSE REQUIREMENTS (§§ 7065 – 7077, 16 CCR § 825)**

DEGREE/PROFESSIONAL SCHOOLING	NO
EXAMINATION	YES
CONTINUING EDUCATION/COMPETENCY	NO
FINGERPRINTING REQUIREMENT	YES

Applicants must possess:

- Four years of experience in the class applied for at a journey level or as a foreman, supervising employee, contractor, or owner-builder within the 10 years immediately preceding filing of the application.
- Education is not required; however, certain education, such as apprenticeship programs or college-level education, can be used as credit toward some of the experience requirements.

**RECIPROCITY (BPC § 7065.4)**

The Board has reciprocity agreements in place with Arizona, Nevada, and Utah.

Reciprocity requirements are the same for these states:

- The contractor must be applying for a license in a classification that appears on that state's Reciprocal Classifications List.
- The contractor must have held an active license in good standing in one of the reciprocal states for the previous five years.
- The contractor must submit to the Board the Request for Verification of License form that is completed by the licensing entity under which he or she is already licensed.
- The contractor must complete the Application for Original Contractor's License.
- The Certification of Work Experience form 13A-11 must be used to report and confirm the journey-level work experience for the previous five years.

If the Board grants reciprocity to a contractor, it can waive the trade portion of the examination (the contractor still must take the business law exam section); however, the Board retains the right to require the exam.

**MAJOR ACCOMPLISHMENTS**

- Partnered with other agencies at Local Assistance Centers to offer recovery assistance and information to affected property owners in the aftermath of drought-driven wildfires throughout the state, and conducted sweeps of these areas to warn off unlicensed contractors.
- Implemented process improvements that reduced processing times for new licensure exam applications from eight weeks to approximately two weeks.
- Implemented the provisions of SB 561, which simplified the Home Improvement Salesperson (HIS) registration process so that through a single registration, an HIS can work for multiple employers. This required significant modifications to CSLB's previous method of registering, tracking, and assigning registered salespersons to a licensed home improvement contractor.
- Established a Solar Task Force to identify and combat issues facing consumers in the growing solar industry, especially those related to a lack of specificity in solar contracts, exploitation of consumer confidence about savings when systems perform below expectations, and complex, and at times unlawful, finance agreements.
- Provided assistance to military veterans seeking licensure by referring such individuals to specially trained staff to assist with transferable military training and experience, and offering priority processing.
- Organized and conducted more than 170 outreach events, produced or coproduced 10 webcasts, began live captioning of Board and committee meeting webcasts to comply with Americans with Disabilities Act requirements, and expanded CSLB's social media presence to include Facebook, Twitter, YouTube, LinkedIn, and Flickr.
- Expanded e-payment for contractors who wish to pay licensing fees with credit cards to now include Sacramento, Fresno, Norwalk, and San Diego, and added enhanced security to all public computers that are used for this process to avoid potential security breaches.

## CONTRACTORS STATE LICENSE BOARD

## CONTINUED

- Sponsored, along with the California District Attorneys Association, a training session about prosecuting construction-related cases for more than 80 consumer law practitioners and investigators representing multiple agencies.
- Met with more than 50 building officials throughout the state to jointly increase building permit enforcement.
- Developed and implemented strategies to increase compliance with workers' compensation insurance requirements, which resulted in the cancellation of 311 exemptions and the acquisition of 113 new policies among licensees.
- The Statewide Investigative Fraud Team responded to 1,300 leads, 23 percent of which led to legal actions, and conducted 91 stings that resulted in the issuance of 631 Notices to Appear (NTAs) in criminal court. (An NTA is a court order mandating an individual's presence at a hearing, on a specified date, to answer to a misdemeanor charge.)

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- SB 467 (Hill, Chapter 656, Statutes of 2015) extends the sunset date for CSLB until January 1, 2020; eliminates the existing \$2,500 capital requirement; and increases the amount of the required contractor's bond from \$12,500 to \$15,000.
- Sponsored SB 560 (Monning, Chapter 389, Statutes of 2015), which authorizes CSLB Enforcement Representatives to issue a written NTA to individuals who fail to secure workers' compensation insurance.
- Sponsored SB 561 (Monning, Chapter 281, Statutes of 2015), which eliminates the requirement that an HIS separately register to work for each contractor and, instead, allows a properly registered HIS to use his or her individual registration with one or more licensed contractors.
- AB 1793 (Holden, Chapter 1793, Statutes of 2016) will update criteria used by the courts to determine whether there has been substantial compliance with licensure requirements when deciding on recovery actions involving contractors.
- AB 2486 (Baker, Chapter 270, Statutes of 2016) will require the Board to enhance its existing online licensee lookup to include a function that allows consumers to search for licensed contractors by geographic location or zip code. This bill requires the Board to launch the search feature no later than January 1, 2019.
- SB 465 (Hill, Chapter 372, Statutes of 2016) will authorize the Board to enter into an interagency agreement with any state or local agency the Board deems to have information that would improve the Board's ability to meet its consumer protection mandate. In addition, this bill will require Board licensees to report conviction of any felony or any crime substantially related to the duties of a licensed contractor to the Board within 90 days. Finally, this bill will require the Board to conduct a study for submission to the Legislature by January 1, 2018, to determine whether reporting of settlements, judgements, or arbitration awards against a licensee would positively affect the Board's ability to protect the public.
- SB 661 (Hill, Chapter 809, Statutes of 2016) will create the California Underground Facilities Safe Excavation Board (Excavation Board) within the Office of the State Fire Marshal, to coordinate education and outreach, develop best practices, and investigate violations of Article 2, Chapter 3.1, of the Government Code (one-call law). This bill will also authorize the Board to enforce the one-call law based on findings and recommendations of the Excavation Board and requires any funds received from citations to be deposited into the Safe Energy Infrastructure and Excavation Fund (Fund), which this bill creates.
- SB 1039 (Hill, Chapter 799, Statutes of 2016) will raise the statutory maximums for Board licensing fees and place minimum fee amount in statute, thereby increasing actual fees beginning July 1, 2017.
- SB 1209 (Morrell, Chapter 152, Statutes of 2016) will expand the Board's existing citation disclosure

## CONTRACTORS STATE LICENSE BOARD

CONTINUED

requirements by requiring the Board's website to include a disclosure on any individual's license or any individual who is listed on the original license subject to the citation. This bill will also extend the disclosure to contractors if they obtain a new license number or join another license.

- SB 1414 (Wolk, Chapter 678, Statutes of 2016) will require the Public Utilities Commission, in consultation with the Board, building officials, and other stakeholders, to develop a system to track heating, ventilation, and air conditioning system purchases and installations statewide and verify compliance with permitting, inspection, and equipment testing requirements.

**FEES\***

Contractor	Actual Fee	Statutory Limit
APPLICATION FEE (INCLUDES EXAM FEE)	\$300	\$300
INITIAL LICENSE FEE	\$180	\$180
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$480</b>	-
ADDITIONAL CLASSIFICATION FEE	\$75	\$75
BIENNIAL RENEWAL FEE	\$360	\$360

\* Some additional fees may be required per Business and Professions Code § 7137.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ORIGINAL CONTRACTOR LICENSE	<b>20,778</b>	<b>12,793</b>	<b>118,387</b>
HOME IMPROVEMENT SALESPERSON REGISTRATION	<b>12,421</b>	<b>7,438</b>	<b>2,068</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ORIGINAL CONTRACTOR LICENSE	<b>3,980</b>	<b>282,768</b>	<b>N/A</b>
HOME IMPROVEMENT SALESPERSON REGISTRATION	<b>N/A</b>	<b>15,375</b>	<b>N/A</b>

**Renewal and Continuing Education (CE)**

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
ORIGINAL CONTRACTOR LICENSE	<b>EVERY 2 YEARS</b>	<b>N/A</b>
HOME IMPROVEMENT SALESPERSON REGISTRATION	<b>EVERY 2 YEARS</b>	<b>N/A</b>

**Exam Results**

EXAM TITLE	PASS	FAIL	TOTAL
LAW AND BUSINESS	<b>7,725</b>	<b>5,720</b>	<b>13,445</b>
GENERAL ENGINEERING (A)	<b>403</b>	<b>240</b>	<b>643</b>
GENERAL CONTRACTOR (B)	<b>2,485</b>	<b>3,085</b>	<b>5,570</b>
ASBESTOS CERTIFICATION	<b>23</b>	<b>14</b>	<b>37</b>
HAZARDOUS CERTIFICATION	<b>52</b>	<b>31</b>	<b>83</b>
INSULATION AND ACOUSTICAL (C-2)	<b>52</b>	<b>83</b>	<b>135</b>
BOILER, HOT-WATER HEATING, AND STEAM FITTING (C-4)	<b>19</b>	<b>30</b>	<b>49</b>
FRAMING AND ROUGH CARPENTRY (C-5)	<b>54</b>	<b>82</b>	<b>136</b>
CABINET, MILLWORK, AND FINISH CARPENTRY (C-6)	<b>168</b>	<b>217</b>	<b>385</b>
LOW VOLTAGE SYSTEMS (C-7)	<b>224</b>	<b>213</b>	<b>437</b>
CONCRETE (C-8)	<b>221</b>	<b>286</b>	<b>507</b>
DRYWALL (C-9)	<b>79</b>	<b>206</b>	<b>285</b>
ELECTRICAL (C-10)	<b>781</b>	<b>509</b>	<b>1,290</b>
ELEVATOR (C-11)	<b>4</b>	<b>17</b>	<b>21</b>
EARTHWORK AND PAVING (C-12)	<b>65</b>	<b>123</b>	<b>188</b>
FENCING (C-13)	<b>60</b>	<b>78</b>	<b>138</b>
FLOORING (C-15)	<b>260</b>	<b>263</b>	<b>523</b>
FIRE PROTECTION (C-16)	<b>44</b>	<b>84</b>	<b>128</b>



## CONTRACTORS STATE LICENSE BOARD

Exam Results (continued)			
EXAM TITLE	PASS	FAIL	TOTAL
GLAZING (C-17)	103	113	216
WARM-AIR HEATING, VENTILATING, AND AIR CONDITIONING (C-20)	335	307	642
BUILDING MOVING/DEMOLITION (C-21)	72	73	145
ASBESTOS ABATEMENT (C-22)	104	74	178
ORNAMENTAL METAL (C-23)	55	49	104
LANDSCAPING (C-27)	463	704	1,167
LOCK AND SECURITY EQUIPMENT (C-28)	11	29	40
MASONRY (C-29)	59	145	204
CONSTRUCTION ZONE TRAFFIC CONTROL (C-31)	17	15	32
PARKING AND HIGHWAY IMPROVEMENT (C-32)	20	34	54
PAINTING AND DECORATING (C-33)	632	602	1,234
PIPELINE (C-34)	15	8	23
LATHING AND PLASTERING (C-35)	58	75	133
PLUMBING (C-36)	533	372	905
REFRIGERATION (C-38)	45	30	75
ROOFING (C-39)	181	184	365
SANITATION SYSTEM (C-42)	22	70	92
SHEET METAL (C-43)	30	17	47
SIGN (C-45)	46	18	64
SOLAR (C-46)	79	105	184
MANUFACTURED HOUSING (C-47)	4	26	30
REINFORCING STEEL (C-50)	11	26	37
STRUCTURAL STEEL (C-51)	59	66	125
SWIMMING POOL (C-53)	68	82	150
TILE (C-54)	219	283	502
WATER CONDITIONING (C-55)	12	17	29
WELL DRILLING (C-57)	66	39	105
WELDING (C-60)	65	53	118
<b>TOTAL</b>	<b>16,103</b>	<b>14,897</b>	<b>31,000</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
17,437	RECEIVED
122	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
17,315	REFERRED FOR INVESTIGATION
1,326	PENDING

Conviction/Arrest Notification Complaints	
1,253	RECEIVED
1,223	CLOSED/REFERRED FOR INVESTIGATION
187	PENDING

Inspections	
0	INSPECTIONS CONDUCTED
0	INSPECTION CITATIONS ISSUED

Investigations	
18,690	OPENED
19,745	CLOSED
4,071	PENDING

Number of Days to Complete Intake and Investigations	
13,174	UP TO 90 DAYS
3,296	91 TO 180 DAYS
3,128	181 DAYS TO 1 YEAR
145	1 TO 2 YEARS
0	2 TO 3 YEARS
2	OVER 3 YEARS
88.2	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
2,191	ISSUED
2,191	ISSUED WITH A FINE
65	WITHDRAWN
9	DISMISSED
172.8	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$3,957,801	ASSESSED
\$820,970	REDUCED
\$2,067,344	COLLECTED

## CONTRACTORS STATE LICENSE BOARD

## Summary of Enforcement Activity continued

## Criminal/Civil Actions

<b>1,394</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>NDA*</b>	CRIMINAL ACTIONS FILED
<b>N/A</b>	CIVIL ACTIONS FILED
* No data available.	

## Office of the Attorney General/Disciplinary Actions

<b>652</b>	CASES OPENED/INITIATED
<b>350</b>	CASES CLOSED
<b>646</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>167</b>	1 YEAR
<b>124</b>	1 TO 2 YEARS
<b>43</b>	2 TO 3 YEARS
<b>9</b>	3 TO 4 YEARS
<b>7</b>	OVER 4 YEARS
<b>832</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>108</b>	STATEMENTS OF ISSUES FILED
<b>275</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>15</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>18</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes/Final Orders

<b>59</b>	LICENSE APPLICATIONS DENIED
<b>251</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>14</b>	SUSPENSION ONLY
<b>87</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>62</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Petition for Reinstatement of Revoked License/Registration/Certification

<b>NDA*</b>	GRANTED
<b>NDA*</b>	DENIED
<b>NDA*</b>	TOTAL
* No data available.	

## Cost Recovery to DCA

<b>\$1,562,733</b>	ORDERED
<b>\$267,267</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$2,609,457</b>	RESTITUTION ORDERED
<b>\$36,972,300</b>	AMOUNT REFUNDED
<b>\$1,117,170</b>	REWORK AT NO CHARGE
<b>N/A</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$40,698,927</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>2</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>88</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Closure of Investigation to Imposing Formal Discipline

<b>841</b>	AVERAGE NUMBER OF DAYS
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## COURT REPORTERS BOARD OF CALIFORNIA

# Court Reporters

Licenses and regulates certified shorthand reporters, also known as court reporters, and oversees California's schools of court reporting. Also manages the Transcript Reimbursement Fund, which reimburses costs of transcripts for low-income litigants.

## STAFF:

**3.5 civil service**  
**2 temporary**  
**1 exempt**

## LICENSES:

**6,842**

## BOARD STAFF:

**Executive Officer:**  
**Yvonne K. Fenner**  
 yvonne.fenner@dca.ca.gov

## BOARD MEMBERSHIP:

**2 licensees**  
**3 public representatives**

## STRATEGIC PLAN ADOPTED:

**2015**

[www.courtreportersboard.ca.gov](http://www.courtreportersboard.ca.gov)



**COURT REPORTERS BOARD**  
 OF CALIFORNIA

## LAWS AND REGULATIONS:

**Business and Professions Codes §§ 8000 – 8047**

**Title 16, Division 24, California Code of Regulations §§ 2400 – 2481**

## SUNSET REVIEW:

**Last Review: 2016**

**Next Review: 2019**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>DAVINA HURT (CHAIR)</b>	JUNE 1, 2019	Assembly/Public	NO
<b>ROSALIE KRAMM</b>	JUNE 1, 2017	Governor/Professional	YES
<b>ELIZABETH LASENSKY</b>	JUNE 1, 2019	Senate/Public	NO
<b>CARRIE J. NOCELLA</b>	JUNE 1, 2020	Governor/Public	YES
<b>TONI O'NEILL</b>	JUNE 1, 2017	Governor/Professional	YES

## MAJOR ACCOMPLISHMENTS

- Through multiple task force groups, the Board approved 10 Best Practice Pointer documents. These documents are not regulations or statutorily mandated, but are a way for the Board to provide guidance on situations not expressly set out in statute or regulation. Although the pointers may be used as a guide by licensees, the Board will not use them as a basis for discipline or enforcement of any type. Having a well-informed court reporting workforce helps consumers receive the best possible record of their proceedings.
- Held two town hall meetings with industry stakeholders to discuss concerns regarding the Southern California stipulation, a longstanding stipulation used by attorneys in Southern California whereby they stipulate to relieve the court reporter of his/her duties under the California Code of Civil Procedure. As a result of the town hall meetings, the Board agreed to make efforts to educate attorneys on the benefits of adhering to the code.
- Secured funding for an occupational analysis to be conducted. Working with its sister agency, the Office of Professional Examination Services, the Board has set dates to begin the process.

CONTINUED

**MAJOR NEW LEGISLATION OR REGULATIONS***(Extends through the 2016 calendar year)*

- A technical correction was made to the Scope of Practice regulation to align more accurately with the Code of Civil Procedure statutes governing the practice of court reporting.
- AB 2192 (Salas, Chapter 567, Statutes of 2016) will extend the sunset date of the Court Reporters Board, its authority to appoint an executive officer, and the Transcript Reimbursement Fund to January 1, 2020. This bill will also increase the Board's statutory license fee limit to \$250 and require the Board to submit a report to the Legislature by November 1, 2018, regarding the condition of the Court Reporters' Fund, the Transcript Reimbursement Fund, and alternative funding sources to cover the costs associated with transcripts provided to low-income litigants.
- SB 1007 (Wieckowski, Chapter 626, Statutes of 2016) will establish the right of a party to an arbitration to have a certified shorthand reporter transcribe any deposition, proceeding, or hearing at the expense of the party requesting the transcript, with limited exceptions.

**FEES\***

Certified Shorthand Reporter	Actual Fee	Statutory Limit
APPLICATION FEE	\$40	\$40
INITIAL LICENSURE FEE	\$125	\$125
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$165</b>	-
ANNUAL RENEWAL FEE	\$125	\$125

\* Some additional fees may be required per Business and Professions Code § 8031.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
CERTIFIED SHORTHAND REPORTER	<b>73</b>	<b>72</b>	<b>6,770</b>

**Licensing Population by Type**

TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
CERTIFIED SHORTHAND REPORTER	<b>N/A</b>	<b>6,842</b>	<b>N/A</b>

**Renewal and Continuing Education (CE)**

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
CERTIFIED SHORTHAND REPORTER	<b>EVERY YEAR</b>	<b>0</b>

**Exam Results**

EXAM TITLE	PASS	FAIL	TOTAL
DICTATION	<b>62</b>	<b>317</b>	<b>379</b>
ENGLISH	<b>144</b>	<b>124</b>	<b>268</b>
PROFESSIONAL PRACTICE	<b>113</b>	<b>100</b>	<b>213</b>
<b>TOTALS</b>	<b>319</b>	<b>541</b>	<b>860</b>

**Summary of Enforcement Activity****Consumer Complaints—Intake**

<b>112</b>	RECEIVED
<b>0</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>112</b>	REFERRED FOR INVESTIGATION
<b>25</b>	PENDING

**Conviction/Arrest Notification Complaints**

<b>1</b>	RECEIVED
<b>1</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

**Investigations**

<b>112</b>	OPENED
<b>128</b>	CLOSED
<b>25</b>	PENDING

**Criminal/Civil Actions**

<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

## COURT REPORTERS BOARD OF CALIFORNIA

## Summary of Enforcement Activity continued

## Number of Days to Complete Intake and Investigations

<b>93</b>	UP TO 90 DAYS
<b>30</b>	91 TO 180 DAYS
<b>4</b>	181 DAYS TO 1 YEAR
<b>1</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>74</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

## Citations and Fines

<b>16</b>	ISSUED
<b>16</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>2</b>	DISMISSED
<b>64</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## Total Amount of Fines

<b>\$18,000</b>	ASSESSED
<b>\$2,550</b>	REDUCED
<b>\$10,602.50</b>	COLLECTED

## Office of the Attorney General/Disciplinary Actions

<b>8</b>	CASES OPENED/INITIATED
<b>3</b>	CASES CLOSED
<b>10</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>1</b>	1 YEAR
<b>1</b>	1 TO 2 YEARS
<b>1</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>570</b>	AVERAGE NUMBER DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>1</b>	STATEMENTS OF ISSUES FILED
<b>2</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes/Final Orders

<b>1</b>	LICENSE APPLICATIONS DENIED
<b>0</b>	REVOCATION
<b>2</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Petition for Reinstatement of Revoked License/Registration/Certification

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Cost Recovery to DCA

<b>\$0</b>	ORDERED
<b>\$7,594.99</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$981.88</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$981.88</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>1</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>74</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Closure of Investigation to Imposing Formal Discipline

<b>503</b>	AVERAGE NUMBER OF DAYS
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## DENTAL BOARD OF CALIFORNIA

# Dentistry

Licenses and regulates dentists, registered dental assistants, registered dental assistants in extended functions, and holders of orthodontic assistant and dental sedation assistant permits.

## STAFF:

**72.8 civil service**  
**1 exempt**

## LICENSES AND PERMITS:

**97,139 licenses**  
**17,380 permits**

## BOARD STAFF:

**Executive Officer:****Karen Fischer**

karen.fischer@dca.ca.gov

**Assistant Executive Officer:****Sarah Wallace**

sarah.wallace@dca.ca.gov

## BOARD MEMBERSHIP:

**9 dental professionals**  
**5 public representatives**

## STRATEGIC PLAN ADOPTED:

**2016**[www.dbc.ca.gov](http://www.dbc.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 160 – 1808, §§ 1970 – 1976****Title 16, Division 10, California Code of Regulations §§ 1000 – 1087**

## SUNSET REVIEW:

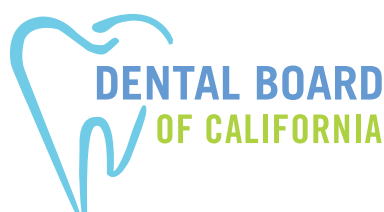
**Last review: 2015****Inoperative date: January 1, 2020**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>STEVEN AFRIAT (SECRETARY)</b>	JANUARY 1, 2017	Assembly/Public	NO
<b>FRAN BURTON, MSW</b>	JANUARY 1, 2017	Senate/Public	NO
<b>YVETTE CHAPPELL-INGRAM</b>	JANUARY 1, 2016	Governor/Public	NO
<b>STEVEN CHAN, DDS</b>	JANUARY 1, 2021	Governor/Professional	NO
<b>KATIE DAWSON, RDHAP</b>	JANUARY 1, 2017	Governor/Professional	NO
<b>JUDITH FORSYTHE, RDA (VICE PRESIDENT)</b>	JANUARY 1, 2017	Governor/Professional	NO
<b>KATHLEEN KING</b>	JANUARY 1, 2018	Governor/Public	NO
<b>ROSS LAI, DDS</b>	JANUARY 1, 2017	Governor/Professional	NO
<b>HUONG LE, DDS</b>	JANUARY 1, 2019	Governor/Professional	NO
<b>MEREDITH MCKENZIE, ESQ.</b>	JANUARY 1, 2016	Governor/Public	NO
<b>STEVEN MORROW, DDS (PRESIDENT)</b>	JANUARY 1, 2018	Governor/Professional	NO
<b>THOMAS STEWART, DDS</b>	JANUARY 1, 2017	Governor/Professional	NO
<b>BRUCE L. WHITCHER, DDS</b>	JANUARY 1, 2019	Governor/Professional	NO
<b>DEBRA WOO, DDS</b>	JANUARY 1, 2017	Governor/Professional	NO

## LICENSEE STATISTICS:

Licensee Category	Number of Licensees
DENTIST (DDS)	51,357
REGISTERED DENTAL ASSISTANT (RDA)	44,165
RDA IN EXTENDED FUNCTIONS (RDAEF)	1,617
<b>TOTAL LICENSEES</b>	<b>97,139</b>



## DENTAL BOARD OF CALIFORNIA

CONTINUED

**MAJOR ACCOMPLISHMENTS**

- Successfully completed the Sunset Review process. The Board's operational authority was extended until January 1, 2020.
- Worked in conjunction with DCA's Office of Professional Examination Services to conduct an occupational analysis of the registered dental assistant and registered dental assistant in extended functions professions. This information will be utilized to update written and practical examinations in order to ensure licensure candidates possess the knowledge, skills, and abilities to fulfill dental assisting duties safely.
- Notified licensees who have an active dental license and possess a Drug Enforcement Administration license of the requirement to register with the Department of Justice for the Controlled Substance Utilization Review and Evaluation System (CURES) prior to July 1, 2016. It is anticipated that this will be a tool utilized by prescribers to stop "doctor shopping" by patients who have drug addictions. The Board's role in this will help reduce substance abuse and aid in keeping consumers healthy.
- Approved the application for a foreign dental school located in the Republic of Moldova. A site evaluation will be conducted in order to ensure that dental students who complete the study of dentistry at this foreign dental school are held to equivalent educational standards as those required in the United States.
- Implemented the Department of Consumer Affairs' (Department's) new online licensing and enforcement BreEZe system. BreEZe expanded the ability of applicants and licensees to submit applications online and to pay renewal fees for instant processing, which cut down the Board's processing times. It integrates enforcement and licensing data to more effectively protect consumers. It aids with disciplinary action checks and allows the Board to see if a licensee holds another license with a different board or bureau. BreEZe also provides consumers the ability to verify a license, submit online complaints, and sign up for alerts about a dental provider's license.

**MAJOR NEW LEGISLATION OR REGULATIONS***(Extends through the 2016 calendar year)*

- AB 179 (Bonilla, Chaptered 510, Statutes of 2015)

extended the licensing, regulatory, and enforcement authority of the Board until January 1, 2020, and made several amendments to provisions in the Dental Practice Act (DPA), including but not limited to, increases in the statutorily authorized fee maximums relating to dentist and dental assistant licensure and permitting, and collection of e-mail addresses. Additionally, this bill provides that it is not professional misconduct if a healing arts licensee engages in consensual sexual conduct with his or her spouse when that licensee provides medical treatment.

- AB 502 (Chau, Chapter 516, Statutes of 2015) amends the Dental Hygiene Practice Act and the Moscone-Knox Professional Corporation Act; authorizes a registered dental hygienist in alternative practice to incorporate with licensed dentists, registered dental assistants, registered dental hygienists, registered dental hygienists in extended functions, and other registered dental hygienists in alternative practice; and requires licensees to practice within the scope of license.
- AB 880 (Ridley-Thomas, Chapter 409, Statutes of 2015) authorizes students enrolled in their final year at a California dental school, approved by the Board, to practice dentistry under the supervision of licensed dentists at sponsored free healthcare events.
- SB 800 (Senate Business, Professions, and Economic Development Committee, Chapter 426, Statutes of 2015) made noncontroversial minor, nonsubstantive, or technical changes to various provisions pertaining to the health-related regulatory boards of the Department. This bill updates language to replace the "Board of Dental Examiners" with the "Dental Board of California" for consistency on how the Board is referenced. Additionally, this bill states that the Dental Hygiene Committee of California (DHCC) is a separate entity from the Board; states that DHCC must separately create and maintain a central file of the names of persons who hold a license, certificate, or similar authority; removes a deadline date of January 1, 2010; and repeals fee for examination for licensure as a registered dental hygienist for third- and fourth-year dental students.
- AB 2235 (Thurmond, Chapter 519, Statutes of 2016) will enact "Caleb's Law" and direct the Board to study pediatric injury and death resulting



## CONTINUED

from dental procedures using anesthesiology and sedation; determine whether current law sufficiently protects dental patients; and report these findings to the Legislature. This bill will also require dental care providers to include additional information when reporting a patient's death or hospital transfer to the Board. Additionally, this bill will require providers to include a disclosure regarding the potential risks in the administration of general anesthesia and sedation on minors on a patient's written informed consent.

- AB 2331 (Dababneh, Chapter 572, Statutes of 2016) will allow out-of-state candidates seeking licensure as a dentist to satisfy examination requirements by passing an examination administered by the American Board of Dental Examiners, Inc. (Dental Examiners). This bill will require the Department to conduct a full review and certification of the new Dental Examiners exam before the Board may offer this option. Additionally, this bill will require the Dental Examiners to pay all reasonable costs related to certification, and specifies that the state may accept donations on behalf of Dental Examiners to reimburse those expenses.
- AB 2485 (Santiago, Chapter 575, Statutes of 2016) will modify the California Dental Corps Loan

Repayment Program (Program) by revising how the Board administers the Program and selects recipients. This bill will also increase the first-year payout amount for recipients from \$25,000 to \$35,000 and revises the schedule of payments. Additionally, this bill will require the Board to report to the Legislature about the effectiveness of the Program at its next sunset review.

- SB 1478 (Senate Committee on Business, Professions, and Economic Development, Chapter 489, Statute of 2016) is the noncontroversial omnibus bill. This bill will delete the language referring to the "Part I and Part II written examinations" of the National Board of Dental Examination of the Joint Commission on National Dental Examinations. This bill will also authorize the Board to exempt licensees issued a license that has been placed in a retired or inactive statutes per statute or regulation from the \$6 annual CURES fee, beginning July 1, 2017. This exemption will not apply to licensees whose license has been placed in a retired or inactive status if the licensee is authorized to prescribe order, administer, furnish, or dispense Schedule II, Schedule III, or Schedule IV controlled substances.

## FEES

Dentist <sup>1</sup> (Licensure by WREB <sup>2</sup> Examination)	Actual Fee	Statutory Limit
APPLICATION FEE	\$100	\$1,000
INITIAL LICENSE FEE*	\$525	\$650
DENTAL LAW AND ETHICS EXAM	\$23	Set by PSI <sup>3</sup>
WREB EXAMINATION	\$2,185 – \$2,420	Set by WREB
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$2,833 – \$3,068</b>	
BIENNIAL RENEWAL FEE	\$525 + \$12 <sup>4</sup>	\$650

Dentist (Licensure by Portfolio Examination)	Actual Fee	Statutory Limit
INITIAL LICENSE FEE*	\$525	\$650
PORTFOLIO EXAMINATION FEE	\$350	\$1,500
DENTAL LAW AND ETHICS EXAM	\$23	Set by PSI
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$898</b>	
BIENNIAL RENEWAL FEE	\$525 + \$12	\$650*

Dentist (Licensure by Residency)	Actual Fee	Statutory Limit
APPLICATION FEE	\$100	\$1,000
INITIAL LICENSE FEE*	\$525	\$650**
DENTAL LAW AND ETHICS EXAM	\$23	Set by PSI
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$648</b>	
BIENNIAL RENEWAL FEE	\$525 + \$12	\$650

<sup>1</sup>Applicant also required to pass Parts I and II of the National Board Dental Examination, per Business and Professions Code § 1632.

<sup>2</sup>WREB is the Western Regional Examining Board and sets its exam fee based on testing location costs.

<sup>3</sup>PSI (Psychological Services Inc.) is the testing vendor for WREB, special permit fees, retirement status fees, disability status fees and fictitious permit fees are not included.

<sup>4</sup>SB 809 (DeSaulnier, Chapter 400, Statutes of 2013) requires controlled substance prescribers to pay an annual fee of \$6 upon renewal.

\*Initial license fee prorated. AB 179 (Bonilla, Chapter 510, Statutes of 2015) authorizes the Board to raise specified fees.

\*\*Fingerprint card costs of \$49 are not included if the applicant does not have Live Scan fingerprints.

## DENTAL BOARD OF CALIFORNIA

Dentist (Licensure by Credential)	Actual Fee	Statutory Limit
INITIAL LICENSE FEE*	\$525	\$650
LICENSURE BY CREDENTIAL FEE	\$283	Established by the Board pursuant to California Code of Regulations §1021
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$808</b>	
BIENNIAL RENEWAL FEE	\$525 + \$12	\$650*

\*Initial license fee prorated. AB 179 (Bonilla, Chapter 510, Statutes of 2015) authorizes the Board to raise specified fees.

Registered Dental Assistant (RDA)	Actual Fee	Statutory Limit
APPLICATION FEE	\$20	\$200
PRACTICAL EXAMINATION FEE	\$60	Actual Cost of the Exam
WRITTEN EXAMINATION FEE	\$39	Set by PSI
CALIFORNIA LAW AND ETHICS EXAM FEE	\$23	Actual Cost of Exam Set by PSI
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$146</b>	
BIENNIAL RENEWAL FEE	\$70	\$200

Registered Dental Assistant in Extended Functions* (RDAEF)	Actual Fee	Statutory Limit
APPLICATION FEE	\$20	\$200
PRACTICAL EXAMINATION FEE	\$250	Actual cost of exam
WRITTEN EXAMINATION FEE	\$39	Set by PSI
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$293</b>	
BIENNIAL RENEWAL FEE	\$70	\$200

\*The RDAEF category includes RDAs with orthodontic assistant permits and RDAs with dental sedation assistant permits. Unregistered dental assistants authorized pursuant to Business and Professions Code § 1750 are not included.

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ADDITIONAL OFFICE PERMIT	303	163	688
CONSCIOUS SEDATION PERMIT	7	7	76
DENTIST (DDS) LICENSE	1,032	962	11,606
ELECTIVE FACIAL COSMETIC SURGERY	3	0	12
FICTITIOUS NAME PERMIT	650	646	2,646
GENERAL ANESTHESIA (GA) PERMIT	46	43	186

MOBILE DENTAL CLINIC	1	1	1
MEDICAL GENERAL ANESTHESIA PERMIT	0	0	3
ORAL CONSCIOUS SEDATION CERTIFICATE	426	426	993
ORAL AND MAXILLOFACIAL SURGERY PERMIT	0	0	12
REGISTERED PROVIDER	20	20	404
SPECIAL PERMIT	1	1	0
REGISTERED DENTAL ASSISTANT (RDA)	5,205	2,106	23,250
RDA IN EXTENDED FUNCTIONS (RDAEF)	100	63	247
ORTHODONTIC ASSISTANT (OA)	268	92	208
DENTAL SEDATION ASSISTANT (DSA)	4	1	5

## Licensing Population by Type

TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ADDITIONAL OFFICE PERMIT	3,001	N/A	N/A
CONSCIOUS SEDATION PERMIT	557	N/A	N/A
DENTIST LICENSE	N/A	41,083	N/A
ELECTIVE FACIAL COSMETIC SURGERY	29	N/A	N/A
FICTITIOUS NAME PERMIT	28,400	N/A	N/A
GENERAL ANESTHESIA PERMIT	911	N/A	N/A
MOBILE DENTAL CLINIC	72	N/A	N/A
MEDICAL GENERAL ANESTHESIA PERMIT	116	N/A	N/A
ORAL CONSCIOUS SEDATION CERTIFICATE	3,003	N/A	N/A
ORAL AND MAXILLOFACIAL SURGERY PERMIT	93	N/A	N/A
REGISTERED PROVIDER	1,858	N/A	N/A
SPECIAL PERMIT	50	N/A	N/A
REGISTERED DENTAL ASSISTANT (RDA)	N/A	45,494	N/A
RDA IN EXTENDED FUNCTIONS (RDAEF)	N/A	1,619	N/A
ORTHODONTIC ASSISTANT (OA)	535	N/A	N/A
DENTAL SEDATION ASSISTANT (DSA)	37	N/A	N/A

## Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
ADDITIONAL OFFICE PERMIT	<b>EVERY 2 YEARS</b>	<b>0</b>
CONSCIOUS SEDATION PERMIT	<b>EVERY 2 YEARS</b>	<b>15</b>
DENTIST (DDS) LICENSE	<b>EVERY 2 YEARS</b>	<b>50</b>
ELECTIVE FACIAL COSMETIC SURGERY	<b>EVERY 2 YEARS</b>	<b>0</b>
FICTITIOUS NAME PERMIT	<b>EVERY 2 YEARS</b>	<b>0</b>
GENERAL ANESTHESIA PERMIT	<b>EVERY 2 YEARS</b>	<b>24</b>
MOBILE DENTAL CLINIC	<b>EVERY 2 YEARS</b>	<b>0</b>
MEDICAL GENERAL ANESTHESIA PERMIT	<b>EVERY 2 YEARS</b>	<b>24</b>
ORAL CONSCIOUS SEDATION CERTIFICATE	<b>EVERY 2 YEARS</b>	<b>7</b>
ORAL AND MAXILLOFACIAL SURGERY PERMIT	<b>EVERY 2 YEARS</b>	<b>50</b>
REGISTERED PROVIDER	<b>EVERY 2 YEARS</b>	<b>0</b>
SPECIAL PERMIT	<b>EVERY YEAR</b>	<b>25</b>
REGISTERED DENTAL ASSISTANT (RDA)	<b>EVERY 2 YEARS</b>	<b>25</b>
RDA IN EXTENDED FUNCTIONS (RDAEF)	<b>EVERY 2 YEARS</b>	<b>25</b>
ORTHODONTIC ASSISTANT (OA)	<b>EVERY 2 YEARS</b>	<b>25</b>
DENTAL SEDATION ASSISTANT (DSA)	<b>EVERY 2 YEARS</b>	<b>25</b>

Exams			
TYPE	PASS	FAIL	TOTAL
DDS	<b>700</b>	<b>126</b>	<b>826</b>
RDA AND RDAEF	<b>2,169</b>	<b>1,692</b>	<b>3,861</b>
<b>TOTALS</b>	<b>2,869</b>	<b>1,818</b>	<b>4,687</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>3,094</b>	RECEIVED
<b>1,577</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>1,531</b>	REFERRED FOR INVESTIGATION
<b>79</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>457</b>	RECEIVED
<b>349</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>2</b>	PENDING

Inspections	
<b>627</b>	INSPECTIONS CONDUCTED
<b>1</b>	INSPECTION CITATIONS ISSUED

Investigations	
<b>3,542</b>	OPENED
<b>3,134</b>	CLOSED
<b>2,501</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>1,221</b>	UP TO 90 DAYS
<b>845</b>	91 TO 180 DAYS
<b>637</b>	181 DAYS TO 1 YEAR
<b>312</b>	1 TO 2 YEARS
<b>98</b>	2 TO 3 YEARS
<b>14</b>	OVER 3 YEARS
<b>187</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>163</b>	ISSUED
<b>37</b>	ISSUED WITH A FINE
<b>2</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>477</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$94,250</b>	ASSESSED
<b>\$4,000</b>	REDUCED
<b>\$22,900</b>	COLLECTED

## DENTAL BOARD OF CALIFORNIA

## Summary of Enforcement Activity continued

## Criminal/Civil Actions

<b>49</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>N/A</b>	CRIMINAL ACTIONS FILED
<b>N/A</b>	CIVIL ACTIONS FILED

## Office of the Attorney General/Disciplinary Actions

<b>156</b>	CASES OPENED/INITIATED
<b>77</b>	CASES CLOSED
<b>271</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>16</b>	1 YEAR
<b>20</b>	1 TO 2 YEARS
<b>28</b>	2 TO 3 YEARS
<b>14</b>	3 TO 4 YEARS
<b>19</b>	OVER 4 YEARS
<b>922</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>10</b>	STATEMENTS OF ISSUES FILED
<b>71</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>13</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes/Final Orders

<b>1</b>	LICENSE APPLICATIONS DENIED
<b>18</b>	REVOCATION
<b>15</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>56</b>	PROBATION ONLY
<b>11</b>	PUBLIC REPRIMAND
<b>51</b>	OTHER DECISIONS

## Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

<b>8</b>	TOTAL NUMBER FILED
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Subsequent Disciplinary—Administrative Outcomes/  
Final Orders

<b>2</b>	REVOCATION
<b>1</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>1</b>	GRANTED
<b>0</b>	DENIED
<b>1</b>	TOTAL

Petition for Reinstatement of Revoked License/  
Registration/Certification

<b>5</b>	GRANTED
<b>1</b>	DENIED
<b>6</b>	TOTAL

## Cost Recovery to DCA

<b>\$631,703</b>	ORDERED
<b>\$389,962</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$120,633</b>	RESTITUTION ORDERED
<b>\$90</b>	AMOUNT REFUNDED
<b>N/A</b>	REWORK AT NO CHARGE
<b>N/A</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>N/A</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>9</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>185</b>	AVERAGE NUMBER OF DAYS
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Investigations: Closure of Investigation to Imposing  
Formal Discipline

<b>534</b>	AVERAGE NUMBER OF DAYS
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## DENTAL HYGIENE COMMITTEE OF CALIFORNIA

# Dental Hygiene

Licenses and regulates registered dental hygienists, registered dental hygienists in extended functions, and registered dental hygienists in alternative practice.

## STAFF:

**10.2 civil service**

## LICENSES AND PERMITS:

**24,205 licenses**  
**477 permits (FNP)**

## BOARD STAFF:

**Executive Officer:****Lori Hubble**

lori.hubble@dca.ca.gov

**Assistant Executive Officer:****Anthony Lum**

anthony.lum@dca.ca.gov

ADVISORY COMMITTEE  
MEMBERSHIP:

**5 dental professionals**  
**4 public representatives**

## STRATEGIC PLAN ADOPTED:

**2016**[www.dhcc.ca.gov](http://www.dhcc.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 1900 – 1967.4**

**Title 16, Division 10, California Code of Regulations §§ 1000 – 1023.8, §§ 1067 – 1090.1, § 1107, §§ 1131 – 1132, §§ 1138 – 1144, §§ 1149 – 1153**

## SUNSET REVIEW:

**Last review: 2014****Inoperative date: January 1, 2019**

## COMMITTEE MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>SUSAN GOOD (VICE PRESIDENT)</b>	JANUARY 1, 2018	Governor/Public	NO
<b>MICHELLE HURLBUTT, RDH EDUCATOR</b>	JANUARY 1, 2020	Governor/Professional	NO
<b>JOYCE NOEL KELSCH, RDHAP (PRESIDENT)</b>	JANUARY 1, 2020	Governor/Professional	NO
<b>SANDRA KLEIN</b>	JANUARY 1, 2020	Governor/Public	NO
<b>TIMOTHY S. MARTINEZ, DMD</b>	JANUARY 1, 2018	Governor/Professional	NO
<b>NICOLETTE MOULTRIE-MARTINEZ, RDH</b>	JANUARY 1, 2018	Governor/Professional	NO
<b>EDCELYN PUJOL</b>	JANUARY 1, 2020	Governor/Public	NO
<b>GARRY SHAY</b>	JANUARY 1, 2018	Governor/Public	NO
<b>EVANGELINE WARD, RDH (SECRETARY)</b>	JANUARY 1, 2018	Governor/ Professional	NO

## MAJOR ACCOMPLISHMENTS

- Adopted new BreZE computer system Release 2 in January 2016 after extensive configuration and testing efforts. The BreZE online system allows users to apply for a license, address licensing issues, and pay fees with a credit card.
- Continued to grow after seven years in existence by adding staff to the Enforcement Unit to address the increasing program workload.



## DENTAL HYGIENE COMMITTEE OF CALIFORNIA

CONTINUED

- Initiated dental hygiene educational program reviews for any proposed and existing schools to ensure compliance with the Commission on Dental Accreditation (CODA) Standards and DHCC regulations for approval.
- Continued outreach efforts by participating in the California Dental Hygiene Association's 2016 Spring Scientific Session and conducting dental hygiene educational program visits to inform students of the profession and the licensure process.

**MAJOR NEW LEGISLATION OR REGULATIONS***(Extends through the 2016 calendar year)*

- DHCC received approval by the Office of Administrative Law for the following regulations:
  - *Remedial education*: Provides the standards and criteria for approval of courses designed to remediate learning deficiencies in applicants who have repeatedly failed to pass the clinical licensure examination.
  - *Dental hygiene educational program requirements*: Details specific criteria and standards of approval that DHCC will use to evaluate all registered dental hygienist educational programs.
  - *Approval of new dental hygiene education programs (feasibility study)*: Outlines instructions for new registered dental hygiene educational programs so that program administrators will understand the roles and responsibilities of the DHCC, CODA, and the new program itself. This regulatory package also contains reporting instructions for dental hygiene programs from which CODA approval is being withdrawn.
  - *Dental hygiene terminology definitions*: Provides applicants, licensees, DHCC staff, and the public with clear, consistent, and current definitions for terms used in the statutes relevant to the field of dental hygiene.

**FEES\***

Dental Hygienist	Actual Fee	Statutory Limit
APPLICATION FEE	\$100	\$250
EXAMINATION FEE	\$525	Actual Cost
LICENSING FEE	\$100	\$250
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$675</b>	
WREB AND CRDT APPLICATION FEE**	\$100	\$250
WREB LICENSURE FEE	\$100	\$250
LICENSURE BY CREDENTIAL APPLICATION FEE	\$100	\$250
LICENSURE BY CREDENTIAL LICENSURE FEE	\$100	\$250
BIENNIAL RENEWAL FEE	\$160	\$160

\* Various other fees contained in Business and Professions Code § 1944 not included.

\*\* Western Regional Examination Board (WREB) and Central Regional Dental Test (CRDT) are two separate regional dental hygiene clinical exam administrators that set the exam fee based on testing center costs.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
RDH	<b>857</b>	<b>0</b>	<b>9,947</b>
RDHAP	<b>54</b>	<b>34</b>	<b>281</b>
RDHEF	<b>0</b>	<b>0</b>	<b>16</b>
FNP	<b>10</b>	<b>7</b>	<b>64</b>

RDH – Registered Dental Hygienist

RDHAP – Registered Dental Hygienist in Alternative Practice

RDHEF – Registered Dental Hygienist in Extended Functions

FNP – Fictitious Name Permit

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
RDH	<b>N/A</b>	<b>23,648</b>	<b>N/A</b>
RDHAP	<b>N/A</b>	<b>532</b>	<b>N/A</b>
RDHEF	<b>N/A</b>	<b>25</b>	<b>N/A</b>
FNP	<b>477</b>	<b>N/A</b>	<b>N/A</b>

## DENTAL HYGIENE COMMITTEE OF CALIFORNIA

## Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
RDH	<b>EVERY 2 YEARS</b>	<b>25</b>
RDHAP	<b>EVERY 2 YEARS</b>	<b>35</b>
RDHEF	<b>EVERY 2 YEARS</b>	<b>25</b>

Exams		
PASS	FAIL	TOTAL
<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>51</b>	RECEIVED
<b>23</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>31</b>	REFERRED FOR INVESTIGATION
<b>42</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>137</b>	RECEIVED
<b>96</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>56</b>	PENDING

Inspections	
	<b>N/A</b>

Investigations	
<b>31</b>	OPENED
<b>16</b>	CLOSED
<b>98</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>80</b>	UP TO 90 DAYS
<b>3</b>	91 TO 180 DAYS
<b>6</b>	181 DAYS TO 1 YEAR
<b>4</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>1</b>	OVER 3 YEARS
<b>55</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>0</b>	ISSUED
<b>29</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>132</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$19,250</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$14,050</b>	COLLECTED

Criminal/Civil Actions	
<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>17</b>	CASES OPENED/INITIATED
<b>10</b>	CASES CLOSED
<b>13</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>5</b>	1 YEAR
<b>2</b>	1 TO 2 YEARS
<b>2</b>	2 TO 3 YEARS
<b>1</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>971</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>2</b>	STATEMENTS OF ISSUES FILED
<b>8</b>	ACCUSATIONS FILED
<b>1</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED



## DENTAL HYGIENE COMMITTEE OF CALIFORNIA

## Summary of Enforcement Activity continued

## Administrative Outcomes/Final Orders

<b>0</b>	LICENSE APPLICATIONS DENIED
<b>2</b>	REVOCATION
<b>4</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>7</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>3</b>	GRANTED
<b>0</b>	DENIED
<b>3</b>	TOTAL

Petition for Reinstatement of Revoked License/  
Registration/Certification

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Cost Recovery to DCA

<b>\$7,178</b>	ORDERED
<b>\$2,788</b>	COLLECTED

## Consumer Restitution to Consumers/Refund /Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>13</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>55</b>	AVERAGE NUMBER OF DAYS
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Investigations: Closure of Investigation to Imposing  
Formal Discipline

<b>472</b>	AVERAGE NUMBER OF DAYS
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## BUREAU OF ELECTRONIC &amp; APPLIANCE REPAIR, HOME FURNISHINGS &amp; THERMAL INSULATION

# BEARHFTI

Registers and regulates electronic and appliance repair businesses and has jurisdiction over the sale and administration of service contracts on various consumer products. Licenses and regulates the manufacture and sale (retail, wholesale, and import) of upholstered furniture and bedding, supply dealers, custom upholsterers, bedding sanitizers, and the manufacture of thermal insulation products, and tests for flammability and sanitation.

## STAFF:

**43.9 civil service**  
**1 exempt**

LICENSES AND  
REGISTRATIONS:

**42,352**

## BUREAU STAFF:

**Chief: Justin Paddock**  
justin.paddock@dca.ca.gov

BUREAU ADVISORY  
COUNCILS:

**7 industry representatives**  
**4 public representatives**

## STRATEGIC PLAN ADOPTED:

**2013**

[www.bearhfti.ca.gov](http://www.bearhfti.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ BEAR: 9800 – 9874 HFTI: §§ 19000 – 19221**  
**Title 16, Division 27, California Code of Regulations §§ 2701 – 2775**  
**Title 4, Division 3, California Code of Regulations §§ 1101 – 1383.6**

## SUNSET REVIEW:

**Consolidated BEAR and BHFTI in 2009 Last Review: 2013/14**  
**Next Review: 2018/19**

## ADVISORY COUNCIL MEMBERS:

**Total Members: 11 – This group is not created by statute or regulation.**

Name	Appointment Authority/Appointment Type
<b>SHARRON BRADLEY</b>	Department Director/Professional
<b>DONALD ERWIN</b>	Department Director/Professional
<b>BURT GRIMES</b>	Department Director/Professional
<b>JUDY LEVIN</b>	Department Director/Public
<b>DONALD LUCAS</b>	Department Director/Public
<b>JOANNE MIKAMI</b>	Department Director/Public
<b>LEONARD “LEN” PRICE</b>	Department Director/Public
<b>DAVID SPEARS</b>	Department Director/Professional
<b>DAVID VALESQUEZ</b>	Department Director/Professional
<b>DAVID YARBROUGH</b>	Department Director/Professional

## RECIPROCITY

There is no reciprocity for electronic and appliance repair registrants. The Bureau does offer reciprocity to those who have a home furnishings or bedding registry number from other states. If the applicant wishes to use another state's registry number, they must provide a copy of their valid license from the other state and the Bureau will issue that registry number to them within the state.

## BUREAU OF ELECTRONIC & APPLIANCE REPAIR, HOME FURNISHINGS & THERMAL INSULATION

CONTINUED

### MAJOR ACCOMPLISHMENTS

- Broadened the Bureau's consumer and industry outreach efforts, participating in more than 15 events this year. Consumer events included presentations to senior organizations and vocational schools with electronic and appliance repair curriculum. The Bureau also developed industry education brochures regarding licensing and labeling requirements. In addition, the Bureau revamped its website to provide improved access and navigation for users.
- In conjunction with the California Public Utilities Commission, the Bureau was able to disconnect 97 phones of businesses that were operating without a valid license or registration. This provides a high level of consumer protection by limiting the business activities of violators and establishes a more level playing field for businesses that are compliant with the law.
- Established a Service Contract Working Group to assess the market, review current statutes and regulations, and to make recommendations to improve consumer protection, provide information on future trends, and ensure regulatory consistency. The Working Group convened three times within the fiscal year.

### MAJOR NEW LEGISLATION OR REGULATIONS

*(Extends through the 2016 calendar year)*

- Increased the fee cap for licenses and registrations via AB 1175 (Ridley-Thomas, Chapter 187, Statutes of 2015).
- Updated the Bureau's Memorandum of Understanding with the Department of Toxic Substances Control, in accordance with SB 1019 (Leno, Chapter 862, Statutes of 2014), to conduct compliance tests on home furnishings products. The Bureau also promulgated regulations pertaining to the installation of Interlock Ignition Devices.
- SB 1046 (Hill, Chapter 783, Statutes of 2016) will authorize the Director of the Department, through the Bureau of Automotive Repair, to suspend, revoke, or place on probation the registration of an automotive repair dealer or service dealer who installs, calibrates, services, maintains, or monitors ignition interlock devices if the installer does not

comply with the specified income-based pricing model. Consequently, this bill will also require interlock device providers to verify the offender's income to determine the cost of the device.

### FEES

BEAR Registration Type (per location)	Actual Fee	Statutory Limit
ELECTRONIC SERVICE DEALER	\$165	\$205
APPLIANCE SERVICE DEALER	\$165	\$205
COMBINATION ELECTRONIC AND APPLIANCE REPAIR SERVICE DEALER	\$325	\$405
SERVICE CONTRACT SELLER	\$75	\$95

HFTI License Type January through November (per location)	Actual Fee	Statutory Limit
FURNITURE RETAILER	\$120	\$120
BEDDING RETAILER	\$120	\$120
FURNITURE AND BEDDING RETAILER	\$240	\$240
CUSTOM UPHOLSTERER	\$360	\$360
SANITIZER'S LICENSE	\$360	\$360
SUPPLY DEALER'S LICENSE	\$540	\$540
WHOLESALE FURNITURE AND BEDDING DEALER'S LICENSE	\$540	\$540
MANUFACTURER (IN UNITED STATES)	\$650	\$750
IMPORTER (MANUFACTURER LOCATED OUTSIDE OF U.S. OR IMPORTER LOCATED WITHIN THE U.S.)	\$650	\$750

HFTI License Type December (per location)	Actual Fee	Statutory Limit
FURNITURE RETAILER	\$140	\$150
BEDDING RETAILER	\$140	\$150
FURNITURE AND BEDDING RETAILER	\$280	\$300
CUSTOM UPHOLSTERER	\$420	\$450
SANITIZER'S LICENSE	\$420	\$450
SUPPLY DEALER'S LICENSE	\$625	\$675
WHOLESALE FURNITURE AND BEDDING DEALER'S LICENSE	\$625	\$675
MANUFACTURER (IN UNITED STATES)	\$750	\$940
IMPORTER (MANUFACTURER LOCATED OUTSIDE OF U.S. OR IMPORTER LOCATED WITHIN THE U.S.)	\$750	\$940

## BUREAU OF ELECTRONIC AND APPLIANCE REPAIR, HOME FURNISHINGS AND THERMAL INSULATION

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
BEDDING RETAILER	114	1,522	653
CUSTOM UPHOLSTERER	51	47	218
FURNITURE AND BEDDING MANUFACTURER	163	157	630
FURNITURE AND BEDDING RETAILER	537	793*	6,350
FURNITURE AND BEDDING WHOLESALER	5	3	70
FURNITURE RETAILER	130	181*	659
IMPORTER	901	881	1,579
SANITIZER	2	5	5
SUPPLY DEALER	10	9	54
THERMAL INSULATION	3	1	112
APPLIANCE SERVICE DEALER	348	364	2,382
COMBINATION SERVICE DEALER	110	168	383
ELECTRONIC SERVICE DEALER	781	822	4,376
SERVICE CONTRACT ADMINISTRATOR	1	3	44
SERVICE CONTRACT SELLER	393	2,636**	10,090

\* Retailer chain stores submit one application with a listing and payment for multiple stores.

\*\* The reason for the disparity between applications received and licenses issued is that large retailers submit one application with a total fee for all new individual stores. They are counted on ATS as one application.

Licensing by Population Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
BEDDING RETAILER	N/A	1,592	N/A
CUSTOM UPHOLSTERER	N/A	497	N/A
FURNITURE AND BEDDING MANUFACTURER	N/A	1,481	N/A
FURNITURE AND BEDDING RETAILER	N/A	11,715	N/A
FURNITURE AND BEDDING WHOLESALER	N/A	170	N/A
FURNITURE RETAILER	N/A	2,315	N/A
IMPORTER	N/A	4,695	N/A
SANITIZER	N/A	13	N/A
SUPPLY DEALER	N/A	136	N/A
THERMAL INSULATION	N/A	115	N/A
APPLIANCE SERVICE DEALER	N/A	2,629	N/A
COMBINATION SERVICE DEALER	N/A	634	N/A
ELECTRONIC SERVICE DEALER	N/A	5,102	N/A
SERVICE CONTRACT ADMINISTRATOR	N/A	43	N/A
SERVICE CONTRACT SELLER	N/A	11,215	N/A

## Renewal and Continuing Education (CE)

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
BEDDING RETAILER	EVERY 2 YEARS	0
CUSTOM UPHOLSTERER	EVERY 2 YEARS	0
FURNITURE AND BEDDING MANUFACTURER	EVERY 2 YEARS	0
FURNITURE AND BEDDING RETAILER	EVERY 2 YEARS	0
FURNITURE AND BEDDING WHOLESALER	EVERY 2 YEARS	0
FURNITURE RETAILER	EVERY 2 YEARS	0
IMPORTER	EVERY 2 YEARS	0
SANITIZER	EVERY 2 YEARS	0
SUPPLY DEALER	EVERY 2 YEARS	0
THERMAL INSULATION	EVERY YEAR	0
APPLIANCE SERVICE DEALER	EVERY YEAR	0
COMBINATION SERVICE DEALER	EVERY YEAR	0
ELECTRONIC SERVICE DEALER	EVERY YEAR	0
SERVICE CONTRACT ADMINISTRATOR	EVERY YEAR	0
SERVICE CONTRACT SELLER	EVERY YEAR	0

## Summary of Enforcement Activity

## Exams

N/A

## Consumer Complaints—Intake

2,660	RECEIVED
1,519	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,173	REFERRED FOR INVESTIGATION
15	PENDING

## Conviction/Arrest Notification Complaints

0	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

## Inspections

5,083	INSPECTIONS CONDUCTED
0	INSPECTIONS CITATIONS ISSUED

## Investigations

1,166	OPENED
1,257	CLOSED
207	PENDING

## BUREAU OF ELECTRONIC AND APPLIANCE REPAIR, HOME FURNISHINGS AND THERMAL INSULATION

## Summary of Enforcement Activity continued

## Number of Days to Complete Intake and Investigations

<b>961</b>	UP TO 90 DAYS
<b>116</b>	91 TO 180 DAYS
<b>75</b>	181 DAYS TO 1 YEAR
<b>64</b>	1 TO 2 YEARS
<b>30</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>121</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

## Citations and Fines

<b>1,522</b>	ISSUED
<b>261</b>	ISSUED WITH A FINE
<b>51</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>5</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## Total Amount of Fines

<b>\$86,211</b>	ASSESSED
<b>\$9,150</b>	REDUCED
<b>\$29,100</b>	COLLECTED

## Criminal/Civil Actions

<b>0</b>	
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## Office of the Attorney General/Disciplinary Actions

<b>2</b>	CASES OPENED/INITIATED
<b>0</b>	CASES CLOSED
<b>6</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>0</b>	1 YEAR
<b>0</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>0</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>2</b>	STATEMENTS OF ISSUES FILED
<b>0</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes/Final Orders

<b>0</b>	LICENSE APPLICATIONS DENIED
<b>1</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>1</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Petition for Reinstatement of Revoked License/Registration/Certification

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Cost Recovery to DCA

<b>\$0</b>	ORDERED
<b>\$0</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$156,637</b>	AMOUNT REFUNDED
<b>\$34,498</b>	REWORK AT NO CHARGE
<b>\$110,231</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$301,457</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>6</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>121</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Closure of Investigation to Imposing Formal Discipline

<b>0</b>	AVERAGE NUMBER OF DAYS
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## STATE BOARD OF GUIDE DOGS FOR THE BLIND

# Guide Dogs

Licenses and regulates schools and instructors that train and supply guide dogs for the blind.

## STAFF:

**0.0 civil service**  
**1 exempt**

## LICENSES AND APPROVALS:

**116 licenses**  
**12 approvals**

## BOARD STAFF:

**Executive Officer:**  
**Brian Skewis**  
brian.skewis@dca.ca.gov

## BOARD MEMBERSHIP:

**4 guide dog users**  
**3 public representatives**

## STRATEGIC PLAN ADOPTED:

**2015**

[www.guidedogboard.ca.gov](http://www.guidedogboard.ca.gov)



STATE BOARD OF  
**GUIDE  
DOGS**  
FOR THE BLIND

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 7200 – 7217**

**California Civil Code §§ 54 – 55.32**

**California Penal Code §§ 346 – 367g, § 600.2, and § 600.5**

**California Vehicle Code § 21963**

**California Food and Agriculture Code §§ 30850 – 30854 and §§ 31601 – 31609**

**Americans with Disabilities Act Title III – Public Accommodations (42 U.S.C. 12181)**

**Title 16, Division 22, California Code of Regulations §§ 2250 – 2295.3**

## SUNSET REVIEW:

**Last Review: 2013**

**Inoperative Date: January 1, 2018**

## BOARD MEMBERS\*:

Name	Appointment Expires	Appointment Authority/Appointment Type	Req. Senate Confirmation
<b>DON BROWN</b>	JUNE 1, 2016	Governor/Consumer (guide dog user)	NO
<b>CATHERINE CARLTON</b>	JUNE 1, 2020	Governor/Public	NO
<b>CARMEN DELGADO (VICE PRESIDENT)</b>	JUNE 1, 2017	Governor/Public	NO
<b>ERIC HOLM (PRESIDENT)</b>	JUNE 1, 2019	Governor/Public (guide dog user)	NO
<b>GWEN MARELLI</b>	JUNE 1, 2017	Governor/Consumer	NO
<b>ROSA GOMEZ</b>	PLEASURE	Designee of DOR (guide dog user)	NO
<b>JOAN PATCHE</b>	JUNE 1, 2020	Governor/Public (guide dog user)	NO

\*The Board consists of seven members appointed by the Governor. One member must be the Director of Rehabilitation or a designated representative. The remaining members must have shown a particular interest in dealing with the problems of the blind, and at least two of them must be blind persons who use guide dogs.

## PROGRAM BACKGROUND

The Board was established January 1, 1948, for the specific purpose of providing well-trained guide dogs to blind persons and training blind persons as guide dog consumers. The Board developed licensing examinations for guide dog instructors and criteria for use in determining whether guide dog school license applicants possess the requisite resources, as well as special

## STATE BOARD OF GUIDE DOGS FOR THE BLIND

CONTINUED

capacities important to providing guide dogs to blind persons. California is the only state that has such a regulatory program.

In the 1940s, before the Board was created, there was considerable confusion as to the role and function of guide dogs in public places. A small group of blind people, the California Council of the Blind, and the editors of the *Pasadena Star-News*, joined with supportive legislators to lay the groundwork for a board that would ensure that blind people would receive competent instruction with properly trained dogs.

**MAJOR ACCOMPLISHMENTS**

- Underwent a significant program restructure to ensure fiscal solvency for the foreseeable future.
- Approved a four-year strategic plan focusing on consumer protection and outreach; specifically to research and act on issues regarding service dog laws and how fraudulent service dogs affect guide dog users.

- Continued to address the issue of unlicensed activity by out-of-state instructors including a thorough analysis of the Board's licensing and examination processes.
- Facilitated three arbitrations and collected sufficient data to begin enhancing the process and better serving consumers and licensees alike.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- SB 1331 (Pavley, Chapter 595, Statutes of 2016) will change the composition of the Board; authorize out-of-state guide dog instructors, upon notification to the Board, to practice in California without a license; require the Board to provide a fact sheet to schools for persons receiving training; and require the Board to post the fact sheet on the Board's website.

**FEES**

Guide Dog Instructor License	Actual Fee	Statutory Limit
INITIAL LICENSE/EXAMINATION FEE	\$250	\$250
ANNUAL RENEWAL FEE	\$100	\$100

Guide Dog School License*	Actual Fee	Statutory Limit
FUNDRAISING LICENSE (NEW SCHOOLS)	\$50	\$50
ANNUAL RENEWAL FEE	.425%	.5%

\* Guide dog schools pay up to .005 of total annual expenditures (varies by school). The exact fee set by regulation is currently .00425 of total annual expenditures.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
GUIDE DOG INSTRUCTOR	12	0	96
GUIDE DOG SCHOOL	0	0	3
FUNDRAISING LICENSE	0	0	0
INACTIVE INSTRUCTOR	5	5	3

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
GUIDE DOG INSTRUCTOR	0	108	108
GUIDE DOG SCHOOL	0	3	3
FUNDRAISING LICENSE	0	0	0
INACTIVE INSTRUCTOR	0	5	5



## STATE BOARD OF GUIDE DOGS FOR THE BLIND

## Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
GUIDE DOG INSTRUCTOR	<b>EVERY YEAR</b>	<b>8</b>
GUIDE DOG SCHOOL	<b>EVERY YEAR</b>	<b>0</b>
FUNDRAISING LICENSE	<b>ONE TIME</b>	<b>0</b>
INACTIVE INSTRUCTOR	<b>EVERY YEAR</b>	<b>0</b>

## Exams

PASS	FAIL	TOTAL
<b>12</b>	<b>0</b>	<b>12</b>

## Summary of Enforcement Activity

## Consumer Complaints—Intake

<b>4</b>	RECEIVED
<b>1</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>3</b>	REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

## Conviction/Arrest Notification Complaints

<b>0</b>	RECEIVED
<b>0</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

## Inspections

<b>3</b>	INSPECTIONS CONDUCTED
<b>0</b>	INSPECTION CITATIONS ISSUED

## Investigations

<b>3</b>	OPENED
<b>3</b>	CLOSED
<b>0</b>	PENDING

## Number of Days to Complete Intake and Investigations

<b>3</b>	UP TO 90 DAYS
<b>0</b>	91 TO 180 DAYS
<b>0</b>	181 DAYS TO 1 YEAR
<b>0</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>27</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

## Citations and Fines

<b>0</b>	ISSUED
<b>0</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>0</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## Total Amount of Fines

<b>\$0</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$0</b>	COLLECTED

## Criminal/Civil Actions

<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

## Office of the Attorney General/Disciplinary Actions

<b>0</b>	CASES OPENED/INITIATED
<b>0</b>	CASES CLOSED
<b>0</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>0</b>	1 YEAR
<b>0</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>0</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## STATE BOARD OF GUIDE DOGS FOR THE BLIND

## Summary of Enforcement Activity continued

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
3	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$0	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
3	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
26	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS

## LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE

# Landscape Architects

Licenses and regulates landscape architects.

## STAFF:

**5.5 civil service**

## LICENSES:

**3,593**

## BOARD STAFF:

## Chair:

**Andrew Bowden**

andrew.bowden@dca.ca.gov

## COMMITTEE MEMBERSHIP:

**4 licensees**

## STRATEGIC PLAN ADOPTED:

**2015**

[www.latc.ca.gov](http://www.latc.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 5500 – 5683**

**Title 16, Division 2, California Code of Regulations §§ 100 – 160**

**Title 16, Division 26, California Code of Regulations §§ 2602 – 2680**

## SUNSET REVIEW:

**Last review: 2014/15      Inoperative/Repeal date: January 1, 2020**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>ANDREW BOWDEN (CHAIR)</b>	JUNE 1, 2019	Governor/Professional	NO
<b>PATRICIA TRAUTH</b>	JUNE 1, 2018	Governor/Professional	NO
<b>MARQ TRUSCOTT</b>	JUNE 1, 2020	Governor/Professional	NO
<b>DAVID A. TAYLOR (VICE CHAIR)</b>	JUNE 1, 2018	Senate/Professional	NO
<b>VACANT</b>	N/A	Speaker/Professional	NO

## RECIPROCITY (16 CCR § 2615)

To be eligible for reciprocal licensure in California, a landscape architect must be licensed in another state by having passed a written examination substantially equivalent in scope and subject matter to the Landscape Architect Registration Examination (LARE), meet the education and training requirements for first-time exam candidates, and successfully complete the CSE (Landscape Architects). For purposes of reciprocity, the LATC recognizes two national examinations, which are the: 1) LARE; and 2) Uniform National Examination for Landscape Architects.

There is a \$35 charge for the LATC to review an LATC Reciprocity Application, to take the CSE that itself carries a \$275 fee.

## MAJOR ACCOMPLISHMENTS

- Upheld its goal in Fiscal Year 2015–16 of reducing the average time to complete an enforcement investigation to less than 12 months,



## LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE

CONTINUED

averaging 118 days to complete an investigation. The enforcement efforts resulted in the closure of 33 investigations and the issuance of seven citations.

- Drafted a *Consumer's Guide to Hiring a Landscape Architect* to educate the public. The guide includes information on the Model Water Efficient Landscape Ordinance and the differences between landscape architects, landscape contractors, and landscape designers.
- Partnered with Council of Landscape Architectural Registration Boards (CLARB) to enhance CLARB's national enforcement database with California data to strengthen consumer protection.

**MAJOR NEW LEGISLATION OR REGULATIONS**

(Extends through the 2016 calendar year)

- Proposed and prepared a regulatory package to amend California Code of Regulations (CCR) Section 2649 to extend a temporary reduction in license renewal fees for one renewal cycle in fiscal year 2016–17 to effectively manage the budget at an appropriate level to comply with Business and Professions Code Section 128.5, "Reduction of License Fees in Event of Surplus Funds."
- Proposed and prepared a regulatory package to amend CCR 2020 (a)(13), "Education and Training Credits," to expand eligibility requirements to allow credit for teaching under a licensed landscape architect.

**LICENSING REQUIREMENTS**

DEGREE/PROFESSIONAL SCHOOLING	YES*
INTERN DEVELOPMENT PROGRAM	YES**
EXAMINATION	YES
CONTINUING EDUCATION/COMPETENCY	YES***
FINGERPRINTING REQUIREMENT	NO

\* Degree/professional education requirement can also be met by five years of training (work) experience credit for architects.

\*\* Does not apply to landscape architects who must have at least two years of training (work) experience credit.

\*\*\* Five hours of coursework in disability access requirements for architects.

**FEES**

Landscape Architect*	Actual Fee	Statutory Limit
APPLICATION FEE (LARE ELIGIBILITY)	\$35	\$100
LANDSCAPE ARCHITECTS REGISTRATION EXAMINATION (LARE) FEE**	\$355 to \$550/ division	-
CSE APPLICATION FEE	\$35	\$100
CSE (LANDSCAPE ARCHITECTS) FEE	\$275	-
LICENSURE FEE	\$400	\$400
<b>TOTAL INITIAL LICENSE FEES***</b>	<b>\$745</b>	-
BIENNIAL RENEWAL FEE	\$400	\$400

\* Additional fees may be required per Business and Professions Code § 5681 and 16 CCR §2649.

\*\* Fees paid directly to Council of Landscape Architectural Registration Boards.

\*\*\* Total shown does not include LARE costs that total \$1,810.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
APPLICATION FOR ELIGIBILITY	<b>225</b>	<b>N/A</b>	<b>N/A</b>
APPLICATION FOR INITIAL LICENSE	<b>97</b>	<b>96</b>	<b>N/A</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
LANDSCAPE ARCHITECT LICENSE	<b>N/A</b>	<b>3,593</b>	<b>N/A</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
LANDSCAPE ARCHITECT LICENSE RENEWAL	<b>EVERY 2 YEARS</b>	<b>N/A</b>

## LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE

## Summary of Licensing Activity continued

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
LANDSCAPE ARCHITECT REGISTRATION EXAMINATION (LARE)	439	257	696
CALIFORNIA SUPPLEMENTAL EXAMINATION (CSE)	78	30	108
<b>TOTALS</b>	<b>517</b>	<b>287</b>	<b>804</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
19	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
19	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
3	RECEIVED
3	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
	N/A

Investigations	
22	OPENED
33	CLOSED
8	PENDING

Number of Days to Complete Intake and Investigations	
9	UP TO 90 DAYS
8	91 TO 180 DAYS
7	181 DAYS TO 1 YEAR
6	1 TO 2 YEARS
1	2 TO 3 YEARS
2	OVER 3 YEARS
295	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
N/A	ISSUED
7	ISSUED WITH A FINE
2	WITHDRAWN
0	DISMISSED
564	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$13,000	ASSESSED
\$3,000	REDUCED
\$5,100	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
1	CASES OPENED/INITIATED
0	CASES CLOSED
2	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
1	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAW/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

## LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE

## Summary of Enforcement Activity continued

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
0	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$0	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
2	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
304	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS

## MEDICAL BOARD OF CALIFORNIA

# Medical Board

Licenses and regulates physicians and certain allied healthcare professionals.

## STAFF:

**159.1 civil service**  
**1 exempt**

## LICENSES AND PERMITS:

**155,679**

## BOARD STAFF:

**Executive Director:**  
**Kimberly Kirchmeyer**  
kimberly.kirchmeyer@mbc.ca.gov

**Deputy Director:**  
**Elizabeth Amaral**  
elizabeth.amaral@mbc.ca.gov

## BOARD MEMBERSHIP:

**8 licensed physicians**  
**7 public representatives**

## STRATEGIC PLAN ADOPTED:

**2014**

[www.mbc.ca.gov](http://www.mbc.ca.gov)



## LAWS AND REGULATIONS:

**Business and Professions Code §§ 2000 – 2448, §§ 2505 – 2529.5, §§ 2540 – 2569**  
**Title 16, Division 13, California Code of Regulations §§ 1300 – 1379.78,**  
**§§ 1399.200 – 1399.279**

## SUNSET REVIEW:

**Last review: 2013      Inoperative: January 1, 2018**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>MICHAEL BISHOP, M.D.</b>	JUNE 1, 2017	Governor/Professional	YES
<b>MICHELLE ANNE BHOLAT, M.D.</b>	JUNE 1, 2018	Governor/Professional	YES
<b>DEV APPANNAGARI GNANADEV, M.D. (PRESIDENT)</b>	JUNE 1, 2019	Governor/Professional	YES
<b>RANDY W. HAWKINS, M.D.</b>	JUNE 1, 2020	Governor/Professional	YES
<b>HOWARD KRAUSS, M.D.</b>	JUNE 1, 2017	Governor/Professional	YES
<b>KRISTINA DANIEL LAWSON</b>	JUNE 1, 2018	Governor/Public	YES
<b>SHARON LEVINE, M.D.</b>	JUNE 1, 2019	Governor/Professional	YES
<b>RONALD LEWIS, M.D. (SECRETARY)</b>	JUNE 1, 2017	Governor/Professional	YES
<b>DENISE PINES (VICE PRESIDENT)</b>	JUNE 1, 2020	Governor/Public	YES
<b>BRENDA SUTTON-WILLS, J.D.</b>	JUNE 1, 2019	Senate/Public	NO
<b>JAIME WRIGHT, ESQ.</b>	JUNE 1, 2018	Governor/Public	YES
<b>DAVID WARMOTH</b>	JUNE 1, 2019	Assembly/Public	NO
<b>FELIX YIP, M.D.</b>	JUNE 1, 2018	Governor/Professional	YES
<b>JUDGE KATHERINE FEINSTEIN (RET.)</b>	JUNE 1, 2020	Governor/Public	YES
<b>VACANT</b>	JUNE 1, 2016	Governor/Public	YES

## RECIPROCITY (BPC §§ 2135, 2135.5 AND 2135.7)

Business and Professions Code (BPC) Sections 2135 and 2135.5 allow individuals to be eligible for licensure if licensed in another state or in Canada for at least four years, have had professional instruction equivalent to curriculum requirements in California, and have taken and passed a



## MEDICAL BOARD OF CALIFORNIA

## CONTINUED

written exam equivalent to the USMLE. The individuals must not have had disciplinary action taken against their license by any medical licensing authority or have been the subject of adverse judgments or settlements relating to the practice of medicine or have done anything that would be grounds for denial. Individuals must have completed at least one year of approved postgraduate training and be certified by a specialty board approved by the American Board of Medical Specialties, or completed two years of approved postgraduate training, or completed one year of approved postgraduate training and passed the clinical competency written examination.

BPC Section 2135.7 allows individuals who have attended and/or graduated from an unrecognized or disapproved school to be eligible for licensure in California if they have continuously practiced in another state for 10 years (if they went to an unrecognized school) or 12 years (if they went to a disapproved school). Individuals must be certified by a specialty board of the American Board of Medical Specialties; must have successfully completed the licensing exam required in existing law; must have successfully completed three years of postgraduate training; and must not have any discipline on their license in another state or any adverse judgments or settlements relating to the practice of medicine.

### MAJOR ACCOMPLISHMENTS

- Launched a successful outreach campaign, "Check Up On Your Doctor's License," beginning in late August 2015. The campaign is designed to encourage all California patients to check up on their doctor's license using the Board's website. In addition, the Board updated its website to provide patients with information on how to use the Board's website and what the information means, including disciplinary action taken against a doctor. The Board also developed brochures in English and Spanish and a video tutorial that is posted on the Board's website and available on YouTube. The Board successfully worked with numerous counties and cities in California, as well as the California State Retirees, CalSTRS, and CalPERS in getting its campaign information in publications, on websites, and on social media sites Twitter and Facebook. In addition, the Board worked with the State Controller's Office to include information about its campaign on payroll warrants for all state employees and vendors. At the close of the fiscal year, this outreach campaign had the potential of reaching 17 million California health care consumers. The Board saw an increase in its web hits and placement in Google, Yahoo, and Bing web search analytics. The Board will continue with its outreach campaign in the next fiscal year and hopes to reach more Californians with information about the Board in order to meet the Board's mission of consumer protection.
- Worked with the Office of the Attorney General and the Department of Consumer Affairs' Health Quality Investigation Unit to identify and implement several improvements to expedite and increase the issuance of Interim Suspension Orders (ISO). The Board saw a significant increase in ISOs issued from Fiscal Year 2014–15 to 2015–16 due to these improvements. The number of ISOs issued increased from 14 to 36, which is a 157 percent increase. In addition, the average time to obtain an ISO was reduced from 588 days in Fiscal Year 2014–15 to 438 days in Fiscal Year 2015–16, a 150-day reduction. Implementation of additional improvements is planned and will continue to enhance the ISO process, allowing the Board to meet its mission of consumer protection.
- On July 1, 2015, regulations implementing the Uniform Standards for Substance Abusing Licensees became effective. These regulations implemented SB 1441 (Ridley-Thomas, Chapter 548, Statutes of 2008). The regulations state that any physicians disciplined for unprofessional conduct involving illegal drugs, the abuse of drugs and/or alcohol, or the use of another prohibited substance will be presumed to be a substance-abusing licensee. The purpose of the Uniform Standards is to ensure that all substance-abusing licensees are held to the same standards. These Uniform Standards include terms and conditions for a clinical diagnostic evaluation, random biological fluid testing, work site monitor, group meetings, and notification to the Board of the licensee's employer or supervisor. These conditions would

## CONTINUED

be included in a licensee's probationary order. The Uniform Standards also include actions the Board must take if a licensee violates these conditions of probation. These Uniform Standards are available on the Board's website and further the Board's mission of consumer protection.

- Developed a brochure, *Don't Wait, File a Complaint*, to inform consumers about the Board's statute of limitations and encourage consumers to file complaints with the Board. This brochure was developed with the input of consumer advocacy groups in response to concerns that consumers are not aware of the Board's statute of limitations laws.
- Completely revamped its website's home page to make it more user-friendly and further the Board's outreach campaign, which encourages patients to "Check Up on Your Doctor's License." The changes include easy access to the Board's license verification page, the page to file a complaint, and the page to find public enforcement documents from the Board's home page. The Board also made its license verification web page more user-friendly and provided a document that outlines the information provided on a physician's profile.
- SB 396 (Hill, Chapter 287, Statutes of 2015) requires peer review evaluations for physicians and surgeons working in accredited outpatient settings and requires accredited outpatient setting facility inspections performed by accreditation agencies be unannounced (after the initial inspection). These enhancements in outpatient surgery settings were suggested by the Board and help to ensure consumer protection in these settings.
- SB 408 (Morrell, Chapter 280, Statutes of 2015) ensures that midwife assistants meet minimum training requirements and sets forth the duties that a midwife assistant can perform, which should be at the same level as duties that a medical assistant can perform, technical support services only. This Board-sponsored bill furthers the Board's mission of consumer protection.
- SB 643 (McGuire, Chapter 719, Statutes of 2015) includes in the Board's priorities cases that allege a physician has recommended cannabis to patients for medical purposes without a good-faith prior examination and medical reason. The bill states that physicians recommending cannabis to a patient for a medical purpose without an appropriate prior examination and a medical indication, constitutes unprofessional conduct and prohibits a physician from recommending cannabis to a patient unless that physician is the patient's attending physician, as defined. The bill requires the Board to consult with the California Marijuana Research Program on developing and adopting medical guidelines for the appropriate administration and use of cannabis. Lastly, the bill gives the Board some much-needed enforcement tools to more efficiently regulate physicians who recommend marijuana for a medical purpose, which helps to ensure consumer protection.

## MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- AB 684 (Alejo, Chapter 405, Statutes of 2015) transfers the Registered Dispensing Optician Program from the Board to the California State Board of Optometry.
- ABX2 15 (Eggman, Chapter 1, Statutes of 2015) establishes the End of Life Option Act in California, which became effective June 9, 2016, and gives a mentally competent, adult California resident who has a terminal disease the legal right to ask for and receive a prescription from his or her physician to hasten death, as long as required criteria are met.
- SB 277 (Pan and Allen, Chapter 35, Statutes of 2015) deletes the personal belief exemption from the existing immunization requirements, and now the only exemption allowed is a medical exemption, which must be issued by a physician.
- Mandated to provide a report to the Legislature regarding the implementation of the Vertical Enforcement and Prosecution model in March 2016. This report provided information on the successes and challenges of this type of model, and included a significant amount of statistical data, as well as recommendations for changes, including legislative changes.

## MEDICAL BOARD OF CALIFORNIA

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- AB 2744 (Gordon, Chapter 360, Statutes of 2016) will establish that payment for advertising, where a licensee sells services through a third-party advertiser, shall not constitute a referral of patients when the third-party advertiser does not recommend, endorse, or otherwise select a licensee itself. Additionally, will entitle the purchaser of services to a full refund in the event the licensee determines, after consultation with the purchaser, that the service is not appropriate, or if the purchaser elects not to receive the service for any reason and requests a refund.
- AB 2745 (Holden, Chapter 303, Statutes of 2016) will clarify the Board's ability to issue limited practice license renewals; authorize the Board to deny a post-graduate training authorization letter for the same reason it can deny a physician license application; specify the Board may request medical records of a deceased individual from a facility where care occurred without next of kin approval; allow the Board to take disciplinary action against specified licensees and collect a fee for excessive use of drugs or alcohol; allow specified allied health licensees under the Board to petition for license reinstatement; authorize the Board to use probation for specified allied health licensees; revoke or deny licensure for registered sex offenders; and make technical changes to clarify the Board's authority to perform regulatory oversight of licensees.
- SB 1174 (McGuire, Chapter 840, Statutes of 2016) will require the Board to prioritize investigations for repeated acts of excessive prescribing, furnishing, or administering psychotropic medications to a minor without a good-faith exam and medical reason; require the Board to conduct a quarterly data analysis of prescribers and their prescribing patterns of psychotropic medications and related services; require the Board to annually report results of the analysis to the Legislature beginning July 1, 2017; and require the Board to review the data to determine violations and take disciplinary action. Additionally, this bill specifies the manner in which data is provided to the Board by the Department of Healthcare Services and the Department of Social Services, require the Board to conduct an internal review of actions undertaken pursuant to this bill, and sunsets the data collection, review, and reporting provisions on January 1, 2027.
- SB 1177 (Galgiani, Chapter 591, Statutes of 2016) will authorize the Board to establish a Physician and Surgeon Health and Wellness Program for the early identification and appropriate interventions to support a substance abusing physician with rehabilitation, and will authorize the Board to contract with an independent entity to administer the program.
- SB 1189 (Pan and Jackson, Chapter 787, Statutes of 2016) will specify that a forensic autopsy is the practice of medicine and can only be conducted by a licensed physician and surgeon.
- SB 1261 (Stone, Chapter 239, Statutes of 2016) will exempt licensure and renewal fees for out-of-state physicians and surgeons who provide voluntary, unpaid healthcare services in California.
- SB 1478 (Senate Business and Professions Committee, Chapter 489, Statutes of 2016) is health omnibus bill for 2016. The provisions in this bill that impact the Board will delete outdated sections of the existing law that are related to the Board. This bill will also specify that all licensees that have been issued a license that has been placed in a retired or inactive status are exempt from paying CURES fees. This provision will impact all boards, including the Medical Board.

**FEES\***

Physicians And Surgeons	Actual Fee	Statutory Limit
APPLICATION FEE	\$491***	N/A
INITIAL LICENSE FEE	\$783**	\$790**
BIENNIAL RENEWAL FEE	\$783**	\$790**

Research Psychoanalyst	Actual Fee	Statutory Limit
INITIAL LICENSE FEE	\$100	\$100
BIENNIAL RENEWAL FEE	\$50	\$50

\* Additional categories and fees can be found in the Business and Professions Code.

\*\*A \$25 mandatory payment toward the Stephen M. Thompson Physician Corps Loan Repayment Program (Business and Professions Code § 2436.5) is added to this amount plus a \$12 fee for CURES funding.

\*\*\*Includes a \$49 fingerprint fee.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
FICTITIOUS NAME PERMIT	1,331	1,243	5,058
LICENSED MIDWIFE	26	29	170
RESEARCH PSYCHOANALYST	4	9	78
SPECIAL FACULTY PERMIT	3	3	8
PHYSICIAN AND SURGEON	7,763	6,317	67,043
POLYSOMNOGRAPHIC TRAINEEE	27	26	10
POLYSOMNOGRAPHIC TECHNICIAN	17	18	28
POLYSOMNOGRAPHIC TECHNOLOGIST	58	51	110

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
FICTITIOUS NAME PERMIT	12,529	N/A	N/A
LICENSED MIDWIFE	N/A	365	N/A
RESEARCH PSYCHOANALYST	N/A	82	N/A
SPECIAL FACULTY PERMIT	N/A	25	N/A
PHYSICIAN AND SURGEON	N/A	141,967	N/A
POLYSOMNOGRAPHIC TRAINEEE	N/A	60	N/A
POLYSOMNOGRAPHIC TECHNICIAN	N/A	79	N/A
POLYSOMNOGRAPHIC TECHNOLOGIST	N/A	572	N/A

**Renewal and Continuing Education (CE)**

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
FICTITIOUS NAME PERMIT	EVERY 2 YEARS	N/A
LICENSED MIDWIFE	EVERY 2 YEARS	36
RESEARCH PSYCHOANALYST	EVERY 2 YEARS	N/A
SPECIAL FACULTY PERMIT	EVERY 2 YEARS	50
PHYSICIAN AND SURGEON	EVERY 2 YEARS	50
POLYSOMNOGRAPHIC TRAINEEE	EVERY 2 YEARS	N/A
POLYSOMNOGRAPHIC TECHNICIAN	EVERY 2 YEARS	N/A
POLYSOMNOGRAPHIC TECHNOLOGIST	EVERY 2 YEARS	N/A

**Exams****N/A****Summary of Enforcement Activity  
LICENSED MIDWIFE****Consumer Complaints—Intake**

9	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
10	REFERRED FOR INVESTIGATION
0	PENDING

**Conviction/Arrest Notification Complaints**

0	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

**Inspections****N/A****Investigations**

9	OPENED
15	CLOSED
0	PENDING

**Citations and Fines**

N/A	ISSUED
N/A	ISSUED WITH A FINE
N/A	WITHDRAWN
N/A	DISMISSED
N/A	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## MEDICAL BOARD OF CALIFORNIA

## Summary of Enforcement Activity

### LICENSED MIDWIFE continued

#### Number of Days to Complete Intake and Investigations

<b>6</b>	UP TO 90 DAYS
<b>2</b>	91 TO 180 DAYS
<b>3</b>	181 DAYS TO 1 YEAR
<b>3</b>	1 TO 2 YEARS
<b>1</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>58</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

#### Total Amount of Fines

<b>N/A</b>	ASSESSED
<b>N/A</b>	REDUCED
<b>N/A</b>	COLLECTED

#### Criminal/Civil Actions

<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

#### Office of the Attorney General/Disciplinary Actions

<b>1</b>	CASES OPENED/INITIATED
<b>1</b>	CASES CLOSED
<b>1</b>	CASES PENDING

#### Number of Days to Complete AG Cases

<b>0</b>	1 YEAR
<b>1</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>674</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

#### Formal Actions Filed/Withdrawn/Dismissed

<b>0</b>	STATEMENTS OF ISSUES FILED
<b>0</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

#### Formal Actions Filed/Withdrawn/Dismissed

<b>0</b>	STATEMENTS OF ISSUES FILED
<b>0</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

#### Administrative Outcomes/Final Orders

<b>0</b>	LICENSE APPLICATIONS DENIED
<b>0</b>	REVOCATION
<b>1</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

#### Petition for Modification or Termination of Probation

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

#### Petition for Reinstatement of Revoked License/Registration/Certification

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

#### Cost Recovery to DCA

<b>\$0</b>	ORDERED
<b>\$1,550</b>	COLLECTED

#### Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

#### Receipt of Complaint to Assignment to Investigator

<b>19</b>	AVERAGE NUMBER OF DAYS
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#### Investigations: Opening to Closing of Case

<b>58</b>	AVERAGE NUMBER OF DAYS
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#### Investigations: Closure of Investigation to Imposing Formal Discipline

<b>518</b>	AVERAGE NUMBER OF DAYS
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## Summary of Enforcement Activity RESEARCH PSYCHOANALYST

Consumer Complaints—Intake	
1	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
1	RECEIVED
1	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	

Investigations	
2	OPENED
1	CLOSED
2	PENDING

Number of Days to Complete Intake and Investigations	
0	UP TO 90 DAYS
0	91 TO 180 DAYS
0	181 DAYS TO 1 YEAR
0	1 TO 2 YEARS
1	2 TO 3 YEARS
0	OVER 3 YEARS
760	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Total Amount of Fines	
N/A	ASSESSED
N/A	REDUCED
N/A	COLLECTED

Citations and Fines	
N/A	ISSUED
N/A	ISSUED WITH A FINE
N/A	WITHDRAWN
N/A	DISMISSED
N/A	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
0	CASES OPENED/INITIATED
0	CASES CLOSED
0	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

## MEDICAL BOARD OF CALIFORNIA

### Summary of Enforcement Activity RESEARCH PSYCHOANALYST continued

Cost Recovery to DCA	
<b>\$0</b>	ORDERED
<b>\$0</b>	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
<b>20</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>960</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>0</b>	AVERAGE NUMBER OF DAYS

### Summary of Enforcement Activity PHYSICIANS AND SURGEONS

Consumer Complaints—Intake	
<b>8,340</b>	RECEIVED
<b>0</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>8,493</b>	REFERRED FOR INVESTIGATION
<b>117</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>339</b>	RECEIVED
<b>339</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>5</b>	PENDING

Inspections	
<b>N/A</b>	

Investigations	
<b>8,863</b>	OPENED
<b>8,542</b>	CLOSED
<b>4,649</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>3,337</b>	UP TO 90 DAYS
<b>1,947</b>	91 TO 180 DAYS
<b>2,206</b>	181 DAYS TO 1 YEAR
<b>922</b>	1 TO 2 YEARS
<b>130</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>230</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>55</b>	ISSUED
<b>52</b>	ISSUED WITH A FINE
<b>4</b>	WITHDRAWN
<b>1</b>	DISMISSED
<b>540</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$46,450</b>	ASSESSED
<b>\$9,750</b>	REDUCED
<b>\$18,400</b>	COLLECTED

Criminal/Civil Actions	
<b>41</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>46</b>	CRIMINAL ACTIONS FILED
<b>1</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>433</b>	CASES OPENED/INITIATED
<b>269</b>	CASES CLOSED
<b>450</b>	CASES PENDING

Number of Days to Complete AG Cases*	
<b>42</b>	1 YEAR
<b>65</b>	1 TO 2 YEARS
<b>67</b>	2 TO 3 YEARS
<b>64</b>	3 TO 4 YEARS
<b>31</b>	OVER 4 YEARS
<b>909</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE



## Formal Actions Filed/Withdrawn/Dismissed

<b>9</b>	STATEMENTS OF ISSUES FILED
<b>299</b>	ACCUSATIONS FILED
<b>85</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED*
<b>3</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>14</b>	ACCUSATIONS WITHDRAWN/DISMISSED

\* 37 interim suspension orders; 0 temporary restraining orders; 0 automatic suspension orders; 10 Penal Code section 23 orders; 6 court orders; 18 out-of-state suspension orders; 0 stipulated agreement to suspend or restrict the practice of medicine; 14 suspension/cease practice orders.

## Administrative Outcomes/Final Orders

<b>6</b>	LICENSE APPLICATIONS DENIED
<b>39</b>	REVOCATION
<b>80</b>	SURRENDER OF LICENSE
<b>3</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>117</b>	PROBATION ONLY
<b>106</b>	PUBLIC REPRIMAND
<b>2</b>	OTHER DECISIONS
<b>14</b>	PROBATIONARY LICENSES ISSUED

## Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

<b>27</b>	TOTAL NUMBER FILED
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## Subsequent Disciplinary—Administrative Outcomes/Final Orders

<b>10</b>	REVOCATION
<b>7</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>9</b>	PROBATION ONLY
<b>1</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>16</b>	GRANTED
<b>6</b>	DENIED
<b>22</b>	TOTAL

## Petition for Reinstatement of Revoked License/Registration/Certification

<b>8</b>	GRANTED
<b>5</b>	DENIED
<b>13</b>	TOTAL

## Cost Recovery to DCA

<b>\$0</b>	ORDERED
<b>\$1,950</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>15</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>230</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Closure of Investigation to Imposing Formal Discipline

<b>465</b>	AVERAGE NUMBER OF DAYS
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Summary of Enforcement Activity  
POLYSOMNOGRAPHIC PROGRAM

## Consumer Complaints—Intake

<b>10</b>	RECEIVED
<b>0</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>10</b>	REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

## Conviction/Arrest Notification Complaints

<b>1</b>	RECEIVED
<b>0</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

## Inspections

<b>N/A</b>
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## Investigations

<b>11</b>	OPENED
<b>10</b>	CLOSED
<b>7</b>	PENDING

## MEDICAL BOARD OF CALIFORNIA

### Summary of Enforcement Activity POLYSOMNOGRAPHIC PROGRAM continued

Number of Days to Complete Intake and Investigations	
<b>5</b>	UP TO 90 DAYS
<b>3</b>	91 TO 180 DAYS
<b>1</b>	181 DAYS TO 1 YEAR
<b>1</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>138</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>N/A</b>	ISSUED
<b>N/A</b>	ISSUED WITH A FINE
<b>N/A</b>	WITHDRAWN
<b>N/A</b>	DISMISSED
<b>N/A</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>N/A</b>	ASSESSED
<b>N/A</b>	REDUCED
<b>N/A</b>	COLLECTED

Criminal/Civil Actions	
<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>3</b>	CASES OPENED/INITIATED
<b>0</b>	CASES CLOSED
<b>4</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>0</b>	1 YEAR
<b>0</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>0</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>2</b>	STATEMENTS OF ISSUES FILED
<b>1</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>1</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
<b>0</b>	LICENSE APPLICATIONS DENIED
<b>0</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

Petition for Modification or Termination of Probation	
<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
<b>0</b>	

Cost Recovery to DCA	
<b>\$0</b>	ORDERED
<b>\$0</b>	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
<b>33</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>138</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>0</b>	AVERAGE NUMBER OF DAYS

## BUREAU OF MEDICAL CANNABIS REGULATION

# Medical Cannabis

Beginning 2018, will license and regulate cannabis dispensaries, transporters, distributors, and testing.

## STAFF:

**10 civil service**

## LICENSEES:

**N/A**

## BUREAU STAFF:

## Chief:

**Lori Ajax**

lori.ajax@dca.ca.gov

ADVISORY GROUP  
MEMBERS:

**N/A**

## STRATEGIC PLAN ADOPTED:

**N/A**

[www.bmcr.ca.gov](http://www.bmcr.ca.gov)



## LAWS AND REGULATIONS:

**Business and Professions Code §§ 19300 – 19360**

**Health and Safety Code § 11362.777**

**Regulatory Codes Are Not Yet Codified**

## SUNSET REVIEW:

**N/A**

The Medical Cannabis Regulation and Safety Act (MCRSA), which established the Bureau of Medical Cannabis Regulation, took effect in January, 2016.

## RECIPROCITY

The Bureau currently offers no reciprocity with licenses obtained from other states' licensees.

## MAJOR ACCOMPLISHMENTS

- Bureau Chief Lori Ajax was appointed on February 4, 2016.
- Hired and trained 10 full-time employees.
- Visited various medical cannabis businesses and consulted with experienced industry professionals to obtain a greater understanding of the medical cannabis industry for the development of the Bureau's regulations.
- Hosted public information sessions in nine locations across the state to introduce the Bureau to the public, provide the public with information regarding the Bureau, and encourage the public to participate in the bureau's upcoming regulatory process.
- Entered into an agreement with the University of California, San Diego's Center for Medicinal Cannabis Research to conduct a study regarding the precise effects of cannabis consumption on driving performance, and to examine the methods for determining driving impairments

## BUREAU OF MEDICAL CANNABIS REGULATION

## CONTINUED

caused by cannabis consumption in a roadside setting. The knowledge gained from this study is expected to be instrumental in developing new methods for identifying drivers who are impaired due to cannabis use.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- AB 21 (Wood, Chapter 1, Statutes of 2016) deletes the existing provision that provides the Department of Food and Agriculture with the sole licensing authority for medical cannabis cultivation applicants in a city or county if the city or county does not enact land use regulations or ordinances regulating or prohibiting the cultivation of cannabis beginning March 1, 2016. This bill does not limit or prevent a city or county from exercising its police authority in regard to cultivation. This bill contained an urgency clause and took immediate effect after being signed into law by the Governor on February 3, 2016.
- AB 821 (Gipson, Chapter 811, Statutes of 2016) will authorize medical cannabis dispensaries to remit tax liability payments by means other than an electronic funds transfer, such as cash, until January 1, 2022.
- AB 2679 (Cooley, Chapter 828, Statutes of 2016) will authorize medical cannabis dispensaries to manufacture medical cannabis products until one year after the Bureau posts notice on its website that state licensing authorities have begun issuing state licenses, if specified conditions are met. This bill will also require the Bureau, among other state medical cannabis licensing authorities, to include in its mandatory annual report to the Legislature information pertaining to the number of appeals from the denial of state licenses or other disciplinary actions taken, the average time spent on those appeals, and the number of complaints received regarding licensees. Additionally, the bill will authorize the California Marijuana Research Program to perform studies that examine the effect of cannabis on motor skills.
- SB 837 (Committee on Budget and Fiscal Review, Chapter 32, Statutes of 2016) is the medical cannabis trailer bill that will make various noncontroversial changes to existing law. Key provisions include changing the name of the Bureau of Medical Marijuana Regulation to the Bureau of Medical Cannabis Regulation, requiring the Bureau rather than the State Department of Public Health to regulate and license cannabis testing laboratories, authorizing medical cannabis licensing authorities to promulgate emergency regulations, and ensuring state cannabis licensing entities have sufficient authority over their respective licensees.

## NATUROPATHIC MEDICINE COMMITTEE

# Naturopathic

Licenses and regulates naturopathic doctors.

## STAFF:

**1 civil service**  
**1 exempt**

## LICENSES AND PERMITS:

**813**

## BOARD STAFF:

**Executive Officer:**  
**Rebecca Mitchell**  
rebecca.mitchell@dca.ca.gov

## BOARD MEMBERSHIP:

**4 naturopathic doctors**  
**2 physicians (MD/DO)**  
**2 public representatives**

## STRATEGIC PLAN ADOPTED:

**2016**

[www.naturopathic.ca.gov](http://www.naturopathic.ca.gov)



NATUROPATHIC  
MEDICINE  
COMMITTEE

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 3610 – 3686**

**Title 16, Division 40, California Code of Regulations §§ 4200 – 4268**

## SUNSET REVIEW:

**Last Review: 2012/13      Inoperative/Repeal Date: January 1, 2018**

## COMMITTEE MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>DAVID FIELD, N.D. (CHAIR)</b>	JANUARY 1, 2018	Governor/Professional	NO
<b>THYONNE GORDON, PH.D.</b>	JANUARY 1, 2018	Governor/Public	NO
<b>MICHAEL HIRT, M.D.</b>	JANUARY 1, 2018	Governor/Professional	NO
<b>ALEXANDER KIM</b>	JANUARY 1, 2018	Governor/Public	NO
<b>TARA LEVY, N.D. (VICE CHAIR)</b>	JANUARY 1, 2018	Governor/Professional	NO
<b>MYLES SPAR, M.D.</b>	JANUARY 1, 2018	Governor/Professional	NO
<b>DARA THOMPSON, N.D.</b>	JANUARY 1, 2018	Governor/Professional	NO
<b>GREGORY WEISSWASSER, N.D.</b>	JANUARY 1, 2019	Governor/Professional	NO
<b>GRETA D'AMICO, N.D.</b>	JANUARY 1, 2019	Governor/Professional	NO

## RECIPROCITY

To be eligible for licensure in California, an applicant must have graduated from one of eight approved or candidate naturopathic medical schools accredited by the Council on Naturopathic Medical Education or an equivalent federally recognized accrediting body and take and pass the Naturopathic Physicians Licensing Examination administered by the North American Board of Naturopathic Examiners. Of these eight schools, only one is in California and it opened in August 2012.

## NATUROPATHIC MEDICINE COMMITTEE

CONTINUED

**MAJOR ACCOMPLISHMENTS**

- Teamed with the Department of Consumer Affairs' SOLID Training and Planning Solutions unit to work through the strategic planning process and develop an ambitious strategic plan for 2016 through 2019. The strategic plan assists the Committee in attaining its goal areas of licensing and continuing education, enforcement, legislation and regulations, administration, and outreach and public education.
- The Executive Officer gave a presentation at the California Naturopathic Doctors Association's Annual Symposium on the topics of public protection and the new BreEZe and Controlled Substances Utilization Review and Evaluation System (CURES) 2.0 systems. This outreach allowed licensees and naturopathic students to ask questions related to the Naturopathic Doctors Act and the regulations surrounding it. The outreach effort also assisted in familiarizing licensees with the BreEZe online system, along with the new CURES policies and onboarding requirements.
- Secured its first two-year limited-term analyst position on July 1, 2014. An Associate Governmental Program Analyst was hired to handle the licensing and enforcement workload.

During the 2015–16 Budget Change Proposal (BCP) process, the Committee requested to continue to employ the staff on a full-time permanent position and the allocated funds to support it. The BCP was approved, and on July 1, 2016, the Committee filled its second full-time, permanent position. The addition of this position will assist in the reduction of the program's enforcement backlog and will aid in streamlining the licensing process.

- The Licensing Unit continued to exceed the Committee's licensing performance processing times. This falls in line with the Committee's goals of providing excellent service to the licensees while protecting consumers.
- Adopted an amended version of the *Disciplinary Guidelines*, SB 1441 (Substance Abusing Licensees), and SB 1111 (Consumer Protection Enforcement Initiative), and is currently preparing the regulation package. Incorporating the *Disciplinary Guidelines* and adopting the triggers for substance-abusing licensees will further assist the Committee in its duty to protect consumers from potential harm.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- SB 538 (Huego), a scope expansion bill, is in front of the Legislature. At this time, the bill is before the Assembly Appropriations Committee and if passed, would allow licensed, naturopathic doctors to independently prescribe all legend and Schedule V drugs.
- Continues to work on further clarifying current statutes through regulations and has changes pending at this time.

## NATUROPATHIC MEDICINE COMMITTEE

## FEES\*

Naturopathic	Actual Fee	Statutory Limit
APPLICATION FEE	\$400	None
INITIAL LICENSE FEE**	\$433 – \$800	None
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$833 – \$1,200</b>	–
BIENNIAL RENEWAL FEE	\$800	–

\* Some additional fees may be required per Business and Professions Code § 3680.

\*\* Fees are prorated.

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
NATUROPATHIC DOCTOR	<b>68</b>	<b>65</b>	<b>271</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
NATUROPATHIC DOCTOR	<b>N/A</b>	<b>619</b>	<b>65</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
NATUROPATHIC DOCTOR	<b>EVERY 2 YEARS</b>	<b>60</b>

Exams	
<b>NOT APPLICABLE TO THIS PROGRAM</b>	

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>39</b>	RECEIVED
<b>7</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>25</b>	REFERRED FOR INVESTIGATION
<b>51</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>0</b>	RECEIVED
<b>0</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

Inspections	
<b>N/A</b>	

Investigations	
<b>25</b>	OPENED
<b>14</b>	CLOSED
<b>107</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>4</b>	UP TO 90 DAYS
<b>0</b>	91 TO 180 DAYS
<b>0</b>	181 DAYS TO 1 YEAR
<b>2</b>	1 TO 2 YEARS
<b>2</b>	2 TO 3 YEARS
<b>6</b>	OVER 3 YEARS
<b>797</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>65</b>	ISSUED
<b>0</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>0</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$0</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$0</b>	COLLECTED

Criminal/Civil Actions	
<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>0</b>	CASES OPENED/INITIATED
<b>0</b>	CASES CLOSED
<b>0</b>	CASES PENDING



## NATUROPATHIC MEDICINE COMMITTEE

## Summary of Enforcement Activity continued

Number of Days to Complete AG Cases	
0	1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
6	3 TO 4 YEARS
0	OVER 4 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$0	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
134	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
140	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS

## CALIFORNIA BOARD OF OCCUPATIONAL THERAPY

# Occupational Therapy

Licenses and regulates occupational therapists and occupational therapy assistants.

## STAFF:

**7.7 civil service**  
**1 exempt**

## LICENSES:

**15,553\***

## BOARD STAFF:

**Executive Officer:**  
**Heather Martin**  
heather.martin@dca.ca.gov

## BOARD MEMBERSHIP:

**4 professional members**  
**3 public representatives**

## STRATEGIC PLAN ADOPTED:

**2015**

[www.bot.ca.gov](http://www.bot.ca.gov)

\* As of September 7, 2016.

*California*  
BOARD OF OCCUPATIONAL THERAPY



## LAWS AND REGULATIONS:

**Business and Professions Code §§ 2000 – 2448, §§ 2505 – 2529.5, §§ 2540 – 2569**  
**Title 16, Division 13, California Code of Regulations §§ 1300 – 1379.78,**  
**§§ 1399.200 – 1399.279**

## SUNSET REVIEW:

**Last review: 2013      Inoperative: January 1, 2018**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>DENISE MILLER (PRESIDENT)</b>	DECEMBER 31, 2019	Governor/Licensee	NO
<b>RICHARD BOOKWALTER (VICE PRESIDENT)</b>	DECEMBER 31, 2016	Governor/Licensee	NO
<b>SHARON PAVLOVICH (SECRETARY)</b>	DECEMBER 31, 2019	Governor/Licensee	NO
<b>TERESA DAVIES</b>	DECEMBER 31, 2016	Senate/Public	NO
<b>JEFFREY FERRO</b>	DECEMBER 31, 2016	Assembly/Public	NO
<b>LAURA HAYTH</b>	DECEMBER 31, 2018	Governor/Licensee	NO
<b>BEATA MORCOS</b>	DECEMBER 31, 2018	Governor/Public	NO

## RECIPROCITY

The Board has no true reciprocity program for occupational therapists or occupational therapy assistants licensed by other states or countries.

## MAJOR ACCOMPLISHMENTS

- Developed and adopted a new 2016–19 Strategic Plan. As part of that process, a scan and analysis of the environment in which the Board operates was conducted. The environmental scan sought stakeholder input on the Board's performance in the areas of enforcement, applicant qualifications, laws and regulations, outreach and communication, and organizational effectiveness. This process included sending a survey to more than 900 stakeholders.

## CALIFORNIA BOARD OF OCCUPATIONAL THERAPY

CONTINUED

- After more than two years of mapping its business processes, and designing, developing, and testing the new BreEZe system, the Board successfully transitioned to BreEZe in January 2016. The new system provides increased automation to end-users and a significant improvement in data capturing and sorting capabilities. BreEZe allows consumers to verify licenses and submit consumer complaints online, allows licensees and applicants to complete online transactions with the Board, and provides increased automation and reporting capabilities for Board staff.
- There has been an increase in the number of accredited occupational therapist and occupational therapy assistant educational programs within California, which has led to an increase in the number of individuals applying for licensure.

Despite an 18.34 percent increase in the number of applications received since the prior fiscal year, the Board maintained its service levels and processed all applications within 30 days of receipt.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- Adopted regulations amending and expanding the Ethical Standards of Practice, amended the Advanced Practice application requirements to streamline the process for occupational therapists who are certified by the Hand Therapy Certification Commission and want to provide hand therapy services and use physical agent modalities, and updated and modified the application for licensure to conform with new legislative and legal requirements.

**FEES**

Therapists	Actual Fee	Statutory Limit
APPLICATION FEE	\$50	\$50
INITIAL LICENSE FEE	\$150	\$150
RENEWAL FEE	\$150 BIENNIAL	\$150 ANNUAL

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
OCCUPATIONAL THERAPIST	<b>1,105</b>	<b>1,078</b>	<b>5,658</b>
OCCUPATIONAL THERAPY ASSISTANT	<b>399</b>	<b>388</b>	<b>1,135</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
OCCUPATIONAL THERAPIST	<b>N/A</b>	<b>12,791*</b>	<b>1,035</b>
OCCUPATIONAL THERAPY ASSISTANT	<b>N/A</b>	<b>2,762*</b>	<b>369</b>

\* As of September 7, 2016.

**Renewal and Continuing Education**

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
OCCUPATIONAL THERAPIST	<b>EVERY 2 YEARS</b>	<b>24</b>
OCCUPATIONAL THERAPY ASSISTANT	<b>EVERY 2 YEARS</b>	<b>24</b>

**Exams**

**ADMINISTERED BY NBCOT**

**Summary of Enforcement Activity****Consumer Complaints—Intake**

<b>285</b>	RECEIVED
<b>2</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>280</b>	REFERRED FOR INVESTIGATION
<b>3</b>	PENDING

**Conviction/Arrest Notification Complaints**

<b>139</b>	RECEIVED
<b>139</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

## CALIFORNIA BOARD OF OCCUPATIONAL THERAPY

## Summary of Enforcement Activity

Inspections	
<b>N/A</b>	INSPECTIONS CONDUCTED
<b>N/A</b>	INSPECTION CITATIONS ISSUED

Investigations	
<b>419</b>	OPENED
<b>243</b>	CLOSED
<b>509</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>130</b>	UP TO 90 DAYS
<b>33</b>	91 TO 180 DAYS
<b>60</b>	181 DAYS TO 1 YEAR
<b>15</b>	1 TO 2 YEARS
<b>5</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>146</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>52</b>	ISSUED
<b>52</b>	ISSUED WITH A FINE
<b>4</b>	WITHDRAWN
<b>4</b>	DISMISSED
<b>266</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$18,525</b>	ASSESSED
<b>\$1,750</b>	REDUCED
<b>\$15,675</b>	COLLECTED

Criminal/Civil Actions	
<b>1</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>1</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>14</b>	CASES OPENED/INITIATED
<b>13</b>	CASES CLOSED
<b>13</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>4</b>	1 YEAR
<b>7</b>	1 TO 2 YEARS
<b>2</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>462</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>2</b>	STATEMENTS OF ISSUES FILED
<b>10</b>	ACCUSATIONS FILED
<b>1</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
<b>1</b>	LICENSE APPLICATIONS DENIED
<b>1</b>	REVOCATION
<b>2</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>5</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

Petition for Modification or Termination of Probation	
<b>0</b>	GRANTED
<b>1</b>	DENIED
<b>1</b>	TOTAL

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
<b>0</b>	

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
<b>0</b>	REVOCATION
<b>1</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## CALIFORNIA BOARD OF OCCUPATIONAL THERAPY

Petition for Reinstatement of Revoked License/  
Registration/Certification

<b>1</b>	GRANTED
<b>0</b>	DENIED
<b>1</b>	TOTAL

## Cost Recovery to DCA\*

<b>\$6,422.50</b>	ORDERED
<b>\$18,579</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>
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## Receipt of Complaint to Assignment to Investigator

<b>1</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>141</b>	AVERAGE NUMBER OF DAYS
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Investigations: Closure of Investigation to Imposing  
Formal Discipline

<b>480</b>	AVERAGE NUMBER OF DAYS
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## CALIFORNIA STATE BOARD OF OPTOMETRY

# Optometry

Licenses/registers and regulates optometrists, registered dispensing opticians, contact lens dispensers, spectacle lens dispenser and nonresident contact lens dispensers.

## STAFF:

**11.4 civil service**  
**1 exempt**

## LICENSES/PERMITS:

**17,082**

## BOARD STAFF:

**Executive Officer:**  
**Jessica Sieferman**  
jessica.sieferman@dca.ca.gov

**Assistant Executive Officer:**  
**Robert Stephanopoulos**  
robert.stephanopoulos@dca.ca.gov

## BOARD MEMBERSHIP:

**6 licensees**  
**5 public representatives**

## STRATEGIC PLAN ADOPTED:

**2014**

[www.optometry.ca.gov](http://www.optometry.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 2540 – 2545, §§ 2546 – 2546.10, §§ 2550 – 2569, §§ 3000 – 3167**

**Title 16, Division 15, California Code of Regulations § 1500 – 1581**

**Title 16, Division 13.5, California Code of Regulations § 1399**

## SUNSET REVIEW:

**Last Review: 2013**

**Repeal Date: January 1, 2018**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>MADHU CHAWLA, OD (PRESIDENT)</b>	JUNE 1, 2019	Governor/Professional	NO
<b>DONNA BURKE (VICE PRESIDENT)</b>	JUNE 1, 2019	Senate/Public	NO
<b>LILLIAN WANG, OD (SECRETARY)</b>	JUNE 1, 2018	Governor/Professional	NO
<b>CYD BRANDVEIN</b>	JUNE 1, 2017	Governor/Public	NO
<b>MARTHA GARCIA, CLD, SLD</b>	JUNE 1, 2019	Governor/Professional	NO
<b>GLENN KAWAGUCHI, OD</b>	JUNE 1, 2018	Governor/Professional	NO
<b>DEBRA MCINTYRE, OD</b>	JUNE 1, 2017	Governor/Professional	NO
<b>RACHEL MICHELIN</b>	JUNE 1, 2019	Governor/Public	NO
<b>MARK MORODOMI</b>	JUNE 1, 2018	Governor/Public	NO
<b>MARIA SALAZAR SPERBER, JD</b>	JUNE 1, 2019	Assembly/Public	NO
<b>DAVID TURETSKY, OD</b>	JUNE 1, 2017	Governor/Professional	NO

## RECIPROCITY (BPC § 3057)

Optometrists licensed in another state prior to January 1, 2000, may apply for licensure if they meet specified requirements, including the certification requirements of Business and Professions Section 3041.3 to use therapeutic pharmaceutical agents, pay the application fee, and take the Board's jurisprudence exam. For those licensed in another state after January 1, 2000, all requirements are the same except that they must also pass parts one through three of the National Board of Examiners in Optometry exam as well as the Treatment and Management of Ocular Disease component of the same national exam.



## CALIFORNIA STATE BOARD OF OPTOMETRY

## CONTINUED

**MAJOR ACCOMPLISHMENTS**

- On January 1, 2016, AB 684 extended the Board's oversight to protect California consumers receiving optical dispensing and retail services when the Registered Dispensing Optician (RDO) Program transferred to the Board from the Medical Board of California (MBC). Overnight, the Board's regulatory population grew by 50 percent—expanding its regulatory oversight from 8,000 optometrists to roughly 12,000 optometrists, RDOs, registered contact lens dispensers, registered spectacle lens dispensers, and nonresident contact lens dispensers.
- Went live with BreEZe in January 2016 after extensive work during the design, configuration, and testing stages through the first half of Fiscal Year 2015–16, enabling a generally smooth and successful transition. The overall feedback from staff, the public, licensees, and applicants is very positive. Since the launch, the Board has seen a steady increase in applicants, licensees, and the public using BreEZe online services, with the majority of initial optometry applications now being submitted online.
- Under new Board leadership, including a new Board president, vice president, secretary, executive officer, assistant executive officer, and several Board members, the Board faced significant restructuring. Licensing and enforcement units received new lead analysts, the majority of staff duty statements were dramatically revised, and several reclassifications were completed due to the Board's operational needs to adequately protect the public.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- AB 684 (Alejo, Chapter 405, Statutes of 2015), effective January 1, 2016, transfers the RDO Program from the MBC to the Board, establishes an RDO Advisory Committee under the Board, and replaces an optometrist member with a RDO member. In addition, AB 684 establishes a three-year transition period for compliance, authorizes landlord-tenant relationships between RDOs and optometrists, and grants the Board inspection authority.
- AB 1253 (Steinorth, Chapter 125, Statutes of 2015), effective January 1, 2016, enhances consumer protection by clarifying the pathways to obtain a retired volunteer designation. As previously written, an optometrist could have been retired an indefinite time period and apply to become a retired volunteer at any time.
- AB 1359 (Nazarian, Chapter 443, Statutes of 2015), effective January 1, 2016, removes a pathway that, overtime, became a barrier to obtain a Therapeutic Pharmaceutical Agents' certification and replaces it with an attainable, yet equitable, pathway.
- SB 800, (Committee on Business, Professions and Economic Development) effective January 1, 2016, removes license barriers to out-of-state applicants, while still ensuring minimum competencies are met and consumer protections remain. In addition, with regard to making a finding of mental incompetence, replaces a finding by a physician with a finding by a licensed psychologists or licensed psychiatrist.
- California Code of Regulations (CCR) Section 1506 Certificate Posting: Board approved amendments in July 2015 to provide consumer information explaining authorized services for the different certifications.
- CCR Section 2556.1 Co-location Reporting Requirement: Board approved addition in November 2015 to implement portions of AB 684.
- CCR Sections 1399, 1399.260, 1399.261, 1399.263 RDO Program Fees: Board approved amendments in February 2016 to raise all fees to their statutory caps.
- CCR Section 1502 Delegation of Authority: Board approved amendments in February 2016 to streamline certain disc and promote administrative efficiencies.
- CCR Section 1523 Examination Requirements: Board approved amendments in February 2016 to revise applications and streamline application process.
- CCR Section 1523.5 Abandonment of Applications: Board approved addition in February 2016 to authorize application expiration after a year from received date.



## CONTINUED

- CCR Section 1530.1 Qualifications of Foreign Graduates: Board approved amendment and revised form in February 2016 to provide clarification and align with business process.
- CCR Section 1536 Continuing Optometric Education: Submitted to the Department of Consumer Affairs in June 2016. The regulatory amendments make several changes to how continuing education credits are earned and how courses are approved.
- SB 863 (Senate Committee on Budget and Fiscal Review, Chapter 31, Statutes of 2016) will set citation amounts, specify implementation and reporting deadlines, and clarify the implementation dates for Board appointments and the dispensing optician advisory committee, among other things.
- SB 1039 (Hill, Chapter 799, Statutes of 2016) will raise fees for registered dispensing opticians and optical companies, and make several non-substantive, technical changes to the provisions that are related to the jurisdictional change and enforcement of the registered dispensing optician licensees and optical companies as a result of prior legislation.
- SB 1478 (Senate Committee on Business, Professions, and Economic Development, Chapter 489, Statutes of 2016) will make several technical, non-substantive changes and amendments to sections related to the jurisdictional change of the registered dispensing optician licensees and optical companies.

## FEES\*

Optometrist License	Actual Fee	Statutory Limit
LICENSE APPLICATION FEE	\$275	\$275
NBEO EXAMINATION FEES (PARTS I, II, AND III)	\$1,875*	N/A
STATE LAW EXAMINATION	\$22.50*	N/A
<b>TOTAL INITIAL LICENSURE FEES</b>	<b>\$2,172.50</b>	-
BIENNIAL OPTOMETRIST RENEWAL	\$425	\$500

Branch Office License	Actual Fee	Statutory Limit
LICENSE APPLICATION FEE	\$75	\$75
ANNUAL RENEWAL FEE	\$75	\$75

Fictitious Name Permit	Actual Fee	Statutory Limit
INITIAL LICENSE FEE	\$50	\$50
ANNUAL RENEWAL FEE	\$50	\$50

Statement of Licensure	Actual Fee	Statutory Limit
LICENSE APPLICATION FEE	\$40	\$40
BIENNIAL RENEWAL FEE	\$40	\$40

Registered Dispensing Optician	Actual Fee	Statutory Limit
INITIAL LICENSE FEE	\$75	\$100
BIENNIAL RENEWAL FEE	\$75	\$100

Contact Lens Dispensers	Actual Fee	Statutory Limit
LICENSE APPLICATION FEE	\$100	\$100
ABO SPECTACLE LENS EXAM	\$225*	N/A
<b>TOTAL INITIAL LICENSURE FEES</b>	<b>\$325</b>	-
BIENNIAL RENEWAL FEE	\$75	\$100

Spectacle Lens Dispensers	Actual Fee	Statutory Limit
LICENSE APPLICATION FEE	\$100	\$100
NCLE CONTACT LENS EXAM	\$225*	N/A
<b>TOTAL INITIAL LICENSURE FEES</b>	<b>\$325</b>	-
BIENNIAL RENEWAL FEE	\$75	\$100

Out-Of-State Optician/ Nonresident Contact Lens Sellers	Actual Fee	Statutory Limit
INITIAL LICENSE FEE	\$75	\$100
BIENNIAL RENEWAL FEE	\$75	\$100

\* NBEO Exam fees set by the National Board of Examiners in Optometry; State Law Exam fee set by PSI Exams; ABO Exam Fees set by the American Board of Opticianry; and NCLE Exam fees set by the National Contact Lens Examiners.

NOTE: Licensing and renewal fees for Registered Dispensing Opticians, Lens Dispensers, and Sellers will increase beginning January 1, 2017.

## CALIFORNIA STATE BOARD OF OPTOMETRY

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
LICENSED OPTOMETRIST	329	233	3,787
BRANCH OFFICE LICENSE	46	51	347
FICTITIOUS NAME PERMIT	159	183	1,425
STATEMENT OF LICENSURE	293	277	447
THERAPEUTIC PHARMACEUTICAL AGENTS' CERTIFICATION	312	287	N/A
LACRIMAL IRRIGATION AND DILATION CERTIFICATION	318	293	N/A
GLAUCOMA CERTIFICATION	436	422	N/A
DISPENSING OPTICIAN	86	81	474
CONTACT LENS DISPENSER	86	82	424
SPECTACLE LENS DISPENSER	304	279	974
OUT OF STATE OPTICIAN	1	1	5

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
LICENSED OPTOMETRIST	N/A	8,024	N/A
BRANCH OFFICE LICENSE	N/A	417	N/A
FICTITIOUS NAME PERMIT	1,513	N/A	N/A
STATEMENT OF LICENSURE	N/A	1,228	N/A
DIAGNOSTIC PHARMACEUTICAL AGENT'S CERTIFICATION	8,034	N/A	N/A
THERAPEUTIC PHARMACEUTICAL AGENT'S CERTIFICATION	7,563	N/A	N/A
LACRIMAL IRRIGATION AND DILATION CERTIFICATION	4,481	N/A	N/A
GLAUCOMA CERTIFICATION	3,921	N/A	N/A
DISPENSING OPTICIAN	N/A	1,361	N/A
CONTACT LENS DISPENSER	N/A	1,293	N/A
SPECTACLE LENS DISPENSER	N/A	3,253	N/A
OUT OF STATE OPTICIAN	N/A	10	N/A

## Renewal and Continuing Education (CE)

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
LICENSED OPTOMETRIST	EVERY 2 YEARS	40-50
BRANCH OFFICE LICENSE	EVERY YEAR	N/A
FICTITIOUS NAME PERMIT	EVERY YEAR	N/A
STATEMENT OF LICENSURE	EVERY 2 YEARS	N/A
DISPENSING OPTICIAN	EVERY 2 YEARS	N/A
CONTACT LENS DISPENSER	EVERY 2 YEARS	N/A
SPECTACLE LENS DISPENSER	EVERY 2 YEARS	N/A
OUT OF STATE OPTICIAN	EVERY 2 YEARS	N/A

## Exam

**NATIONAL BOARD OF EXAMINERS IN OPTOMETRY,  
AMERICAN BOARD OF OPTICIANRY – SPECTACLE EXAM, AND  
NATIONAL CONTACT LENS EXAMINERS – CONTACT LENS EXAM**

## Summary of Enforcement Activity

## Consumer Complaints—Intake

238	RECEIVED
21	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
185	REFERRED FOR INVESTIGATION
0	PENDING

## Conviction/Arrest Notification Complaints

26	RECEIVED
26	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

## Inspections

N/A

## Investigations

247	OPENED
192	CLOSED
184	PENDING

## Citations and Fines

220	ISSUED
0	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
0	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## Summary of Enforcement Activity continued

### Number of Days to Complete Intake and Investigations

<b>87</b>	UP TO 90 DAYS
<b>39</b>	91 TO 180 DAYS
<b>27</b>	181 DAYS TO 1 YEAR
<b>30</b>	1 TO 2 YEARS
<b>7</b>	2 TO 3 YEARS
<b>1</b>	OVER 3 YEARS
<b>220</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

### Total Amount of Fines

<b>\$0</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$800</b>	COLLECTED

### Criminal/Civil Actions

<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

### Office of the Attorney General/Disciplinary Actions

<b>5</b>	CASES OPENED/INITIATED
<b>2</b>	CASES CLOSED
<b>8</b>	CASES PENDING

### Number of Days to Complete AG Cases

<b>0</b>	1 YEAR
<b>1</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>1</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>0</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

### Formal Actions Filed/Withdrawn/Dismissed

<b>0</b>	STATEMENTS OF ISSUES FILED
<b>0</b>	ACCUSATIONS FILED
<b>1</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>1</b>	ACCUSATIONS WITHDRAWN/DISMISSED

### Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

<b>1</b>	TOTAL NUMBER FILED
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### Administrative Outcomes/Final Orders

<b>0</b>	LICENSE APPLICATIONS DENIED
<b>0</b>	REVOCATION
<b>1</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>1</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

### Subsequent Disciplinary—Administrative Outcomes/Final Orders

<b>1</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

### Petition for Modification or Termination of Probation

<b>3</b>	GRANTED
<b>1</b>	DENIED
<b>4</b>	TOTAL

### Petition for Reinstatement of Revoked License/Registration/Certification

<b>0</b>	GRANTED
<b>1</b>	DENIED
<b>1</b>	TOTAL

### Cost Recovery to DCA

<b>\$7,975</b>	ORDERED
<b>\$11,337</b>	COLLECTED

### Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## CALIFORNIA STATE BOARD OF OPTOMETRY

## Summary of Enforcement Activity continued

Receipt of Complaint to Assignment to Investigator	
<b>2</b>	AVERAGE NUMBER OF DAYS
Investigations: Opening to Closing of Case	
<b>223</b>	AVERAGE NUMBER OF DAYS
Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>645</b>	AVERAGE NUMBER OF DAYS

Summary of Enforcement Activity  
DISPENSING OPTICIANS

Consumer Complaints—Intake	
<b>46</b>	RECEIVED
<b>4</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>45</b>	REFERRED FOR INVESTIGATION
<b>0</b>	PENDING
Conviction/Arrest Notification Complaints	
<b>46</b>	RECEIVED
<b>19</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING
Inspections	
<b>N/A</b>	
Investigations	
<b>95</b>	OPENED
<b>66</b>	CLOSED
<b>51</b>	PENDING
Number of Days to Complete Intake and Investigations	
<b>32</b>	UP TO 90 DAYS
<b>10</b>	91 TO 180 DAYS
<b>10</b>	181 DAYS TO 1 YEAR
<b>11</b>	1 TO 2 YEARS
<b>3</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>153</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

## Citations and Fines

<b>0</b>
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## Total Amount of Fines

<b>\$0</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$800</b>	COLLECTED

## Criminal/Civil Actions

<b>5</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

## Office of the Attorney General/Disciplinary Actions

<b>4</b>	CASES OPENED/INITIATED
<b>3</b>	CASES CLOSED
<b>6</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>0</b>	1 YEAR
<b>2</b>	1 TO 2 YEARS
<b>1</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>638</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>1</b>	STATEMENTS OF ISSUES FILED
<b>3</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes/Final Orders

<b>0</b>	LICENSE APPLICATIONS DENIED
<b>3</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Summary of Enforcement Activity

### DISPENSING OPTICIANS continued

#### Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

<b>0</b>	TOTAL NUMBER FILED
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#### Subsequent Disciplinary—Administrative Outcomes/Final Orders

<b>0</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

#### Petition for Modification or Termination of Probation

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

#### Petition for Reinstatement of Revoked License/Registration/Certification

<b>1</b>	GRANTED
<b>0</b>	DENIED
<b>1</b>	TOTAL

#### Cost Recovery to DCA

<b>\$0</b>	ORDERED
<b>\$0</b>	COLLECTED

#### Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

#### Receipt of Complaint to Assignment to Investigator

<b>11</b>	AVERAGE NUMBER OF DAYS
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#### Investigations: Opening to Closing of Case

<b>195</b>	AVERAGE NUMBER OF DAYS
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#### Investigations: Closure of Investigation to Imposing Formal Discipline

<b>369</b>	AVERAGE NUMBER OF DAYS
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## OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA

# Osteopathic

Licenses and regulates osteopathic physicians and surgeons.

## STAFF:

**10.5 civil service**  
**1 exempt**

## LICENSES:

**9,074 licensees**  
**956 permits**

## BOARD STAFF:

**Executive Officer:**  
**Angie Burton**  
angie.burton@mbc.ca.gov

**Assistant Executive Officer:**  
**Terri Thorfinnson**  
terri.thorfinnson@mbc.ca.gov

## BOARD MEMBERSHIP:

**5 professional members**  
**4 public representatives**

## STRATEGIC PLAN ADOPTED:

**2016**

[www.ombc.ca.gov](http://www.ombc.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 2000 – 2459.7**

**Title 16, Division 16, California Code of Regulations §§ 1600 – 1697**

## BUDGET ACT OF 2015: FY 2015-16:

**\$2,003,000**

**11.4 Authorized Positions**

## SUNSET REVIEW:

**Last review: 2013**

**Next review: 2017**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>ELIZABETH JENSEN-BLUMBERG, D.O.</b>	JUNE 1, 2019	Governor/Professional	NO
<b>CYRUS FRAM BUHARI, D.O. (SECRETARY TREASURER)</b>	JUNE 1, 2019	Governor/Professional	NO
<b>MICHAEL FEINSTEIN, D.O.</b>	JUNE 1, 2019	Governor/Professional	NO
<b>MEGAN BLAIR</b>	JUNE 1, 2018	Assembly/Public	NO
<b>ALAN HOWARD</b>	JAN. 1, 2017	Governor/Public	NO
<b>JAMES LALLY, D.O. (VICE PRESIDENT)</b>	JUNE 1, 2020	Governor/Professional	NO
<b>CLAUDIA MERCADO</b>	JUNE 1, 2019	Senate/Public	NO
<b>CHERYL WILLIAMS</b>	JAN. 1, 2017	Governor/Public	NO
<b>JOSEPH ZAMMUTO, D.O. (PRESIDENT)</b>	JUNE 1, 2019	Governor/Professional	NO

**RECIPROCITY (BPC § 2153.5)**

The Board accepts licensees from any state, which requires passage of the Comprehensive Osteopathic Medical Licensing Examination (COMLEX –USA) Levels 1, 2CE, 2PE and 3, as their written examination for licensure. Any other state written examination may be considered for approval and acceptance by the Board on a case-by-case basis.

## CONTINUED

**MAJOR ACCOMPLISHMENTS**

- Worked with the Department of Consumer Affairs' (DCA's) SOLID team to develop its new strategic plan. During late summer and fall of 2015, OMBC sent out questionnaires to its stakeholders and gathered pertinent information to move forward with its strategic plan. Board members and staff met in October 2015 and developed the 2016–2019 Strategic Plan. The final plan was published in January 2016.
- Finalized the regulatory packet for SB 1441, Disciplinary Guidelines. The regulatory packet was reviewed by DCA's Legal Division and is currently at the Business, Consumer Services and Housing Agency for approval before submitting to the Office of Administrative Law. OMBC is currently working on three other regulatory packets.
- Submitted two Budget Change Proposals (BCPs), both of which were approved. One was an augmentation of our personnel budget by \$175,000 to maintain the three positions created in Fiscal Year 2014–15, for which we did not receive funding at that time. The purpose of the other BCP was to increase our facilities budget by \$50,000 per year, ongoing, to allow DCA Facilities to search and negotiate a move to a new location to accommodate the growing program. OMBC was also included in the approved DCA's BCP to increase the enforcement budget by \$75,000.
- ABX2 15 (Eggman, Chapter 1, Statutes of 2015) established the End of Life Option Act (Act) in California, which became effective on June 9, 2016, and will remain in effect until January 1, 2026. This Act gives a mentally competent, adult California resident who has a terminal disease the legal right to ask for and receive a prescription from his or her physician to hasten death, as long as required criteria is met. The law requires specified forms to be completed before the aid-in-dying drugs can be prescribed and additional forms after the drugs have been prescribed. OMBC worked with the Medical Board of California (MBC), which provided links to the required documents, which are found on the MBC website.
- On January 8, 2016, the Department of Justice released the upgraded Controlled Substances Utilization Review and Evaluation System, also

referred to as CURES 2.0. OMBC has provided information to its licensees on the website and has sent two reminder notices to all in-state licensees to register in CURES 2.0.

- Released the BreEZe online license renewal service in June 2016. Osteopathic physicians and surgeons may now renew licenses online.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- AB 1962 (Dodd, Chapter 405, Statutes of 2016) will require, on or before July 1, 2017, the Department of State Hospitals to convene a workgroup to adopt guidelines establishing minimum education and training standards for a psychiatrist or licensed psychologist to be considered for appointment by the court.
- AB 2193 (Committee on Business and Professions, Chapter 459, Statutes of 2016) will extend the sunset date of the Board of Podiatric Medicine and the Physician Assistant Board until January 1, 2021, including the authority of the Physician Assistant Board to appoint an executive officer and employ the personnel necessary to carry out its functions.
- AB 2745 (Holden, Chapter 303, Statutes of 2016) will clarify the Medical Board of California's (Board's) ability to issue limited practice license renewals; authorize the Board to deny a post-graduate training authorization letter for the same reason it can deny a physician license application; specify the Board may request medical records of a deceased individual from a facility where care occurred without next of kin approval; allow the Board to take disciplinary action against specified licensees and collect a fee for excessive use of drugs or alcohol; allow specified allied health licensees under the Board to petition for license reinstatement; authorize the Board to use probation for specified allied health licensees; revoke or deny licensure for registered sex offenders; and make technical changes to clarify the Board's authority to perform regulatory oversight of licensees.
- SB 1174 (McGuire, Chapter 840, Statutes of 2016) will require the Medical Board of California (Board) to prioritize investigations for repeated acts of excessive prescribing, furnishing, or administering



## OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA

CONTINUED

psychotropic medications to a minor without a good-faith exam and medical reason; require the Board to conduct a quarterly data analysis of prescribers and their prescribing patterns of psychotropic medications and related services; require the Board to annually report results of the analysis to the Legislature beginning July 1, 2017; and require the Board to review the data to determine violations and take disciplinary action. Additionally, this bill will specify the manner in which data is provided to the

Board by the Department of Healthcare Services and the Department of Social Services, require the Board to conduct an internal review of actions undertaken pursuant to this bill, and sunset the data collection, review, and reporting provisions on January 1, 2027.

SB 1261 (Stone, Chapter 239, Statutes of 2016) will exempt licensure and renewal fees for out-of-state physicians and surgeons who provide voluntary, unpaid healthcare services in California.

**FEES\***

Osteopathic Physician and Surgeon	Actual Fee	Statutory Limit
APPLICATION FEE	\$200	\$400
INITIAL LICENSE FEE	AMOUNT PRORATED*	\$425
<b>TOTAL INITIAL LICENSE FEES</b>		<b>\$625</b>
BIENNIAL RENEWAL FEE	\$437**	\$437

\*Includes a \$25 mandatory payment toward the Stephen M. Thompson Physician Corps Loan Repayment Program.

\*\*Includes a \$6 (annual) mandatory Controlled Substance Utilization Review and Evaluation System (CURES) fee.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
OSTEOPATHIC PHYSICIANS AND SURGEONS	<b>781</b>	<b>684</b>	<b>3,552</b>
FICTITIOUS NAME PERMIT	<b>106</b>	<b>106</b>	<b>595</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
OSTEOPATHIC PHYSICIANS AND SURGEONS		<b>*9,074</b>	
FICTITIOUS NAME PERMIT	<b>**956</b>		
* Includes 838 delinquent status licenses			
** Includes 304 delinquent FNP permits			

NOTE: License renewals are biennial birth month. Renewals are processed every other month.

**Renewal and Continuing Education**

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
OSTEOPATHIC PHYSICIANS AND SURGEONS	<b>EVERY 2 YEARS</b>	<b>150 HRS/3 YR</b>
FICTITIOUS NAME PERMIT	<b>EVERY YEAR</b>	<b>N/A</b>

**Exams**

**NATIONAL BOARD OF OSTEOPATHIC MED EXAMINERS – COMLEX**

**Summary of Enforcement Activity****Consumer Complaints—Intake**

<b>520</b>	RECEIVED
<b>38</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>481</b>	REFERRED FOR INVESTIGATION
<b>51</b>	PENDING

**Conviction/Arrest Notification Complaints**

<b>29</b>	RECEIVED
<b>29</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>1</b>	PENDING

**Inspections**

<b>N/A</b>
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**Investigations**

<b>510</b>	OPENED
<b>627</b>	CLOSED
<b>284</b>	PENDING

## OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA

## Summary of Enforcement Activity continued

Number of Days to Complete Intake and Investigations	
<b>258</b>	UP TO 90 DAYS
<b>180</b>	91 TO 180 DAYS
<b>135</b>	181 DAYS TO 1 YEAR
<b>34</b>	1 TO 2 YEARS
<b>12</b>	2 TO 3 YEARS
<b>5</b>	OVER 3 YEARS
<b>170</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>4</b>	ISSUED
<b>4</b>	ISSUED WITH A FINE
<b>1</b>	WITHDRAWN
<b>1</b>	DISMISSED
<b>31</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$2,500</b>	ASSESSED
<b>\$1,000</b>	REDUCED
<b>\$1,500</b>	COLLECTED

Criminal/Civil Actions	
<b>2</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>2</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>26</b>	CASES OPENED/INITIATED
<b>21</b>	CASES CLOSED
<b>23</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>6</b>	1 YEAR
<b>8</b>	1 TO 2 YEARS
<b>2</b>	2 TO 3 YEARS
<b>5</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>695</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>2</b>	STATEMENTS OF ISSUES FILED
<b>17</b>	ACCUSATIONS FILED
<b>5</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
<b>2</b>	LICENSE APPLICATIONS DENIED
<b>5</b>	REVOCATION
<b>4</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>7</b>	PROBATION ONLY
<b>3</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

Petition for Modification or Termination of Probation	
<b>1</b>	GRANTED
<b>3</b>	DENIED
<b>4</b>	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
<b>0</b>	GRANTED
<b>1</b>	DENIED
<b>1</b>	TOTAL

Cost Recovery to DCA*	
<b>\$58,010</b>	ORDERED
<b>\$39,890</b>	COLLECTED

\* Cost recovery may be paid over the course of the probationary period. Amount collected includes amount ordered in prior years.

Consumer Restitution to Consumers/Refunds/Savings	
<b>\$0</b>	

Receipt of Complaint to Assignment to Investigator	
<b>32</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>142</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>402</b>	AVERAGE NUMBER OF DAYS

## CALIFORNIA STATE BOARD OF PHARMACY

# Pharmacy

Licenses and regulates pharmacies, pharmacists, pharmacist interns, pharmacy technicians and drug wholesalers.

## STAFF:

**99.8 civil service**  
**1 exempt**

## LICENSES:

**138,444**

## BOARD STAFF:

**Executive Officer:**  
**Virginia Herold**

virginia.herold@dca.ca.gov

**Assistant Executive Officer:**  
**Anne Sodergren**

anne.sodergren@dca.ca.gov

## BOARD MEMBERSHIP:

**7 pharmacists**  
**6 public representatives**

## STRATEGIC PLAN ADOPTED:

**2015**

[www.pharmacy.ca.gov](http://www.pharmacy.ca.gov)



## LAWS AND REGULATIONS:

**Business and Professions Code §§ 4000 – 4426**

**Title 16, Division 17, California Code of Regulations §§ 1702 – 1793.8**

## SUNSET REVIEW:

**Last review: 2016**

**Inoperative date: January 1, 2021**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>RYAN BROOKS</b>	JUNE 1, 2020	Governor/Public	NO
<b>LAVANZA KERCHERYL BUTLER</b>	JUNE 1, 2017	Governor/Licensee	NO
<b>AMY GUTIERREZ (PRESIDENT)</b>	JUNE 1, 2018	Governor/Licensee	NO
<b>VICTOR LAW (TREASURER)</b>	JUNE 1, 2019	Governor/Licensee	NO
<b>GREGORY N. LIPPE</b>	JUNE 1, 2020	Governor/Public	NO
<b>VALERIE MUÑOZ</b>	AUGUST 1, 2020	Senate/Public	NO
<b>RICARDO SANCHEZ</b>	JUNE 1, 2018	Governor/Public	NO
<b>ALLEN SCHAAD</b>	JUNE 1, 2019	Governor/Licensee	NO
<b>DEBORAH VEALE (VICE PRESIDENT)</b>	JUNE 1, 2017	Governor/Licensee	NO
<b>STANLEY C. WEISSER</b>	JUNE 1, 2019	Governor/Licensee	NO
<b>ALBERT C. WONG</b>	JUNE 1, 2020	Governor/Licensee	NO
<b>VACANT</b>		Assembly/Public	NO
<b>VACANT</b>		Governor/Public	NO

## MAJOR ACCOMPLISHMENTS

- Led efforts to promote the state's new Controlled Substance Utilization Review and Evaluation System—commonly known as CURES 2.0—and to encourage pharmacists to register with the system by the July 1, 2016, deadline. CURES 2.0, a prescription drug monitoring program operated by the California Department of Justice, features a variety of performance improvements and added functionality. The Board reached out to advise pharmacists of the registration deadline in a variety of forums, including public speaking events, the Board's newsletter,

## CONTINUED

subscriber alert e-mails, and individualized postcards and letters to licensees. The Board also joined the Medical Board of California and the California Department of Public Health to assist researchers at University of California, Davis, in developing a survey about CURES for pharmacists and physicians.

- Launched a redesigned website to improve online access and ease-of-use for consumers, licensees, and applicants. The new site features a streamlined home page, reduces clutter, and enhances visibility and access to important news and information. In addition to four tabs on the main page, a search box takes visitors directly to information available on the website. The site can also be translated into almost 100 languages.
- Prevailed in a State Court of Appeal case, *Sternberg v. California Board of Pharmacy* (California's 2nd District Court of Appeal Case No. B255862; filed August 6, 2015), involving a pharmacist-in-charge who appealed the Board's disciplinary decision involving substantial controlled substances losses during his tenure as pharmacist-in-charge. The final decision from this court provides a number of substantial determinations involving the role of a pharmacist-in-charge. The Board published the *Sternberg* case to further educate pharmacists about the findings of the court with respect to the role of pharmacist-in-charge and to ensure the Board's ability to rely on this decision's findings in future disciplinary matters.
- Responded during state wildfire emergencies declared by the Governor to ensure consumer access to pharmaceuticals. The Board also updated the Board's emergency response plan to ensure even further immediate response by the Board.

### MAJOR NEW LEGISLATION OR REGULATIONS

(Extends through the 2016 calendar year)

- AB 1073, Ting (Chapter 782, Statutes 2015), a Board-sponsored bill, establishes new requirements for dispensers to provide translated directions on prescription container labels or label supplements to patients with limited English proficiency. The requirements will help consumers with limited English proficiency better understand how to take their medications safely. The Board released a public service announcement about the law that was translated into Chinese, Korean, Vietnamese, Russian, and Spanish, and was distributed to more than 800 media outlets. The provisions went into effect January 1, 2016.
- SB 590, Stone (Chapter 147, Statutes of 2015), a Board-sponsored bill, amends Section 4209 of the Business and Professions Code to streamline the application process for graduates from an Accreditation Council for Pharmacy Education–accredited school or school of pharmacy recognized by the Board for purposes of confirming completion of the required pharmacy practice experience requirements. The provisions went into effect January 1, 2016.
- SB 1193, Hill (Chapter 484, Statutes of 2016) would extend the operations of the Board and the Board's authority to appoint an executive officer until January 1, 2021; establish the framework for the licensure of outsourcing facilities; establish requirements for specific licensees to report and maintain their e-mail address with the Board; authorize the Board to synchronize license renewal dates and aggregate fees for clinics; expand the Board's ability to issue a temporary permit for specified licenses; and make other technical changes.
- Finalized work on a number of regulations to implement SB 493, Hernandez (Chapter 469, Statutes of 2013). SB 493 created the advanced practice pharmacist classification that will allow pharmacists, with the proper training, to administer biological products, provide self-administered hormonal contraceptives, provide drug therapy and disease management education and training, provide travel medication, order tests, administer vaccinations, and administer epinephrine or diphenhydramine for a severe allergic reaction. These regulations included:
  - Implementation of Title 16, California Code of Regulations (CCR) Section 1746.1, establishing a state protocol for pharmacists to furnish self-administered hormonal contraception to women without a doctor's prescription.

## CALIFORNIA STATE BOARD OF PHARMACY

## CONTINUED

- Implementation of Title 16, CCR Section 1746.2, establishing a state protocol for pharmacists to furnish nicotine replacement therapy to help patients quit smoking.
- SB 493 (Hernandez) would improve and widen access to healthcare for consumers and promote pharmacists to collaborate with other healthcare providers. One of the regulations was approved August 2016; the remaining regulation is anticipated to be approved December 2016.
- Other pending regulations are discussed below.
- Developed and adopted Title 16, CCR Section 1746.3, establishing a permanent protocol for pharmacists to furnish naloxone hydrochloride without a doctor's prescription. The regulation increases public availability of naloxone, an opioid antagonist that reverses the effects of opioid medications such as oxycodone, Vicodin, Percocet, methadone, and heroin.
- Strengthened California's regulatory framework and enforcement for pharmacies that compound sterile drug products by proposing amendments to compounding and sterile compounding regulations, including annual inspections of out-of-state pharmacies that import sterile products into California, to ensure the Board's regulations increase the quality of compounded medication made by pharmacists. This regulation was approved September 2016 with an effective date of January 1, 2017.
- Proposed drug take-back regulations for unwanted medications based on the U.S. Drug Enforcement Administration's requirements. Take-back programs give consumers options for disposing of unwanted, unused, or outdated prescription drugs while reducing the supply of controlled substances available for misuse and abuse.
- Updated the Board's Disciplinary Guidelines with proposed regulations to ensure they reflect changes in current law and incorporate changes made to facilitate implementation of SB 1441.
- Proposed a regulation to require specified warnings or prescription container labels to increase patient and consumer awareness regarding the potentially dangerous side effects of certain prescription drugs. This education will combat the growing epidemic of drugged driving and increase the safety of residents traveling within California and individuals performing work on the roadways.
- Updated the Board's patient-centered label requirements for prescription drug containers by proposing a regulation to provide clearer information about generic names and brand names drugs. If a patient has a prescription issued in the brand name and then refilled in the generic name, the patient may not be aware that the two bottles contain the same medication. This could cause them to take double the dose in error.
- AB 1069 (Gordon, Chapter 316, Statutes of 2016) will allow a pharmacy to repackage donated medications for dispensing to indigent patients if such repackaging is performed and dispensed through a dedicated pharmacy.
- AB 1114 (Eggman, Chapter 602, Statutes of 2016) will establish the process for a pharmacist to be reimbursed for specified services provided as a benefit under Medi-Cal such as furnishing Naloxone, travel medication, self-administered hormonal contraception, initiating and administering immunizations, and providing tobacco cessation counseling and furnishing of nicotine replacement therapy.
- AB 1386 (Low, Chapter 374, Statutes of 2016) will authorize a pharmacy to furnish epinephrine auto-injectors to authorized entities.
- SB 482 (Lara, Chapter 708, Statutes of 2016) will require a prescriber to access the CURES system under specified conditions, to include checking the system before prescribing a Schedule II, III, or IV medication for the first time and at least every four months, thereafter. The bill will also limit the dispensing of a controlled substance in specified settings to either a 5- or 7-day supply.

## CONTINUED

- SB 952 (Anderson, Chapter 150, Statutes of 2016) will modify the requirements to expand the certification requirement to include other agencies as a pathway to licensure as a pharmacy technician.
- SB 999 (Pavley, Chapter 499, Statutes of 2016) will authorize a pharmacist to dispense prescribed, FDA-approved, self-administered hormonal contraceptives either as prescribed or, at the patient's request, in a 12-month supply, unless the prescriber specifically indicates no change to quantity. The measure will also require a healthcare service plan or health insurance policy, on or after January 1, 2017, to cover a 12-month supply of a self-administered hormonal contraception dispensed at one time by a prescriber or dispenser.
- SB 1039 (Hill, Chapter 799, Statutes of 2016) will set forth a new fee schedule, which is needed to sustain Board operations.
- SB 1193 (Hill, Chapter 484, Statutes of 2016) will make important changes to pharmacy law including:
  - Extending the operations of the California Board of Pharmacy (Board) and the Board's authority to appoint an executive officer until January 1, 2021.
  - Establishing the framework for the licensure of outsourcing facilities.
  - Authorizing the Board to synchronize license renewal dates and aggregate fees for clinics.

## FEES

Original Application Type	Effective 7/1/14	Effective 7/1/16	Statutory Cap Effective 7/1/16
CENTRALIZED HOSPITAL PACKAGING PHARMACY	\$800	\$820	\$1,150
CLINIC PERMIT	\$520	\$520	\$570
DESIGNATED REPRESENTATIVE CERTIFICATE - WLS	\$330	\$150	\$210
DESIGNATED REPRESENTATIVE CERTIFICATE - VET	\$330	\$150	\$210
DESIGNATED REPRESENTATIVE - 3PL	\$330	\$150	\$210
DRUG ROOM	\$520	\$520	\$570
HOSPITAL PHARMACY	\$520	\$520	\$570
HYPODERMIC NEEDLE AND SYRINGE	\$165	\$170	\$240
INTERN PHARMACIST	\$115	\$165	\$230
NON-RESIDENT OUTSOURCING FACILITY*	N/A	\$2,380	\$3,335
NON-RESIDENT PHARMACY	\$520	\$520	\$570
NON-RESIDENT STERILE COMPOUNDING*	\$780	\$2,380	\$3,335
NON-RESIDENT WHOLESALER	\$780	\$780	\$820
NON-RESIDENT WHOLESALE DRUG WITH 20 OR MORE LOCATIONS – PRICE FOR THE 21ST AND BEYOND	N/A	\$300	\$300
NON-RESIDENT THIRD-PARTY LOGISTICS PROVIDER	\$780	\$780	\$820
NON-RESIDENT THIRD-PARTY LOGISTICS PROVIDER WITH 20 OR MORE LOCATIONS – PRICE FOR THE 21ST AND BEYOND	N/A	\$300	\$300
OUTSOURCING FACILITY	N/A	\$2,270	\$3,180
PHARMACIST LICENSURE EXAM	\$260	\$260	\$285
PHARMACY	\$520	\$520	\$570
PHARMACY TECHNICIAN	\$105	\$140	\$195
STERILE COMPOUNDING	\$780	\$1,645	\$2,305
VETERINARY FOOD-ANIMAL DRUG RETAILER	\$425	\$435	\$610
WHOLESALE DRUG	\$780	\$780	\$820
WHOLESALE DRUG WITH 20 OR MORE LOCATIONS – PRICE FOR THE 21ST AND BEYOND	N/A	\$300	\$300
THIRD-PARTY LOGISTICS PROVIDER	\$780	\$780	\$820
THIRD-PARTY LOGISTICS PROVIDER WITH 20 OR MORE LOCATIONS – PRICE FOR THE 21ST AND BEYOND	N/A	\$300	\$300

\* Required to pay for travel related to the inspection of the facility by a Board inspector.

## CALIFORNIA STATE BOARD OF PHARMACY

CONTINUED

- Authorizing the Board to issue a temporary permit for specified licenses.
- Repealing obsolete provisions related electronic data transmission prescriptions in the Health and Safety Code.
- Authorizing the Board to issue a cease and desist for unlicensed activity violations.
- Establishing registration requirements for automated drug delivery systems.
- Making other technical changes.
- SB 1229 (Jackson, Chapter 238, Statutes of 2016) will establish guidelines for entities that maintain a drug take-back bin on their premises, define who constitutes a “collector,” as well as several other related terms, and exempt from civil liability any drug take-back bin operator who is acting in good-faith by following the guidelines.

**FEES continued**

Initial License/Certificate	Effective 7/1/14	Effective 7/1/16	Statutory Cap Effective 7/1/16
PHARMACIST INITIAL LICENSE FEE	\$195	\$195	\$215

Renewal	Effective 7/1/14	Effective 7/1/16	Statutory Cap Effective 7/1/16
CENTRALIZED HOSPITAL PACKAGING PHARMACY	\$800	\$805	\$1,125
CLINIC**	\$331**	\$331**	\$360
DESIGNATED REPRESENTATIVE - WLS	\$195	\$215	\$300
DESIGNATED REPRESENTATIVE - VET	\$195	\$215	\$300
DESIGNATED REPRESENTATIVE - 3PL	\$195	\$215	\$300
DRUG ROOM**	\$331**	\$671**	\$930
HOSPITAL PHARMACY**	\$331**	\$671**	\$930
HYPODERMIC NEEDLE AND SYRINGE**	\$165**	\$206**	\$280
NON-RESIDENT OUTSOURCING FACILITY	N/A	\$2,270	\$3,180
NON-RESIDENT PHARMACY	\$331	\$665	\$930
NON-RESIDENT STERILE COMPOUNDING*	\$780	\$2,270	\$3,180
NON-RESIDENT WHOLESALER	\$786	\$780	\$820
NON-RESIDENT THIRD-PARTY LOGISTICS PROVIDER	\$786	\$780	\$820
OUTSOURCING FACILITY	N/A	\$1,325	\$1,855
PHARMACIST (BIENNIAL)***	\$207***	\$372***	\$505
PHARMACY**	\$331**	\$671**	\$930
PHARMACY TECHNICIAN	\$130	\$140	\$190
STERILE COMPOUNDING	\$780	\$1,325	\$1,855
VETERINARY FOOD-ANIMAL DRUG RETAILER	\$325	\$330	\$460
WHOLESALE DRUG	\$786**	\$780	\$820
THIRD-PARTY LOGISTICS PROVIDER	\$786**	\$780	\$820

\*Required to pay for travel related to the inspection of the facility by a Board inspector.

\*\*Includes \$6 CURES fee implemented on April 1, 2014 for renewals.

\*\*\*Includes \$12 CURES fee for two-year license renewals. Fee was implemented on April 1, 2014.



## CALIFORNIA STATE BOARD OF PHARMACY

## FEES continued

Delinquent (in addition to Renewal Fee)	Effective 7/1/14	Effective 7/1/16	Statutory Cap Effective 7/1/16
CENTRALIZED HOSPITAL PACKAGING PHARMACY	\$150	\$150	\$150
CLINIC	\$150	\$150	\$150
DESIGNATED REPRESENTATIVE – WLS	\$97.50	\$107.50	\$150
DESIGNATED REPRESENTATIVE – VET	\$97.50	\$107.50	\$150
DESIGNATED REPRESENTATIVE – 3PL	\$97.50	\$107.50	\$150
DRUG ROOM	\$150	\$150	\$150
HOSPITAL PHARMACY	\$150	\$150	\$150
HYPODERMIC NEEDLE AND SYRINGE	\$82.50	\$100	\$140
NON-RESIDENT OUTSOURCING FACILITY	N/A	\$150	\$150
NON-RESIDENT PHARMACY	\$150	\$150	\$150
NON-RESIDENT WHOLESALER	\$150	\$150	\$150
NON-RESIDENT THIRD-PARTY LOGISTICS PROVIDER	\$150	\$150	\$150
OUTSOURCING FACILITY	N/A	\$150	\$150
PHARMACIST	\$97.50	\$150	\$150
PHARMACY	\$150	\$150	\$150
PHARMACY TECHNICIAN	\$65	\$70	\$95
STERILE COMPOUNDING	\$150	\$150	\$150
VETERINARY FOOD-ANIMAL DRUG RETAILER	\$125	\$150	\$150
WHOLESALE DRUG	\$150	\$150	\$150
THIRD-PARTY LOGISTICS PROVIDER	\$150	\$150	\$150

Miscellaneous	Effective 7/1/14	Effective 7/1/16	Statutory Cap Effective 7/1/16
TEMP DRUG ROOM PERMIT	\$325	\$250	\$325
TEMP HOSPITAL PERMIT	\$325	\$250	\$325
TEMP PHARMACY PERMIT	\$325	\$250	\$325
TEMP STERILE PERMIT	\$715	\$550	\$715
TEMP OUTSOURCING FACILITY PERMIT	N/A	\$715	\$715
TEMP WHOLESALE PERMIT	\$715	\$715	\$715
TEMP NON-RESIDENT WHOLESALE PERMIT/THIRD-PARTY LOGISTICS PROVIDER	\$715	\$715	\$715
TEMP VETERINARY FOOD-ANIMAL DRUG PERMIT	\$250	n/a	n/a
TRANSFER OF INTERN HOURS	\$30	\$25	\$30
LICENSE VERIFICATION	\$30	\$25	\$30
REGRADE OF PHARMACIST LICENSURE EXAM	\$115	\$90	115
CHANGE OF PERMIT	\$100	\$100	\$130
CHANGE OF PERMIT - TRADESTYLE/ADDRESS	\$35	\$35	\$45
CHANGE OF PHARMACIST IN CHARGE	\$100	\$100	\$130
CHANGE OF DESIGNATED REPRESENTATIVE IN CHARGE	\$100	\$100	\$130
DUPLICATE/REPLACEMENT LICENSE	\$35	\$35	\$34
RETIRED PHARMACIST	\$45	\$35	\$45
EVALUATION OF CONTINUING EDUCATION COURSES FOR ACCREDITATION	\$40*	\$40*	\$40*

\*Price per course hour

## CALIFORNIA STATE BOARD OF PHARMACY

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
CENTRALIZED HOSPITAL PACKAGING	1	5	0
CLINIC	140	110	1,187
DESIGNATED REPRESENTATIVE	510	431	2,568
HOSPITAL	34*	28*	500*
HYPODERMIC NEEDLE AND SYRINGE	14	14	259
INTERN PHARMACIST	2,361	2,116	N/A
LICENSED CORRECTIONAL FACILITY	2	2	53
NONRESIDENT PHARMACY	171	135	391
NONRESIDENT STERILE COMPOUNDING	37	23	76
NONRESIDENT WHOLESALER	122	91	601
PHARMACIST (EXAM APPLICATIONS)	3,028	N/A	N/A
PHARMACIST (INITIAL LICENSING APPLICATIONS)	1,959	1,978	20,400
PHARMACY	763	735	6,350
PHARMACY TECHNICIAN	6,257	5,849	30,914
STERILE COMPOUNDING	96	69	876
THIRD-PARTY LOGISTIC PROVIDER	14	16	1
THIRD-PARTY LOGISTIC PROVIDER - NONRESIDENT	52	58	12
VETERINARY FOOD-ANIMAL DRUG RETAILER	6	5	24
WHOLESALER	97	64	464
DESIGNATED REPRESENTATIVE 3PL (DRL)	199	187	53
SURPLUS MEDICATION COLLECTION AND DISTRIBUTION INTERMEDIARY	1	1	0

\* Hospital includes drug rooms:

3 Application received

4 Issued

31 Renewed

## Licensing Population by Type

TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
CENTRALIZED HOSPITAL PACKAGING	N/A	8	N/A
CLINIC	N/A	1,308	N/A
DESIGNATED REPRESENTATIVE	N/A	3,119	N/A
HOSPITAL	N/A	518	N/A
HYPODERMIC NEEDLE AND SYRINGE	N/A	285	N/A
INTERN PHARMACIST	N/A	6,364	N/A
LICENSED CORRECTIONAL FACILITY	N/A	55	N/A
NONRESIDENT PHARMACY	N/A	509	N/A
NONRESIDENT STERILE COMPOUNDING	N/A	92	N/A
NONRESIDENT WHOLESALER	N/A	726	N/A
PHARMACY TECHNICIAN	N/A	73,289	N/A
PHARMACIST	N/A	43,818	N/A
PHARMACY	N/A	6,566	N/A
STERILE COMPOUNDING	N/A	917	N/A
THIRD-PARTY LOGISTIC PROVIDER	N/A	16	N/A
THIRD-PARTY LOGISTIC PROVIDER - NONRESIDENT	N/A	60	N/A
VETERINARY FOOD-ANIMAL DRUG RETAILER	N/A	23	N/A
WHOLESALER	N/A	572	N/A
DESIGNATED REPRESENTATIVE 3PL (DRL)	N/A	198	N/A
SURPLUS MEDICATION COLLECTION AND DISTRIBUTION INTERMEDIARY	1	1	0

\* Hospital includes 36 drug rooms.

## Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PHARMACIST	<b>EVERY 2 YEARS</b>	<b>30</b>
INTERN PHARMACIST	<b>N/A</b>	<b>N/A</b>
PHARMACY TECHNICIAN	<b>EVERY 2 YEARS</b>	<b>N/A</b>
PHARMACY	<b>EVERY YEAR</b>	<b>N/A</b>
HOSPITAL	<b>EVERY YEAR</b>	<b>N/A</b>
STERILE COMPOUNDING	<b>EVERY YEAR</b>	<b>N/A</b>
CLINIC	<b>EVERY YEAR</b>	<b>N/A</b>
NONRESIDENT PHARMACY	<b>EVERY YEAR</b>	<b>NA</b>
LICENSED CORRECTIONAL FACILITY	<b>EVERY YEAR</b>	<b>N/A</b>
HYPODERMIC NEEDLE AND SYRINGE	<b>EVERY YEAR</b>	<b>N/A</b>
NONRESIDENT WHOLESALER	<b>EVERY YEAR</b>	<b>N/A</b>
WHOLESALER	<b>EVERY YEAR</b>	<b>N/A</b>
VETERINARY FOOD-ANIMAL DRUG RETAILER	<b>EVERY YEAR</b>	<b>N/A</b>
DESIGNATED REPRESENTATIVE	<b>EVERY YEAR</b>	<b>N/A</b>
DESIGNATED REPRESENTATIVE 3PL:	<b>EVERY YEAR</b>	<b>N/A</b>
NONRESIDENT STERILE COMPOUNDING	<b>EVERY YEAR</b>	<b>N/A</b>
CENTRALIZED HOSPITAL PACKAGING	<b>EVERY YEAR</b>	<b>N/A</b>
THIRD-PARTY LOGISTIC PROVIDER	<b>EVERY YEAR</b>	<b>N/A</b>
THIRD-PARTY LOGISTIC PROVIDER - NONRESIDENT	<b>EVERY YEAR</b>	<b>N/A</b>
SURPLUS MEDICATION COLLECTION AND DISTRIBUTION INTERMEDIARY	<b>EVERY YEAR</b>	<b>N/A</b>

Exams			
TYPE	PASS	FAIL	TOTAL
CALIFORNIA PRACTICE STANDARDS AND JURISPRUDENCE EXAMINATION FOR PHARMACISTS	<b>2,053</b>	<b>735</b>	<b>2,788</b>
NORTH AMERICAN PHARMACIST LICENSURE EXAMINATION	<b>1,470</b>	<b>249</b>	<b>1,719</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>2,671</b>	RECEIVED
<b>380</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION*
<b>2,362</b>	REFERRED FOR INVESTIGATION*
<b>213</b>	PENDING

\* Cases closed, closed without referral for investigation, and referred for investigation may have been received in a prior fiscal year.

Conviction/Arrest Notification Complaints	
<b>1,182</b>	RECEIVED
<b>1,183</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION*
<b>14</b>	PENDING

\* Cases closed, closed without referral for investigation, and referred for investigation may have been received in a prior fiscal year.

Inspections	
<b>1,523</b>	INSPECTIONS CONDUCTED
<b>**</b>	INSPECTION CITATIONS ISSUED**

\*\* The Board is not authorized to issue a citation at the time of inspection.

Investigation	
<b>3,495</b>	OPENED*
<b>3,079</b>	CLOSED*
<b>2,226</b>	PENDING

\* Cases closed, closed without referral for investigation, and referred for investigation may have been received in a prior fiscal year.

Number of Days to Complete Intake and Investigations	
<b>649</b>	UP TO 90 DAYS
<b>787</b>	91 TP 180 DAYS
<b>1,123</b>	181 DAYS TO 1 YEAR
<b>458</b>	1 TO 2 YEARS
<b>52</b>	2 TO 3 YEARS
<b>10</b>	OVER 3 YEARS
<b>231</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines***	
<b>1,975</b>	ISSUED****
<b>1,599</b>	ISSUED WITH A FINE
<b>6</b>	WITHDRAWN
<b>3</b>	DISMISSED
<b>311</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

\*\*\* Twenty-five citations were reduced to Letters of Admonishment at the Office Conference.

\*\*\*\* Citations issued includes all citations issued, with or without a fine.

## CALIFORNIA STATE BOARD OF PHARMACY

## Summary of Enforcement Activity continued

## Total Amount of Fines

<b>\$2,264,850</b>	ASSESSED
<b>\$15,512,725</b>	REDUCED*
<b>\$2,178,412</b>	COLLECTED

\* Most of the fines reduced above reflect reductions made to multiple respondents from cases involving Internet purchasing from unlicensed wholesalers that were issued citations with large fines in the previous fiscal year. The fines were modified as part of the appeal/settlement process during this fiscal year.

## Criminal/Civil Actions

N/A

## Office of the Attorney General/Disciplinary Actions

<b>368</b>	CASES OPENED/INITIATED
<b>284</b>	CASES CLOSED
<b>540</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>21</b>	1 YEAR
<b>119</b>	1 TO 2 YEARS
<b>74</b>	2 TO 3 YEARS
<b>36</b>	3 TO 4 YEARS
<b>34</b>	OVER 4 YEARS
<b>869</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>49</b>	STATEMENTS OF ISSUES FILED
<b>299</b>	ACCUSATIONS FILED
<b>39</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED**
<b>10</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>13</b>	ACCUSATIONS WITHDRAWN/DISMISSED

\*\* Included interim suspension orders and pc23 orders granted.

## Administrative Outcomes/Final Orders\*

<b>15</b>	LICENSE APPLICATIONS DENIED
<b>132</b>	REVOCATION
<b>70</b>	SURRENDER OF LICENSE
<b>18</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>75</b>	PROBATION ONLY
<b>16</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

\* Cases may include multiple respondents with multiple outcomes.

## Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

<b>10</b>	TOTAL NUMBER FILED
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## Subsequent Disciplinary—Administrative Outcomes/Final Orders

<b>8</b>	REVOCATION
<b>2</b>	SURRENDER OF LICENSE
<b>2</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>8</b>	GRANTED
<b>7</b>	DENIED
<b>15</b>	TOTAL

## Petition for Reinstatement of Revoked License/Registration/Certification

<b>6</b>	GRANTED
<b>2</b>	DENIED
<b>8</b>	TOTAL

## Cost Recovery to DCA

<b>\$1,457,042</b>	ORDERED
<b>\$811,527</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>N/A</b>	RESTITUTION ORDERED
<b>N/A</b>	AMOUNT REFUNDED
<b>N/A</b>	REWORK AT NO CHARGE
<b>N/A</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>N/A</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>25</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>199</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Closure of Investigation to Imposing Formal Discipline

<b>566</b>	AVERAGE NUMBER OF DAYS
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## PHYSICAL THERAPY BOARD OF CALIFORNIA

# Physical Therapy

Licenses and regulates physical therapists, physical therapist assistants, and the practice of electroneuromyography and kinesiological electromyography performed by physical therapists.

## STAFF:

**19.4 civil service**  
**1 exempt**

LICENSES AND  
CERTIFICATIONS:

**36,368**

## BOARD STAFF:

**Executive Officer:**

**Jason Kaiser**

jason.kaiser@dca.ca.gov

## BOARD MEMBERSHIP:

**4 licensees**  
**3 public representatives**

## STRATEGIC PLAN ADOPTED:

**2014**

[www.ptbc.ca.gov](http://www.ptbc.ca.gov)



## LAWS AND REGULATIONS:

**Business and Professions Code §§ 2600 – 2696**

**Title 16, Division 13.2, California Code of Regulations §§ 1398 – 1399.99.4**

## SUNSET REVIEW:

**Last review: 2013**

**Repeal dates: January 1, 2018**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>DEBRA ALVISO</b>	JUNE 1, 2017	Governor/Professional	NO
<b>JESUS DOMINGUEZ</b>	JUNE 1, 2018	Governor/Professional	NO
<b>DANIEL DRUMMER</b>	JUNE 1, 2018	Governor/Professional	NO
<b>KATARINA ELEBY (PRESIDENT)</b>	JUNE 1, 2020	Governor/Public	NO
<b>ALICIA REBENA-AMEN (VICE PRESIDENT)</b>	JUNE 1, 2020	Governor/Professional	NO
<b>TONIA MCMILLIAN</b>	JUNE 1, 2019	Senate/Public	NO
<b>ESERICK "TJ" WATKINS</b>	JUNE 1, 2019	Assembly/Public	NO

**RECIPROCITY (BPC § 2636.5)**

Applicants for licensure as a physical therapist or physical therapist assistant who are licensed as such in another state, district, or territory of the United States, approved by the Board, may become licensed without a physical therapy or physical therapist assistant written examination (NPTE) if he/she meets all the requirements, including those licensing requirements prescribed by the Board. However, these individuals must successfully pass the California Law Examination (CLE) prior to becoming licensed.

**Graduate Practice – Physical Therapist and Physical Therapist Assistant “License Applicant” Status (§ 2639)**

Applicants who are graduates of an approved education program or substantiated as a graduate of an approved education program, and who have filed a complete application, as defined in regulation, for licensure with the Board may be awarded “License Applicant” status by the Board. A

## PHYSICAL THERAPY BOARD OF CALIFORNIA

## CONTINUED

physical therapist or physical therapist assistant applicant shall practice under the direct supervision of a licensed physical therapist as a "License Applicant".

**MAJOR ACCOMPLISHMENTS**

- Transitioned to the Department of Consumer Affairs' (DCA) BreEZe online database system on January 19, 2016, and assisted in the implementation of two new releases of the system: 2.1 and 2.11. BreEZe offers our stakeholders, consumers, licensees, and applicants enhanced licensing and enforcement efficiency.
- Enhanced consumer protection by conducting expert consultant training sessions in Northern and Southern California. The training served as a refresher course for current subject matter experts of their roles, current investigative and disciplinary processes, and recruited new subject matter expert consultants from remote and other desired areas throughout California.
- Increased outreach efforts by providing educational presentations of the Board's licensing and enforcement roles to physical therapist and physical therapy assistant programs. In addition, the Board had a significant increase in its number of followers and was ranked No. 1 within the DCA healthcare boards for Facebook and social media.
- Initiated a comprehensive review of and revisions to all Board's forms and applications.
- Increased attendance at Board meetings by holding several of the meetings at various physical therapy colleges throughout California. Many had large audiences of physical therapist and physical therapist assistant students, providing them with the opportunity to observe the administrative and disciplinary functions of the Board.
- Added a closed caption feature to the Board's webcast. This initiative enhances transparency and provides a greater accessibility opportunity to the public. It also allows the Board to be compliant with the Bagley-Keene Open Meeting Act.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- Amended existing regulations to implement several technical, nonsubstantive changes Title 16, Division 13.2, California Code of Regulations (CCR).
- Adopted CCR Sections 1399.50 and 1399.52 to increase its application and license renewal fees to effectively sustain operations necessary for protecting consumers through its licensing and enforcement functions. Pursuant to Business and Professions Code Section 2602.1, protection of the public shall be the highest priority for the Board in exercising its licensing, regulatory, and disciplinary functions. The Board primarily achieves this mandate by processing applications and issuing and renewing licenses to eligible physical therapist and physical therapist assistant applicants; investigating complaints; taking administrative action against licensees for substantiated violations of the Physical Therapy Practice Act (Act); monitoring licensees whose licenses have been placed on probation; and managing the Substance Abuse Recovery Program for licensees whose practice may be impaired due to abuse of drugs or alcohol. Existing law authorizes the Board to assess fees associated with these duties and responsibilities, enabling the Board to carry out its mandate of consumer protection. This regulation ensures sufficient resources to maintain current Board operations to meet its mandate of consumer protection until such time it can seek legislative authority to increase the caps imposed in current statutory language.
- Proposed regulatory language to clarify English proficiency requirements for applicants who completed a non-approved physical therapy program outside of the United States. Specifically, 1) set a passing score on the Test of English as a Foreign Language (TOEFL); 2) required the exam to be passed in one sitting; and 3) identified exemption qualifications. The proposed language also requires approved credential evaluation services to report on the evaluation the applicant's compliance on the TOEFL examination or exemption. The Board has proposed these changes primarily because it finds the score minimums acceptable by the U.S. Citizen and Immigration Services for immigration purposes outdated and insufficient for consumer protection.

## PHYSICAL THERAPY BOARD OF CALIFORNIA

## FEE\*

Physical Therapist	Actual Fee	Statutory Limit
APPLICATION PROCESSING FEE	\$300	\$300
INITIAL LICENSE FEE	\$150	\$150
NATIONAL EXAMINATION FEE	\$485	Set by FSBPT**
CA LAW EXAMINATION FEE	\$50	Set by FSBPT**
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$985</b>	-
BIENNIAL RENEWAL FEE	\$300	\$300

Physical Therapist Assistant	Actual Fee	Statutory Limit
APPLICATION FEE	\$300	\$300
NATIONAL EXAMINATION FEE	\$470	Set by FSBPT**
CA LAW EXAMINATION FEE	\$50	Set by FSBPT**
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$820</b>	-
BIENNIAL RENEWAL FEE	\$300	\$300

\* Additional fees may be necessary under Business and Professions Code § 2688.

\*\* Fees collected and paid to the Federation of State Board of Physical Therapy; Portion of fee to Prometric (test provider).

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PT	<b>1,496</b>	<b>1,443</b>	<b>12,611</b>
PTA	<b>487</b>	<b>499</b>	<b>3,139</b>
FOREIGN APPLICATIONS	<b>311</b>	<b>N/A</b>	<b>N/A</b>
EK/EN	<b>0</b>	<b>0</b>	<b>30</b>

PT – Physical therapist

PTA – Physical therapist assistant

EK/EN – Kinesiological electromyographer/Electroneuromyographer

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PT	<b>N/A</b>	<b>28,865</b>	<b>N/A</b>
PTA	<b>N/A</b>	<b>7,456</b>	<b>N/A</b>
EK/EN	<b>47</b>	<b>N/A</b>	<b>N/A</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PT	<b>EVERY 2 YEARS</b>	<b>30</b>
PTA	<b>EVERY 2 YEARS</b>	<b>30</b>
EK/EN	<b>EVERY 2 YEARS</b>	<b>30</b>

## Exam Results

EXAM TITLE	PASS	FAIL	TOTAL
NATIONAL PT EXAM	<b>759</b>	<b>278</b>	<b>1,037</b>
NATIONAL PTA EXAM	<b>379</b>	<b>198</b>	<b>577</b>
CALIFORNIA LAW EXAM	<b>2,001</b>	<b>1,008</b>	<b>3,009</b>
<b>TOTALS</b>	<b>3,139</b>	<b>1,484</b>	<b>4,623</b>

## Summary of Enforcement Activity

## Consumer Complaints—Intake

<b>416</b>	RECEIVED
<b>208</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>208</b>	REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

## Conviction/Arrest Notification Complaints

<b>287</b>	RECEIVED
<b>286</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>1</b>	PENDING

## Inspections

**N/A**

## Investigations

<b>682</b>	OPENED
<b>762</b>	CLOSED
<b>414</b>	PENDING

## Number of Days to Complete Intake and Investigations

<b>414</b>	UP TO 90 DAYS
<b>94</b>	91 TO 180 DAYS
<b>40</b>	181 DAYS TO 1 YEAR
<b>31</b>	1 TO 2 YEARS
<b>16</b>	2 TO 3 YEARS
<b>21</b>	OVER 3 YEARS
<b>149</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

## Citations and Fines

<b>78</b>	ISSUED
<b>78</b>	ISSUED WITH A FINE
<b>10</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>372</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE



## PHYSICAL THERAPY BOARD OF CALIFORNIA

## Summary of Enforcement Activity continued

Total Amount of Fines	
<b>\$30,000</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$34,649</b>	COLLECTED

Criminal/Civil Actions	
<b>1</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>25</b>	CASES OPENED/INITIATED
<b>54</b>	CASES CLOSED
<b>28</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>2</b>	1 YEAR
<b>24</b>	1 TO 2 YEARS
<b>17</b>	2 TO 3 YEARS
<b>11</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>827</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>3</b>	STATEMENTS OF ISSUES FILED
<b>20</b>	ACCUSATIONS FILED
<b>5</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>1</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>1</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
<b>1</b>	LICENSE APPLICATIONS DENIED
<b>6</b>	REVOCATION
<b>3</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>36</b>	PROBATION ONLY
<b>6</b>	PUBLIC REPRIMAND
<b>2</b>	OTHER DECISIONS

Petition for Modification or Termination of Probation	
<b>4</b>	GRANTED
<b>1</b>	DENIED
<b>5</b>	TOTAL

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
<b>1</b>	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
<b>0</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>1</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>2</b>	OTHER DECISIONS

Petition for Reinstatement of Revoked License/Registration/Certification	
<b>1</b>	GRANTED
<b>2</b>	DENIED
<b>3</b>	TOTAL

Cost Recovery to DCA	
<b>\$297,833</b>	ORDERED
<b>\$151,810</b>	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
<b>4</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>148</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>496</b>	AVERAGE NUMBER OF DAYS

## PHYSICIAN ASSISTANT BOARD

# Physician Assistants

Licenses and regulates physician assistants.

## STAFF:

**3.5 civil service**  
**1 exempt**

## LICENSES AND PERMITS:

**10,764**

## BOARD STAFF:

**Executive Officer:**  
**Maureen Lynn Forsyth**  
lynn.forsyth@mbc.ca.gov

## BOARD MEMBERSHIP:

**5 licensees**  
**1 member of the Medical**  
**Board of California**  
**4 public representatives**

## STRATEGIC PLAN ADOPTED:

**2014**

[www.pac.ca.gov](http://www.pac.ca.gov)



## LAWS AND REGULATIONS:

**Business and Professions Code §§ 3500 – 3546**

**Title 16, Division 13.8, California Code of Regulations §§ 1399 – 1399.99.4**

## SUNSET REVIEW:

**Last review: 2013**

**Repeal dates: January 1, 2018**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>CHARLES ALEXANDER</b>	JANUARY 1, 2020	Governor/Public	NO
<b>MICHAEL BISHOP, M.D., (MEDICAL BOARD MEMBER)</b>	JANUARY 1, 2020	Governor/Professional	NO
<b>SONYA EARLEY</b>	JANUARY 1, 2020	Governor/Professional	NO
<b>JAVIER ESQUIVAL-ACOSTA</b>	JANUARY 1, 2020	Governor/Professional	NO
<b>MARY VALENCIA</b>	JANUARY 1, 2020	Senate/Public	NO
<b>JED GRANT (VICE PRESIDENT)</b>	JANUARY 1, 2019	Governor/Professional	NO
<b>CATHERINE HAZELTON</b>	JANUARY 1, 2017	Assembly/Public	NO
<b>JENNIFER CARLQUIST</b>	JANUARY 1, 2020	Governor/Professional	NO
<b>XAVIER MARTINEZ</b>	JANUARY 1, 2019	Governor/Public	NO
<b>ROBERT SACHS (PRESIDENT)</b>	JANUARY 1, 2019	Governor/Professional	NO

## COMMITTEE MEMBERS:

Legislative Committee Member Name	Position
<b>CATHERINE HAZELTON</b>	Public Board Member
<b>SONYA EARLEY, PA-C</b>	Professional Board Member

Education/Workforce Development Advisory Member Name	Position
<b>JED GRANT, PA-C</b>	Professional Board Member
<b>CHARLES ALEXANDER, PH.D.</b>	Public Board Member

## RECIPROCITY

The Board offers no reciprocity with licenses obtained from other states.

## PHYSICIAN ASSISTANT BOARD

## CONTINUED

**MAJOR ACCOMPLISHMENTS**

- Met with the Senate Committee on Business, Professions, and Economic Development and Assembly Committee on Business and Professions on March 9, 2016, for their Sunset Oversight Review. The legislative analysis stated "Since its last review, the PAB has shown a commitment to improve its overall efficiency and effectiveness and has worked cooperatively with the Legislature and the Committees to bring about necessary changes." The Committees granted an extension for the Board through January 1, 2021.
- In June 2016, updated the online version of the PA application for licensure. With this new application, applicants no longer are required to submit a complete hard copy application. Now, if an applicant chooses to submit an online application, they are only required to submit an attestation page to the Board. The update benefits applicants and provides staff efficiencies since applicants are not required to submit additional paperwork to the Board.
- Updated website to provide consumers with pertinent information on the following topics:
  - The implementation of Business and Professions Code Section 115.4 (military expedite of licensure).
  - The Health Professions Education Foundation regarding scholarship and loan repayments for health professional students and graduates was added. The Foundation grants scholarships and loan repayments in exchange for the student/graduate working in underserved areas, thus allowing for greater access to healthcare services by consumers in underserved areas.
  - The End of Life Options Act, allowing for consumers to make appropriate decisions regarding end-of-life choices.
- The Board's *Manual of Disciplinary Guidelines and Model Disciplinary Orders* approved by the Office of Administrative Law and replaced the previous edition. The revised manual includes newly adopted sections under "Special Considerations" involving "Sexual Contact" and "Drugs, Alcohol, or Both," as well as incorporated by reference the *Uniform Standards Regarding Substance Abusing Healing Arts Licensees*

(4/2011) (Uniform Standards). The revised manual also includes a new section on information relating to cases involving drug and alcohol abuse. This section incorporated new terms and conditions for a Drug and Alcohol Recovery Monitoring Program. Each term and condition related to drug or alcohol abuse was amended to include conditions applying the Uniform Standards.

**MAJOR NEW LEGISLATION OR REGULATIONS**

(Extends through the 2016 calendar year)

- SB 1226, Correa (Chapter 657, Statutes of 2014), effective July 1, 2016, requires a board to expedite, or when applicable, assist the initial licensure process for an applicant who supplies satisfactory evidence to the board that he or she has served as an active duty member of the armed forces of the United States and was honorably discharged. The Board updated its website to include the information about this expedite process, including the required documents to receive this service.
- SB 337, Pavley (Chapter 536, Statutes of 2015), effective January 1, 2016, requires medical records to reflect the supervising physician for each episode of care, requires a physician assistant who transmits an oral order to identify the supervising physician, recasts medical record review provisions to require the supervising physician to use one or more of the mechanisms, and recasts prescribing provisions to allow a physician assistant to prescribe Schedule II controlled substances. This bill provides greater consumer protection, because it allows additional options with regard to physician supervision.
- Amended California Code of Regulations Section 1399.523, effective July 1, 2016. It was amended to incorporate by reference the Board's *Manual of Disciplinary Guidelines*.
- AB 2193 (Salas, Chapter 459, Statutes of 2016) will extend the sunset date of the Physician Assistant Board until January 1, 2021, including the authority to appoint an executive officer and employ the personnel necessary to carry out its functions.
- AB 2744 (Gordon, Chapter 360, Statutes of 2016) will establish that payment for advertising, where a licensee sells services through a third-party advertiser (such as Groupon), does not constitute a referral of

## CONTINUED

patients when the third-party advertiser does not recommend, endorse, or otherwise select a licensee itself. Additionally, this bill will entitle the purchaser of services to a full refund in the event the licensee determines, after consultation with the purchaser, that the service is not appropriate, or if the purchaser elects not to receive the service for any reason and requests a refund. Finally, licensees will be required to disclose in the advertisement that a consultation is required and that the purchaser will receive a refund if not eligible to receive the service.

- SB 482 (Lara, Chapter 708, Statutes of 2016) will require healthcare practitioners to consult the Controlled Substance Utilization Review and Evaluation System (CURES) prescription drug database prior to prescribing a Schedule II, Scheduled III, or Schedule IV controlled substance to the patient for the first time, and at least every four months thereafter if the patient continues using the medication as part of his or her treatment. This bill will allow for certain exemptions and limited quantities in specified situations.

## FEE\*

Physician Assistant	Actual Fee	Statutory Limit
APPLICATION FEE*	\$25	\$25
INITIAL LICENSE FEE	\$200	\$300
<b>TOTAL INITIAL LICENSE FEE</b>	<b>\$225</b>	-
BIENNIAL RENEWAL FEE	\$300	\$300

\* The application fee using fingerprint cards is \$49.

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PHYSICIAN ASSISTANT	<b>978</b>	<b>942</b>	<b>4,855</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PHYSICIAN ASSISTANT	<b>N/A</b>	<b>10,764</b>	<b>N/A</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PHYSICIAN ASSISTANT	<b>EVERY 2 YEARS</b>	<b>50 HRS. OR NATIONAL CERTIFICATION</b>

Exam Results	
<b>N/A</b>	

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>367</b>	RECEIVED
<b>0</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>373</b>	REFERRED FOR INVESTIGATION
<b>176</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>26</b>	RECEIVED
<b>25</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>1</b>	PENDING

Inspections	
<b>N/A</b>	

Investigations	
<b>734</b>	OPENED
<b>397</b>	CLOSED
<b>192</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>94</b>	UP TO 90 DAYS
<b>56</b>	91 TO 180 DAYS
<b>65</b>	181 DAYS TO 1 YEAR
<b>35</b>	1 TO 2 YEARS
<b>6</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>190</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

## PHYSICIAN ASSISTANT BOARD

## Summary of Enforcement Activity continued

## Citations and Fines

<b>10</b>	ISSUED
<b>10</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>360</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## Total Amount of Fines

<b>\$2,750</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$1,000</b>	COLLECTED

## Criminal/Civil Actions

<b>1</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

## Office of the Attorney General/Disciplinary Actions

<b>26</b>	CASES OPENED/INITIATED
<b>47</b>	CASES CLOSED
<b>41</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>1</b>	1 YEAR
<b>2</b>	1 TO 2 YEARS
<b>2</b>	2 TO 3 YEARS
<b>1</b>	3 TO 4 YEARS
<b>1</b>	OVER 4 YEARS
<b>1,075</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>1</b>	STATEMENTS OF ISSUES FILED
<b>20</b>	ACCUSATIONS FILED
<b>3</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Petition for Modification or Termination of Probation

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Administrative Outcomes/Final Orders

<b>1</b>	LICENSE APPLICATIONS DENIED
<b>3</b>	REVOCATION
<b>4</b>	SURRENDER OF LICENSE
<b>1</b>	PROBATION WITH SUSPENSION
<b>6</b>	SUSPENSION ONLY
<b>62</b>	PROBATION ONLY
<b>3</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

Petition for Reinstatement of Revoked License/  
Registration/Certification

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Cost Recovery to DCA

<b>\$43,902</b>	ORDERED
<b>\$34,276</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Petitions to Revoke Probation Filed/Petitions and  
Accusations to Revoke Probation Filed

<b>1</b>	TOTAL NUMBER FILED
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Subsequent Disciplinary—Administrative Outcomes/  
Final Orders

<b>1</b>	REVOCATION
<b>1</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Receipt of Complaint to Assignment to Investigator

<b>15</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>157</b>	AVERAGE NUMBER OF DAYS
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## CALIFORNIA BOARD OF PODIATRIC MEDICINE

# Podiatric Doctors

Licenses and regulates doctors of podiatric medicine.

## STAFF:

**4 civil service**  
**1 exempt**

## LICENSES:

**2,333**

## BOARD STAFF:

**Interim Executive Officer:**  
**Kathleen Cooper**  
kathleen.cooper@dca.ca.gov

## BOARD MEMBERSHIP:

**4 licensees**  
**3 public representatives**

## STRATEGIC PLAN ADOPTED:

**2015**

[www.bpm.ca.gov](http://www.bpm.ca.gov)



## LAWS AND REGULATIONS:

**Business and Professions Codes §§ 2460 – 2499.8**

**Title 16, Division 13.9, California Code of Regulations §§ 1399.650 – 1399.725**

## SUNSET REVIEW:

**Last review: 2016      Next review: 2020**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>VACANT</b>	JUNE 1, 2018	Senate/Public	NO
<b>DR. JOHN CHA (PRESIDENT)</b>	JUNE 1, 2016	Governor/Public	NO
<b>KRISTINA M. DIXON, MBA</b>	JUNE 1, 2018	Assembly/Public	NO
<b>NEIL B. MANSORF, DPM</b>	JUNE 1, 2016	Governor/Professional	NO
<b>JUDITH MANZI, DPM</b>	JUNE 1, 2018	Governor/Professional	NO
<b>MICHAEL ZAPF, DPM (VICE PRESIDENT)</b>	JUNE 1, 2017	Governor/Professional	NO
<b>DARLENE TRUJILLO-ELLIOTT</b>	JUNE 1, 2019	Senate/Public	NO

## COMMITTEES:

Name	Contact(s) / Title(s)
<b>PUBLIC EDUCATION</b>	Judith Manzi, Chair and Darlene Trujillo-Elliott
<b>LICENSING</b>	Dr. John Cha, President
<b>ENFORCEMENT</b>	Neil Mansdorf, Chair and Kristina Dixon
<b>LEGISLATIVE</b>	Kristina Dixon, Chair and Michael Zapf, Vice President
<b>EXECUTIVE MANAGEMENT</b>	Dr. John Cha, President and Michael Zapf, Vice President

## RECIPROCITY (BPC § 2488)

Applicants licensed as a doctor of podiatric medicine (DPM) in any other state, in addition to the educational requirements, must meet the following requirements:

- Pass Part III of the national exam within the last ten years.

## CALIFORNIA BOARD OF PODIATRIC MEDICINE

## CONTINUED

- Satisfactorily complete at least one year of postgraduate training.
- Submit fingerprints and obtain criminal record clearance from the state Department of Justice and the Federal Bureau of Investigation. (Applicants in proximity to California may opt to obtain clearances utilizing the Live Scan method.)
- Provide verification of a license in good standing from all states or countries in which a medical license has been held.
- Request a disciplinary databank report from the Federation of Podiatric Medicine be sent to the directly to the Board.

**MAJOR ACCOMPLISHMENTS**

- Increased the Board's social media presence through Facebook and Twitter. Board meetings are now webcast and the videos are uploaded to YouTube, providing detailed information on matters before the Board. BPM has also updated its website with translation services, and the appearance and functionality have been greatly improved, especially as these relate to accessing the website via handheld devices.
- Conducted a fee audit to determine if BPM's current fee levels were sufficient for the recovery of the actual costs incurred in conducting BPM programs. The performance audit included data from the past five years and made projections for the next five years. The fee audit resulted in a projection of expenditures and revenues that analyzed future operational expenses as well as BPM's fund condition.
- With assistance from the Department of Consumer Affairs' Office of Publications, Design and Editing, reinstituted the Board's newsletter, *Footnotes*.
- Completed the newly revised edition of the Board's Policy Manual. The revised manual includes policies and procedures for officers, board members, staff members, meetings, committees, and related roles and responsibilities.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- AB 2193 (Salas, Chapter 459, Statutes of 2016) will extend the sunset date of the Board of Podiatric Medicine until January 1, 2021.
- AB 2744 (Gordon, Chapter 360, Statutes of 2016) will establish that payment for advertising, where a licensee sells services through a third-party advertiser (such as Groupon), does not constitute a referral of patients when the third-party advertiser does not recommend, endorse, or otherwise select a licensee itself. Additionally, this bill will entitle the purchaser of services to a full refund in the event the licensee determines, after consultation with the purchaser, that the service is not appropriate, or if the purchaser elects not to receive the service for any reason and requests a refund. Finally, licensees will be required to disclose in the advertisement that a consultation is required and that the purchaser will receive a refund if not eligible to receive the service.
- SB 482 (Lara, Chapter 708, Statutes of 2016) will require healthcare practitioners to consult the Controlled Substance Utilization Review and Evaluation System (CURES) prescription drug database prior to prescribing a Schedule II, Scheduled III, or Schedule IV controlled substance to the patient for the first time, and at least every four months thereafter if the patient continues using the medication as part of his or her treatment. This bill will allow for certain exemptions and limited quantities in specified situations.
- SB 1348 (Cannella, Chapter 174, Statutes of 2016) will require Department programs that authorize veterans to apply military experience and training towards licensure requirements to post information on the program's website about applying military experience and training towards licensure requirements.
- SB 1478 (Committee on Business, Professions and Economic Development, Chapter 489, Statutes of 2016) will authorize the Board of Podiatric Medicine to elect a secretary who shall hold the position at the pleasure of the Board.



## CALIFORNIA BOARD OF PODIATRIC MEDICINE

**FEE\***

Doctor Of Podiatric Medicine	Actual Fee	Statutory Limit
INITIAL LICENSE FEE	\$800 + \$100*	\$900
BIENNIAL RENEWAL FEE	\$900	\$900
CURES	\$6	\$6
APPLICATION FEE	\$20	\$20
DUPLICATE LICENSE	\$40	\$40
DUPLICATE RENEWAL RECEIPT	\$40	\$40
LETTER OF GOOD STANDING/ ENDORSEMENT	\$30	\$30
DOCTOR OF PODIATRIC MEDICAL RESIDENT LICENSE	\$60	\$60
ANKLE LICENSE APPLICATION AND EXAM FEE	\$50 + \$700	\$50 + \$700
EXAM APPEAL FEE	\$25	\$25
CME COURSE APPROVAL	\$100	\$100

\* Initial licensee fee is the actual fee of \$800 plus a \$100 fee for the issuance of the certificate paid at the time an applicant qualifies for a certificate. Application fees are \$20 for both applicant categories.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PERMANENT DOCTOR OF PODIATRIC MEDICINE	<b>99</b>	<b>71</b>	<b>1,051</b>
FEE-EXEMPT LICENSE	<b>21</b>	<b>21</b>	<b>84</b>
RESIDENT STATUS LICENSE	<b>48</b>	<b>50</b>	<b>74</b>

Exam Results	
	<b>N/A</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PERM DOCTOR OF PODIATRIC MEDICINE	<b>N/A</b>	<b>2,191</b>	<b>N/A</b>
FEE-EXEMPT LICENSE	<b>N/A</b>	<b>142</b>	<b>N/A</b>
RESIDENT STATUS LIC	<b>N/A</b>	<b>117</b>	<b>N/A</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PERMANENT DOCTOR OF PODIATRIC MEDICINE	<b>EVERY 2 YEARS</b>	<b>50</b>
FEE-EXEMPT LICENSE	<b>EVERY 2 YEARS</b>	<b>*50</b>
RESIDENT STATUS LIC	<b>** N/A</b>	<b>N/A</b>

\* Retired/disabled: CE units not required.

\*\* Yearly extension based on resident program approval.

**Summary of Enforcement Activity**

Consumer Complaints—Intake	
<b>123</b>	RECEIVED
<b>0</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>130</b>	REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>9</b>	RECEIVED
<b>10</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

Investigations	
<b>140</b>	OPENED
<b>152</b>	CLOSED
<b>121</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>48</b>	UP TO 90 DAYS
<b>44</b>	91 TO 180 DAYS
<b>44</b>	181 DAYS TO 1 YEAR
<b>15</b>	1 TO 2 YEARS
<b>1</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>181</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>1</b>	ISSUED
<b>1</b>	ISSUED WITH A FINE
<b>1</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>1</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## CALIFORNIA BOARD OF PODIATRIC MEDICINE

## Summary of Enforcement Activity continued

Total Amount of Fines	
<b>\$250</b>	ASSESSED
<b>\$250</b>	REDUCED
<b>\$0</b>	COLLECTED

Criminal/Civil Actions	
<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>7</b>	CASES OPENED/INITIATED
<b>6</b>	CASES CLOSED
<b>10</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>2</b>	1 YEAR
<b>2</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>2</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>655</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Petition for Modification or Termination of Probation	
<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

Formal Actions Filed/Withdrawn/Dismissed	
<b>0</b>	STATEMENTS OF ISSUES FILED
<b>4</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMITTED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMITTED

Administrative Outcomes/Final Orders	
<b>1</b>	LICENSE APPLICATIONS DENIED
<b>2</b>	REVOCATION
<b>1</b>	SURRENDER OF LICENSE
<b>1</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>2</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

Petition for Reinstatement of Revoked License/Registration/Certification	
<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

Cost Recovery to DCA	
<b>\$18,513.75</b>	ORDERED
<b>\$12,834.34</b>	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
<b>14</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>179</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>230</b>	AVERAGE NUMBER OF DAYS

## BUREAU FOR PRIVATE POSTSECONDARY EDUCATION

# Postsecondary Education

Oversees and regulates private postsecondary educational institutions located in California.

## STAFF:

**100 civil service**  
**1 exempt**

EDUCATIONAL  
INSTITUTIONS APPROVED:

**1,137**

## BOARD STAFF:

**Chief: Joanne Wenzel**  
joanne.wenzel@dca.ca.gov

**Deputy Chief: Leeza Rifredi**  
leeza.rifredi@dca.ca.gov

## STRATEGIC PLAN ADOPTED:

**2016**

## SUNSET REVIEW:

**Last review: 2016**  
**Repeal date: January 1, 2021**

[www.bppe.ca.gov](http://www.bppe.ca.gov)



Bureau for Private Postsecondary Education

## LAWS AND REGULATIONS:

**California Education Code §§ 94800 – 94950**

**Title 5, Division 7.5, California Code of Regulations §§ 70000 – 76240**

## BUREAU ADVISORY COMMITTEE:

**3 institutional representatives**  
**2 employer members**  
**2 past students of institutions**  
**3 consumer advocates**  
**2 legislative members**

## COMMITTEES:

Education Code § 94880 requires the appointment of 14 individuals to serve on an advisory committee for the Bureau. The Bureau is required to actively seek input from, and consult with, the Advisory Committee regarding the development of regulations, licensing procedures, and fee schedules.

Name	Appointment Authority/Appointment Type	Req. Senate Confirmation
<b>DIANA AMAYA</b>	Senate Rules Committee/Public	NO
<b>TAMIKA BUTLER</b>	Speaker of the Assembly/Public	NO
<b>MITCHELL FUERST</b>	DCA Director/Institutional Rep	NO
<b>KATHERINE LEE-CAREY</b>	DCA Director/Institutional Rep	NO
<b>KEN MCELDOWNEY</b>	DCA Director/Consumer Advocate	NO
<b>MARGARET REITER (VICE CHAIR)</b>	Senate Rules Committee/Consumer Advocate	NO
<b>GABRIELLE JIMENEZ</b>	DCA Director/Past Student of Institution	NO
<b>ASSEMBLYMEMBER JOSE MEDINA</b>	Speaker of the Assembly/Ex-Officio Non-Voting Legislative Member	NO
<b>SENATOR JERRY HILL</b>	Senate Rules Committee/Ex-Officio Non-Voting Legislative Member	NO
<b>VACANT</b>	Speaker of the Assembly/ Consumer Advocate	NO
<b>VACANT</b>	DCA Director/Employer Member	NO
<b>VACANT</b>	DCA Director/Employer Member	NO

## RECIPROCITY

Not applicable—the Bureau licenses “brick and mortar” entities within the state.

## BUREAU FOR PRIVATE POSTSECONDARY EDUCATION

## CONTINUED

**MAJOR ACCOMPLISHMENTS**

- Reduced the backlog of licensing applications by 40 percent, enhancing customer service.
- Developed a master institutional roster to track risk factors and compliance inspections to identify priorities of compliance inspections.
- Reduced the backlog of complaints by 9 percent with an increase of complaints received by 26 percent.
- Issued 74 citations for a total of \$807,910 in fines assessed and a 76 percent increase in the number of cases referred to the Office of the Attorney General for disciplinary action.
- Closed 929 investigations, an increase of 38 percent from Fiscal Year 2014–15.
- Attended nine major outreach events to educate students on what to look for when choosing a private postsecondary college.
- Processed 475 Student Tuition Recovery Fund (STRF) claims and refunded \$1,319,116 to compensate those students who suffered a loss because their school closed before they completed their education.
- Developed and adopted the Bureau's 2016–2020 Strategic Plan, identifying eight strategic goal areas to accomplish our mission and reach our vision.
- Established a task force to review standards for educational and training programs specializing in innovative subject matters and transmitted a report with recommendations of the findings to the Advisory Committee.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- Introduced proposed language to establish Uniform Reporting Requirements in July 2015 and noticed four modifications as required from AB 2296, and submitted to Office of Administrative Law for final approval.
- Re-adopted emergency regulations for implementing the requirement that all degree-granting institutions be accredited.
- Made permanent the emergency regulations for implementing the requirement that all degree-granting institutions be accredited.
- Noticed STRF regulations package as related to SB 1247.
- Noticed Prioritization of Complaints and Compliance Inspections regulations package as related to SB 1247.
- Held stakeholder meetings to gather input from interested parties as a first step toward regulation packages for applications and operating standards.
- SB 1192 (Hill, Chapter 593, Statutes of 2016) will: 1) allow the Bureau to provide timeline extensions to degree-granting institutions that are seeking accreditation; 2) create the Office of Student Assistance and Relief (Office); 3) expand Student Tuition Recovery Fund (Recovery Fund) eligibility under certain circumstances; 4) allow legal service fees to be reimbursable through the Recovery Fund up to \$500; 5) require out-of-state institutions that enroll California students to register with the Bureau and participate in the Recovery Fund; 6) allow the Bureau to use evidence gathered during a compliance inspection in an investigation; 7) require any institution under investigation by an oversight entity to notify the Bureau of the investigation; 8) establish that a student's Recovery Fund eligibility is not reduced unless a student loan obligation is discharged, canceled, or forgiven; 9) remove two members from the Bureau's Advisory Committee; 10) make various minor and technical changes; 11) modify the Bureau's fee structure; and, 12) extend the California Private Postsecondary Education Act of 2009's sunset date from January 1, 2017, to January 1, 2021.

## BUREAU FOR PRIVATE POSTSECONDARY EDUCATION

## FEE\*

Institution Licensure Fees for Initial Operating Permit	Actual Fee	Statutory Limit
INITIAL INSTITUTION APPROVAL FEE	\$5,000	\$5,000
APPROVAL OF NEW BRANCH OF AN EXISTING INSTITUTION	\$3,000	\$3,000
APPROVAL BY MEANS OF ACCREDITATION	\$750	\$750

\* The Bureau's fees are prescribed in statute and do not give caps to the majority of fees (Education Code § 94930.5).

Institution Renewal (5 Years)	Actual Fee	Statutory Limit
RENEWAL OF MAIN CAMPUS	\$3,500	\$3,500
RENEWAL OF BRANCH	\$3,000	\$3,000
RENEWAL OF ACCREDITED INSTITUTION	\$500	\$500

Fees to Make Substantial Changes to Operate	Actual Fee	Statutory Limit
NON-ACCREDITED INSTITUTIONS	\$500	\$500
ACCREDITED INSTITUTIONS	\$250	\$250

Additional Fees	Actual Fee	Statutory Limit
ANNUAL INSTITUTION FEE	0.75% of revenue generated from California students (cap of \$25,000)	0.75% of revenue generated from California students (cap of \$25,000)
ANNUAL BRANCH FEE	\$1,000	\$1,000
STUDENT TUITION RECOVERY FUND FEE	\$0.00 per \$1,000 of institutional charges	Bureau discretion when fund between \$20 and \$25 million, fund cannot exceed \$25 million (collection \$0), fund below \$20 million Bureau must set assessment above \$0.

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	DENIED
NON-ACCREDITED	52	95	29
ACCREDITED INSTITUTION	74	62	6
VERIFICATION OF EXEMPTION	211	123	93
RENEWAL	85	136	42
SUBSTANTIVE CHANGES	422	402	27

Licensing Population by Type			
TYPE	MAIN	BRANCH	SATELLITES
FULL APPROVAL	1,137	407	423

## Exams

N/A

## Renewal and Continuing Education (CE)

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
NON-ACCREDITED	EVERY 5 YEARS	N/A
ACCREDITED INSTITUTION	VARIABLE	N/A
VERIFICATION OF EXEMPTION	NONE	N/A

## Summary of Enforcement Activity

## Consumer Complaints—Intake

962	RECEIVED
132	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
830	REFERRED FOR INVESTIGATION
0	PENDING

## Conviction/Arrest Notification Complaints

N/A	RECEIVED
N/A	CLOSED/REFERRED FOR INVESTIGATION
N/A	PENDING

## Inspections

166	INSPECTIONS CONDUCTED
97	INSPECTIONS NOTICE TO COMPLY ISSUED
96	REFERRALS TO INVESTIGATIONS AND DISCIPLINE
0	NOTICE OF DISAGREEMENT

## Investigations

830	OPENED
928	CLOSED
954	PENDING

## Number of Days to Complete Intake and Investigations

202	UP TO 90 DAYS
145	91 TO 180 DAYS
145	181 DAYS TO 1 YEAR
173	1 TO 2 YEARS
129	2 TO 3 YEARS
0	OVER 3 YEARS
494	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

## BUREAU FOR PRIVATE POSTSECONDARY EDUCATION

## Summary of Enforcement Activity continued

Total Amount of Fines	
<b>\$807,910</b>	ASSESSED
<b>\$371,903</b>	REDUCED
<b>\$235,568</b>	COLLECTED

Citations and Fines	
<b>95</b>	ISSUED
<b>94</b>	ISSUED WITH A FINE
<b>26</b>	WITHDRAWN
<b>1</b>	DISMISSED
<b>376</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Criminal/Civil Actions	
<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>74</b>	CASES OPENED/INITIATED
<b>38</b>	CASES CLOSED
<b>71</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>6</b>	1 YEAR
<b>2</b>	1 TO 2 YEARS
<b>2</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>1</b>	OVER 4 YEARS
<b>702</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>29</b>	STATEMENTS OF ISSUES FILED
<b>8</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>24</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>2</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
<b>0</b>	TOTAL NUMBER FILED

Administrative Outcomes/Final Orders	
<b>3</b>	REVOCATION
<b>2</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>1</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>7</b>	OTHER DECISIONS

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
<b>3</b>	REVOCATION
<b>2</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>1</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>7</b>	OTHER DECISIONS

Cost Recovery to DCA	
<b>\$309,484</b>	ORDERED
<b>\$20,230</b>	COLLECTED

Student Tuition Recovery Fund (STRF)	
<b>192</b>	2014/15 CARRY-OVER CLAIMS
<b>628</b>	CLAIMS RECEIVED
<b>295</b>	CLAIMS PAID
<b>180</b>	CLAIMS DENIED/INELIGIBLE
<b>345</b>	2014/15 CARRY-OVER CLAIMS

Consumer Restitution (STRF)	
<b>\$0</b>	RESTITUTION ORDERED
<b>\$1,319,116</b>	AMOUNT REFUNDED TO STUDENTS
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
<b>3</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>433</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>260</b>	AVERAGE NUMBER OF DAYS

## BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS

# BPELSG

Licenses and regulates engineers, land surveyors, geologists, and geophysicists.

## STAFF:

**43.7 civil service**

## LICENSES:

**106,692**

## BOARD STAFF:

**Executive Officer:**

**Richard Moore**

ric.moore@dca.ca.gov

**Assistant Executive Officer:**

**Nancy Eissler**

nancy.eissler@dca.ca.gov

## BOARD MEMBERSHIP:

**15**

## STRATEGIC PLAN ADOPTED:

**2015**

[www.bpelsg.ca.gov](http://www.bpelsg.ca.gov)



## LAWS AND REGULATIONS:

**Business and Professions Code §§ 6700 – 6799 (Engineers),**

**§§ 7800 – 7887 (Geologists and Geophysicists), and §§ 8700 – 8805 (Land Surveyors)**

**Title 16, Division 5, California Code of Regulations §§ 400 – 476 (Engineers/Surveyors)**

**Title 16, Division 29, California Code of Regulations §§ 3000 – 3067 (Geologists)**

## SUNSET REVIEW:

**Last review: 2014/15**

**Inoperative/Repeal date: January 1, 2020**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>NEJLA NATALIE BAMSHAD-ALAVI</b>	JUNE 30, 2020	Governor/Professional	NO
<b>FEL ANTHONY AMISTAD</b>	JUNE 30, 2018	Assembly/Public	NO
<b>ASHA BROOKS</b>	JUNE 30, 2016	Governor/Public	NO
<b>CHELSEA EQUIBIAS</b>	JUNE 30, 2019	Senate/Public	NO
<b>CYNTHIA GUZMAN</b>	JUNE 30, 2019	Governor/Public	NO
<b>KATHY JONES IRISH</b>	JUNE 30, 2018	Governor/Public	NO
<b>ERIC JOHNSON</b>	JUNE 30, 2017	Governor/Professional	NO
<b>COBY KING (FY16–17 PRESIDENT)</b>	JUNE 30, 2020	Governor/Public	NO
<b>ASHA LANG</b>	JUNE 30, 2020	Governor/Public	NO
<b>ELIZABETH MATHIESON</b>	JUNE 30, 2018	Governor/Professional	NO
<b>MOHAMMAD AHSAN QURESHI (FY 16–17 VICE PRESIDENT)</b>	JUNE 30, 2018	Governor/Professional	NO
<b>KAREN ROBERTS</b>	JUNE 30, 2017	Governor/Professional	NO
<b>WILLIAM “JERRY” SILVA</b>	JUNE 30, 2018	Governor/Public	NO
<b>ROBERT STOCKTON</b>	JUNE 30, 2019	Governor/Professional	NO
<b>STEVE WILSON</b>	JUNE 30, 2019	Governor/Professional	NO



## BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS CONTINUED

**RECIPROCITY (BPC § 6759, § 7847, AND § 8748)**

An engineer or land surveyor registered in another state may apply for licensure in California by comity. Comity applicant must submit a complete application including work descriptions, references that can verify the work experience, college transcripts (optional), and verification of successful examination in another state. The complete application must be reviewed and approved by a staff engineer or land surveyor. In addition, comity applicants must take and pass the California Laws and Board Rules examination, a 25-question multiple-choice examination that is completed at home and returned to the Board office for scoring.

California accepts results of the National Council of Examiners for Engineering and Surveying (NCEES) exams for the practice act branches of civil, electrical, and mechanical engineering; the title act engineering branches, except for traffic, for which there is no national NCEES examination; and the NCEES Principles of Surveying examination for land surveying. Civil engineering applicants must also pass the California Seismic Principles and Engineering Surveying exams, which are both mandated by statute. Land surveying applicants must pass the California Professional Land Surveying examination, as required by statute. If the home state has waived the Fundamentals of Engineering or Fundamentals of Surveying examination, the application is evaluated to see if the home state's waiver matches California's waiver requirements; if not, the applicant must pass the respective fundamentals examination or have 14–17 years of experience.

California law does not provide for comity for foreign applicants registered in another country for engineering or land surveying disciplines. Applicants from foreign countries are required to submit the same application as first-time California applicants and pass all required examinations.

The Board may accept out-of-state or foreign registration as qualification to register as a geologist or geophysicist so long as the applicant's qualifications meet the requirements to become a registered geologist or geophysicist in California.

**MAJOR ACCOMPLISHMENTS**

- Effective July 1, 2015, the Board's fingerprint program became operative. SB 543 (Chapter 448, Statutes of 2011) added the Board to the list of boards authorized to collect fingerprints from applicants for the purpose of a criminal history record check when submitting an application for licensure. Anyone licensed after July 1, 2015, had to submit fingerprints and be cleared through a criminal history record check.
- Attended the Society of American Military Engineers (SAME) Orange County and San Diego Posts' 11th annual Camp Pendleton Day. The goal of the SAME event is to provide mentoring and networking opportunities to transitioning military and veterans. The Board's staff electrical engineer attended the event to provide information on the types of skills needed as well as types of careers available in the engineering field for transitioning military personnel and veterans.
- Conducted targeted outreach for the Geology and Geophysics Program to university geology departments. The Board visited 13 universities during Fiscal Year 2015–2016 with a total attendance of approximately 320 students. The focus of the effort is to educate students and faculty about the Board's purpose and professional licensing requirements. Also, faculty were encouraged to discuss licensing requirements with students as they map out their education, and students were advised to obtain their Geologist-in-Training (GIT) certificate by taking the national Fundamentals of Geology examination as soon as they are qualified in their senior year of college or shortly after graduation. The presentation encouraged students to begin taking control of their future careers by understanding licensing requirements and keeping up to date with Board activities. Board members Dr. Mohammad Qureshi and Chelsea Esquibias participated in university outreach events in their geographic areas. Additionally, the Geology and Geophysics Program teamed with the National Association of State Boards of Geology to staff an information booth at the Geological Society of America Cordilleran Section meeting from April 4–6, in Ontario, California. This provided an opportunity to meet with university geology students one-on-one in a relaxed setting.

## CONTINUED

and provide them with information regarding the GIT Certificate and professional licensing requirements, as well as the national and state licensing exams. Information on professional licensing was also provided to university geology departments in attendance. Approximately 250 students stopped by the booth.

An additional GIT presentation was given at the Sacramento Chapter of the Association of Environmental and Engineering Geologists Student Night. Approximately 30 people attended this event.

The results of the university outreach efforts have been positive. The Board has seen an increase in GIT applications of 75 percent for the fall 2016 exam cycle as compared to the fall 2015 exam cycle. The Board intends to continue with focused geology outreach to universities on an ongoing basis.

### MAJOR NEW LEGISLATION OR REGULATIONS

*(Extends through the 2016 calendar year)*

- Amended Business and Professions Code (BPC) Sections 6710 and 8710 to extend the operation of the Board until January 1, 2020.
- Amended BPC Sections 6775.2, 8780.2, and 7860.2 to merge the Professional Engineer's and Land Surveyor's Fund with the Geology and Geophysics Account into one fund effective July 1, 2016. The consolidated fund will be known as the Professional Engineer's, Land Surveyor's, and Geologist's Fund.
- Added BPC Sections 6775.2, 7860.2, and 8780.2 to read as follows: "(a) The failure of, or refusal by, a licensee or a certificate holder to respond to a written request from a representative of the board to cooperate in the investigation of a complaint against that licensee or certificate holder constitutes a cause for disciplinary action under Section 6775 or 6775.1. (b) This section shall remain in effect only until January 1, 2020, and as of that date is repealed."
- Amended BPC Section 7841(b) regarding the requirements for licensure as a professional geologist to read as follows: "Graduation from a college or university with a major in geological sciences or any other discipline that, in the opinion of the board, is relevant to geology."
- Amended BPC Section 7841.1(b) regarding the requirements for licensure as a professional geophysicist to clarify that the Board can accept the equivalent of 30 semester hours by adding the phrase "or the equivalent."
- Amended BPC Section 7841.2 regarding the requirements for certification as a geologist-in-training to clarify that graduation is not a requirement to sit for the Fundamentals of Geology exam but is a requirement to obtain the certification (and it uses the same language as amended in Section 7841(b) regarding the course of study).
- Amended BPC Section 6735 regarding the preparation, signing, and sealing of civil engineering documents to remove the new subdivisions (b) and (c) that were added last year, which were causing confusion among licensees.
- Amended BPC Sections 6738 and 8729 regarding engineering and land surveying businesses to extend the sunset date for limited liability partnerships to 2019.
- SB 1085 (Roth, Chapter 629, Statutes of 2016) will require licensees of the Board to complete a assessment within 60 days of expiration of their license to assess their knowledge of requirements of their respective practice act, either the Professional Engineers Act, the Professional Land Surveyors' Act, or the Geologist and Geophysicist Act. If the assessment is not completed in a timely manner, this bill will authorize the Board to pursue disciplinary action against the licensee.
- SB 1165 (Bonilla, Chapter 236, Statutes of 2016) will extend the timeframe professional engineers and land surveyors have to renew their license from three to five years. This bill will also require geologists and geophysicists to stamp and seal each final work document they produce. This bill will also authorize the Board to accept 30 semester hours of coursework that is relevant to geology in lieu of graduation with a major in geological sciences to meet geologist or geologist-in-training educational requirements. Finally, this bill will make numerous technical, nonsubstantive, or noncontroversial changes to each of the practice acts enforced by the Board.

## BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS CONTINUED

- SB 1479 (Committee on Business, Professions and Economic Development, Chapter 634, Statutes of 2016) will allow the Board to contract with a public or private organization to conduct examinations or provide examination materials. In addition, this bill will allow the Board to authorize an organization to receive payment for examination directly from a geologist or geologist-in-training applicant. This provision will conform to processes already allowed for engineers (Code Sections 6750 and 6754) and land surveyors (Code Sections 8745 and 8740).

## LICENSING REQUIREMENTS

DEGREE/PROFESSIONAL SCHOOLING	*
QUALIFYING EXPERIENCE (MAY INCLUDE EDUCATION)	YES
EXAMINATION	YES
CONTINUING EDUCATION/COMPETENCY	NO
FINGERPRINTING REQUIREMENT	YES

\* Not required for engineers and land surveyors; required for geologists and geophysicists.

## FEES

Engineer Fees*	Actual Fee	Statutory Limit
ENGINEER-IN-TRAINING FEE	\$50	\$100
APPLICATION FEE	\$125	\$400
EXAMINATION FEE	**	Actual Cost
<b>TOTAL INITIAL LICENSE FEES</b>	***	-
BIENNIAL RENEWAL FEE	\$115	\$400****

\* Additional fees may be required per Business and Professions Code (B & P) Code § 6799 and 16 CCR § 407.

\*\* Examination fee depends on which examination or combination of examinations the person is taking each examination cycle per 16 CCR § 407.

\*\*\* Varies dependent upon discipline of licensure and path to licensure.

\*\*\*\* Statutory limit changes to \$400 as of 1/1/17 (Ch.236, Stats.2016) At not more than the professional engineer application fee in effect at the time per B&P Code § 6799.

Land Surveyor Fees*	Actual Fee	Statutory Limit
LAND SURVEYOR-IN-TRAINING FEE	\$50	\$100
APPLICATION FEE	\$125	\$400
EXAMINATION FEE	**	Actual Cost
<b>TOTAL INITIAL LICENSE FEES</b>	***	-
BIENNIAL RENEWAL FEE	\$115	\$400****

\* Additional fees may be required per B & P Code § 6799 and 16 CCR § 407.

\*\* Examination fee depends on which examination or combination of examinations the person is taking each examination cycle per 16 CCR § 407.

\*\*\* Varies dependent upon path to licensure.

\*\*\*\* Statutory limit changes to \$400 as of 1/1/17 (Ch.236, Stats.2016) At not more than the application fee in effect at the time per B&P Code § 8805.

Geologist Fees*	Actual Fee	Statutory Limit
APPLICATION FEE	\$250	\$250
EXAMINATION FEE	**	Actual Cost
LICENSURE FEE	\$270***	***
<b>TOTAL INITIAL LICENSE FEES</b>	****	-
RENEWAL FEE	\$270	\$400

\* Additional fees may be required per B & P Code § 7887 and 16 CCR § 3005.

\*\* Examination fee depends on which examination or combination of examinations the person is taking each examination cycle per 16 CCR § 3005.

\*\*\* License fee shall be fixed at an amount equal to the renewal fee in effect on the last regular renewal date before the date on which the certificate is issued, unless the certificate will expire less than one year after issuance, in which case the fee shall be fixed at an amount equal to 50 percent of the renewal fee in effect on the last regular renewal date, per B&P Code § 7887.

\*\*\*\* Varies dependent upon path to licensure and proration of initial licensure fee.

Specialty Geologist Certifications*	Actual Fee	Statutory Limit
APPLICATION FEE	\$250	\$250
EXAMINATION FEE	\$100	Actual Cost But Not More Than \$100
LICENSURE FEE	\$67.50**	**
<b>TOTAL INITIAL LICENSE FEES</b>	***	-
RENEWAL FEE	\$67.50	\$100

\* Additional fees may be required per B & P Code § 7887 and 16 CCR § 3005.

\*\* License fee shall be fixed at an amount equal to the renewal fee in effect on the last regular renewal date before the date on which the certificate is issued, unless the certificate will expire less than one year after issuance, in which case the fee shall be fixed at an amount equal to 50 percent of the renewal fee in effect on the last regular renewal date, per B&P Code § 7887.

\*\*\* Varies dependent upon proration of initial licensure fee.

## BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS

Geophysicist Fees*	Actual Fee	Statutory Limit
APPLICATION FEE	\$250	\$250
EXAMINATION FEE	\$100	Actual Cost But Not More Than \$100
LICENSURE FEE	\$250**	**
<b>TOTAL INITIAL LICENSE FEES</b>	<b>***</b>	-
RENEWAL FEE	\$270	\$400

\* Additional fees may be required per B & P Code § 7887 and 16 CCR § 3005.

\*\* License fee shall be fixed at an amount equal to the renewal fee in effect on the last regular renewal date before the date on which the certificate is issued, unless the certificate will expire less than one year after issuance, in which case the fee shall be fixed at an amount equal to 50 percent of the renewal fee in effect on the last regular renewal date, per B&P Code § 7887.

\*\*\* Varies dependent upon path to licensure and proration of initial licensure fee.

### Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
AG-AGRICULTURAL	3	1	81
CH-CHEMICAL	86	40	1005
CE-CIVIL	6,518	1,501	29,278
CONS-CONSULTING	N/A	N/A	0
CS-CONTROL SYSTEM	27	24	380
CR-CORROSION	N/A	N/A	130
E-ELECTRICAL	803	316	4,997
FP-FIRE PROTECTION	53	24	387
GE-GEOTECHNICAL	56	11	775
I-INDUSTRIAL	6	3	97
LS-LAND SURVEYOR	389	71	1,909
MF-MANUFACTURING	N/A	N/A	154
ME-MECHANICAL	888	448	8,602
MT-METALLURGICAL	11	9	129
NU-NUCLEAR	1	0	268
PT-PETROLEUM	8	4	183
PHOTO-PHOTO SURVEYOR	N/A	N/A	N/A
QU-QUALITY	N/A	N/A	162
SF-SAFETY	N/A	N/A	193
SE-STRUCTURAL	426	117	2,133
TR-TRAFFIC	79	30	649
PG-PROFESSIONAL GEOLOGIST	233	100	2,436
PROFESSIONAL GEOPHYSICIST	9	4	69
CERT ENGINEERING GEOLOGIST	41	22	734
CERTIFIED HYDROGEOLOGIST	31	12	435
ENGINEER IN TRAINING	2,704	2,561	N/A
GEOLOGIST IN TRAINING	147	82	N/A
LAND SURVEYOR IN TRAINING	54	49	N/A

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
AG-AGRICULTURAL	N/A	139	N/A
CH-CHEMICAL	N/A	1,968	N/A
CE-CIVIL	N/A	55,965	N/A
CONS-CONSULTING	N/A	4	N/A
CS-CONTROL SYSTEM	N/A	1,017	N/A
CR-CORROSION	N/A	184	N/A
E-ELECTRICAL	N/A	10,024	N/A
FP-FIRE PROTECTION	N/A	777	N/A
GE-GEOTECHNICAL	N/A	1,424	N/A
I-INDUSTRIAL	N/A	322	N/A
LS-LAND SURVEYOR	N/A	4,163	N/A
MF-MANUFACTURING	N/A	321	N/A
ME-MECHANICAL	N/A	15,307	N/A
MT-METALLURGICAL	N/A	212	N/A
NU-NUCLEAR	N/A	365	N/A
PT-PETROLEUM	N/A	332	N/A
PHOTO-PHOTO SURVEYOR	N/A	1	N/A
QU-QUALITY	N/A	396	N/A
SF-SAFETY	N/A	321	N/A
SE-STRUCTURAL	N/A	4,200	N/A
TR-TRAFFIC	N/A	1,508	N/A
PG-PROFESSIONAL GEOLOGIST	N/A	5,126	N/A
PROFESSIONAL GEOPHYSICIST	N/A	163	N/A
CERTIFIED ENGINEERING GEOLOGIST	N/A	1,528	N/A
CERTIFIED HYDROGEOLOGIST	N/A	925	N/A
ENGINEER IN TRAINING	53,128	N/A	N/A
GEOLOGIST IN TRAINING	690	N/A	N/A
LAND SURVEYOR IN TRAINING	2,803	N/A	N/A

## Summary of Licensing Activity continued

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
AG-AGRICULTURAL	EVERY 2 YEARS	N/A
CH-CHEMICAL	EVERY 2 YEARS	N/A
CE-CIVIL	EVERY 2 YEARS	N/A
CONS-CONSULTING	EVERY 2 YEARS	N/A
CS-CONTROL SYSTEM	EVERY 2 YEARS	N/A
CR-CORROSION	EVERY 2 YEARS	N/A
E-ELECTRICAL	EVERY 2 YEARS	N/A
FP-FIRE PREVENTION	EVERY 2 YEARS	N/A
GE-GEOTECHNICAL	EVERY 2 YEARS	N/A
I-INDUSTRIAL	EVERY 2 YEARS	N/A
LS-LAND SURVEYOR	EVERY 2 YEARS	N/A
MF-MANUFACTURING	EVERY 2 YEARS	N/A
ME-MECHANICAL	EVERY 2 YEARS	N/A
MT-METALLURGICAL	EVERY 2 YEARS	N/A
NU-NUCLEAR	EVERY 2 YEARS	N/A
PT-PETROLEUM	EVERY 2 YEARS	N/A
PHOTO-PHOTO SURVEYOR	EVERY 2 YEARS	N/A
QU-QUALITY	EVERY 2 YEARS	N/A
SF-SAFETY	EVERY 2 YEARS	N/A
SE-STRUCTURAL	EVERY 2 YEARS	N/A
TR-TRAFFIC	EVERY 2 YEARS	N/A
PG-PROFESSIONAL GEOLOGIST	EVERY 2 YEARS	N/A
PROFESSIONAL GEOPHYSICIST	EVERY 2 YEARS	N/A
CERTIFIED ENGINEERING GEOLOGIST	EVERY 2 YEARS	N/A
CERTIFIED HYDROGEOLOGIST	EVERY 2 YEARS	N/A
ENGINEER IN TRAINING	VALID UNTIL PE ISSUED	N/A
GEOLOGIST IN TRAINING	VALID UNTIL PGE ISSUED	N/A
LAND SURVEYOR IN TRAINING	VALID UNTIL LS ISSUED	N/A

## Exams

PASS	FAIL	TOTAL
8,056	8,280	16,337

Summary of Enforcement Activity  
PROFESSIONAL ENGINEERS AND  
LAND SURVEYORS continued

## Consumer Complaints—Intake

305	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
305	REFERRED FOR INVESTIGATION
0	PENDING

## Conviction/Arrest Notification Complaints

0	RECEIVED
0	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

## Inspections

N/A

## Investigations

305	OPENED
379	CLOSED
202	PENDING

## Citations and Fines

75	ISSUED
67	ISSUED WITH A FINE
0	WITHDRAWN
12	DISMISSED
617	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## Total Amount of Fines

\$110,250	ASSESSED
\$0	REDUCED
\$88,591	COLLECTED

## Criminal/Civil Actions

8	REFERRALS FOR CRIMINAL/CIVIL ACTION
4	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

## Office of the Attorney General/Disciplinary Actions

41	CASES OPENED/INITIATED
26	CASES CLOSED
61	CASES PENDING

## BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS

### Summary of Enforcement Activity PROFESSIONAL ENGINEERS AND LAND SURVEYORS continued

Number of Days to Complete AG Cases	
<b>3</b>	1 YEAR
<b>6</b>	1 TO 2 YEARS
<b>7</b>	2 TO 3 YEARS
<b>4</b>	3 TO 4 YEARS
<b>6</b>	OVER 4 YEARS
<b>995</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>2</b>	STATEMENTS OF ISSUES FILED
<b>29</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>3</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>2</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
<b>2</b>	LICENSE APPLICATIONS DENIED
<b>5</b>	REVOCATION
<b>2</b>	SURRENDER OF LICENSE
<b>1</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>13</b>	PROBATION ONLY
<b>2</b>	PUBLIC REPRIMAND
<b>1</b>	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
<b>11</b>	TOTAL NUMBER FILED

Petition for Modification or Termination of Probation	
<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

#### Subsequent Disciplinary—Administrative Outcomes/ Final Orders

<b>1</b>	REVOCATION
<b>1</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

#### Petition for Reinstatement of Revoked License/ Registration/Certification

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

#### Cost Recovery to DCA

<b>\$76,064</b>	ORDERED
<b>\$81,392</b>	COLLECTED

#### Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

### Summary of Enforcement Activity GEOLOGISTS

#### Consumer Complaints—Intake

<b>18</b>	RECEIVED
<b>0</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>18</b>	REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

#### Conviction/Arrest Notification Complaints

<b>0</b>	RECEIVED
<b>0</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

#### Inspections

N/A



## BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS

## Summary of Enforcement Activity GEOLOGISTS continued

Investigations	
<b>18</b>	OPENED
<b>21</b>	CLOSED
<b>9</b>	PENDING

Citations and Fines	
<b>8</b>	ISSUED
<b>7</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>1</b>	DISMISSED
<b>715</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$9,250</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$9,000</b>	COLLECTED

Office of the Attorney General/Disciplinary Actions	
<b>0</b>	CASES OPENED/INITIATED
<b>1</b>	CASES CLOSED
<b>3</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>0</b>	1 YEAR
<b>0</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>1</b>	OVER 4 YEARS
<b>3,241</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>2</b>	STATEMENTS OF ISSUES FILED
<b>2</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>1</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes Final Orders	
<b>0</b>	LICENSE APPLICATIONS DENIED
<b>0</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>1</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

Petition for Modification or Termination of Probation	
<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

Cost Recovery to DCA	
<b>\$5,104</b>	ORDERED
<b>\$0</b>	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
<b>10</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>225</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>598</b>	AVERAGE NUMBER OF DAYS



## PROFESSIONAL FIDUCIARIES BUREAU

# Fiduciaries

Licenses and regulates professional fiduciaries.

## STAFF:

**2 civil service**  
**1 exempt**

## LICENSES:

**712\***

## BUREAU STAFF:

**Chief: Vacant**

**Division of Programs and Policy**  
**Review Chief: Tracy Montez**  
tracy.montez@dca.ca.gov

ADVISORY COMMITTEE  
MEMBERSHIP:

**3 licensees**  
**4 public representatives**

STRATEGIC PLAN ADOPTED:  
**2013**

[www.fiduciary.ca.gov](http://www.fiduciary.ca.gov)

\* Past annual reports reflected the total number of "active" and "delinquent" licensees. Due to clarification in reporting requirements, this number reflects only "active" licensees.

DEPARTMENT OF CONSUMER AFFAIRS



PROFESSIONAL FIDUCIARIES BUREAU

## LAWS AND REGULATIONS:

**Business and Professions Codes §§ 6500 – 6592**

**Title 16, Division 41, California Code of Regulations §§ 4400 – 4622**

## SUNSET REVIEW:

**Last review: 2013/14**

**Inoperative/Repeal dates: January 1, 2019**

## ADVISORY COMMITTEE MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>JENNY CHACON</b>	JANUARY 1, 2019	Senate/Public	NO
<b>KING F. GEE</b>	JANUARY 1, 2019	Governor/Public (non-profit elderly advocate)	NO
<b>BARBARA DE VRIES (CHAIR)</b>	JANUARY 1, 2019	Governor/Professional	NO
<b>AILEEN FEDERIZO</b>	JANUARY 1, 2019	Governor/Professional	NO
<b>HANG LE TO (VICE CHAIR)</b>	JANUARY 1, 2019	Assembly/Public	NO
<b>DAWN AKEL</b>	JANUARY 1, 2019	Governor/Professional	NO
<b>KATHLEEN THOMSON</b>	JANUARY 1, 2019	Governor/Public (probate court investigator)	NO

## MAJOR ACCOMPLISHMENTS

- Filled all seven positions of the Professional Fiduciaries Advisory Committee as required in Business and Professions Code Section 6511. Having a full committee enables the Bureau to receive feedback and recommendations needed to protect consumers and continue licensing professional fiduciaries in the most efficient way possible.
- Published a consumer publication, *What is a Professional Fiduciary? A Guide for Consumers*, explaining what a professional fiduciary is and the services a licensee can provide.
- Exceeded the annual requirement to use Small Business (SB) and Disabled Veteran Business Enterprises (DVBE) vendors for purchases—36 percent and 43 percent, respectively. Each year, all state agencies are required to spend 25 percent of their annual procurements with certified SB vendors and 3 percent with certified DVBE.

## PROFESSIONAL FIDUCIARIES BUREAU

## CONTINUED

- Attended and presented at numerous educational events and partnered with the Contractors State License Board at Senior Scam Stopper events. Because of the Bureau's participation at these events, consumers and professionals are becoming more familiar with the profession.
- Conducted a licensee survey and had a 20 percent response rate. The results of the survey will assist the Bureau in growing the profession to keep up with the state's rapidly expanding aging population.
- Began accepting applications for approval of education courses. This gives applicants and licensees more options for completing their pre-licensing and continuing education courses.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- AB 139, Gatto (Chapter 293, Statutes of 2013), requires, until January 1, 2021, the creation of the revocable transfer on death deed (revocable TOD deed), which would transfer real property on the death of its owner without a probate proceeding, according to specified rules. This law also specifies that a person who feloniously and intentionally kills a decedent is not entitled generally to property and interests that are transferred outside of probate, including real property transferred by a revocable TOD deed. This law deletes the reference to court judgment and provides instead that the personal representative of the estate is permitted to enforce liability only to the extent necessary to protect the heirs, devisees, and creditors of the decedent.
- AB 436, Jones (Chapter 197, Statutes of 2013), requires the court, upon granting or denying authority to a conservator, to place a conservatee with dementia in a secured facility and authorize the administration of medications, to either discharge the attorney or order continuation of the representation, as specified.
- AB 468, Jones (Chapter 117, Statutes of 2015), deletes the requirement that the director adopt and issue regulations defining "mental health treatment facility" for the purpose of an involuntary commitment of a conservatee to a mental health treatment facility.
- AB 1085, Gatto (Chapter 92, Statutes of 2015), allows that a court order may be issued that specifically grants the conservator the power to limit or enforce the conservatee's right to receive visitors, phone calls, and personal mail. This law also requires a conservator to provide notice of a conservatee's death by mailing a copy of the notice to specified persons.
- SB 785, Morrell (Chapter 48, Statutes of 2015), defines the terms "probate estate" and "trust estate" for the purposes of property that is titled in a trust upon the death of a settlor and clarifies that certain uses of the term "estate" in existing law refer to a probate estate.
- SB 1284, Hernandez (Chapter 39, Statutes of 2016), adds a conservator of the person and a conservator of the estate to the provisions for purposes of relinquishment or petition, as specified.
- AB 1580 (Gatto, Chapter 494, Statutes of 2016) will permit a representative of a protected person to place a security freeze on the protected person's record, provide guidelines for consumer credit reporting agencies to follow when a request by a representative is made, and specify the requirements to remove such a security freeze.
- AB 1700 (Maienschein, Chapter 64, Statutes of 2016) will allow a trustee to use a Notice of Proposed Action to make a preliminary or final trust distribution, and clarify that a trustee cannot use the Notice of Proposed Action process to release himself or herself from a custodial arrangement.

## FEES

Fee Schedule	Current Fee	Statutory Limit
APPLICATION FEE	\$400	Not set in statute
EXAMINATION FEE	\$345*	Not set in statute
INITIAL LICENSE FEE	\$600	Not set in statute
<b>TOTAL INITIAL LICENSE FEES</b>	<b>Variable</b>	
ANNUAL RENEWAL FEE	\$700	Not set in statute

\* Examination fee is paid to a third-party vendor.

The program has additional fees that can be found in California Code of Regulations § 4580.

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PROFESSIONAL FIDUCIARY	<b>107</b>	<b>81</b>	<b>587</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PROFESSIONAL FIDUCIARY	<b>N/A</b>	<b>712</b>	<b>N/A</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PROFESSIONAL FIDUCIARY	<b>EVERY YEAR</b>	<b>15</b>

Exams		
PASS	FAIL	TOTAL
<b>72</b>	<b>74</b>	<b>146</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>136</b>	RECEIVED
<b>2</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>133</b>	REFERRED FOR INVESTIGATION
<b>2</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>0</b>	RECEIVED
<b>0</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

Inspections	
	<b>N/A</b>

Investigations	
<b>133</b>	OPENED
<b>109</b>	CLOSED
<b>100</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>59</b>	UP TO 90 DAYS
<b>11</b>	91 TO 180 DAYS
<b>24</b>	181 DAYS TO 1 YEAR
<b>15</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>152</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>7</b>	ISSUED
<b>1</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>1</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$500</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$1,000</b>	COLLECTED

Criminal/Civil Actions	
<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>1</b>	CASES OPENED/INITIATED
<b>1</b>	CASES CLOSED
<b>3</b>	CASES PENDING

## PROFESSIONAL FIDUCIARIES BUREAU

## Summary of Enforcement Activity continued

Number of Days to Complete AG Cases	
1	1 YEAR
1	1 TO 2 YEARS
1	2 TO 3 YEARS
1	3 TO 4 YEARS
0	OVER 4 YEARS
698	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
2	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
2	REVOCATION
1	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
1	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$3,300	ORDERED
\$3,300	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
3	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
149	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
698	AVERAGE NUMBER OF DAYS

## CALIFORNIA BOARD OF PSYCHOLOGY

# Psychology

Licenses and regulates psychologists, registered psychologists, and psychological assistants.

## STAFF:

**24 civil service**  
**1 exempt**

## LICENSES:

**22,079**

## BOARD STAFF:

**Executive Officer:**  
**Antonette Sorrick**  
antonette.sorrick@dca.ca.gov

**Assistant Executive Officer:**  
**Jeffrey Thomas**  
jeffrey.thomas@dca.ca.gov

## BOARD MEMBERSHIP:

**5 licensees**  
**4 public representatives**

## STRATEGIC PLAN ADOPTED:

**2014**

[www.psychology.ca.gov](http://www.psychology.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 2900 – 2999**

**Title 16, Division 13.6, California Code of Regulations §§ 1380 – 1397.71**

## SUNSET REVIEW:

**Last review: 2016      Inoperative/Repeal date: January 1, 2021**

## ADVISORY COMMITTEE MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>STEPHEN PHILLIPS, JD, PSYD (PRESIDENT)</b>	JUNE 1, 2020	Governor/Licensee	NO
<b>NICOLE J. JONES (VICE PRESIDENT)</b>	JUNE 1, 2018	Governor/Public	NO
<b>LUCILLE ACQUAYE-BADDOO</b>	JUNE 1, 2018	Speaker/Public	NO
<b>MICHAEL ERICKSON</b>	JUNE 1, 2018	Governor/Licensee	NO
<b>JACQUELINE HORN</b>	JUNE 1, 2019	Governor/Licensee	NO
<b>ALITA BERNAL</b>	JUNE 1, 2020	Senate/Public	NO
<b>VACANT</b>		Governor/Licensee	NO
<b>VACANT</b>		Governor/Licensee	NO
<b>VACANT</b>		Governor/Public	NO

## RECIPROCITY

**Reciprocity licenses; Temporary practice by out-of-state licensees; Waiver of examination requirement (BPC § 2946)**

A psychologist certified or licensed in another state or province and who has made application to the Board for a license in this state may perform activities and services of a psychological nature without a valid license for a period not to exceed 180 calendar days from the time of submitting his or her application or from the commencement of residency in California, whichever first occurs.

**Temporary practice by licensees of other state or foreign country (BPC § 2912)**

Nothing in this chapter shall be construed to restrict or prevent a person who is licensed as a psychologist at the doctoral level in another state or

## CALIFORNIA BOARD OF PSYCHOLOGY

## CONTINUED

territory of the United States or in Canada from offering psychological services in this state for a period not to exceed 30 days in any calendar year.

**MAJOR ACCOMPLISHMENTS**

- The Licensing Committee and Board staff initiated an overall review of the statutes and regulations that affect the different paths to licensure as a psychologist. This project is called the Pathways to Licensure project.
- Central Services staff worked with examination vendors to increase applicant access to the California Psychology Law and Ethics Exam by increasing the number of testing dates from two per year to four per year.
- Licensing staff provided training to California Psychology Internship Council and California Psychological Association members and directors on the requirements for supervisors of psychology trainees and also provided updates on pending legislation affecting the field of psychology and the Board.
- Enforcement staff worked in coordination with staff from the Office of the Attorney General to revamp the structure of the enforcement expert program, the ways in which the Board uses these experts in the enforcement process, and the training provided to enforcement experts.
- The Board is proactively encouraging its licensees to participate in the Access to Mental Healthcare in the State of California campaign by applying for loan repayment under the Health Professions Education Foundation's Mental Health Loan Assumption Program.
- Sponsored legislation that requires unlicensed individuals performing psychological activities within specified exempt settings to work under the supervision of a licensed psychologist, accumulate the supervised hours required for licensure, and become licensed within five years. This bill improved consumer protection by closing a loophole that allowed employees in government settings to serve vulnerable populations indefinitely, without supervision by a licensed psychologist or being subject to oversight and competency standards. This bill was signed by the Governor on August 17, 2015, and became effective January 1, 2016.
- The Board received favorable recommendations from the Assembly Business and Professions, Committee and the Senate Business, Professions and Economic Development Committee at its sunset review hearing. These committees recommended that "the practice of psychology continue to be regulated by the current Board members in order to protect the interests of the public and be reviewed once again in four years."
- Regulations became effective July 1, 2015, that allow applicants for whom English is second language to apply for and receive additional time when taking the Examination for Professional Practice in Psychology and/or California Psychology Laws and Ethics Examination if they meet specified criteria.
- SB 1193 (Hill, Chapter 484, Statutes of 2016) will extend the sunset date for the Board four years from January 1, 2017, to January 1, 2021, as well as provide several policy changes. Specifically, this bill will require an applicant to graduate from a regionally accredited institution, redefine continuing education to professional development and modify the requirements, establish policies for posting licensee information on the Board's website, create a retired license category within the Board, and make minor and technical changes to psychological assistant registration.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- Sponsored legislation that changed the renewal cycle for psychology licenses from having the expiration date based on a licensee's legal birthdate to basing it on the date of issuance of the license. This bill provided clarity and consistency regarding psychology licenses for both applicants and licensees. This bill was signed by the Governor on September 28, 2015, and became effective January 1, 2016.

**FEES\***

Psychologist	Actual Fee	Statutory Limit
APPLICATION FEE	\$40	\$50
EXAM FEE (PAID TO THE ASSOCIATION OF STATE AND PROVINCIAL PSYCHOLOGY BOARDS)	\$600**	N/A
CALIFORNIA PSYCHOLOGY LAW AND ETHICS EXAMINATION (CPLEE) FEE	\$129**	Cost to Board
INITIAL LICENSE FEE	\$400	\$500
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$1,169</b>	-
BIENNIAL RENEWAL FEE	\$420	\$500

Psychological Assistant	Actual Fee	Statutory Limit
APPLICATION FEE	\$40	\$75
RENEWAL FEE	\$40	\$75

\* Some additional fees may be required per Business and Professions Code §§ 2987, 2987.2, 2987.3 California Code of Regulations §§ 1392, 1392.1, 1397.68, 1397.69.

\*\* Applicants for licensure as a psychologist must take the EPPP and the CPLEE in order to get licensed in the State of California.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
PSYCHOLOGIST	<b>1,446</b>	<b>1,055</b>	<b>9,689</b>
REGISTERED PSYCHOLOGIST	<b>187</b>	<b>140</b>	<b>0</b>
PSYCHOLOGICAL ASSISTANT	<b>1,042</b>	<b>973</b>	<b>764</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PSYCHOLOGIST	<b>0</b>	<b>20,227</b>	<b>0</b>
REGISTERED PSYCHOLOGIST	<b>0</b>	<b>272</b>	<b>0</b>
PSYCHOLOGICAL ASSISTANT	<b>0</b>	<b>1,580</b>	<b>0</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PSYCHOLOGIST	<b>EVERY 2 YEARS</b>	<b>36</b>
REGISTERED PSYCHOLOGIST	<b>NON-RENEWABLE</b>	<b>0</b>
PSYCHOLOGICAL ASSISTANT	<b>EVERY YEAR</b>	<b>0</b>

**Exam Results**

EXAM TITLE	PASS	FAIL	TOTAL
EPPP	<b>775</b>	<b>607</b>	<b>1,382</b>
CPSE	<b>0</b>	<b>0</b>	<b>0</b>
CPLEE	<b>1,114</b>	<b>214</b>	<b>1,328</b>
<b>TOTAL</b>	<b>1,889</b>	<b>821</b>	<b>2,710</b>

**Summary of Enforcement Activity****Consumer Complaints—Intake**

<b>980</b>	RECEIVED
<b>210</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>723</b>	REFERRED FOR INVESTIGATION
<b>77</b>	PENDING

**Conviction/Arrest Notification Complaints**

<b>56</b>	RECEIVED
<b>55</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>62</b>	PENDING

**Inspections**

<b>N/A</b>
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**Investigations**

<b>63</b>	OPENED
<b>63</b>	CLOSED
<b>89</b>	PENDING

**Number of Days to Complete Intake and Investigations**

<b>8</b>	UP TO 90 DAYS
<b>8</b>	91 TO 180 DAYS
<b>19</b>	181 DAYS TO 1 YEAR
<b>19</b>	1 TO 2 YEARS
<b>9</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>327</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

**Citations and Fines**

<b>0</b>	ISSUED
<b>86</b>	ISSUED WITH A FINE
<b>1</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>114</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE



## CALIFORNIA BOARD OF PSYCHOLOGY

## Summary of Enforcement Activity continued

Total Amount of Fines	
<b>\$79,205</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$62,010</b>	COLLECTED

Criminal/Civil Actions	
<b>5</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>43</b>	CASES OPENED/INITIATED
<b>52</b>	CASES CLOSED
<b>58</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>3</b>	1 YEAR
<b>13</b>	1 TO 2 YEARS
<b>9</b>	2 TO 3 YEARS
<b>21</b>	3 TO 4 YEARS
<b>6</b>	OVER 4 YEARS
<b>1,047</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>7</b>	STATEMENTS OF ISSUES FILED
<b>30</b>	ACCUSATIONS FILED
<b>2</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>8</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
<b>0</b>	LICENSE APPLICATIONS DENIED
<b>4</b>	REVOCATION
<b>13</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>1</b>	SUSPENSION ONLY
<b>31</b>	PROBATION ONLY
<b>3</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

<b>3</b>	TOTAL NUMBER FILED
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## Subsequent Disciplinary—Administrative Outcomes/Final Orders

<b>0</b>	
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## Petition for Modification or Termination of Probation

<b>0</b>	GRANTED
<b>1</b>	DENIED
<b>1</b>	TOTAL

## Petition for Reinstatement of Revoked License/Registration/Certification

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Cost Recovery to DCA

<b>\$274,060</b>	ORDERED
<b>\$41,887</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$1,508</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>8</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>327</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Closure of Investigation to Imposing Formal Discipline

<b>246</b>	AVERAGE NUMBER OF DAYS
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## BUREAU OF REAL ESTATE

# Real Estate

Licenses and regulates mortgage loan originators, real estate brokers and salespersons, and offerings of subdivided lands in California.

## STAFF:

**329 civil service**

## LICENSES:

**408,357**

## BUREAU STAFF:

**Commissioner: Wayne Bell**

wayne.bell@dre.ca.gov

**Chief Deputy Commissioner:**

**Dan Sandri**

dan.sandri@dre.ca.gov

ADVISORY COMMITTEE  
MEMBERSHIP

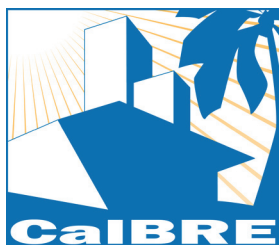
**N/A licensees**

**N/A public representatives**

## STRATEGIC PLAN ADOPTED:

**2016**

[www.bre.ca.gov](http://www.bre.ca.gov)



## LAWS AND REGULATIONS:

**Business and Professions Code §§ 10000 – 11288**

**Title 10, Chapter 6, California Code of Regulations §§ 2705 – 3109**

## SUNSET REVIEW:

**Last review: 2016**

**Inoperative/Repeal dates: January 1, 2021**

## RECIPROCITY

The Bureau has no reciprocity with other states.

## MAJOR ACCOMPLISHMENTS

- Continued focus on outreach to consumers, law enforcement groups, and industry. CalBRE provided educational opportunities for industry to learn about compliance issues and for consumers to learn how to avoid falling victim to real estate fraud and schemes. CalBRE regularly met with at least 14 county-related real estate fraud task forces and coalitions to improve communication and coordinated action. CalBRE participated in more than 100 outreach events in Fiscal Year 2015–2016. For consumer events, CalBRE partnered with members of state Legislature, who hosted fraud prevention town hall meetings throughout the state. Many of the fraud prevention town halls focused on seniors, while some events focused on various immigrant populations. Events were conducted in Russian and Spanish, and fraud prevention tips unique to these communities were provided.
- The CalBRE audit program continued to be highly effective in finding and correcting violations of the Real Estate Law and trust fund handling requirements. CalBRE conducted 590 audits of real estate brokers and found \$13.3 million in trust fund shortages. Eighty of these audits had trust fund shortage findings of greater than \$10,000. The highest incidence and dollar amount of shortage was found in audits of brokers involved with property management or broker escrow activities. Audit staff was successful in having brokers cure more than \$3.3 million in shortages prior to the completion of the audits. Audit examinations continue to increase consumer protection by revealing violations of the Real Estate Law and helping to realize the return of mishandled trust funds that belong to the clients of real estate licensees.

## BUREAU OF REAL ESTATE

## CONTINUED

- Issued 774 citations to licensees and non-licensees for violations of the Real Estate Law and Commissioner's Regulations. Citations that are issued to real estate licensees are typically for relatively minor violations of the law that do not merit the higher disciplinary action. Citation authority also permits the Bureau to issue a citation and impose a fine on an unlicensed person engaged in an activity for which a real estate license is required.

The amount of fines issued with citations totaled \$437,509, and the amount of these fines collected as of June 30, 2016, was \$356,634.

- Initiated a joint Audit/Enforcement Section program to visit licensees who possess restricted real estate licenses. Forty-two joint investigations were conducted and closed by Audits and Enforcement. Nineteen of these joint examinations had trust fund shortages, with trust fund shortages totaling \$739,673. Restricted licensees with major violation findings face license suspension and further disciplinary action, including license revocation.
- Increased subdivision application processing. With a steady increase of new subdivision filings under way in California, the Subdivisions Section of CalBRE received and processed 3,171 Final Subdivision Public Report applications. This was an increase of 21.5 percent compared to the previous fiscal year total of 2,609. This application process results in the preparation of a Subdivision Public Report (California project), permit (out-of-state timeshare), or confirmation of registration (non-California project located within the United States) from CalBRE. The public report/permit is an important disclosure document that provides prospective purchasers with pertinent information about the subdivision, including site suitability, financing of improvements and facilities, sales agreements, purchase money handling, the release of blanket encumbrances, and vital disclosures concerning the availability of fire protection, water supply and quality, vehicular access, latent natural hazards, reservations of mineral rights and easements, and community association assessments.
- CalBRE Licensing implemented SB 1159 and AB 345 (more information in the "Major New

Legislation or Regulations" section). Furthermore, in June 2016, Licensing began notifying applicants and licensees by e-mail when a license was issued or renewed, or when an applicant for the exam was qualified and could use eLicensing to schedule their exam date. Before this enhancement, applicants and licensees had to wait until they received notification by mail to print their license certificate, or schedule their exam date, which could take anywhere from seven to 10 days.

- On April 19, 2016, CalBRE issued the first real estate license number in the 2 million range: Christina Flanary was issued license number 02000001. Contrast this number to license number 00000004, which was issued on July 24, 1946, to real estate broker Max Green.

## MAJOR NEW LEGISLATION OR REGULATIONS

*(Extends through the 2016 calendar year)*

- AB 345, Frazier (Chapter 68, Statutes of 2015), requires real estate brokers licensed by CalBRE to complete a three-hour course in the management of real estate offices and supervision of real estate-licensed activities prior to the first renewal of their license. This bill, effective January 1, 2016, also allows salespersons to complete a continuing education course to better understand how to be effectively supervised by a broker or branch manager.
- AB 607, Dodd (Chapter 216, Statutes of 2015), codifies existing regulations (10 California Code of Regulations 2834) pertaining to CalBRE to authorize unlicensed employees of a licensed broker, typically accountants and bookkeepers, to make trust fund withdrawals. This bill, effective January 1, 2016, modifies these provisions by clarifying the conditions of the withdrawals and specifying fidelity bonds held by brokers can have a deductible of up to 5 percent of the total bond amount when there is evidence of the broker's financial responsibility sufficient to cover a loss subject to the deductible.
- AB 661, Mathis (Chapter 76, Statutes of 2015), clarifies existing law by specifying which real estate instruments or documents pertaining to the sale or transfer of property are or are not subject to a \$10 per document county fee to fund the county's Real

## CONTINUED

Estate Fraud Prosecution Trust Fund. The bill was effective January 1, 2016.

- AB 807, Stone (Chapter 634, Statutes of 2015), makes technical clarifications to the Civil Code's definition of a "transfer fee," as established by AB 980, Calderon (Chapter 689, Statutes of 2007), and requires all transfer fee documentation to be recorded on a single document. The bill was effective January 1, 2016.
- AB 905, Gaines (Chapter 88, Statutes of 2015), allows public reports and disclosures to purchasers required by the Vacation Ownership and Time-share Act (VOTA) to be provided in a digital format at the discretion of the purchaser. This bill, effective January 1, 2016, also makes a technical amendment in Civil Code Section 2079.6 to clarify the exemption from certain reports by brokers licensed by CalBRE as related to VOTA.
- SB 146, Galgiani (Chapter 129, Statutes of 2015), provides technical clean-up to AB 1818, Bocanegra (Chapter 892, Statutes of 2014), which specifies that "team names" are not "fictitious business names" and are therefore not required to be registered with CalBRE. This bill clarifies that team names are not required to be registered with the county in which the team operates, defines a "responsible broker's identity," and makes other nonsubstantive and conforming amendments. Designated as an "urgency" measure, the amendments went into effect on July 16, 2015.
- SB 647, Morrell (Chapter 263, Statutes of 2015) makes several technical amendments to statutes following the enactment of SB 978, Vargas (Chapter 669, Statutes of 2012). (SB 978 of 2012 made changes to the Real Estate Law and Corporations Code with respect to investor protections and "hard money" lending by threshold brokers.) SB 647, effective January 1, 2016, adds an additional property category with an associated loan-to-value ratio, modifies investor reporting requirements, and deletes a Department of Business Oversight reporting requirement. This bill also deletes the requirement that threshold brokers obtain annual questionnaires from investors, and instead, replaces that obligation with one that requires the broker to obtain questionnaires at least two business days, but not more than one year, prior to completing an investment, among other technical changes.
- AB 685 (Irwin, Chapter 177, Statutes of 2016) will make nonsubstantive changes and clarifications to Real Estate Law. Specifically, this bill will update the Real Estate Act with gender neutral language, clarify that a licensee of the Bureau may refer to themselves as a "salesperson," "salesman," or "saleswoman," and make other technical changes.
- AB 1645 (Dababneh, Chapter 62, Statutes of 2016) will permanently repeal the cap on the level of risk a mortgage guaranty insurer may incur without reinsuring that risk. This cap was temporarily repealed until January 1, 2018, and this bill will remove the sunset on the temporary repeal of the cap.
- AB 1650 (Frazer, Chapter 142, Statutes of 2016) will revise and recast disclosure requirements for licensees of the Bureau when advertising their services, beginning January 1, 2018. Specifically, this bill will require licensees to disclose their name and responsible broker's identity on all solicitation materials, as specified. This bill will also exempt "for sale," rent, lease, "open house," and directional signs that display the responsible broker's identity, but do not refer to a licensee's name, from these requirements. Additionally, this bill will delete exemptions to existing disclosure requirements for print and electronic media advertising, "for sale" signs, and classified rental advertisements on the radio.
- AB 1750 (Dodd, Chapter 125, Statutes of 2016) will clarify that the definitions relating to certain disclosures in real property transactions also extend to provisions regarding the Environmental Hazard Booklet.
- AB 1807 (Bonta, Chapter 558, Statutes of 2016) will require the Commissioner of the Bureau, beginning January 1, 2018, to remove disciplinary action postings from the Bureau's website upon petition by an affected licensee if the following conditions are met: 1) the licensee pays a fee sufficient to defray the cost; 2) the licensee provides proof of rehabilitation; and 3) the disciplinary action taken by the Bureau is at least 10 years old. This bill will

## BUREAU OF REAL ESTATE

## CONTINUED

also require the Bureau to promulgate regulations to determine the minimum information to be included in the licensee's petition, including, but not limited to, written justification and evidence of rehabilitation. Additionally, this bill will require the Bureau to maintain a list of all licensees whose disciplinary records are altered as a result of this bill and make this list available to other licensing bodies.

- AB 2330 (Ridley-Thomas, Chapter 614, Statutes of 2016) will require the Bureau to identify on its website each responsible broker with whom an associate broker is contractually associated, commencing January 1, 2018. This bill will also require real estate brokers to immediately notify the Bureau upon entering into or terminating from employment with another broker, similar to the current notification requirements for contractual relationships between salespersons and brokers.
- AB 2416 (Wilk, Chapter 135, Statutes of 2016) will extend from January 1, 2017, to January 1, 2022, the consumer protections available under the Consumer Credit Reporting Agencies Act to escrow agents and individual escrow service employees.
- SB 710 (Galgiani, Chapter 224, Statutes of 2016) will amend the statutory definition of "responsible broker's identity," when used in relation to real estate advertising. Specifically, this bill defines "responsible broker's identity" to mean either the name, or the name and associated Bureau license identification number, instead of both the name and license number.
- SB 1150 (Leno, Chapter 838, Statutes of 2016) prohibits mortgage servicers (servicer) from foreclosing on a home when an individual provides reasonable documentation that the original borrower has died and that he or she is the rightful successor in interest (successor). This bill will also require the servicer to provide information about the mortgage loan to the successor within 10 days and to allow the successor to either assume the loan or apply to assume the loan through a foreclosure prevention alternative. Additionally, this bill will authorize a successor to sue for injunctive relief and economic damages for violations of this bill.
- SB 1196 (Hill, Chapter 800, Statutes of 2016) will subject the Bureau to the sunset review process by January 1, 2021. This bill will also authorize the Commissioner of the Bureau of Real Estate to suspend the license of a real estate licensee for entering a guilty plea for a felony or a crime related substantially to the qualifications, functions, or duties of a real estate licensee, and to notify the licensee of their right to a hearing.

## FEES\*

Salesperson	Actual Fee	Statutory Limit
EXAMINATION FEE	\$60	\$60
LICENSE FEE	\$245	\$245
<b>TOTAL INITIAL LICENSE FEE</b>	<b>\$305</b>	-
QUADRENNIAL RENEWAL FEE	\$245	\$245
LATE RENEWAL	\$367	\$367

Broker	Actual Fee	Statutory Limit
EXAMINATION FEE	\$95	\$95
LICENSE FEE	\$300	\$300
<b>TOTAL INITIAL LICENSE FEE</b>	<b>\$395</b>	-
QUADRENNIAL RENEWAL FEE	\$300	\$300
LATE RENEWAL	\$450	\$450

Mortgage Loan Originator Endorsement	Actual Fee	Statutory Limit
EXAMINATION FEE	\$110***	N/A
LICENSE FEE**	\$300	\$300
ANNUAL RENEWAL FEE	\$300	\$300
LATE RENEWAL	N/A	N/A

\* The Program licenses additional categories that were not included; additional fees can be found in Business and Professions Code §§ 10200 – 10226.5. Subdivision fees can be found in Business and Professions Code §11011.

\*\* Licensees must also pay a fee to the National Mortgage Licensing System. Fees vary depending on the existing license type. Please see the Bureau's website for details.

\*\*\* This fee covers the National Exam with the Uniform State Content. The fee is paid to the National Mortgage Licensing Service, not the Bureau.

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
BROKER LICENSE	<b>2,812</b>	<b>774</b>	<b>28,594</b>
SALESPERSON LICENSE	<b>26,273</b>	<b>21,693</b>	<b>48,095</b>
PREPAID RENTAL LISTING SERVICE (PRLS)	<b>1</b>	<b>1</b>	<b>5</b>
MORTGAGE LICENSE ORIGINATION (MLO) ENDORSEMENTS	<b>N/A</b>	<b>N/A</b>	<b>24,453</b>
PUBLIC REPORT ORIGINALS	<b>3,165</b>	<b>2,764</b>	<b>N/A</b>
PUBLIC REPORT AMEND/ RENEWALS	<b>585</b>	<b>616</b>	<b>N/A</b>
PUBLIC REPORT CONDITIONALS	<b>2,511</b>	<b>2,371</b>	<b>N/A</b>
PUBLIC REPORT PRELIMINARIES	<b>925</b>	<b>918</b>	<b>N/A</b>
PUBLIC REPORT INTERIMS	<b>79</b>	<b>78</b>	<b>N/A</b>
PUBLIC REPORT OUT OF STATE	<b>86</b>	<b>78</b>	<b>N/A</b>
PUBLIC REPORT TIMESHARES	<b>516</b>	<b>439</b>	<b>N/A</b>

### Licensing Population by Type

TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
BROKER LICENSE	<b>N/A</b>	<b>134,947</b>	<b>N/A</b>
SALESPERSON LICENSE	<b>N/A</b>	<b>273,410</b>	<b>N/A</b>
PRLS	<b>N/A</b>	<b>10</b>	<b>N/A</b>
MLO ENDORSEMENTS	<b>N/A</b>	<b>24,453</b>	<b>N/A</b>

### Renewal and Continuing Education (CE)

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
BROKER LICENSE	<b>EVERY 4 YEARS</b>	<b>45</b>
SALESPERSON LICENSE	<b>EVERY 4 YEARS</b>	<b>45</b>
PRLS	<b>EVERY YEAR</b>	<b>N/A</b>

### Exams

PASS	FAIL	TOTAL
<b>23,615</b>	<b>22,987</b>	<b>46,602</b>

## Summary of Enforcement Activity

### Consumer Complaints—Intake

<b>5,341</b>	RECEIVED
<b>2,495</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>2,814</b>	REFERRED FOR INVESTIGATION
<b>2,495</b>	PENDING

### Conviction/Arrest Notification Complaints

<b>1,094</b>	RECEIVED
<b>1,093</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>1,090</b>	PENDING

### Audits

<b>590</b>	AUDITS CONDUCTED
<b>212</b>	REFERRED TO ENFORCEMENT

### Investigations

<b>2,193</b>	OPENED
<b>2,708</b>	CLOSED
<b>1,152</b>	PENDING

### Number of Days to Complete Intake and Investigations

<b>758</b>	UP TO 90 DAYS
<b>612</b>	91 TO 180 DAYS
<b>995</b>	181 DAYS TO 1 YEAR
<b>23</b>	1 YEAR
<b>605</b>	1 TO 2 YEARS
<b>7</b>	2 TO 3 YEARS
<b>27</b>	OVER 3 YEARS
<b>236</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

### Citations and Fines

<b>774</b>	ISSUED
<b>774</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>2</b>	DISMISSED
<b>N/A</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

### Total Amount of Fines

<b>\$437,509</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$356,634</b>	COLLECTED

## BUREAU OF REAL ESTATE

## Summary of Enforcement Activity continued

## Criminal/Civil Actions

<b>29</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>N/A</b>	CRIMINAL ACTIONS FILED
<b>N/A</b>	CIVIL ACTIONS FILED

## CalBRE Legal Cases

<b>N/A</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>451</b>	CASES CLOSED
<b>N/A</b>	CASES PENDING

## Number of Days to Complete Legal Cases

<b>876</b>	1 YEAR
<b>206</b>	1 TO 2 YEARS
<b>84</b>	2 TO 3 YEARS
<b>27</b>	3 TO 4 YEARS
<b>25</b>	OVER 4 YEARS
<b>N/A</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>235</b>	STATEMENTS OF ISSUES FILED
<b>482</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>31</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>43</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes/Final Orders

<b>117</b>	LICENSE APPLICATIONS DENIED
<b>411</b>	REVOCATION
<b>114</b>	SURRENDER OF LICENSE
<b>N/A</b>	PROBATION WITH SUSPENSION
<b>120</b>	SUSPENSION ONLY
<b>167</b>	RESTRICTED
<b>13</b>	PUBLIC REPROVAL
<b>57</b>	DESIST AND REFRAIN ORDERS

## Petition for Modification or Termination of Probation

<b>N/A</b>	GRANTED
<b>N/A</b>	DENIED
<b>N/A</b>	TOTAL

Petition for Reinstatement of Revoked License/  
Registration/Certification

<b>80</b>	GRANTED
<b>N/A</b>	DENIED
<b>80</b>	TOTAL

## Consumer Recovery Account

<b>73</b>	CLAIMS FILED
<b>81</b>	CLAIMS PAID
<b>\$3,396,293</b>	AMOUNT PAID

## Receipt of Complaint to Assignment to Investigator

<b>25</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>236</b>	AVERAGE NUMBER OF DAYS
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Investigations: Closure of Investigation to Imposing  
Formal Discipline

<b>279</b>	AVERAGE NUMBER OF DAYS
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## BUREAU OF REAL ESTATE APPRAISERS

# Real Estate Appraisers

Licenses and regulates real estate appraisers and registered appraisal management companies.

## STAFF:

**35 civil service**  
**1 exempt**

## LICENSES:

**10,886**

## BUREAU STAFF:

**Bureau Chief:**  
**Jim Martin**  
jim.martin@orea.ca.gov

**Deputy Bureau Chief:**  
**Loretta Dillon**  
loretta.dillon@orea.ca.gov

APPRAISAL MANAGEMENT  
COMPANY REGISTRATIONS:

**266**

## STRATEGIC PLAN ADOPTED:

**2014**

[www.brea.ca.gov](http://www.brea.ca.gov)



## LAWS AND REGULATIONS:

**CALIFORNIA:**

**Business and Professions Code §§ 11300 – 11423**

**Title 10, Chapter 6.5, California Code of Regulations §§ 3500 – 3780**

**FEDERAL:**

**Title 11, United States Code §§ 1101 – 1126**

**Title 15, United States Code § 1639e**

**Title 12, Code of Federal Regulations §§ 225.61 – 225.67**

**Title 12, Code of Federal Regulations §§ 1222.20-1222.26**

## SUNSET REVIEW:

**Last review: 2016**

**Inoperative/Repeal dates: January 1, 2021**

**RECIPROCITY (16 CCR § 3569)**

The Bureau offers reciprocity when an appraiser has a valid license from a compliant state whose own requirements meet or exceed those of California at the time of application. Licenses are issued without additional examination, but the licensing fee is still required.

**MAJOR ACCOMPLISHMENTS**

- Bureau Chief appeared before the Legislature in May for the 2016 Sunset Review. The issues raised and recommendations made in 2012 by the Senate Committee on Business, Professions, and Economic Development and the Assembly Committee on Business and Professions were addressed. BREA successfully demonstrated its efforts to ensure the success of its mission to "Safeguard public trust by promoting professionalism in the real estate appraisal industry through licensing, education, and enforcement."
- Implemented process/procedural changes based on new federal laws/rules and state regulations. Such changes include meeting new minimum licensing requirements (California Code of Regulations (CCR) Section 3528) and trainee/supervisor requirements (CCR Section 3568), eliminating proof of citizenship requirement (CCR Section 3530), and developing/modifying

## BUREAU OF REAL ESTATE APPRAISERS

## CONTINUED

the Appraisal Management Company (AMC) program. The implementation of these new laws and processes ensures the success of BREAs mission to safeguard public trust.

- Enforcement Unit developed a trainee outreach program. Staff now make an effort to contact each trainee licensee by phone to discuss information that is frequently misunderstood or overlooked. The discussion includes information about licensing requirements, such as the trainee/supervisor relationship and responsibilities, educational requirements, and common errors that have been identified during training and the application process. As an extension of the outreach program, staff often contact trainee licensees who have submitted work samples with their applications to discuss ways to improve minor errors of the trainee's work product. The outreach program was well received and the feedback has been positive; a significant improvement has been seen in the quality of application packages submitted.
- Enforcement Division has diligently worked to create operational efficiencies that reduced the number of enforcement cases pending as well as the number of complaints taking more than one year to resolve (federal mandate). Most cases are now resolved within 180 days of receipt of the complaint, providing for quicker resolution for all parties involved.
- Developed and implemented an online complaint process for both appraisers and AMCs. The program is creating significant improvement in the overall complaint process and timelines of resolution. The Bureau has noticed an increase in complaints and an increase in the documentation provided within the complaints due to the streamlined complaint process. The ease of use of the online complaint process is attributed to the increase based on the positive feedback from the program.
- Developed and implemented a revised customer satisfaction survey. The survey asked approximately 12,000 stakeholders (licensees, AMCs, course providers, consumers) to rate their satisfaction with Bureau operations; the first sample included data gathered over a two-week period and included over 1,200 responses. Seventy to 80 percent of responses indicated a positive experience when interacting with the Bureau. The survey is available on the BREa website, <http://www.orea.ca.gov/html/CustomerSurvey.html>.

### MAJOR NEW LEGISLATION OR REGULATIONS

*(Extends through the 2016 calendar year)*

- BREa has submitted six regulations for Department of Consumer Affairs approval, including:
  - Section 3543—basic and continuing education requirements.
  - Sections 3542, 3570, 3577—consulting appraisals.
  - Two sets of form revisions (approximately 18 forms).
- AB 1381 (Weber, Chapter 854, Statutes of 2016) will require the Bureau to post the continuing education courses taken by licensees on its website.
- SB 1196 (Hill, Chapter 800, Statutes of 2016) will subject the Bureau to the sunset review process by January 1, 2021. This bill will also require applicants for an initial appraiser's license to complete a course and examination related to state and federal appraisal laws and regulations as a condition of licensure, and establish a two-year course approval for the law course.

## BUREAU OF REAL ESTATE APPRAISERS

## FEES\*

Real Estate Appraiser Trainee Level	Actual Fee	Statutory Limit
INITIAL	\$405	\$450
RENEWAL	\$335	\$450

Real Estate Appraiser Residential Level	Actual Fee	Statutory Limit
INITIAL	\$405	\$450
RENEWAL	\$385	\$450
SEPARATE FEDERAL FEE	\$80	N/A

Real Estate Appraiser Certified Level	Actual Fee	Statutory Limit
INITIAL	\$455	\$525
RENEWAL	\$385	\$525
SEPARATE FEDERAL FEE	\$80	N/A

\*The Bureau licenses additional categories that are not included in this list. Those fees can be found in Business and Professions Code §§ 11400 – 11409 and Title 16, California Code of Regulations §§ 3582 and 3583.

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
TRAINEE	349	58	192
RESIDENTIAL	582	14	564
CERTIFIED RESIDENTIAL	2,496	29	2,411
CERTIFIED GENERAL	1,417	83	1,284
UPGRADES	115	N/A	N/A
TEMPORARY PRACTICE PERMITS	292	N/A	N/A
APPRAISAL MANAGEMENT COMPANY (AMC)	148	36	99

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
TRAINEE	N/A	528	N/A
RESIDENTIAL	N/A	1,276	N/A
CERTIFIED RESIDENTIAL	N/A	5,866	N/A
CERTIFIED GENERAL	N/A	3,216	N/A
AMC	N/A	266	N/A

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
LICENSEE	<b>EVERY 2 YEARS</b>	<b>28</b>
AMC	<b>EVERY 2 YEARS</b>	<b>0</b>
COURSE PROVIDER	<b>EVERY 4 YEARS</b>	<b>0</b>

Exams		
PASS	FAIL	TOTAL
<b>280</b>	<b>275</b>	<b>555</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>285</b>	RECEIVED
<b>0</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>285</b>	REFERRED FOR INVESTIGATION
<b>3</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>N/A</b>	RECEIVED
<b>N/A</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>N/A</b>	PENDING

Inspections	
<b>N/A</b>	INSPECTIONS CONDUCTED
<b>N/A</b>	INSPECTION CITATIONS ISSUED

Investigations	
<b>285</b>	OPENED
<b>299</b>	CLOSED
<b>123</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>126</b>	UP TO 90 DAYS
<b>56</b>	91 TO 180 DAYS
<b>82</b>	181 DAYS TO 1 YEAR
<b>29</b>	1 TO 2 YEARS
<b>6</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>179</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

## BUREAU OF REAL ESTATE APPRAISERS

## Summary of Enforcement Activity continued

## Citations and Fines

<b>58</b>	ISSUED
<b>56</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>184</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## Total Amount of Fines

<b>\$116,250</b>	ASSESSED
<b>\$0</b>	REDUCED
<b>\$72,862</b>	COLLECTED

## Criminal/Civil Actions

<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>N/A</b>	CRIMINAL ACTIONS FILED
<b>N/A</b>	CIVIL ACTIONS FILED

## Office of Attorney General/Disciplinary Actions

<b>19</b>	CASES OPENED/INITIATED
<b>19</b>	CASES CLOSED
<b>14</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>3</b>	1 YEAR
<b>11</b>	1 TO 2 YEARS
<b>5</b>	2 TO 3 YEARS
<b>0</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>658</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>3</b>	STATEMENTS OF ISSUES FILED
<b>31</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes/Final Orders

<b>4</b>	LICENSE APPLICATIONS DENIED
<b>15</b>	REVOCATION
<b>5</b>	SURRENDER OF LICENSE
<b>3</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>5</b>	RESTRICTED
<b>0</b>	PUBLIC REPROVAL
<b>3</b>	DESIST AND REFRAIN ORDERS

## Petition for Modification or Termination of Probation

<b>N/A</b>	GRANTED
<b>N/A</b>	DENIED
<b>N/A</b>	TOTAL

## Petition for Reinstatement of Revoked License/Registration/Certification

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Cost Recovery to DCA

<b>\$0</b>	ORDERED
<b>\$0</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNS/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>4</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>179</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Closure of Investigation to Imposing Formal Discipline

<b>658</b>	AVERAGE NUMBER OF DAYS
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## BOARD OF REGISTERED NURSING

# Registered Nursing

Licenses and regulates registered nurses and evaluates them for certification in nursing specialties.

## STAFF:

**157 civil service**  
**1 exempt**

LICENSES AND  
CERTIFICATES:

**528,198**

## BOARD STAFF:

**Executive Officer:**

**Joseph Morris**

joseph.morris@dca.ca.gov

**Assistant Executive Officer:**

**Stacie Berumen**

stacie.berumen@dca.ca.gov

## BOARD MEMBERSHIP:

**5 licensees**  
**4 public representatives**

## STRATEGIC PLAN ADOPTED:

**2014**

[www.rn.ca.gov](http://www.rn.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 2700 – 2838.4**

**Title 16, Division 14, California Code of Regulations §§ 1402 – 1495.4**

## SUNSET REVIEW:

**Last review: 2015**

**Inoperative/Repeal date: January 1, 2018**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Reg. Senate Confirmation
<b>IMELDA CEJA-BUTKIEWICZ</b>	JUNE 1, 2017	Governor/Public	NO
<b>DONNA GERBER</b>	JUNE 1, 2020	Assembly/Public	NO
<b>MICHAEL DEANGELO JACKSON (PRESIDENT)</b>	JUNE 1, 2016	Governor/Professional (RN Educator)	NO
<b>CINDY CIPRES KLEIN</b>	JUNE 1, 2018	Governor/Professional (RN Direct Care)	NO
<b>RAYMOND MALLEL (VICE PRESIDENT)</b>	JUNE 1, 2017	Governor/Public	NO
<b>TRANDE PHILLIPS</b>	JUNE 1, 2019	Governor/Professional (RN Direct Care)	NO
<b>BARBARA YAROSLAVSKY</b>	JUNE 1, 2020	Senate/Public	NO
<b>ELIZABETH WOODS</b>	JUNE 1, 2018	Governor/Professional (RN Advanced Practice)	NO
<b>PILAR DE LA CRUZ-REYES</b>	JUNE 1, 2019	Governor/Professional (RN Administrator)	NO

## RECIPROCITY

**(Business and Professions Code §2732.1(b))** The Board may issue a license upon written application to any individual, without examination, who is licensed or registered as a nurse in another state, district, or territory of the United States or Canada if they have qualifications equal to those required by the Board or have passed a comparable examination for licensure or registration and meet all other Board requirements.



## BOARD OF REGISTERED NURSING

## CONTINUED

**COMMITTEES**

The Nurse-Midwifery Committee, created by statute, consists of Board members appointed by the Board and meets on a regular basis to discuss specific issues in depth and provide feedback and recommendations to the full Board.

**MAJOR ACCOMPLISHMENTS**

- The Board began posting its application processing timeframes online to provide additional customer service to initial license and renewal applicants.
- Posted annual attrition/retention data on the BRN website, as well as school and nursing program accreditation status for each prelicensure program to assist prospective students with selecting a nursing program.
- Beginning in January 2016, prior to each Board meeting, BRN staff conducted informational sessions to faculty, students, and staff regarding an overview of the licensing and conviction/discipline disclosure process. The sessions are designed to help applicants navigate the application process as quickly as possible.
- On October 12, 2015, the Board unveiled its Facebook page. The Board's social media site is used to provide valuable information to our consumers, applicants, and licensees. In addition to using Facebook, communication efforts are also provided via the BRN website that include the following: meeting notifications, updates to our licensing and renewal processing times, outreach to assist obtaining expert witnesses, and notifications from the Board's Intervention Program.
- The Enforcement Division reduced the average number of days to complete the overall discipline process from 806 days to 737 days and continues to make process improvements in all areas in an effort to meet the Consumer Protection Enforcement Initiative goal to complete disciplinary actions within 12–18 months.
- Completed and made available the 2014–2015 *New Grad Hiring Survey*. To better understand the employment experience of newly licensed RNs, a

sixth annual statewide survey was conducted in fall 2015. A summary of this survey is available on Health Impact's website at [healthimpact.org](http://healthimpact.org) and provides an update on employment of newly licensed RNs in California from the RN's perspective.

- Completed and made available the 2014–2015 *Annual School Report* for both pre- and post-licensure nursing education programs. The pre-licensure nursing program annual school report contains sub-reports for data summary for pre-licensure nursing programs and regional reports. These surveys collect data about nursing programs and their students and faculty, and have been developed to support nursing, nursing education, and workforce planning in California. BRN believes the results of these surveys provide data-driven evidence to influence policy at the local, state, federal, and institutional levels.
- Completed and made available the first survey on *Simulation in Nursing Education* in 2015. The survey was sent to recently licensed California RNs to learn about their clinical education experiences and how they thought it prepared them for practice as an RN. The purpose of the survey was to obtain data about the outcome of clinical simulation and practice experience from the student/RN perspective and learn more about clinical simulation experiences in California nursing programs. The survey was supplemented with analysis of data from the BRN Annual School Survey and National Council Licensure Examination score data. This data may be helpful for future decision-making for educational programs and policy development in the area of clinical simulation and determine what additional research might be helpful.

**MAJOR NEW LEGISLATION OR REGULATIONS**

(Extends through the 2016 calendar year)

- AB 637, Campos (Chapter 217, Statutes of 2015), authorizes the signature of a nurse practitioner acting under the supervision of the physician and within the scope of practice authorized by law to create a valid Physician Orders for Life Sustaining Treatment form.
- SB 408 Morrell, (Chapter 280, Statutes of 2015), authorizes a midwife assistant to perform certain

## CONTINUED

assistive activities under the supervision of certified nurse-midwife, including the administration of medicine, the withdrawing of blood, and midwife technical support services. The bill defines terms for these purposes. The bill prohibits a midwife assistant from being employed for inpatient care in a licensed general acute care hospital.

- SB 464 Hernandez, (Chapter 387, Statutes of 2015), , notwithstanding any other law, authorizes an RN acting in accordance with the authority of the Nursing Practice Act, a certified nurse-midwife acting within the scope of specified existing law relating to nurse-midwives, a nurse practitioner acting within the scope of specified existing law relating to nurse practitioners, among others, to use a self-screening tool that will identify patient risk factors for the use of self-administered hormonal contraceptives by a patient, and, after an appropriate prior examination, to prescribe, furnish, or dispense, as applicable, self-administered hormonal contraceptives to the patient.
- SB 466 Hill, (Chapter 489, Statutes of 2015), extends the repeal date of the BRN's operation to January 1, 2018. This bill requires the Board to contract with the California State Auditor's Office to conduct a performance audit of the Board's enforcement program, as specified, by February 1, 2016. The bill requires the Office to report the results of the audit to the Governor, the Department of Consumer Affairs (DCA), and the appropriate policy committees of the Legislature by January 1, 2017. This bill requires the Board to deny or revoke approval of a school of nursing that does not give student applicants credit in the field of nursing for military education and experience by the use of challenge examinations or other methods of evaluation. The bill requires the Board, by January 1, 2017, to adopt regulations requiring schools seeking approval to have a process to evaluate and grant credit, as defined, for military education and experience. The bill would require the Board to review a school's policies and practices regarding granting credit for military education and experience at least once every five years to ensure consistency in evaluation and application across schools. The bill requires the Board to post on website information related to the acceptance of military coursework and experience at each approved school.
- SB 800 (Committee on Business, Professions and Economic Development) is the omnibus bill for the healing arts boards of DCA. The Nursing Practice Act provides for the licensure and regulation of RNs and nurse practitioners by the Board. The act, on and after January 1, 2008, requires an applicant for initial qualification or certification as a nurse practitioner who has not been qualified or certified as a nurse practitioner to meet specified requirements. Certain provisions allow the Board to find RNs qualified to use the title of "nurse practitioner." This bill deletes those title provisions. The act also provides for a diversion program to identify and rehabilitate RNs whose competency may be impaired due to abuse of alcohol and other drugs, or due to mental illness. This bill refers to the program as an intervention program.

## FEES\*

Registered Nurse	Actual Fee	Statutory Limit
CALIFORNIA EXAM APPLICATION FEE	\$300	\$1,000
U.S. EXAM APPLICATION FEE	\$350	\$1,000
INTERNATIONAL EXAM APPLICATION FEE	\$750	\$1,500
BIENNIAL RENEWAL FEE	\$180 + 10***	\$750

Public Health Nurse**	Actual Fee	Statutory Limit
APPLICATION FEE	\$500	\$1,500
BIENNIAL RENEWAL FEE	\$125	\$500

Nurse Practitioners**	Actual Fee	Statutory Limit
APPLICATION FEE	\$500	\$1,500
BIENNIAL RENEWAL FEE	\$150	\$1,000

\*The Program has additional licensee populations and fees that can be found in Business and Professions Code §§ 2815, 2815.1, 2815.5, 2815.7, 2816, 2830.7, 2831, 2833, 2836.3, and 2838.2 and California Code of Regulations § 1417.

\*\*Any licensee holding an advanced practitioner certification must first have a registered nurse license.

\*\*\*\$10 is assessed and collected for the Registered Nurse Education Fund, pursuant to Section 2815.1.



## BOARD OF REGISTERED NURSING

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
REGISTERED NURSE LICENSE	38,049	24,135	194,392
CLINICAL NURSE SPECIALIST CERTIFICATE	156	172	1,732
NURSE ANESTHETIST CERTIFICATE	206	201	1,062
NURSE MIDWIFE CERTIFICATE	60	63	597
NURSE MIDWIFE FURNISHING CERTIFICATE	54	63	422
NURSE PRACTITIONER CERTIFICATE	1,698	1,845	10,339
NURSE PRACTITIONER FURNISHING	1,761	1,800	8,501
PSYCHIATRIC MENTAL HEALTH CERTIFICATE	15	2	168
PUBLIC HEALTH NURSE CERTIFICATE	2,756	2,163	29,335
CONTINUING EDUCATION PROVIDER	217	145	1,166
SCHOOL/PROGRAM APPROVALS			
TEMPORARY LICENSE	9,241	4,367	N/A
INTERIM PERMIT	2,957	2,342	N/A

Licensing Population by Type			
TYPE	CERTS./ PERMITS	LICENSES/ REGS.	APPROVALS
REGISTERED NURSE LICENSE	N/A	418,980	N/A
CLINICAL NURSE SPECIALIST CERTIFICATE	3,603	N/A	N/A
NURSE ANESTHETIST CERTIFICATE	2,421	N/A	N/A
NURSE MIDWIFE CERTIFICATE	1,298	N/A	N/A
NURSE MIDWIFE FURNISHING CERTIFICATE	950	N/A	N/A
NURSE PRACTITIONER CERTIFICATE	21,930	N/A	N/A
NURSE PRACTITIONER FURNISHING	18,101	N/A	N/A
PSYCHIATRIC MENTAL HEALTH CERTIFICATE	332	N/A	N/A
PUBLIC HEALTH NURSE CERTIFICATE	60,583	N/A	N/A
CONTINUING EDUCATION PROVIDER	3,060	N/A	N/A
SCHOOL/PROGRAM APPROVALS	N/A	N/A	N/A

Exams		
PASS	FAIL	TOTAL
12,433	7,793	20,226

Renewal and Continuing Education		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
RN LICENSES	EVERY 2 YEARS	30
CLINICAL NURSE SPECIALIST CERTIFICATE	EVERY 2 YEARS	N/A
NURSE ANESTHETIST CERTIFICATE	EVERY 2 YEARS	N/A
NURSE MIDWIFE CERTIFICATE	EVERY 2 YEARS	N/A
NURSE MIDWIFE FURNISHING CERTIFICATE	EVERY 2 YEARS	N/A
NURSE PRACTITIONER CERTIFICATE	EVERY 2 YEARS	N/A
NURSE PRACTITIONER FURNISHING	EVERY 2 YEARS	N/A
PSYCHIATRIC MENTAL HEALTH CERTIFICATE	EVERY 2 YEARS	N/A
PUBLIC HEALTH NURSE CERTIFICATE	EVERY 2 YEARS	N/A
CONTINUING EDUCATION PROVIDER	EVERY 2 YEARS	N/A
SCHOOL/PROGRAM APPROVALS	EVERY 5 YEARS	N/A

## Summary of Enforcement Activity

Consumer Complaints—Intake	
3,383	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
3,933	REFERRED FOR INVESTIGATION
232	PENDING

Conviction/Arrest Notification Complaints	
4,374	RECEIVED
4,324	CLOSED/REFERRED FOR INVESTIGATION
50	PENDING

Investigations	
7,617	OPENED
8,061	CLOSED
2,403	PENDING

Citations and Fines	
541	ISSUED
541	ISSUED WITH A FINE
45	WITHDRAWN
27	DISMISSED
133	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## Summary of Enforcement Activity continued

Number of Days to Complete Intake and Investigations	
<b>5,405</b>	UP TO 90 DAYS
<b>717</b>	91 TO 180 DAYS
<b>876</b>	181 DAYS TO 1 YEAR
<b>936</b>	1 TO 2 YEARS
<b>93</b>	2 TO 3 YEARS
<b>17</b>	OVER 3 YEARS
<b>125</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Total Amount of Fines	
<b>\$294,988</b>	ASSESSED
<b>\$60,300</b>	REDUCED
<b>\$240,256</b>	COLLECTED

Criminal/Civil Actions	
<b>41</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>15</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>1,744</b>	CASES OPENED/INITIATED
<b>1,695</b>	CASES CLOSED
<b>1,692</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>391</b>	1 YEAR
<b>490</b>	1 TO 2 YEARS
<b>258</b>	2 TO 3 YEARS
<b>189</b>	3 TO 4 YEARS
<b>95</b>	OVER 4 YEARS
<b>737</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>143</b>	STATEMENTS OF ISSUES FILED
<b>1,133</b>	ACCUSATIONS FILED
<b>21</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>2</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>41</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
<b>87</b>	TOTAL NUMBER FILED

Administrative Outcomes/Final Orders	
<b>29</b>	LICENSE APPLICATIONS DENIED
<b>429</b>	REVOCATION
<b>214</b>	SURRENDER OF LICENSE
<b>6</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>476</b>	PROBATION ONLY
<b>183</b>	PUBLIC REPRIMAND
<b>9</b>	OTHER DECISIONS

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
<b>46</b>	REVOCATION
<b>22</b>	SURRENDER OF LICENSE
<b>1</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>3</b>	PROBATION ONLY
<b>1</b>	PUBLIC REPRIMAND
<b>2</b>	OTHER DECISIONS

Petition for Modification or Termination of Probation	
<b>44</b>	GRANTED
<b>2</b>	DENIED
<b>48</b>	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
<b>49</b>	GRANTED
<b>6</b>	DENIED
<b>56</b>	TOTAL

Cost Recovery to DCA	
<b>\$3,328,750</b>	ORDERED
<b>\$1,092,219</b>	COLLECTED

Receipt of Complaint to Assignment to Investigator	
<b>16</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>125</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>242</b>	AVERAGE NUMBER OF DAYS

## RESPIRATORY CARE BOARD OF CALIFORNIA

# Respiratory Care

Licenses and regulates respiratory care practitioners.

## STAFF:

**17.4 civil service**  
**1 exempt**

## LICENSES:

**23,215**

## BOARD STAFF:

**Executive Officer:**  
**Stephanie Nunez**  
stephanie.nunez@dca.ca.gov

## BOARD MEMBERSHIP:

**4 licensees**  
**1 physician**  
**4 public representatives**

## STRATEGIC PLAN ADOPTED:

**2013**

[www.rcb.ca.gov](http://www.rcb.ca.gov)



## LAWS AND REGULATIONS:

**Business and Professions Code §§ 3700 – 3779**

**Title 16, Division 13.6, California Code of Regulations §§ 1399.300 – 1399.395**

## SUNSET REVIEW:

**Last review: 2012/13**

**Inoperative/Repeal Date: January 1, 2018**

## BOARD MEMBERS\*:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>MARY ELLEN EARLY</b>	JUNE 1, 2019	Governor/Public	NO
<b>REBECCA FRANZOIA</b>	JUNE 1, 2020	Governor/Public	NO
<b>MARK GOLDSTEIN</b>	JUNE 1, 2019	Governor/Professional	NO
<b>MICHAEL HARDEMAN</b>	JUNE 1, 2020	Assembly/Public	NO
<b>RONALD LEWIS, MD</b>	JUNE 1, 2018	Senate/Physician	NO
<b>JUDY MCKEEVER</b>	JUNE 1, 2017	Assembly/Professional	NO
<b>LAURA C. ROMERO, PHD</b>	JUNE 1, 2017	Senate/Public	NO
<b>ALAN ROTH (PRESIDENT)</b>	JUNE 1, 2019	Assembly/Professional	NO
<b>THOMAS WAGNER</b>	JUNE 1, 2018	Senate/Professional	NO

\* The Board consists of nine members, including four public members, four practitioner members, and one physician and surgeon member. Each appointing authority, the Governor, the Senate Rules Committee and the Speaker of the Assembly, appoints three members.

## RECIPROCITY (BPC § 3735)

Currently, the Board recognizes and accepts the National Board for Respiratory Care's Registered Respiratory Therapist credential in lieu of passage of the state licensing examinations. However, education requirements must also be met and background checks performed prior to license issuance. Further, verification of licensure, including discipline history, is required from each state where the applicant has been licensed.

CONTINUED

**MAJOR ACCOMPLISHMENTS**

- Conducted a Respiratory Care Workforce Study with the University of California, San Francisco, Institute for Health Policy Studies. Among other areas, the study is examining the feasibility and impact of establishing a baccalaureate degree as the minimum education requirement for respiratory care practitioners in California.
- Formally recognized and supported the California Society for Respiratory Care's white paper, *Position Statement Pertaining to Concurrent Therapy*, for purposes of educating the public, licensees, and facilities that such practice is unsafe with potential harm to the public.
- Began an outreach effort to notify licensees of a 2017 continuing education increase.
- Initiated a redesign of the Board's website aimed at making it easier to navigate, and performed a comprehensive review of and revisions to all online forms.
- Finalized the development of various BreEZe reports essential to reporting accurate data, and continued to work closely with the Department of Consumer Affairs' BreEZe team to implement fixes for current workarounds in the system.
- Established licensing performance measure targets to monitor operational efficiency by evaluating the cycle time from receipt of a complete application to issuance of an initial license.
- Finalized the design of the initial application for BreEZe set to launch during Fiscal Year 2016–17.
- Reduced the application approval time for complete applications to four days, as a result of re-engineered processes implemented in prior years.

**MAJOR NEW LEGISLATION OR REGULATIONS***(Extends through the 2016 calendar year)*

- SB 525, Nielsen (Chapter 247, Statutes of 2015), clarifies areas of the respiratory scope of practice that were not initially drafted to accommodate advancements in technology and changes in patient care for future interpretation.
- AB 923 (Steinorth, Chapter 253, Statutes of 2016) will reorganize the list of actions that qualify as unprofessional conduct and for which the Board may take disciplinary action against a licensee who is found to have committed. This bill will also allow the Board to take action against a licensee or applicant who knowingly provides false statements or information. Finally, this bill allows the Board to take disciplinary action against a licensee regardless of the license status.

**LICENSING REQUIREMENTS**

DEGREE/PROFESSIONAL SCHOOLING	YES
EXAMINATION	YES
CONTINUING EDUCATION/COMPETENCY	YES
FINGERPRINTING REQUIREMENT	YES

## RESPIRATORY CARE BOARD OF CALIFORNIA

## FEES

Respiratory Therapist	Actual Fee	Statutory Limit
APPLICATION FEE	\$300	\$300
EXAMINATION FEES	\$390	Actual cost
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$690</b>	—
BIENNIAL RENEWAL FEE	\$230	\$330

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
RESPIRATORY CARE PRACTITIONER	<b>1,252</b>	<b>1,146</b>	<b>9,417</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
RESPIRATORY CARE PRACTITIONER	<b>N/A</b>	<b>23</b>	<b>N/A</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
RESPIRATORY CARE PRACTITIONER	<b>EVERY 2 YEARS</b>	<b>15</b>

Exams		
PASS	FAIL	TOTAL
<b>2,560</b>	<b>1,875</b>	<b>4,435</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>376</b>	RECEIVED
<b>18</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>357</b>	REFERRED FOR INVESTIGATION
<b>1</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>452</b>	RECEIVED
<b>448</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>4</b>	PENDING

Inspections	
<b>N/A</b>	

Investigations	
<b>776</b>	OPENED
<b>821</b>	CLOSED
<b>170</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>592</b>	UP TO 90 DAYS
<b>102</b>	91 TO 180 DAYS
<b>62</b>	181 DAYS TO 1 YEAR
<b>62</b>	1 TO 2 YEARS
<b>3</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>97</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>77</b>	ISSUED
<b>77</b>	ISSUED WITH A FINE
<b>1</b>	WITHDRAWN
<b>4</b>	DISMISSED
<b>129</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$44,538</b>	ASSESSED
<b>\$6,900</b>	REDUCED
<b>\$38,176</b>	COLLECTED

## RESPIRATORY CARE BOARD OF CALIFORNIA

## Summary of Enforcement Activity continued

Criminal/Civil Actions	
<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>58</b>	CASES OPENED/INITIATED
<b>67</b>	CASES CLOSED
<b>51</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>20</b>	1 YEAR
<b>33</b>	1 TO 2 YEARS
<b>11</b>	2 TO 3 YEARS
<b>3</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>535</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>9</b>	STATEMENTS OF ISSUES FILED
<b>47</b>	ACCUSATIONS FILED
<b>8</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>2</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>1</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
<b>0</b>	LICENSE APPLICATIONS DENIED
<b>22</b>	REVOCATION
<b>8</b>	SURRENDER OF LICENSE
<b>14</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>9</b>	PROBATION ONLY
<b>2</b>	PUBLIC REPRIMAND
<b>2</b>	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
<b>5</b>	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/  
Final Orders

<b>8</b>	REVOCATION
<b>4</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>3</b>	GRANTED
<b>1</b>	DENIED
<b>4</b>	TOTAL

Petition for Reinstatement of Revoked License/  
Registration/Certification

<b>1</b>	GRANTED
<b>1</b>	DENIED
<b>2</b>	TOTAL

## Cost Recovery to DCA

<b>\$251,520</b>	ORDERED
<b>\$63,105</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>N/A</b>	RESTITUTION ORDERED
<b>N/A</b>	AMOUNT REFUNDED
<b>N/A</b>	REWORK AT NO CHARGE
<b>N/A</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>N/A</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>2</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>97</b>	AVERAGE NUMBER OF DAYS
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Investigations: Closure of Investigation to Imposing  
Formal Discipline

<b>315</b>	AVERAGE NUMBER OF DAYS
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## BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

# Security & Investigative

Licenses and regulates security guards, proprietary private security employers and officers, private investigators, alarm companies and employees, locksmith companies and locksmiths, private patrol operators, and repossession agencies and their employees. The Bureau also has jurisdiction over firearm and baton training facilities and their instructors.

## STAFF:

**57.40**

LICENSES, CERTIFICATES, OR  
PERMITS:

**367,957**

## BUREAU STAFF:

**Chief: Laura Alarcon**

[laura.alarcon@dca.ca.gov](mailto:laura.alarcon@dca.ca.gov)

**Deputy Chief:****Clarisa Serrato-Chavez**

[clarisa.serrato-chavez@dca.ca.gov](mailto:clarisa.serrato-chavez@dca.ca.gov)

**Deputy Chief:****Connie Bouvia**

[connie.bouvia@dca.ca.gov](mailto:connie.bouvia@dca.ca.gov)

## STRATEGIC PLAN ADOPTED:

**2015**

[www.bsis.ca.gov](http://www.bsis.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 6980 – 6980.84, §§ 7500 – 7599.75**

**Title 16, Division 7, California Code of Regulations §§ 600 – 645**

## SUNSET REVIEW:

**Last Review: 2015**

**Scheduled for Review: 2019**

## ADVISORY COMMITTEE MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>TIM B. WESTPHAL</b>	JUNE, 30 2018	DCA Director/Industry	NO
<b>SANDRA L. HARDIN</b>	JUNE, 30 2018	DCA Director/Industry	NO
<b>AARON "RILEY" PARKER</b>	JUNE, 30 2018	DCA Director/Industry	NO
<b>MATTHEW J. LUJAN</b>	JUNE, 30 2018	DCA Director/Industry	NO
<b>MARCELLE L. EGLEY</b>	JUNE, 30 2018	DCA Director/Industry	NO
<b>SIMON M. CRUZ</b>	JUNE, 30 2018	DCA Director/Industry	NO
<b>LYNN S. MOHRFELD</b>	JUNE, 30 2018	DCA Director/Public	NO
<b>TODD C. INGLIS</b>	JUNE, 30 2018	DCA Director/Public	NO
<b>DOUGLAS R. LEE</b>	JUNE, 30 2018	DCA Director/Public	NO
<b>NANCY MURRISH</b>	JUNE, 30 2018	DCA Director/Public	NO
<b>VACANT</b>	N/A	DCA Director/Industry	NO
<b>VACANT</b>	N/A	DCA Director/Public	NO
<b>VACANT</b>	N/A	DCA Director/Public	NO

## MAJOR ACCOMPLISHMENTS

- Successfully transitioned to BreEze on January 19, 2016. BreEze is the relational licensing and enforcement system that provides online license application ability, including fee payment, to essentially all Bureau license types. BreEze also allows individuals to file a complaint online regarding a Bureau licensee or unlicensed activity. Licensing and enforcement information residing in one database enhances the Bureau's abilities to





## BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

CONTINUED

## DISCIPLINARY REVIEW COMMITTEE MEMBERS:

## ALARM COMPANY DISCIPLINARY REVIEW COMMITTEE

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>MATTHEW WESTPHAL</b>	NONE	Governor/Public	NO
<b>JONATHAN SARGENT</b>	NONE	Governor/Professional	NO
<b>STEVE SOPKIN</b>	NONE	Governor/Professional	NO
<b>RANDY KAJIOKA</b>	NONE	Governor/Public	NO
<b>KACI PATTERSON</b>	NONE	Governor/Professional	NO

## PRIVATE SECURITY DISCIPLINARY REVIEW COMMITTEE (NORTHERN)

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>SCOTT F. MCDONALD</b>	MAY 23, 2017	Governor/Professional	NO
<b>ROBERT HESSEE</b>	MAY 23, 2017	Governor/Public	NO
<b>COLLIN WONG</b>	JUNE 21, 2017	Governor/Professional	NO
<b>LESLYE M. TINSON</b>	APRIL 7, 2019	Governor/Public	NO
<b>SUSAN JOHNSON</b>	OCTOBER 28, 2018	Governor/Professional	NO

## PRIVATE SECURITY DISCIPLINARY REVIEW COMMITTEE (SOUTHERN)

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>MARIO CAMPOS</b>	JULY 10, 2017	Governor/Professional	NO
<b>DAVID CHANDLER</b>	MAY 23, 2017	Governor/Professional	NO
<b>HUGO RODRIGUEZ</b>	OCTOBER 21, 2017	Governor/Public	NO
<b>GWENDOLYN CROSS</b>	MAY 23, 2017	Governor/Professional	NO
<b>NANCY TEEL</b>	OCTOBER 21, 2017	Governor/Public	NO

carry out its regulatory responsibilities. Online capabilities make it easier for applicants to file for licensure and consumers to file complaints.

- Established a new quality-control audit procedure for all company license applications to promote appropriate licensing determinations. This enhancement aligns with the Bureau's goal in its Strategic Plan to identify and implement process improvements that promote efficiency.
- Processed 6,721 veteran applications through the Bureau's Veterans Come First Program. Since the inception of the program in 2012, the Bureau has assisted a total of 15,794 current and former military personnel through the license application process. (The increase from prior years is due to BreEze's ability to track online veteran applicants. The former online system, which only guard registration applicants could utilize, did not capture veteran information; consequently, the Bureau reported only veteran information for paper applications.)
- Implemented a new private investigator (PI) qualified manager examination. The new exam more appropriately aligns with the core skills and knowledge PI licensees must possess to perform their duties safely and competently to promote consumer protection.
- Utilized the Bureau's automatic suspension authority for security guards, alarm companies and their employees, and locksmith companies and their employees to suspend the license or registration of 638 licensees and registrants convicted of a serious crime. The automatic suspension authority enables the Bureau to take timely disciplinary action, which enhances consumer protection.
- Conducted 225 on-site compliance inspections of Bureau-licensed Firearm and Baton Training Facilities and Private Patrol and Alarm Company Operators. These inspections help ensure licensees are

## BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

## CONTINUED

carrying out their business operations in accordance with the law, which supports consumer protection and public safety.

### MAJOR NEW LEGISLATION OR REGULATIONS

*(Extends through the 2016 calendar year)*

The Bureau promulgated regulations to establish the reassignment fee for Private Investigator licensees and Alarm Company Operator licensees to assign a current license to a new business structure under specified conditions, and made nonsubstantive changes to clean up several of the Bureau's regulations.

- SB 177, Wieckowski (Chapter 140, Statutes of 2015)—Extends the sunset date for the Bureau to issue an Alarm Company Operator license to an alarm company organized as a limited liability company from January 1, 2016, to January 1, 2019.
- AB 1097, Holden (Chapter 439, Statutes of 2015)—Authorizes alarm companies to carry out their alarm contract activities with consumers electronically, provided the transactions comply with the Uniform Electronic Transactions Act (UETA) and with the consent of the consumer.
- AB 921, Jones (Chapter 635, Statutes of 2015)—Establishes the Private Investigator Disciplinary Review Committee effective July 1, 2017. The Committee is comprised of five individuals—three industry members and two public members—appointed by the Governor, and is authorized to hear appeals of the Bureau's denials for licensure or the issuance of a citation. This bill also permits an individual who is a licensee to gain qualifying experience under the qualified manager on his/her license. Prior law only recognized an individual's experience gained as an employee of a licensee.
- AB 281, Gallagher (Chapter 740, Statutes of 2015)—Establishes the Collateral Recovery Disciplinary Review Committee effective July 1, 2017. The Committee is comprised of five individuals—three industry members and two public members—appointed by the Governor, and is authorized to hear appeals of the Bureau's denials for licensure or the issuance of a citation. The bill also makes various technical changes to the Collateral Recovery Act.
- AB 1859 (Gallagher, Chapter 509, Statutes of 2016) will amend the Collateral Recovery Act to reinstate the term skip tracing to the definition of assignment, add the term repossession order as a recognized synonym for an assignment, and delete the definition of repossession from the Act.
- AB 2632 (Olsen, Chapter 333, Statutes of 2016) will amend the Private Investigator Act to permit applicants for private investigator licensure to use experience acquired through investigative journalism as qualifying experience toward the 6,000 hour requirement for licensure, as specified.
- SB 1196 (Hill, Chapter 800, Statutes of 2016) will amend the Locksmith Act, Collateral Recovery Act, Private Investigator Act, Proprietary Security Services Act, Private Security Services Act, and the Alarm Company Act. The changes will include but are not limited to: (1) establishing a statutory requirement for the powers and duties of the Bureau's regulatory activities to be reviewed by the Legislature as if the Act were scheduled to be repealed as of January 1, 2020; (2) establishing an assessment requirement for firearm permit applicants who are security guards; (3) revising various citation and fine amounts across practice acts; (4) requiring the Bureau to conduct a compliance inspection of a firearms training facility within 120 days of licensure; (5) clarifying that a private investigator who possesses both a BSIS Firearms Permit and a concealed weapons permit issued by a law enforcement agency may carry a concealed weapon while on duty; (6) clarifying reporting requirements of a private patrol operator when a guard employee discharges his or her firearm while on duty; (7) providing certain training exemptions for federal law enforcement officers; and (8) requiring an alarm agreement with an automatic renewal provision for a term greater than one month to provide a distinct and separate disclosure to the consumer regarding the provision.

## BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

## FEES\*

Alarm Company	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$35	\$35
INITIAL LICENSE	\$280	\$280
<b>TOTAL INITIAL LICENSURE</b>	<b>\$315</b>	\$315
BIENNIAL RENEWAL APPLICATION	\$335	\$335

Alarm Company Qualified Manager	Actual Fee	Statutory Limit
INITIAL APPLICATION & EXAMINATION	\$105	\$105
BIENNIAL RENEWAL APPLICATION	\$120	\$120

Alarm Agent	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$17	\$17
BIENNIAL RENEWAL APPLICATION	\$7	\$7

Baton Permit	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$50	\$50
BIENNIAL RENEWAL APPLICATION	N/A	N/A

Baton Training — Instructor	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$250	\$250
BIENNIAL RENEWAL APPLICATION	\$250	\$250

Baton Training — Facility	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$500	\$500
BIENNIAL RENEWAL APPLICATION	\$500	\$500

Firearms Permit	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$80	\$80
BIENNIAL RENEWAL APPLICATION	\$60	\$60

Firearm Training Instructor	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$250	\$250
BIENNIAL RENEWAL APPLICATION	\$250	\$250

Firearm Training Facility	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$500	\$500
BIENNIAL RENEWAL APPLICATION	\$500	\$500

Locksmith	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$30	\$30
INITIAL LICENSE	\$45	\$45
<b>TOTAL INITIAL LICENSURE</b>	<b>\$75</b>	\$75
BIENNIAL RENEWAL APPLICATION	\$45	\$45

Locksmith Employee	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$20	\$20
BIENNIAL RENEWAL APPLICATION	\$20	\$20

Private Investigator Company	Actual Fee	Statutory Limit
INITIAL APPLICATION & EXAMINATION	\$50	\$50
INITIAL LICENSE	\$175	\$175
<b>TOTAL INITIAL LICENSURE</b>	<b>\$225</b>	\$225
BIENNIAL RENEWAL APPLICATION	\$125	\$125

Private Patrol Operator Company	Actual Fee	Statutory Limit
INITIAL APPLICATION & EXAMINATION	\$500	\$500
INITIAL LICENSE	\$700	\$700
<b>TOTAL INITIAL LICENSURE</b>	<b>\$1,200</b>	\$1,200
BIENNIAL RENEWAL APPLICATION	\$700	\$700

Repossession Agency	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$825	\$825
BIENNIAL RENEWAL APPLICATION	\$715	\$715

Repossession Agency Qualified Manager	Actual Fee	Statutory Limit
INITIAL APPLICATION & EXAMINATION	\$325	\$325
BIENNIAL RENEWAL APPLICATION	\$450	\$450

Repossession Agency Employee	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$75	\$75
BIENNIAL RENEWAL APPLICATION	\$60	\$60

Security Guard	Actual Fee	Statutory Limit
INITIAL APPLICATION	\$50	\$50
BIENNIAL RENEWAL APPLICATION	\$35	\$35

\*The fee amounts do not include Live Scan fees. Every license type except proprietary private security employer registration requires the submission of fingerprints to perform a criminal history background check.

## BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
ALARM COMPANY OPERATOR—BRANCH	19	29	105
ALARM COMPANY EMPLOYEE REGISTRATION	5,104	4,443	4,790
ALARM COMPANY OPERATOR	117	91	943
ALARM COMPANY QUALIFIED MANAGER	93	66	1,039
FIREARM PERMIT*	10,894	9,950	13,208
SECURITY GUARD REGISTRATION	56,067	51,994	87,961
LOCKSMITH—BRANCH	2	1	23
LOCKSMITH COMPANY OPERATOR	210	150	1,251
LOCKSMITH EMPLOYEE REGISTRATION	246	206	787
PRIVATE INVESTIGATOR	346	204	4,652
PRIVATE INVESTIGATOR—BRANCH	19	24	55
PRIVATE PATROL OPERATOR-BRANCH	43	37	113
PRIVATE PATROL OPERATOR	373	192	1,127
PROPRIETARY PRIVATE SECURITY OFFICER (PPSO)	2,203*	1,647	1,168
REPOSSESSION AGENCY	25	15	122
REPOSSESSION AGENCY EMPLOYEE	300	246	284
REPOSSESSION AGENCY—QUALIFIED MANAGER	18	10	107
TRAINING FACILITY—BATON	25	5	81
TRAINING FACILITY—FIREARM	33	15	159
TRAINING INSTRUCTOR—BATON	25	8	111
TRAINING INSTRUCTOR—FIREARM	69	41	284
PROPRIETARY PRIVATE SECURITY EMPLOYER	185	40	206
*The Bureau believes this number is inflated due to guard registrants applying for a PPSO registration in error right after BreEze transition.			

## Licensing Population by Type

TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
ALARM COMPANY OPERATOR—BRANCH	231	N/A	N/A
ALARM COMPANY EMPLOYEE REGISTRATION	N/A	19,709	N/A
ALARM COMPANY OPERATOR	N/A	1,922	N/A
ALARM COMPANY QUALIFIED MANAGER	2,028	N/A	N/A
FIREARM PERMIT	42,037	N/A	N/A
SECURITY GUARD REGISTRATION	N/A	275,711	N/A
LOCKSMITH—BRANCH	45	N/A	N/A
LOCKSMITH COMPANY OPERATOR	N/A	2,688	N/A
LOCKSMITH EMPLOYEE REGISTRATION	N/A	2,309	N/A
PRIVATE INVESTIGATOR	N/A	9,273	N/A
PRIVATE INVESTIGATOR—BRANCH	137	N/A	N/A
PRIVATE PATROL OPERATOR—BRANCH	379	N/A	N/A
PRIVATE PATROL OPERATOR	N/A	2,283	N/A
PROPRIETARY PRIVATE SECURITY OFFICER	N/A	5,824	N/A
REPOSSESSION AGENCY	N/A	291	N/A
REPOSSESSION AGENCY EMPLOYEE	N/A	813	N/A
REPOSSESSION AGENCY-QUALIFIED MANAGER	306	N/A	N/A
TRAINING FACILITY—BATON	176	N/A	N/A
TRAINING FACILITY—FIREARM	339	N/A	N/A
TRAINING INSTRUCTOR-BATON	233	N/A	N/A
TRAINING INSTRUCTOR-FIREARM	614	N/A	N/A
PROPRIETARY PRIVATE SECURITY EMPLOYER	N/A	609	N/A

## BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

## Summary of Licensing Activity

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
ALARM COMPANY OPERATOR—BRANCH	EVERY 2 YEARS	N/A
ALARM COMPANY EMPLOYEE REGISTRATION	EVERY 2 YEARS	N/A
ALARM COMPANY OPERATOR	EVERY 2 YEARS	N/A
ALARM COMPANY QUALIFIED MANAGER	EVERY 2 YEARS	N/A
FIREARM PERMIT	EVERY 2 YEARS	8
SECURITY GUARD REGISTRATION	EVERY 2 YEARS	16
LOCKSMITH—BRANCH	EVERY 2 YEARS	N/A
LOCKSMITH COMPANY OPERATOR	EVERY 2 YEARS	N/A
LOCKSMITH EMPLOYEE REGISTRATION	EVERY 2 YEARS	N/A
PRIVATE INVESTIGATOR	EVERY 2 YEARS	N/A
PRIVATE INVESTIGATOR—BRANCH	EVERY 2 YEARS	N/A
PRIVATE PATROL OPERATOR-BRANCH	EVERY 2 YEARS	N/A
PRIVATE PATROL OPERATOR	EVERY 2 YEARS	N/A
PROPRIETARY PRIVATE SECURITY OFFICER	EVERY 2 YEARS	4
REPOSSESSION AGENCY	EVERY 2 YEARS	N/A
REPOSSESSION AGENCY EMPLOYEE	EVERY 2 YEARS	N/A
REPOSSESSION AGENCY—QUALIFIED MANAGER	EVERY 2 YEARS	N/A
TRAINING FACILITY—BATON	EVERY 2 YEARS	N/A
TRAINING FACILITY—FIREARM	EVERY 2 YEARS	N/A
TRAINING INSTRUCTOR—BATON	EVERY 2 YEARS	N/A
TRAINING INSTRUCTOR—FIREARM	EVERY 2 YEARS	N/A
PROPRIETARY PRIVATE SECURITY EMPLOYER	EVERY 2 YEARS	N/A

Exams		
PASS	FAIL	TOTAL
477	237	714

## Summary of Enforcement Activity

Consumer Complaints—Intake	
1,536	RECEIVED
471	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,042	REFERRED FOR INVESTIGATION
127	PENDING

Conviction/Arrest Notification Complaints	
21,565	RECEIVED
20,894	CLOSED/REFERRED FOR INVESTIGATION
671	PENDING

Inspections	
92	INSPECTIONS CONDUCTED
0	INSPECTION CITATIONS ISSUED

Investigations	
5,761	OPENED
5,383	CLOSED
2,272	PENDING

Number of Days to Complete Intake and Investigations	
5,008*	UP TO 90 DAYS
556	91 TO 180 DAYS
227	181 DAYS TO 1 YEAR
115	1 TO 2 YEARS
11	2 TO 3 YEARS
9	OVER 3 YEARS
76**	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

\*Data includes application investigations.

\*\*Average number of days data reflects application investigation activities.

Citations and Fines	
47	ISSUED
47	ISSUED WITH A FINE
0	WITHDRAWN
0	DISMISSED
218	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
\$32,682	ASSESSED
\$5,285	REDUCED
\$10,370	COLLECTED

## BUREAU OF SECURITY AND INVESTIGATIVE SERVICES

## Summary of Enforcement Activity continued

## Criminal/Civil Actions

<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

## Office of the Attorney General/Disciplinary Actions

<b>91</b>	CASES OPENED/INITIATED
<b>27</b>	CASES CLOSED
<b>103</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>17</b>	1 YEAR
<b>33</b>	1 TO 2 YEARS
<b>25</b>	2 TO 3 YEARS
<b>9</b>	3 TO 4 YEARS
<b>6</b>	OVER 4 YEARS
<b>787*</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

\*Average AG number of days data has increased due to report logic changes after BreZe conversion; namely, automatic suspensions are no longer reported in this disciplinary category.

## Formal Actions Filed/Withdrawn/Dismissed

<b>14</b>	STATEMENTS OF ISSUES FILED
<b>40</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>1</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>8</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes/Final Orders

<b>1,349</b>	LICENSE APPLICATIONS DENIED
<b>102</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>638</b>	SUSPENSION ONLY
<b>7</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed

<b>0</b>	TOTAL NUMBER FILED
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## Subsequent Disciplinary—Administrative Outcomes/Final Orders

<b>0</b>	REVOCATION
<b>0</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>0</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

## Petition for Reinstatement of Revoked License/Registration/Certification

<b>1</b>	GRANTED
<b>0</b>	DENIED
<b>1</b>	TOTAL

## Cost Recovery to DCA

<b>\$9,092</b>	ORDERED
<b>\$21,407</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$17,276</b>	AMOUNT REFUNDED
<b>\$599</b>	REWORK AT NO CHARGE
<b>\$99,727</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$117,602</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>4</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>104</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Closure of Investigation to Imposing Formal Discipline

<b>565</b>	AVERAGE NUMBER OF DAYS
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## SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

# Speech & Hearing

Licenses and regulates speech-language pathologists and assistants, audiologists, and hearing aid dispensers.

## STAFF:

**8 civil service**  
**1 exempt**

## LICENSES:

**22,330**

## BOARD MEMBERSHIP:

**6 licensees**  
**3 public representatives**

## BOARD STAFF:

**Executive Officer:**  
**Paul Sanchez**  
paul.sanchez@dca.ca.gov

## STRATEGIC PLAN ADOPTED:

**2015**

[www.speechandhearing.ca.gov](http://www.speechandhearing.ca.gov)

## LAWS AND REGULATIONS:

**Business and Professions Codes §§ 2530 – 2539.14**

**Title 16, Division 13.3, California Code of Regulations §§ 1399.100 – 1399.144**

**Title 16, Division 13.4, California Code of Regulations §§ 1399.150 – 1399.199.14**

## SUNSET REVIEW:

**Last review: 2013**

**Inoperative/Repeal date: January 1, 2018**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>RODNEY DIAZ, M.D.</b>	JANUARY 1, 2020	Governor/Public	NO
<b>ALISON GRIMES, DISPENSING AUDIOLOGIST (CHAIR)</b>	JANUARY 1, 2017	Governor/Professional	NO
<b>JAIME LEE</b>	NOVEMBER 30, 2017	Assembly Speaker/Public	NO
<b>DEANE MANNING, HEARING AID DISPENSOR</b>	JANUARY 1, 2019	Governor/Professional	NO
<b>DEE PARKER, SPEECH LANGUAGE PATHOLOGIST</b>	JANUARY 1, 2017	Governor/Professional	NO
<b>MARCIA RAGGIO, DISPENSING AUDIOLOGIST</b>	JANUARY 1, 2019	Governor/Professional	NO
<b>AMNON SHALEV, HEARING AID DISPENSOR</b>	JANUARY 1, 2020	Governor/Professional	NO
<b>DEBRA SNOW</b>	NOVEMBER 30, 2017	Senate Rules/Public	NO
<b>PATTI SOLOMON-RICE, SPEECH LANGUAGE PATHOLOGIST</b>	JANUARY 1, 2020	Governor/Professional	NO

## RECIPROCITY

The Board does not have automatic reciprocity; however, the Board may issue a temporary license for a period of six months from the date of issuance to a speech language pathologist or audiologist who holds an unrestricted license from another state or territory of the United States. The Board may issue a temporary license for a period of one year to a hearing aid dispenser who has held an unrestricted license from another state for two years immediately prior to application.





## SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

CONTINUED

### MAJOR ACCOMPLISHMENTS

- Collaborating with stakeholders, the Board completed the development of its Strategic Plan for 2016–2020. The plan strongly emphasizes consumer protection around five goal areas, with objectives focused on improving services to consumers and licensees, increasing outreach to stakeholders, and enhancing the Board's enforcement program. Through interviews and surveys conducted by the Department of Consumer Affairs' (DCA's) SOLID unit, the Board identified challenges and opportunities in moving forward to build a foundation for the protection of, service to, and excellence in care of consumers with speech, language, and hearing impairments. The Board adopted the new strategic plan in November 2015.
- Improved production in all areas of DCA's Enforcement Performance Measures, despite an increase in the number of complaints received and investigations conducted. The Board obtained a mid-year increase to the Attorney General budget allocation that provided sufficient funding necessary to allow disciplinary proceedings to continue without interruption and consistent with the Consumer Protection Enforcement Initiative and the Board's consumer protection mandate.
- Implemented continued improvements to the Board's licensing processes including enhanced systems for tracking and processing documents. The changes resulted in an elimination of the licensing backlog and a 60 percent reduction of the licensing application processing times (from application to issuance of the license). The improvements allowed the Board to accomplish its objective of reducing licensing processing times to better meet consumer and professional needs. To help prevent future licensing backlogs, the Board was successful in obtaining approval and funding for an additional position to assist with the Board's increased licensing workload.
- Doubled the number of Hearing Aid Dispensing Practical examinations from four to eight. Passing the practical examination is required for licensure of dispensing audiologists and hearing aid dispensers. The Board is required by law to conduct at least two practical examinations annually. By increasing the number of examinations, the Board met its objective

of improved access to consumers needing audiology and hearing aid dispensing services while increasing access for examination applicants.

- Completed a Workload and Staffing Analysis Study to formally assess the Board's staffing and workload levels. The study evaluated processes and procedures used by Board staff, with the goal of improving service to consumers, licensees, and applicants while meeting the Board's mission.

The scope of the study included (a) documenting the existing workload of Board positions by identifying major tasks and the time needed to complete those tasks, (b) identifying over- or understaffing for existing workload, (c) documenting work not getting done due to insufficient staffing or enhancements needed to meet future needs, and (d) comparing Board staffing levels and performance measures to similar DCA boards.

### MAJOR NEW LEGISLATION OR REGULATIONS

*(Extends through the 2016 calendar year)*

- Submitted multiple regulatory packages on various topics, including disciplinary guidelines, uniform standards for substance abusing licensees, fee increases, advertising guidelines, continuing education, and licensing requirements. These proposed regulations are in the process of being revised, under review, or awaiting approval.
- Approval revisions to the Board's disciplinary guidelines. This draft proposal included an updated single disciplinary guidelines document that replaced the Board's two existing disciplinary guidelines, which were last updated in 1997 for hearing aid dispensers and 2004 for speech-language pathology and audiology professions. The guidelines incorporate the legislative- mandated Uniform Standards Related to Substance Abuse.
- Proposed changes that will increase its application and license renewal fees to effectively sustain operations necessary for protecting consumers through its licensing and enforcement programs. Existing law authorizes the Board to assess fees associated with these duties and responsibilities.

## SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

CONTINUED

- AB 2317 (Mullin, Chapter 267, Statutes of 2016) will authorize the California State University to award the Doctor of Audiology degree. The bill will require the degree to be distinguished from doctoral degree programs at the University of California. The bill will also require that the degree be focused on preparing audiologists to provide healthcare services and be consistent with the standards for accreditation set forth by the Council on Academic Accreditation in Audiology and Speech-Language Pathology.

## FEES\*

Speech-Language Pathologist (SLP)	Actual Fee	Statutory Limit
APPLICATION AND INITIAL LICENSE FEE	\$60	\$150
NATIONAL EXAMINATION FEE	\$115	Set by ETS**
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$175</b>	-
BIENNIAL RENEWAL FEE	\$110	\$150

Hearing Aid Dispenser (HAD)	Actual Fee	Statutory Limit
APPLICATION FEE	\$75	\$75
INITIAL LICENSE FEE	\$280	\$280
WRITTEN EXAMINATION FEE	\$225	Actual Cost
PRACTICAL EXAMINATION FEE	\$500	Actual Cost
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$1,080</b>	-
ANNUAL RENEWAL FEE	\$280	\$280

Dispensing Audiologist	Actual Fee	Statutory Limit
APPLICATION AND INITIAL LICENSE FEE	\$280	\$280
NATIONAL EXAMINATION FEE	\$115	Set by ETS**
WRITTEN EXAMINATION FEE	\$225	Actual Cost
PRACTICAL EXAMINATION FEE	\$500	Actual Cost
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$1,120</b>	-
ANNUAL RENEWAL FEE	\$280	\$280

Non-Dispensing Audiologist	Actual Fee	Statutory Limit
APPLICATION + INITIAL REGISTRATION FEE	\$60	\$150
NATIONAL EXAMINATION FEE	\$115	Set by ETS**
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$175</b>	-
BIENNIAL RENEWAL FEE	\$110	\$150

Speech Language Pathology Assistant	Actual Fee	Statutory Limit
APPLICATION + INITIAL REGISTRATION FEE	\$50	\$100
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$50</b>	-
BIENNIAL RENEWAL FEE	\$75	\$150

\*Additional fees can be found in Business and Professions Code §§ 2534.2 and 2538.57.

\*\*Educational Testing Service

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
BRANCH	407	407	587
HEARING AID DISPENSER	136	142	852
TEMPORARY/TRAINEE	173	177	175
TEMPORARY	16	17	N/A
SP - SPEECH LANGUAGE PATHOLOGIST	1,235	1,333	6,645
AU - AUDIOLOGIST	79	68	1,240
SPA - SPEECH-LANGUAGE PATHOLOGY ASSISTANT	550	602	1,007
AIDES	46	44	N/A
RPE - TEMPORARY REQUIRED PROFESSIONAL EXPERIENCE LICENSES	932	836	N/A
SPT - SPEECH TEMPORARY LICENSE	0	0	N/A
AUT - AUDIOLOGY TEMPORARY LICENSE	0	0	N/A
PDP - PROFESSIONAL DEVELOPMENT PROVIDER	22	22	62
SPEECH-LANGUAGE PATHOLOGY ASSISTANT PROGRAM	0	0	N/A
DAU - DISPENSING AUDIOLOGIST	26	26	962

## SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

## Summary of Licensing Activity continued

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
BRANCH	N/A	963	N/A
HEARING AID DISPENSER	N/A	996	N/A
TEMPORARY/TRAINEE	N/A	158	N/A
TEMPORARY	N/A	18	N/A
SP - SPEECH LANGUAGE PATHOLOGIST	N/A	14,860	N/A
AU - AUDIOLOGIST	N/A	556	N/A
SPA - SPEECH-LANGUAGE PATHOLOGY ASSISTANT	N/A	2,795	N/A
AIDES	N/A	133	N/A
RPE - TEMPORARY REQUIRED PROFESSIONAL EXPERIENCE LICENSES	N/A	806	N/A
SPT - SPEECH TEMPORARY LICENSE	N/A	0	N/A
AUT - AUDIOLOGY TEMPORARY LICENSE	N/A	0	N/A
PDP - PROFESSIONAL DEVELOPMENT PROVIDER	N/A	160	N/A
SPEECH-LANGUAGE PATHOLOGY ASSISTANT PROGRAM	N/A	0	7
DAU - DISPENSING AUDIOLOGIST	N/A	1,045	N/A

## Exams - Hearing Aid Dispenser - Written

PASS	FAIL	TOTAL
162	127	289

## Exams - Hearing Aid Dispenser - Practical

PASS	FAIL	TOTAL
174	132	306

## Renewal and Continuing Education (CE)

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
BRANCH	EVERY YEAR	N/A
HEARING AID DISPENSER	EVERY YEAR	9
TEMPORARY/TRAINEE	N/A	N/A
TEMPORARY	N/A	N/A
SPEECH LANGUAGE PATHOLOGIST	EVERY 2 YEARS	24
AUDIOLOGIST	EVERY 2 YEARS	24
SPEECH-LANGUAGE PATHOLOGY ASSISTANT	EVERY 2 YEARS	12
AIDES	N/A	N/A
TEMPORARY REQUIRED PROFESSIONAL EXPERIENCE LICENSES	VARIES	N/A
SPEECH TEMPORARY LICENSE	ONCE FOR 6 MONTHS	N/A
AUDIOLOGY TEMPORARY LICENSE	ONCE FOR 6 MONTHS	N/A
PROFESSIONAL DEVELOPMENT PROVIDER	EVERY 2 YEARS	N/A
SPEECH-LANGUAGE PATHOLOGY ASSISTANT PROGRAM	N/A	N/A
DAU - DISPENSING AUDIOLOGIST	EVERY YEAR	12

## Summary of Enforcement Activity

## Consumer Complaints—Intake

117	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
117	REFERRED FOR INVESTIGATION
2	PENDING

## Conviction/Arrest Notification Complaints

85	RECEIVED
85	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

## Inspections

N/A
-----

## Investigations

202	OPENED
239	CLOSED
71	PENDING

## SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

## Summary of Enforcement Activity continued

Number of Days to Complete Intake and Investigations	
<b>146</b>	UP TO 90 DAYS
<b>39</b>	91 TO 180 DAYS
<b>22</b>	181 DAYS TO 1 YEAR
<b>27</b>	1 TO 2 YEARS
<b>5</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>104</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>9</b>	ISSUED
<b>8</b>	ISSUED WITH A FINE
<b>1</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>250</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$8,350</b>	ASSESSED
<b>\$6,500</b>	REDUCED
<b>\$1,850</b>	COLLECTED

Criminal/Civil Actions	
<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>37</b>	CASES OPENED/INITIATED
<b>9</b>	CASES CLOSED
<b>34</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>1</b>	1 YEAR
<b>3</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>1</b>	3 TO 4 YEARS
<b>5</b>	OVER 4 YEARS
<b>708</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Cost Recovery to DCA	
<b>\$72,553</b>	ORDERED
<b>\$31,873</b>	COLLECTED

Formal Actions Filed/Withdrawn/Dismissed	
<b>2</b>	STATEMENTS OF ISSUES FILED
<b>21</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>0</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>0</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
<b>2</b>	LICENSE APPLICATIONS DENIED
<b>3</b>	REVOCATION
<b>1</b>	SURRENDER OF LICENSE
<b>1</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>5</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>12</b>	OTHER DECISIONS

Petition for Modification or Termination of Probation	
<b>1</b>	GRANTED
<b>0</b>	DENIED
<b>1</b>	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

Consumer Restitution to Consumers/Refunds/Savings	
<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>N/A</b>	REWORK AT NO CHARGE
<b>N/A</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>N/A</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
<b>2</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>140</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>431</b>	AVERAGE NUMBER OF DAYS

## STRUCTURAL PEST CONTROL BOARD

# Structural Pest

Licenses and regulates fumigators, pest control companies, pest management professionals, and structural pesticide applicators.

## STAFF:

**29.5 civil service**

## LICENSES:

**26,391**

## BOARD MEMBERSHIP:

**3 licensees**

**4 public representatives**

## BOARD STAFF:

**Executive Officer:**

**Susan Saylor**

susan.saylor@dca.ca.gov

**Assistant Executive Officer:**

**Robert Lucas**

robert.lucas@dca.ca.gov

## STRATEGIC PLAN ADOPTED:

**2015**

[www.pestboard.ca.gov](http://www.pestboard.ca.gov)



## LAWS AND REGULATIONS:

**Business and Professions Code §§ 8500 – 8698.6**

**Title 16, Division 19, California Code of Regulations §§ 1900 – 1999.5**

## SUNSET REVIEW:

**Last review: 2014**

**Inoperative/Repeal date: January 1, 2019**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>RONNA BRAND</b>	JUNE 1, 2017	Governor/Public	NO
<b>NARESH DUGGAL</b>	JUNE 1, 2017	Governor/Public	NO
<b>MIKE DURAN</b>	JUNE 1, 2019	Governor/Professional	NO
<b>CURTIS GOOD (VICE PRESIDENT)</b>	JUNE 1, 2017	Governor/Professional	NO
<b>VACANT</b>	JUNE 1, 2016	Senate Rules/Public	NO
<b>DAVE TAMAYO (PRESIDENT)</b>	JUNE 1, 2020	Speaker of Assembly/Public	NO
<b>DARREN VAN STEENWYK</b>	JUNE 1, 2019	Governor/Professional	NO

## RECIPROCITY

- The Board does not offer reciprocity with other states.

## MAJOR ACCOMPLISHMENTS

- In coordination with the Office of Professional Examination Services, the Board began consolidating, updating, and improving the quality of the reference materials used in the creation of its licensing examinations.
- In early 2016, the Board completed a full Occupational Analysis of its Branch 2 Field Representative licensing category in a continued effort to update and improve all its licensing examinations.
- At the March 13–14, 2016, Structural Pest Control Board meeting, Board President Dave Tamayo announced the formation of the Board's Research Advisory Panel and began appointing its members in order for them to begin scheduling meetings and to select research projects that the Board will fund.

## STRUCTURAL PEST CONTROL BOARD

CONTINUED

- The Board and the Department of Pesticide Regulation conducted a three-day training exercise for County Agricultural Commissioner (CAC) enforcement personnel.
- Collected more than \$43,318 in investigative cost recovery for Board-initiated disciplinary actions.
- Continued to support the CACs on the collection of outstanding fumigation enforcement fees owed by structural pest control companies and licensees.
- Saved consumers more than \$182,180 through its investigation and mediation programs.
- Continued to audit its licensees to ensure that continuing education requirements are met. Additionally, the Board began auditing continuing education providers in an effort to encourage quality content is delivered to its licensees.
- AB 551 (Nazarian, Chapter 599, Statutes of 2016) will require landlords to provide prospective tenants with specified information about bed bugs and the procedure to report suspected bed bug infestations. This bill will also require tenants to cooperate with the detection and treatment of bed bugs and will require the landlord to notify tenants of the pest control operator's findings. Additionally, this bill makes legislative findings that the Structural Pest Control Board should incorporate training in bed bug management, among other findings.
- AB 1874 (Wood, Chapter 181, Statutes of 2016) will modify the definition of a qualified manager who is designated as the supervisor of a Structural Pest Control Board (Board) registered company to require that they be physically present at their designated locations at least nine days every three consecutive calendar months to supervise and assist the company's employees. This bill will also require the company to document the days and provide them to the Board upon request.

**MAJOR NEW LEGISLATION OR REGULATIONS***(Extends through the 2016 calendar year)*

- SB 1039 (Hill), which the Board anticipates will be chaptered, amends Business and Professions Code Sections 8516 and 8518 and make-significant beneficial changes to the Board's laws governing the inspection, treatment, and reporting related to wood-destroying pests and organisms.
- On February 29, 2016, Title 16, California Code of Regulations Section 1960 was approved by the Office of Administrative Law and made effective immediately. This addition made it a requirement that any licensee who has not completed a Live Scan must do so as a condition of license renewal. As a result of this change, the Board can now fully ascertain the possible criminal history of its entire licensing population and make an informed determination as to their suitability for licensure.
- AB 2362 (Chu, Chapter 330, Statutes of 2016) will require homeowners associations to provide notification to owners in their common interest development of all instances of pesticide application by an unlicensed applicator and stipulate the frequency of notification of pesticide application.
- AB 2529 (Linder, Chapter 359, Statutes of 2016) will specify when county enforcement personnel may hold an employee responsible for failing to wear personal protective equipment, instead of the employer. This bill will also allow all branches of structural pest control to employ unlicensed individuals and clarify the conditions under which an unlicensed individual may be hired by a Board registered company. Additionally, this bill will establish a statutory minimum and maximum for fining employees and employers who violate the personal protection equipment requirements.

## STRUCTURAL PEST CONTROL BOARD

## FEES\*

Applicator Fees	Actual Fee	Statutory Limit
LICENSURE FEE	\$10	\$50
EXAMINATION FEE	\$55	\$55
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$65</b>	—
BIENNIAL RENEWAL FEE	\$10	\$50

Field Representative Fees	Actual Fee	Statutory Limit
LICENSURE FEE	\$30	\$45
EXAMINATION FEE	\$50	\$75
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$80</b>	—
BIENNIAL RENEWAL FEE	\$30	\$45

Operator Fees	Actual Fee	Statutory Limit
LICENSURE FEE	\$120	\$150
EXAMINATION FEE	\$75	\$100
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$195</b>	-
BIENNIAL RENEWAL FEE	\$120	\$150

Company Fees	Actual Fee	Statutory Limit
COMPANY REGISTRATION	\$120	\$120
BRANCH OFFICE REGISTRATION	\$60	\$60

\* Additional fees may be required per Business and Professions Code § 8674 and 16 CCR §1948.

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
APPLICATOR	<b>N/A</b>	<b>1,429</b>	<b>531</b>
FIELD REPRESENTATIVE	<b>N/A</b>	<b>1,520</b>	<b>2,360</b>
OPERATOR	<b>N/A</b>	<b>177</b>	<b>979</b>
COMPANY REGISTRATION	<b>N/A</b>	<b>240</b>	<b>N/A</b>
BRANCH OFFICE	<b>N/A</b>	<b>44</b>	<b>N/A</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
APPLICATOR	<b>N/A</b>	<b>7,451</b>	<b>N/A</b>
FIELD REPRESENTATIVE	<b>N/A</b>	<b>11,369</b>	<b>N/A</b>
OPERATOR	<b>N/A</b>	<b>4,116</b>	<b>N/A</b>
COMPANY REGISTRATION	<b>N/A</b>	<b>3,004</b>	<b>N/A</b>
BRANCH OFFICE	<b>N/A</b>	<b>451</b>	<b>N/A</b>

## Renewal and Continuing Education (CE)

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
APPLICATOR	<b>EVERY 3 YEARS</b>	<b>12</b>
FIELD REPRESENTATIVE	<b>EVERY 3 YEARS</b>	<b>16-24</b>
OPERATOR	<b>EVERY 3 YEARS</b>	<b>16-24</b>

## Exams

PASS	FAIL	TOTAL
<b>4,014</b>	<b>4,350</b>	<b>8,364</b>

## Summary of Enforcement Activity

## Consumer Complaints—Intake

<b>555</b>	RECEIVED
<b>0</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>557</b>	REFERRED FOR INVESTIGATION
<b>1</b>	PENDING

## Conviction/Arrest Notification Complaints

<b>1,129</b>	RECEIVED
<b>N/A</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>91</b>	PENDING

## Inspections

<b>56</b>	INSPECTIONS CONDUCTED
<b>4</b>	INSPECTION CITATIONS ISSUED

## Investigations

<b>573</b>	OPENED
<b>678</b>	CLOSED
<b>173</b>	PENDING

## Number of Days to Complete Intake and Investigations

<b>389</b>	UP TO 90 DAYS
<b>65</b>	91 TO 180 DAYS
<b>104</b>	181 DAYS TO 1 YEAR
<b>79</b>	1 TO 2 YEARS
<b>36</b>	2 TO 3 YEARS
<b>5</b>	OVER 3 YEARS
<b>178</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS



## STRUCTURAL PEST CONTROL BOARD

## Citations and Fines

<b>165</b>	ISSUED
<b>155</b>	ISSUED WITH A FINE
<b>5</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>N/A</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## Total Amount of Fines

<b>\$138,552</b>	ASSESSED
<b>\$13,000</b>	REDUCED
<b>\$76,718</b>	COLLECTED

## Summary of Enforcement Activity continued

## Criminal/Civil Actions

<b>1</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>1</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

## Office of the Attorney General/Disciplinary Actions

<b>65</b>	CASES OPENED/INITIATED
<b>68</b>	CASES CLOSED
<b>74</b>	CASES PENDING

## Number of Days to Complete AG Cases

<b>32</b>	1 YEAR
<b>26</b>	1 TO 2 YEARS
<b>9</b>	2 TO 3 YEARS
<b>1</b>	3 TO 4 YEARS
<b>0</b>	OVER 4 YEARS
<b>428</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

## Formal Actions Filed/Withdrawn/Dismissed

<b>15</b>	STATEMENTS OF ISSUES FILED
<b>54</b>	ACCUSATIONS FILED
<b>0</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>2</b>	STATEMENTS OF ISSUES WITHDRAWN DISMISSED
<b>4</b>	ACCUSATIONS WITHDRAWN/DISMISSED

## Administrative Outcomes Final Orders

<b>3</b>	LICENSE APPLICATIONS DENIED
<b>40</b>	REVOCATION
<b>4</b>	SURRENDER OF LICENSE
<b>12</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>19</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

## Petition for Modification or Termination of Probation

<b>0</b>	GRANTED
<b>1</b>	DENIED
<b>1</b>	TOTAL

Petition for Reinstatement of Revoked License/  
Registration/Certification

<b>2</b>	GRANTED
<b>3</b>	DENIED
<b>5</b>	TOTAL

## Cost Recovery to DCA

<b>\$49,913</b>	ORDERED
<b>\$43,328</b>	COLLECTED

## Consumer Restitution to Consumers/Refunds/Savings

<b>\$0</b>	RESTITUTION ORDERED
<b>\$164,802</b>	AMOUNT REFUNDED
<b>\$17,378</b>	REWORK AT NO CHARGE
<b>N/A</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$182,180</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

## Receipt of Complaint to Assignment to Investigator

<b>3</b>	AVERAGE NUMBER OF DAYS
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## Investigations: Opening to Closing of Case

<b>187</b>	AVERAGE NUMBER OF DAYS
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Investigations: Closure of Investigation to Imposing  
Formal Discipline

<b>428</b>	AVERAGE NUMBER OF DAYS
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## TELEPHONE MEDICAL ADVICE SERVICES BUREAU

# TMAS

Registers businesses that provide telephone medical advice to California residents.

## STAFF:

**1 civil service**

## REGISTRATIONS:

**68**

## BOARD STAFF:

**Bureau Chief:**

**Sherrie Moffet-Bell**

sherrie.moffet-bell@dca.ca.gov

## BOARD MEMBERSHIP:

**0**

## STRATEGIC PLAN ADOPTED:

**2014**

[www.dca.ca.gov/tmas](http://www.dca.ca.gov/tmas)

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 4999 – 4999.7**

**Health and Safety Code § 1348.8**

**Insurance Code § 10279**

**Title 16, Division 38, California Code of Regulations §§ 4000 – 4040**

## SUNSET REVIEW:

**Not applicable**

**RECIPROCITY**

The Bureau registers businesses, including those outside of the state, that give advice to California consumers, but there is no reciprocity.

**MAJOR ACCOMPLISHMENTS**

- Completed guidelines and procedures to start conducting on-site inspections, thus ensuring call centers are using properly licensed healthcare providers.
- With the passage of SB 800, the Bureau was able to streamline its regulations. We also added naturopathic doctors and licensed professional clinical counselors to the list of approved healthcare professionals who can provide phone medical advice.
- Started the process of reducing registration and renewal fees. These fee reductions will benefit the companies required to register with the Bureau.

**LICENSING REQUIREMENTS (BPC §§ 4999 – 4999.7)\***

Any business that employs, contracts, or subcontracts, directly or indirectly, with the full-time equivalent of five or more persons whose primary function is to provide telephone medical advice to California residents must register with the Bureau. This includes businesses located inside and outside the state of California. Individuals who offer these services incidental to their primary practice are not required to register. For example, a physician's office staff that occasionally follows up a patient's office visit with a phone call does not qualify. Healthcare professionals working for a registrant are licensed and



## TELEPHONE MEDICAL ADVICE SERVICES BUREAU

CONTINUED

under the jurisdiction of their respective healing arts board as applicable to services provided.

DEGREE/PROFESSIONAL SCHOOLING	NO
EXAMINATION	NO
CONTINUING EDUCATION/COMPETENCY	NO
FINGERPRINTING REQUIREMENTS	NO

\* While registrants of the Bureau do not need to meet these requirements, employees of a registrant are typically licensed by one of the other healing arts programs under the Department of Consumer Affairs and must meet one or all of these requirements.

**MAJOR NEW LEGISLATION OR REGULATIONS**

(Extends through the 2016 calendar year)

- SB 1039 (Committee on Business, Professions, and Economic Development, Chapter 799, Statutes of 2016) eliminates the Bureau and places enforcement of the Telephone Medical Advice Services chapter, as it affects licensed healthcare professionals, on the Department of Consumer Affairs' healing arts boards.

**FEES\***

Registered Provider	Actual Fee	Statutory Limit
REGISTRATION FEE	\$7,500	Actual cost
BIENNIAL RENEWAL FEE	\$7,500	Actual cost

\* The Bureau has additional fees that can be found in California Code of Regulations § 4040.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
TMAS REGISTRANT	<b>7</b>	<b>7</b>	<b>30</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
TMAS REGISTRANT	<b>0</b>	<b>68</b>	<b>0</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
TMAS REGISTRANT	<b>EVERY 2 YEARS</b>	<b>0</b>

Exams	
	<b>N/A</b>

**Summary of Enforcement Activity**

Consumer Complaints—Intake	
<b>30</b>	RECEIVED
<b>25</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>0</b>	REFERRED FOR INVESTIGATION
<b>5</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>0</b>	RECEIVED
<b>0</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>22</b>	UP TO 90 DAYS
<b>2</b>	91 TO 180 DAYS
<b>1</b>	181 DAYS TO 1 YEAR
<b>0</b>	1 TO 2 YEARS
<b>0</b>	2 TO 3 YEARS
<b>0</b>	OVER 3 YEARS
<b>34</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>0</b>	ISSUED
<b>0</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>0</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## TELEPHONE MEDICAL ADVICE SERVICES BUREAU

## Summary of Enforcement Activity continued

Total Amount of Fines	
\$0	ASSESSED
\$0	REDUCED
\$0	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
0	CASES OPENED/INITIATED
0	CASES CLOSED
0	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
0	1 TO 2 YEARS
0	2 TO 3 YEARS
0	3 TO 4 YEARS
0	OVER 4 YEARS
0	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
0	STATEMENTS OF ISSUES FILED
0	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
0	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
0	DENIED
0	TOTAL

Cost Recovery to DCA	
\$0	ORDERED
\$0	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
\$0	RESTITUTION ORDERED
\$0	AMOUNT REFUNDED
\$0	REWORK AT NO CHARGE
\$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
\$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
0	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
0	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
0	AVERAGE NUMBER OF DAYS

## VETERINARY MEDICAL BOARD

# Veterinary Medicine

Licenses and regulates veterinarians, registered veterinary technicians, and veterinary premises.

## STAFF:

**20.7 civil service**  
**1 exempt**

## LICENSES:

**25,799**

## BOARD STAFF:

**Executive Officer:**  
**Annemarie Del Mugnaio**  
annemarie.delmugnaio@dca.ca.gov

## BOARD MEMBERSHIP:

**4 veterinarians**  
**1 registered veterinary technician**  
**3 public representatives**

## STRATEGIC PLAN ADOPTED:

**2015**

[www.vmb.ca.gov](http://www.vmb.ca.gov)



## LAWS AND REGULATIONS:

**Business and Professions Code §§ 4800 – 4917**

**Title 16, Division 20, California Code of Regulations §§ 2000 – 2086.9**

**Civil Code §§ 3051, § 3052, §§ 3080 – 3080.03, §§ 1834.5 – 1834.6**

**Health and Safety Code §§ 122125 – 122220**

## SUNSET REVIEW:

**Last reviewed: 2012/2013**

**Inoperative/Repeal date: January 1, 2017**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>KATHY BOWLER</b>	JUNE 1, 2018	Governor/Public	NO
<b>LEE HELLER</b>	JUNE 1, 2020	Senate/Public	NO
<b>JENNIFER LOREDO, RVT</b>	JUNE 1, 2018	Governor/Professional	NO
<b>JUDIE MANCUSO</b>	JUNE 1, 2018	Assembly/Public	NO
<b>JAYMIE NOLAND</b>	JUNE 1, 2019	Governor/Professional	
<b>MARK NUNEZ, DVM (PRESIDENT)</b>	JUNE 1, 2017	Governor/Professional	NO
<b>RICHARD SULLIVAN, DVM</b>	JUNE 1, 2018	Governor/Professional	NO
<b>CHERYL WATERHOUSE, DVM (VICE PRESIDENT)</b>	JUNE 1, 2020	Governor/Professional	NO

## LICENSEE STATISTICS:

Licensee Category	Number of Active Licensees
VETERINARIAN	13,908
REGISTERED VETERINARY TECHNICIAN (RVT)	8,209
VETERINARY ASSISTANT CONTROLLED SUBSTANCES PERMIT (VACSP)	0*
PREMISES PERMIT	3,682
<b>TOTAL</b>	<b>25,799</b>

\* VACSP program rolled out on October 1, 2016.

## VETERINARY MEDICAL BOARD

## CONTINUED

**RECIPROCITY**

- Any person who has passed the veterinary national licensing examination at the time of original licensure in another state and has been practicing veterinary medicine full time for two out of the three years immediately preceding the application may apply for reciprocity (one-year license) if he or she has no disciplinary action taken against the license.
- International veterinary graduates may apply for reciprocity if they meet all of the above requirements and if they have completed a recognized education equivalence program.
- All reciprocity licensees must complete a three-day course on regionally specific diseases and conditions within 12 months of the date of issue of their temporary license to receive unrestricted licensure. Courses are offered in March and September.
- Licensed out-of-state RVTs are limited to working as unregistered assistants until they pass the California RVT exam. Out-of-state RVTs are eligible to sit for the California exam if they have 4,416 hours of practical experience under supervision of a licensed veterinarian, have taken the national examination or an equivalent, and have no disciplinary actions against them.

**MAJOR ACCOMPLISHMENTS**

- Created a task force to address issues regarding animal rehabilitation after the recommendation of the Legislature at the Board's Sunset Review Oversight Hearing. The intent of the task force is to examine the issue of persons involved in rehabilitative services for animals and the Board's role in regulating animal rehabilitation services. The concept of regulation is approached from the standpoint of how to most effectively protect the public and the public's animals, while considering issues such as access as well as the vast difference of the levels of experience and training of individuals who provide this specialized care. As such, the composition of the task force includes veterinarians, RVTs, animal rehabilitation and related animal industry professions, consumers, and representatives from the Legislature. In June 2016, the Board held its first Animal Rehabilitation Task

Force meeting and has one more scheduled meeting in Fiscal Year 2016–17. Recommendations must be presented to the Board by January 2017.

- In cooperation with the Office of Professional Examination Services, the Board initiated the process of conducting an Occupational Analysis (OA) of the California Registered Veterinary Technician (CRVT) profession that will identify the tasks performed by CRVTs in current practice and the knowledge required to perform those tasks. Four phases of the OA have been initiated, which include literature review, subject matter expert (SME) interviews, SME task and knowledge statement workshops, and development of an OA questionnaire. The Board recruited SMEs who were interviewed to refine the task and knowledge lists and additional SMEs to convene two focus group workshops to review task and knowledge statements. Distribution of the OA questionnaire, analyses of the questionnaire data, and recruitment of SMEs to convene two focus group workshops that review the data and finalize the description of the practice are the final phases of the OA. Once complete, the results of the OA will be used to update the CRVT licensing program examination.
- Obtained four permanent status positions through a Budget Change Proposal to extend the limited-term positions assigned to the Veterinary Assistant Controlled Substances Permit (VACSP) program. Funding was granted on a limited-term basis pending full implementation of the VACSP program.

**MAJOR NEW LEGISLATION OR REGULATIONS**

*(Extends through the 2016 calendar year)*

- Adopted regulations defining the application process and supervision standards for the new Veterinary Assistant Controlled Substances Permit Program. The regulations will require every applicant to furnish a set of fingerprints to the Department of Justice for the purposes of conducting both a state and federal criminal history record check to ensure that individuals handling controlled substances do not have any drug- or alcohol-related felony convictions. The regulations become effective and the Board will begin accepting applications in early FY 2016–17.

## CONTINUED

- Adopted regulations to amend existing Civil Penalties for Citation regulations. The regulations serve to recast the class of violations, establish new fine ranges for each class, increase the maximum amount of fine to \$5,000, and provide an administrative tool to deter unlicensed activity. The regulations become effective in early Fiscal Year 2016–17.
- AB 2505 (Quirk, Chapter 105, Statutes of 2016) will prohibit the use of carbon dioxide to euthanize a dog or cat.
- SB 945 (Monning, Chapter 364, Statutes of 2016) will establish minimum facility and care standards for pet boarding facilities, require consumer notice, and create civil penalties for violation of the minimum standards created by this bill.
- SB 1039 (Hill, Chapter 799, Statutes of 2016) will make a number of policy changes, including authorizing veterinarians from other states to serve in a consultation role under the direction of a licensed veterinarian.
- SB 1193 (Hill, Chapter 484, Statutes of 2016) will extend sunset dates, and provide various policy changes for the Board of Psychology, Board of Pharmacy, and the Veterinary Medical Board. This bill will extend the operation of the Board until January 1, 2021, create a new university license type, and authorize veterinarians and specified staff to compound certain drugs for various uses.

## FEES

Veterinarians*	Actual Fee	Statutory Limit
APPLICATION FOR EXAMINATION REVIEW	\$125	\$350
STATE BOARD EXAMINATION FEE	\$200	\$350
VETERINARY LAW EXAMINATION	\$100	\$100
INITIAL VETERINARY LICENSE (MORE THAN ONE YEAR/LESS THAN ONE YEAR)	\$290/\$145	\$500/\$250
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$570 – \$715</b>	\$1,050 – \$1,300
BIENNIAL RENEWAL	\$290 + \$12 CURES FEE	\$500

\* Some additional fees may be required per Business and Professions Code § 4905.

Registered Veterinary Technicians*	Actual Fee	Statutory Limit
EXAMINATION APPLICATION REVIEW	\$125	\$350
EXAMINATION	\$175	\$300
INITIAL REGISTRATION (MORE ONE YEAR/LESS THAN ONE YEAR)	\$140/\$70	\$350/\$175
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$370 – \$440</b>	\$825 – \$1,000
BIENNIAL REGISTRATION	\$140	\$350

\* Some additional fees may be required per Business and Professions Code § 4842.5.

Veterinary Assistant Controlled Substances Permit	Actual Fee	Statutory Limit
APPLICATION FEE FOR VACSP	\$50	\$100
INITIAL PERMIT FEE (MORE THAN ONE YEAR/LESS THAN ONE YEAR)	\$50	
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$100</b>	\$100
BIENNIAL RENEWAL	\$50	\$50

Veterinary Premises	Actual Fee	Statutory Limit
INITIAL REGISTRATION	\$200	\$400
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$200</b>	\$400
REGISTRATION RENEWAL	\$200	\$400



## VETERINARY MEDICAL BOARD

## Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
VETERINARIAN	<b>712</b>	<b>678</b>	<b>5,963</b>
VETERINARY TECHNICIAN	<b>575</b>	<b>571</b>	<b>3,123</b>
HOSPITAL	<b>298</b>	<b>291</b>	<b>3,535</b>
INTERNSHIP	<b>28</b>	<b>28</b>	<b>N/A</b>
RECIPROCITY	<b>28</b>	<b>28</b>	<b>N/A</b>

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
VETERINARIAN	<b>N/A</b>	<b>13,803</b>	<b>N/A</b>
VETERINARY TECHNICIAN	<b>N/A</b>	<b>9,493</b>	<b>N/A</b>
HOSPITAL	<b>N/A</b>	<b>3,609</b>	<b>N/A</b>
INTERNSHIP	<b>N/A</b>	<b>28</b>	<b>N/A</b>
RECIPROCITY	<b>N/A</b>	<b>28</b>	<b>N/A</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
VETERINARIAN	<b>EVERY 2 YEARS</b>	<b>36</b>
VETERINARY TECHNICIAN	<b>EVERY 2 YEARS</b>	<b>20</b>
HOSPITAL	<b>EVERY YEAR</b>	<b>N/A</b>
INTERNSHIP	<b>NONE</b>	<b>N/A</b>
RECIPROCITY	<b>NONE</b>	<b>N/A</b>

Exam			
EXAM TITLE	PASS	FAIL	TOTAL
CALIFORNIA STATE BOARD EXAM	<b>443</b>	<b>104</b>	<b>547</b>
REGISTERED VETERINARY TECHNICIAN EXAM	<b>847</b>	<b>95</b>	<b>942</b>
<b>TOTAL</b>	<b>1,290</b>	<b>199</b>	<b>1,489</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>866</b>	RECEIVED
<b>23</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>854</b>	REFERRED FOR INVESTIGATION
<b>0</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>26</b>	RECEIVED
<b>25</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>1</b>	PENDING

Inspections	
<b>628</b>	INSPECTIONS CONDUCTED
<b>0</b>	INSPECTION CITATIONS ISSUED

Investigations	
<b>854</b>	OPENED
<b>890</b>	CLOSED
<b>678</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>268</b>	UP TO 90 DAYS
<b>150</b>	91 TO 180 DAYS
<b>310</b>	181 DAYS TO 1 YEAR
<b>136</b>	1 TO 2 YEARS
<b>14</b>	2 TO 3 YEARS
<b>12</b>	OVER 3 YEARS
<b>169</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>52</b>	ISSUED
<b>52</b>	ISSUED WITH A FINE
<b>0</b>	WITHDRAWN
<b>5</b>	DISMISSED
<b>N/A</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

## Summary of Enforcement Activity continued

Total Amount of Fines	
<b>\$20,750</b>	ASSESSED
<b>N/A</b>	REDUCED
<b>\$10,500</b>	COLLECTED

Criminal/Civil Actions	
<b>N/A</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>N/A</b>	CRIMINAL ACTIONS FILED
<b>N/A</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>61</b>	CASES OPENED/INITIATED
<b>50</b>	CASES CLOSED
<b>78</b>	CASES PENDING

Number of Days to Complete AG Cases	
<b>8</b>	1 YEAR
<b>8</b>	1 TO 2 YEARS
<b>9</b>	2 TO 3 YEARS
<b>7</b>	3 TO 4 YEARS
<b>14</b>	OVER 4 YEARS
<b>1,324</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>9</b>	STATEMENTS OF ISSUES FILED
<b>37</b>	ACCUSATIONS FILED
<b>2</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>3</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>3</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
<b>1</b>	LICENSE APPLICATIONS DENIED
<b>9</b>	REVOCATION
<b>7</b>	SURRENDER OF LICENSE
<b>12</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>13</b>	PROBATION ONLY
<b>1</b>	PUBLIC REPRIMAND
<b>1</b>	OTHER DECISIONS

Petition for Modification or Termination of Probation	
<b>1</b>	GRANTED
<b>1</b>	DENIED
<b>2</b>	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
<b>0</b>	GRANTED
<b>0</b>	DENIED
<b>0</b>	TOTAL

Cost Recovery to DCA	
<b>\$211,232</b>	ORDERED
<b>\$56,443</b>	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
<b>\$0</b>	RESTITUTION ORDERED
<b>\$0</b>	AMOUNT REFUNDED
<b>\$0</b>	REWORK AT NO CHARGE
<b>\$0</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>\$0</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
<b>8</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>169</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>822</b>	AVERAGE NUMBER OF DAYS

## BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS

## VN &amp; PT

Licenses and regulates licensed vocational nurses (LVNs) and psychiatric technicians (PTs).

## STAFF:

**67.9 civil service**  
**1 exempt**

LICENSES, CERTIFICATES,  
AND PERMITS:

**134,410**

## BOARD STAFF:

**Executive Officer:**  
**Kameka Brown, Ph.D.**  
kameka.brown@dca.ca.gov

**Acting Assistant Executive Officer:**  
**Cheryl Anderson**  
cheryl.anderson@dca.ca.gov

**Board Legislative Contact:**  
**Jennifer Almanza**  
jennifer.almanza@dca.ca.gov

## BOARD MEMBERSHIP:

**5 licensees**  
**6 public representatives**

[www.bvnpt.ca.gov](http://www.bvnpt.ca.gov)



## STRATEGIC PLAN ADOPTED:

**2014**

## LAWS AND REGULATIONS:

**Business and Professions Code §§ 2840 – 2895.5 and §§ 4500 – 4548**

**Title 16, Division 25, California Code of Regulations §§ 2500 – 2557.3 and §§ 2560 – 2595.3**

## SUNSET REVIEW:

**Last review: 2014**

**Inoperative/Repeal date: January 1, 2018**

## BOARD MEMBERS:

Name	Appointment Expires	Appointment Authority/ Appointment Type	Req. Senate Confirmation
<b>TODD D'BRAUNSTEIN</b>	JUNE 1, 2020	Governor/PT Professional	NO
<b>JOHN DIERKING</b>	JUNE 1, 2020	Senate/Public	NO
<b>TAMMY ENDOZO</b>	JUNE 1, 2019	Governor/ LVN Professional	NO
<b>SAMANTHA JAMES-PEREZ (PRESIDENT)</b>	JUNE 1, 2019	Governor/PT Professional	NO
<b>ERIC MAH</b>	JUNE 1, 2020	Assembly/Public	NO
<b>BERNICE BASS DE MARTINEZ</b>	JUNE 1, 2019	Governor/Public	NO
<b>ANDREW MORENO, VICE PRESIDENT</b>	JUNE 1, 2020	Governor/Public	NO
<b>DONNA NORTON</b>	JUNE 1, 2020	Governor/LVN Professional	NO
<b>JOHN VERTIDO</b>	JUNE 1, 2020	Governor/LVN Professional (Educator)	NO
<b>VACANT</b>		Governor/Public	NO
<b>VACANT</b>		Governor/Public	NO

## MAJOR ACCOMPLISHMENTS

- Successfully transitioned to the new BreEZe licensing and enforcement system on January 19, 2016. The new system enhanced online services including license renewal, application for examinations and licensure, name and address changes, and interim permits.

## BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS

CONTINUED

- Launched the Board's new and improved website in July 2016. The new website is designed to be more user-friendly, providing easier navigation for our consumers, licensees, and applicants.
- Expanded the candidate pool for four vacant nursing education consultant positions to allow qualified candidates to work in a "home-based" capacity anywhere in California, and was able to fill all four vacancies.
- Expanded outreach to its consumer stakeholders with three new brochures being developed, designed, and printed: *Consumer Protection and the Enforcement Program*, *Enforcement Division*, and *Protecting California's Healthcare Consumers*.

**MAJOR NEW LEGISLATION OR REGULATIONS***(Extends through the 2016 calendar year)*

- On July 27, 2015, the Office of Administrative Law approved the Board's Rulemaking file that amends Sections 2517.5 and 2575.5 of the California Code of Regulations for the purpose of updating the requirement regarding disclosure of convictions for violation of the law. Previously, traffic infractions not involving alcohol, dangerous drugs, or controlled substances under \$300 did not have to be disclosed. Effective October 1, 2015, this regulatory action amends that provision to exclude traffic infractions under \$1,000.

**FEES\***

Vocational Nurse (VN)	Actual Fee	Statutory Limit
EXAM APPLICATION FEE	\$150	\$150
EXAM ADMINISTRATION FEE	\$200	Set by NCSBN**
INITIAL LICENSE FEE	\$150	\$150
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$500</b>	-
BIENNIAL RENEWAL FEE	\$155***	\$155***

Psychiatric Technician	Actual Fee	Statutory Limit
EXAM APPLICATION FEE	\$150	\$150
EXAM ADMINISTRATION FEE		
INITIAL LICENSE FEE	\$300	\$300
<b>TOTAL INITIAL LICENSE FEES</b>	<b>\$450</b>	-
BIENNIAL RENEWAL FEE	\$300	\$300

\* Some additional fees may be required per Business and Professions Code § 2895 and § 4548.

\*\* NCSBN is the National Council of State Boards of Nursing. International applicants must pay an additional \$150 to the NCSBN to take this exam.

\*\*\* Although the Board's renewal fee is \$150, the Board is required to collect an additional \$5 at the time of renewal from its Licensed Vocational Nurse to fund VN education efforts. The \$5 goes to the Vocational Nurse Education Fund.

**Summary of Licensing Activity**

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
VOCATIONAL NURSE (VN)	<b>6,784</b>	<b>6,100</b>	<b>50,348</b>
PSYCHIATRIC TECHNICIANS (PT)	<b>591</b>	<b>404</b>	<b>5,437</b>

## BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS

## Summary of Licensing Activity continued

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
VN	<b>N/A</b>	<b>122,467</b>	<b>N/A</b>
PT	<b>N/A</b>	<b>11,943</b>	<b>N/A</b>
VN NONRENEWABLE INTERIM PERMITS	<b>146</b>	<b>N/A</b>	<b>N/A</b>
VN INTRAVENOUS THERAPY (IV)	<b>894</b>	<b>N/A</b>	<b>N/A</b>
VN BLOOD WITHDRAWAL (BW)	<b>667</b>	<b>N/A</b>	<b>N/A</b>
VN IV AND BW	<b>3,689</b>	<b>N/A</b>	<b>N/A</b>
PT BW	<b>2</b>	<b>N/A</b>	<b>N/A</b>

Renewal and Continuing Education (CE)		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
VN LICENSE	<b>EVERY 2 YEARS</b>	<b>30</b>
PT LICENSE	<b>EVERY 2 YEARS</b>	<b>30</b>
VN INTERIM PERMITS	<b>N/A</b>	<b>N/A</b>
PT INTERIM PERMITS	<b>N/A</b>	<b>N/A</b>
POST-LICENSURE CERTIFICATIONS	<b>N/A</b>	<b>N/A</b>

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
VOCATIONAL NURSE (NCLEX)	<b>4,399</b>	<b>2,071</b>	<b>6,470</b>
PSYCHIATRIC TECHNICIAN (PSI)	<b>343</b>	<b>151</b>	<b>494</b>
<b>TOTAL</b>	<b>4,742</b>	<b>2,222</b>	<b>6,964</b>

## Summary of Enforcement Activity

Consumer Complaints—Intake	
<b>1,061</b>	RECEIVED
<b>16</b>	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
<b>1,080</b>	REFERRED FOR INVESTIGATION
<b>1</b>	PENDING

Conviction/Arrest Notification Complaints	
<b>2,391</b>	RECEIVED
<b>2,412</b>	CLOSED/REFERRED FOR INVESTIGATION
<b>3</b>	PENDING

Inspections	
<b>N/A</b>	

Investigations	
<b>3,623</b>	OPENED
<b>5,471</b>	CLOSED
<b>1,367</b>	PENDING

Number of Days to Complete Intake and Investigations	
<b>1,942</b>	UP TO 90 DAYS
<b>858</b>	91 TO 180 DAYS
<b>1,115</b>	181 DAYS TO 1 YEAR
<b>879</b>	1 TO 2 YEARS
<b>455</b>	2 TO 3 YEARS
<b>222</b>	OVER 3 YEARS
<b>265</b>	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
<b>350</b>	ISSUED
<b>350</b>	ISSUED WITH A FINE
<b>10</b>	WITHDRAWN
<b>0</b>	DISMISSED
<b>393</b>	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
<b>\$189,272</b>	ASSESSED
<b>\$1,400</b>	REDUCED
<b>\$116,261</b>	COLLECTED

Criminal/Civil Actions	
<b>0</b>	REFERRALS FOR CRIMINAL/CIVIL ACTION
<b>0</b>	CRIMINAL ACTIONS FILED
<b>0</b>	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
<b>364</b>	CASES OPENED/INITIATED
<b>440</b>	CASES CLOSED
<b>342</b>	CASES PENDING

## BOARD OF VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS

## Summary of Enforcement Activity continued

Number of Days to Complete AG Cases	
<b>10</b>	1 YEAR
<b>130</b>	1 TO 2 YEARS
<b>155</b>	2 TO 3 YEARS
<b>75</b>	3 TO 4 YEARS
<b>70</b>	OVER 4 YEARS
<b>1,005</b>	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
<b>30</b>	STATEMENTS OF ISSUES FILED
<b>257</b>	ACCUSATIONS FILED
<b>7</b>	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
<b>5</b>	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
<b>7</b>	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
<b>33</b>	LICENSE APPLICATIONS DENIED
<b>161</b>	REVOCATION
<b>50</b>	SURRENDER OF LICENSE
<b>1</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>150</b>	PROBATION ONLY
<b>4</b>	PUBLIC REPRIMAND
<b>44</b>	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
<b>25</b>	TOTAL NUMBER FILED

Subsequent Disciplinary – Administrative Outcomes/Final Orders	
<b>24</b>	REVOCATION
<b>14</b>	SURRENDER OF LICENSE
<b>0</b>	PROBATION WITH SUSPENSION
<b>0</b>	SUSPENSION ONLY
<b>2</b>	PROBATION ONLY
<b>0</b>	PUBLIC REPRIMAND
<b>0</b>	OTHER DECISIONS

Petition for Modification or Termination of Probation	
<b>4</b>	GRANTED
<b>1</b>	DENIED
<b>5</b>	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
<b>17</b>	GRANTED
<b>10</b>	DENIED
<b>27</b>	TOTAL

Cost Recovery to DCA	
<b>\$623,440</b>	ORDERED
<b>\$183,002</b>	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
<b>N/A</b>	RESTITUTION ORDERED
<b>N/A</b>	AMOUNT REFUNDED
<b>N/A</b>	REWORK AT NO CHARGE
<b>N/A</b>	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
<b>N/A</b>	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

Receipt of Complaint to Assignment to Investigator	
<b>9</b>	AVERAGE NUMBER OF DAYS

Investigations: Opening to Closing of Case	
<b>297</b>	AVERAGE NUMBER OF DAYS

Investigations: Closure of Investigation to Imposing Formal Discipline	
<b>556</b>	AVERAGE NUMBER OF DAYS

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Pg. 216 **Expenditure Categories**

Pg. 219 **Fund Conditions**

Pg. 222 **Revenue Sources**



## Section 139 Report

EXAMINATION PROGRAM				PREREQUISITES <sup>1</sup> for admittance to the examination			EXAMINATION VALIDATION <sup>2</sup> OA = Occupational Analysis				COSTS (IN THOUSANDS) <sup>3</sup> ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year								
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)						
			B&P	CCR															
ACCOUNTANCY, CALIFORNIA BOARD OF	CERTIFIED PUBLIC ACCOUNTANT (CPA)	UNIFORM CERTIFIED PUBLIC ACCOUNTANT EXAMINATION (CPA EXAM)	5081 5092 5093	9.2	OA: INTERNAL/ EXTERNAL REVIEW AS NEEDED	2015	2008	CRITERION- REFERENCED	X	\$0	\$0	\$796	\$0						
		PROFESSIONAL ETHICS FOR CERTIFIED PUBLIC ACCOUNTANTS – SUPPLEMENTAL EXAMINATION	5018 5083 5092 5093	10	PREREQUISITE TO APPLY FOR LICENSURE ONLY	2013	2013	SET IN REGULATION		\$0	\$0	\$0	\$0						
		ACUPUNCTURE BOARD	CALIFORNIA ACUPUNCTURE LICENSING EXAMINATION	4938 4939 4940	1399.420 1399.432 1399.434 1399.436 1399.439	NO NATIONAL COMPETENCY EXISTS FOR PROFESSION, SO OA UPDATE EVERY 5 YEARS	2015	2015	MODIFIED ANGOFF	X	\$0	\$306	\$279	\$355					
				ARCHITECTS BOARD, CALIFORNIA	ARCHITECT REGISTRATION EXAMINATION (ARE)  CALIFORNIA SUPPLEMENTAL EXAMINATION (CSE)	5550 5552	116	OA: INTERNAL/ EXTERNAL REVIEW AS NEEDED  OA: INTERNAL REVIEW EVERY 5 YEARS OR AS NEEDED	2012  2014	2012  2007	MODIFIED ANGOFF	X	\$0  \$0	\$3  \$252	\$157  \$180	\$16  \$18			
AUTOMOTIVE REPAIR, BUREAU OF	SMOG CHECK	SMOG CHECK INSPECTOR	HEALTH & SAFETY CODE 44014 44031.5			3340.28 3340.29	OA: INTERNAL REVIEW EVERY 5 YEARS	2016  2015  2011	2012  2015  2011	MODIFIED ANGOFF	X	\$81  \$46  \$0  \$0	\$25  \$45  \$7  \$4	\$77  \$22  \$17  \$20	\$12  \$16  \$9  \$4				
		SMOG CHECK REPAIR TECHNICIAN	9887.2	3310	MODIFIED ANGOFF (FIRST FORM OFF OA) EQUATING (SUBSEQUENT FORMS)	MODIFIED ANGOFF (CANDIDATE VOLUME DOES NOT SUPPORT EQUATING)		MODIFIED ANGOFF (FIRST FORM OFF OA) EQUATING (SUBSEQUENT FORMS)											
		BRAKE ADJUSTER							LAMP ADJUSTER			BARBER							
		COSMETOLOGY					ELECTROLOGY			ESTHETICIAN	MANICURIST								
BARBERING AND COSMETOLOGY, BOARD OF	COSMETOLOGY	ELECTROLOGY	7330	EXTERNAL REVIEW AS NEEDED	909 910 924 926	2011		2011	MODIFIED ANGOFF (FIRST FORM OFF OA) EQUATING (SUBSEQUENT FORMS)			X	\$1,827	\$1,561.4	\$0				
							COSMETOLOGY			ELECTROLOGY	ESTHETICIAN					MANICURIST			
																	ELECTROLOGY	ESTHETICIAN	MANICURIST

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2. Methods used to establish passing scores vary across exam administrations and are based on minimum competence criteria necessary for licensure.
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			B&P	CCR										
BEHAVIORAL SCIENCES, BOARD OF	LICENSED CLINICAL SOCIAL WORKER (LCSW)	CALIFORNIA LCSW LAW AND ETHICS	4992.05 (B)		OA: ANNUAL INTERNAL/ EXTERNAL REVIEW	2010	2010	MODIFIED ANGOFF	X				\$0	
		ASSOCIATION OF SOCIAL WORK BOARD (ASWB) CLINICAL EXAMINATION NATIONAL EXAM	4992.05(C) (1-3)			2015	2015							
	LICENSED EDUCATIONAL PSYCHOLOGIST (LEP)	LEP	4989.20			2015	2015							
	LICENSED PROFESSIONAL CLINICAL COUNSELOR (LPCC)	CALIFORNIA LPCC LAW AND ETHICS	4999.53(B)			2010	2010	CRITERION- REFERENCED						
	LICENSED MARRIAGE AND FAMILY THERAPIST (MFT)	NATIONAL BOARD OF CERTIFIED COUNSELORS NATIONAL CLINICAL MENTAL HEALTH COUNSELOR EXAMINATION	4999.53(C) (1-3)			2012	2012	MODIFIED ANGOFF						
		LMFT LAW AND ETHICS	4980.397(B) 4980.397 (C)(1-3)											
CEMETERY AND FUNERAL BUREAU	CEMETERY BROKER	CEMETERY BROKER	7651 7651.1 7651.2 7651.4		ANNUAL INTERNAL REVIEW	2013	2013	MODIFIED ANGOFF	X	\$0	\$0	\$1	\$0	
	CEMETERY MANAGER	CEMETERY MANAGER	7653.7			2012	2012				\$22	\$7		
	CREMATORY MANAGER	CREMATORY MANAGER	7712.10 7713.1	2326.1(A)(1)		2010	2010				\$25	\$5		
	EMBALMER	EMBALMER	7642 7643 7646	1235		2014	2014				\$0	\$1		
	FUNERAL DIRECTOR	FUNERAL DIRECTOR	7618 7619			2011	2011					\$6		
CHIROPRACTIC EXAMINERS, BOARD OF	DOCTOR OF CHIROPRACTIC	NATIONAL BOARD OF CHIROPRACTIC EXAMINERS EXAMINATION PARTS I, II, III, IV AND PHYSIOTHERAPY	SECTION 6, CHIROPRACTIC INITIATIVE ACT	EXTERNAL 5 YEARS	2015	2009	MODIFIED ANGOFF AND EQUATING	X	\$21	\$0	\$0	\$0		
		CALIFORNIA CHIROPRACTIC LAW EXAMINATION (CCLE)	SECTION 5, CHIROPRACTIC INITIATIVE ACT	INTERNAL 5 YEARS	2014	2014		\$53.2	\$0	\$0	\$0			

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BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)		
			B&P	CCR											
CONTRACTORS STATE LICENSE BOARD	A	GENERAL ENGINEERING CONTRACTOR	7056–7058	825 826	INTERNAL REVIEW AS NEEDED	2014	2014	MODIFIED ANGOFF	X	\$542 (PY): TOTAL COST FOR ALL CSLB PROGRAMS	\$960 (PY): TOTAL COST FOR ALL CSLB PROGRAMS	\$1,681 (PY): TOTAL COST FOR ALL CSLB PROGRAMS	\$82 (PY): TOTAL COST FOR ALL CSLB PROGRAMS		
	B	GENERAL BUILDING				2013	2013							2013	2013
	C-2	INSULATION AND ACOUSTICAL BOILER, HOT WATER HEATING, AND STEAM FITTING				2011	2011							2011	2011
	C-4					2011	2011							2011	2011
	C-5	FRAMING AND ROUGH CARPENTRY				2013	2013							2013	2013
	C-6	CABINET, MILLWORK, AND FINISH CARPENTRY				2014	2014							2014	2014
	C-7	LOW VOLTAGE SYSTEMS				2011	2011							2011	2011
	C-8	CONCRETE				2015	2015							2015	2015
	C-9	DRYWALL				2015	2015							2015	2015
	C-10	ELECTRICAL				2013	2013							2013	2013
	C-11	ELEVATOR				2014	2014							2014	2014
	C-12	EARTHWORK AND PAVING				2011	2011							2011	2011
	C-13	FENCING				2013	2013							2013	2013
	C-15	FLOORING AND FLOOR COVERING				2014	2014							2014	2014
	C-16	FIRE PROTECTION				2016	2011							2011	2011
	C-17	GLAZING				2015	2010							2010	2010
	C-20	WARM AIR HEATING, VENT- ILATING, AND AIR CONDITIONING				2014	2014							2014	2014
	C-21	BUILDING MOVING AND DEMOLITION				2012	2012							2012	2012
	C-22	ASBESTOS ABATEMENT				2015	2015							2015	2015
	C-23	ORNAMENTAL METAL				2014	2014							2014	2014
	C-27	LANDSCAPING				2015	2010							2010	2010
	C-28	LOCK AND SECURITY EQUIPMENT				2013	2013							2013	2013
	C-29	MASONRY				2015	2015							2015	2015
	C-31	CONSTRUCTION ZONE TRAFFIC CONTROL				2015	2015							2015	2015
	C-32	PARKING AND HIGHWAY IMPROVEMENT				2015	2010							2010	2010
	C-33	PAINTING AND DECORATING				2015	2010							2010	2010
	C-34	PIPELINE				2012	2012							2012	2012
	C-35	LATHING AND PLASTERING				2013	2013							2013	2013
	C-36	PLUMBING				2014	2014							2014	2014
	C-38	REFRIGERATION				2013	2013							2013	2013

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			B&P	CCR										
CONTRACTORS STATE LICENSE BOARD	C-39	ROOFING	7056–7058	825 826	INTERNAL REVIEW AS NEEDED	2015	2010	MODIFIED ANGOFF	X	\$542 (PY): TOTAL COST FOR ALL CSLB PROGRAMS	\$960 (PY): TOTAL COST FOR ALL CSLB PROGRAMS	\$1,681 (PY): TOTAL COST FOR ALL CSLB PROGRAMS	\$82 (PY): TOTAL COST FOR ALL CSLB PROGRAMS	
	C-42	SANITATION SYSTEM				2012	2012							
	C-43	SHEET METAL				2014	2009							
	C-45	SIGN				2012	2012							
	C-46	SOLAR				2012	2012							
	C-47	GENERAL MANUFACTURED HOUSING				2012	2012							
	C-50	REINFORCING STEEL				2012	2012							
	C-51	STRUCTURAL STEEL				2014	2014							
	C-53	SWIMMING POOL				2016	2011							
	C-54	CERAMIC AND MOSAIC TILE				2016	2011							
	C-55	WATER CONDITIONING				2012	2012							
	C-57	WELL DRILLING				2012	2012							
	C-60	WELDING				2013	2013							
	N/A	ASBESTOS CERTIFICATION				2015	2015							
	N/A	HAZARDOUS SUBSTANCE REMOVAL CERTIFICATION				2012	2012							
	N/A	LAW AND BUSINESS				2015	2010							
COURT REPORTERS BOARD OF CALIFORNIA	CERTIFIED SHORTHAND REPORTER (CSR)	CERTIFIED SHORTHAND REPORTER PRACTICAL	8020	OA: INTERNAL/ EXTERNAL REVIEW	2009	2009	2009	SPECIFIED IN STATUTE	N/A	\$0	\$89	\$74	\$3	
		CERTIFIED SHORTHAND REPORTER ENGLISH						MODIFIED ANGOFF	X					
		CERTIFIED SHORTHAND REPORTER PROFESSIONAL PRACTICE												
DENTAL BOARD OF CALIFORNIA	DENTIST	PORTFOLIO	1632 (C)(1) 1632 (C)(2) 1632 (B)	INTERNAL/ EXTERNAL REVIEW AS NEEDED	2013	2013	2013	CRITERION- REFERENCED	X	\$0	\$21.3	\$2.8	\$0	
		WREB						SPECIFIED IN STATUTE						
		LAW & ETHICS												
	REGISTERED DENTAL ASSISTANT	WRITTEN	1752.1 1752.1(E)		2016	2010	2010	CRITERION- REFERENCED	X	\$63	\$21.3	\$2.8	\$0	
		PRACTICAL												
	REGISTERED DENTAL ASSISTANT IN EXTENDED FUNCTIONS	LAW & ETHICS	1753		2016	2010	2010	CRITERION- REFERENCED	X	\$63	\$21.3	\$2.8	\$0	
		WRITTEN												
	ORTHODONTIC ASSISTANT	PRACTICAL/CLINICAL	1750.2 1750.4		2016	2010	2010	CRITERION- REFERENCED	X	\$63	\$21.3	\$2.8	\$0	
WRITTEN														
DENTAL SEDATION PERMIT	WRITTEN	1750.4	2010	2010	2010	CRITERION- REFERENCED	X	\$63	\$21.3	\$2.8	\$0			

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			B&P	CCR										
DENTAL HYGIENE COMMITTEE OF CALIFORNIA	REGISTERED DENTAL HYGIENIST (RDH)	NATIONAL DENTAL HYGIENE BOARD EXAMINATION (NDHBE)	1917	1082	EXTERNAL REVIEW EVERY 5 YEARS	2015	2015	CRITERION- REFERENCED	X	\$0	\$0	\$0		
		WESTERN REGIONAL EXAMINATION BOARD (WREB)		2009		2009								
		CENTRAL REGIONAL DENTAL TESTING SERVICES EXAMINATION (CRDTS)		2014		2014								
		CALIFORNIA LAW AND ETHICS EXAMINATION												
	REGISTERED DENTAL HYGIENIST IN ALTERNATIVE PRACTICE (RDHAP)	CALIFORNIA LAW AND ETHICS EXAMINATION (RDHAP)	1922	1082.3	INTERNAL REVIEW EVERY 3 YEARS	2010	2010	SPECIFIED IN STATUTE		\$0	\$5.3	\$0	\$0	
	REGISTERED DENTAL HYGIENIST IN EXTENDED FUNCTIONS (RDHEF) (CURRENTLY NOT LICENSING CANDIDATES)	CALIFORNIA CLINICAL EXAMINATION (CURRENTLY NOT ADMINISTERED)	1918			2000	2000			\$0				
ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR PROFESSIONAL	AGRICULTURAL ENGINEER	PE AGRICULTURAL	6704 6732 6750-59	404 420-424 427.1 438	OA: INTERNAL/ EXTERNAL REVIEW AS NEEDED	2013	2013	MODIFIED ANGOFF	X	\$0: TOTAL COST FOR PROGRAMS: AGRICUL- TURAL ENGINEER - CERTIFIED HYDROGEO- LOGIST	\$828.2: TOTAL COST FOR PROGRAMS: AGRICUL- TURAL ENGINEER - TRAFFIC ENGINEER	\$6.5: TOTAL COST FOR PROGRAMS: AGRICUL- TURAL ENGINEER - TRAFFIC ENGINEER	\$0: TOTAL COST FOR PROGRAMS: AGRICUL- TURAL ENGINEER - CERTIFIED HYDROGEO- LOGIST	
	CHEMICAL ENGINEER	PE CHEMICAL				2009	2009							
	CIVIL ENGINEER (NATIONAL)	PE CIVIL BREADTH & DEPTH				2013	2013							
	CIVIL ENGINEER (CALIFORNIA)	SEISMIC PRINCIPLES/ ENGINEERING SURVEY				2011	2011							

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			B&P	CCR										
ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR PROFESSIONAL	CONTROL SYSTEMS ENGINEER	PE CONTROL SYSTEMS	6704 6732 6750-59		OA; INTERNAL/ EXTERNAL REVIEW AS NEEDED	2010	2010	MODIFIED ANGOFF	X	\$0: TOTAL COST FOR PROGRAMS: AGRICUL- TURAL ENGINEER - CERTIFIED HYDROGEO- LOGIST	\$828.2: TOTAL COST FOR PROGRAMS: AGRICUL- TURAL ENGINEER - TRAFFIC ENGINEER	\$6.5: TOTAL COST FOR PROGRAMS: AGRICUL- TURAL ENGINEER - TRAFFIC ENGINEER		
	ELECTRICAL ENGINEER	PE ELECTRICAL	6702.1 6704 6750-59	404 420-424 427.1 438		2007	2007							
	ENGINEER-IN- TRAINING (EIT)	FUNDAMENTALS OF ENGINEERING (FE)	6704 6750-59			2009	2009							
	FIRE PROTECTION ENGINEER	PE FIRE PROTECTION	6704 6732 6750-59			2011	2011							
	GEOTECHNICAL ENGINEER	GEOTECHNICAL ENGINEER	6730.2 6736.1	404 420-424 426.5 426.51 427.2		2010	2010							
	INDUSTRIAL ENGINEER	PE INDUSTRIAL	6704 6732 6750-59	404 420-424 427.1 438		2011	2011							
	LAND SURVEYOR (NATIONAL)	PRINCIPLES OF SURVEYING (PS)	8741-8743	404-424 427.1 438		2011	2011							
	LAND SURVEYOR (CALIFORNIA)	PROFESSIONAL LAND SURVEYOR	8708 8741-8743	404-424 427.1 438		2011	2011							
	LAND SURVEYOR-IN- TRAINING (LSIT)	FUNDAMENTALS OF SURVEYING (FS)	8741-8743	404 420-424 438		2012	2012							
	MECHANICAL ENGINEER	PE MECHANICAL	6702.2 6704 6750-59			2007	2007							
	METALLURGICAL ENGINEER	PE METALLURGICAL AND MATERIALS		404 420-424 427.1 438		2014	2014							
	NUCLEAR ENGINEER	PE NUCLEAR	6704 6732 6750-59			2011	2011							
	PETROLEUM ENGINEER	PE PETROLEUM		404 420-424 427.1, 438		2013	2013							

## Section 139 Report

EXAMINATION PROGRAM				PREREQUISITES <sup>1</sup> for admittance to the examination			EXAMINATION VALIDATION <sup>2</sup> OA = Occupational Analysis				COSTS (INTHOUSANDS) <sup>3</sup> ED = Examination Development EA = Examination Administration PE = Program Evaluation PO = Per Occurrence PY = Per Year			
BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)	
			B&P	CCR										
ENGINEERS, LAND SURVEYORS, AND GEOLOGISTS, BOARD FOR PROFESSIONAL	STRUCTURAL ENGINEER (NATIONAL)	LATERAL FORCES VERTICAL FORCES	6730.2	404	OA: INTERNAL/ EXTERNAL REVIEW AS NEEDED	2009	2009	MODIFIED ANGOFF	X	\$0: TOTAL COST FOR PROGRAMS: AGRICUL- TURAL ENGINEER - TRAFFIC ENGINEER	\$828.2: TOTAL COST FOR PROGRAMS: AGRICUL- TURAL ENGINEER - TRAFFIC ENGINEER	\$6.5: TOTAL COST FOR PROGRAMS: AGRICUL- TURAL ENGINEER - TRAFFIC ENGINEER	\$0: TOTAL COST FOR PROGRAMS: AGRICUL- TURAL ENGINEER - CERTIFIED HYDROGEO- LOGIST	
			6736	420-424										2010
	6763.1	426.10- 426.14 427.3	2015	2015										
	6763.1	426.14 427.3				2013	2013							
	TRAFFIC ENGINEER	TRAFFIC ENGINEER	6704	404										2013
	PROFESSIONAL GEOLOGIST	FUNDAMENTALS OF GEOLOGY PRACTICE OF GEOLOGY CALIFORNIA SPECIFIC (CSE)	6732	420-424		2013	2013							
PROFESSIONAL GEOLOGIST	PROFESSIONAL GEOPHYSICIST (PGP)	6750-59	427.10, 438	2013	2013									
CERTIFIED ENGINEERING GEOLOGIST	CERTIFIED ENGINEERING GEOLOGIST (CEG)	7843	7841			2013	2013							
CERTIFIED HYDROGEOLOGIST	CERTIFIED HYDROGEOLOGIST (CHG)	7841.1	7842	2013	2013									
PROFESSIONAL FIDUCIARY (PF)	PROFESSIONAL FIDUCIARIES EXAM	6539	4500			2013	2013							
GUIDE DOGS FOR THE BLIND, STATE BOARD OF	GUIDE DOG INSTRUCTOR EXAMINATION	7208	2261	2013	2013									
	GUIDE DOG INSTRUCTOR PRACTICAL/ORAL EXAMINATION	7209	2265			2013	2013							
LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE	LANDSCAPE ARCHITECTS REGISTRATION EXAMINATION (LARE)	5650	2610	2013	2013									
	LANDSCAPE ARCHITECT (SUPPLEMENTAL)	CALIFORNIA SUPPLEMENTAL EXAMINATION (CSE)	5651			2620	2013	2013						
			2621	2013	2013									
						2013	2013							
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				2013	20									

1. Prerequisites for admittance to the examination are mandated by Business and Professions (B&P) Code and/or California Code of Regulations (CCR), and assessed using a number of methods, including valid occupational analyses, national standards, and regulatory review. CCR codes are Title 16 unless otherwise indicated.
2. Methods used to establish passing scores vary across exam administrations and are based on minimum competence criteria necessary for licensure.
3. Included are costs for personnel required to perform these functions.



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BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)		
			B&P	CCR											
MEDICAL BOARD OF CALIFORNIA	PHYSICIAN AND SURGEON	UNITED STATES MEDICAL LICENSING EXAMINATION	2170			2008	2008	MODIFIED ANG OFF WITH RASCH MODEL EQUATING							
	LICENSED MIDWIFE	NORTH AMERICAN REGISTRY OF MIDWIVES	2512.5 2513		NATIONAL BOARDS ARE RESPONSIBLE FOR EXAMINATION DEVELOPMENT	2010	2010		X						
	POLYSOMNOGRAPHIC TECHNICIAN	CERTIFIED POLYSOMNOGRAPHIC TECHNICIAN (CPSGT)				2009	2009	MODIFIED ANG OFF							
	POLYSOMNOGRAPHIC TECHNOLOGIST	REGISTERED POLYSOMNOGRAPHIC TECHNOLOGIST (RPSBT)	3575			2009	2009								
NATUROPATHIC MEDICINE COMMITTEE	NATUROPATHIC DOCTOR	NPLEX I & II	3630 3631	4220	EXTERNAL REVIEW EVERY 5 YEARS	2012	2012	MODIFIED ANG OFF	X				NO COST TO THE COMMITTEE. NATIONAL EXAMS ARE CREATED, ADMINISTERED, AND EVALUATED BY EXTERNAL SOURCES.		
OCCUPATIONAL THERAPY, CALIFORNIA BOARD OF	OCCUPATIONAL THERAPIST	REGISTERED OCCUPATIONAL THERAPIST	2570.6		OA; EXTERNAL REVIEW	2012	2012	MODIFIED ANG OFF	X				EXAMINATIONS ARE ADMINISTERED BY AN EXTERNAL ORGANIZATION. THEREFORE, NO EXPENSES ARE INCURRED BY THE BOARD.		
	OCCUPATIONAL THERAPIST ASSISTANT	CERTIFIED OCCUPATIONAL THERAPY ASSISTANT												EXAMINATION IS ADMINISTERED BY OUTSIDE AGENCIES. THEREFORE, NO EXPENSES ARE INCURRED BY THE BOARD.	
OPTOMETRY, BOARD OF	OPTOMETRIST (OPT)	NATIONAL BOARD OF EXAMINERS IN OPTOMETRY- APPLIED BASIC SCIENCE (PART I); PATIENT ASSESSMENT AND MANAGEMENT (PART II); CLINICAL SKILLS (PART III)	3041.2 3046	1523	EXTERNAL REVIEW	2016	2006		X	\$0	\$24	\$0	\$0		
		CALIFORNIA LAWS AND REGULATIONS EXAMINATION				2009	2009	MODIFIED ANG OFF							
	REGISTERED SPECTACLE LENS DISPENSER	AMERICAN BOARD OF OPTICIANRY – SPECTACLE EXAM	2559.2			2013	2013						EXAMINATION IS ADMINISTERED BY OUTSIDE AGENCIES. THEREFORE, NO EXPENSES ARE INCURRED BY THE BOARD.		
	REGISTERED CONTACT LENS DISPENSER	NATIONAL CONTACT LENS EXAMINERS – CONTACT LENS EXAM	2561			2013	2013								
OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA	OSTEOPATHIC PHYSICIAN AND SURGEON	COMLEX LEVEL 1	2099.5	1620	EXTERNAL REVIEW EVERY 3-5 YEARS	2014	2014	MODIFIED ANG OFF	X	EXAMINATIONS ARE ADMINISTERED BY THE NATIONAL BOARD OF OSTEOPATHIC MEDICAL EXAMINERS. INC. THERE ARE NO COSTS TO THE OSTEOPATHIC MEDICAL BOARD.					
		COMLEX LEVEL 2 (CE)													
		COMLEX LEVEL 2 (PE)													
		COMLEX LEVEL 3													
PHARMACY, CALIFORNIA STATE BOARD OF	REGISTERED PHARMACIST	CALIFORNIA PRACTICE STANDARDS AND JURISPRUDENCE EXAMINATION FOR PHARMACISTS (CPJE)	4200 4200.1 4200.2 4200.3 4200.4 4209 4400	1719 1720 1720.1 1721 1723.1 1724 1725 1728 1749	OA; INTERNAL/ EXTERNAL REVIEW	2014	2014	MODIFIED ANG OFF	X	N/A	\$134	\$85	\$58		
		NORTH AMERICAN PHARMACIST LICENSURE EXAMINATION (NAPLEX)		OA						EXAMINATION ADMINISTERED BY THE NATIONAL ASSOCIATION OF BOARDS OF PHARMACY (NABP) WITH NO EXPENSE TO THE STATE.					

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BOARD/BUREAU/ COMMITTEE	LICENSE TYPE(S)	EXAM TITLE(S)	MANDATING CODE(S)		ASSESSMENT METHOD AND FREQUENCY	YEAR OF MOST RECENT OA	OA YEAR CURRENT EXAM IS BASED	PASSING SCORE METHOD	PERIODIC ITEM ANALYSIS	OA (PO)	ED (PY)	EA (PY)	PE (PO)	
			B&P	CCR										
PHYSICAL THERAPY BOARD OF CALIFORNIA	PHYSICAL THERAPIST (PT)	NATIONAL PHYSICAL THERAPY EXAMINATION (NPTE)	2650 2653	1398.25 1398.26 1398.26.1 1398.26.5 1398.30 1398.31	OA; EVERY 5 YEARS AND AS OTHERWISE DEEMED NECESSARY	2011	2011	MODIFIED ANGOFF	X	\$0	\$2.5: SHARED WITH PE COSTS	\$7.3	\$2.5: SHARED WITH ED COSTS	
		CALIFORNIA LAW EXAMINATION (CLE)		OA; AS DEEMED NECESSARY DUE TO CHANGES IN LAW OR REGULATION	2012	2012								
	PHYSICAL THERAPIST ASSISTANT (PTA)	NATIONAL PHYSICAL THERAPY EXAMINATION (NPTE)		1398.47 1398.50 1398.51	OA; EVERY 5 YEARS AND AS OTHERWISE DEEMED NECESSARY	2011	2011							
		CALIFORNIA LAW EXAMINATION (CLE)		OA; AS DEEMED NECESSARY DUE TO CHANGES IN LAW OR REGULATION	2012	2012								
PHYSICIAN ASSISTANT BOARD	PT SPECIALTY CERTIFICATION	KINESIOLOGICAL ELECTROMYOGRAPHY (KEMG)	2620.5	1399.63 1399.65	OA	1990	1990	MODIFIED ANGOFF	X		\$0	\$1	\$0	
		ELECTRONEUROMYO-GRAPHY (ENMG)		1399.64 1399.65	OA	1994	1994							
PHYSICIAN ASSISTANT BOARD	PHYSICIAN ASSISTANT	PANCE	3519	1399.507	OA; EVERY 5 YEARS	2015	2015	MODIFIED ANGOFF	X		NA			
PODIATRIC MEDICINE, CALIFORNIA BOARD OF	DOCTOR OF PODIATRIC MEDICINE (DPM) - PERMANENT (E)	NBPME PART I	2486	1399.660	OA: INTERNAL/ EXTERNAL REVIEW	2015	2015	MODIFIED ANGOFF	X	EXAMINATIONS ADMINISTERED BY THE NATIONAL BOARD OF PODIATRIC MEDICAL EXAMINERS (NBPME) WITH NO EXPENSE TO THE STATE.				
		NBPME PART II				2015	2015							
		NBPME PART III				2016	2016							
	DOCTOR OF PODIATRIC MEDICINE (DPM) – RESIDENT (EL)	NBPME PART I	2475.1	1399.668	OA: EXTERNAL REVIEW	2010	2010	MODIFIED ANGOFF	X	\$0	\$0	\$47	\$0	
NBPME PART II	2012	2012												
PSYCHOLOGY, BOARD OF	PSYCHOLOGIST	EXAMINATION FOR PROFESSIONAL PRACTICE IN PSYCHOLOGY (EPPP) CALIFORNIA PSYCHOLOGY LAWS AND ETHICS EXAMINATION	2914	1388	OA: EXTERNAL REVIEW	2010	2010	MODIFIED ANGOFF	X	\$0	\$0	\$47	\$0	
REAL ESTATE, BUREAU OF	RE SALESPERSON	RE SALESPERSON	10150–10153	N/A	INTERNAL REVIEW	2010	2010	PRE-DETERMINED CUT SCORE, TRANSITIONING TO MODIFIED ANGOFF	X	\$0	\$128.7	\$389.2	\$0	
	RE BROKER	RE Broker												

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			B&P	CCR												
REAL ESTATE APPRAISERS, BUREAU OF	APPRAISER	APPRAISER LICENSE	11340	3621	EXTERNAL REVIEW	2014	2014	ANNUAL SME TESTING	X	NA – NATIONAL EXAMINATION DEVELOPED BY THE APPRAISAL FOUNDATION THROUGH THE APPRAISAL QUALIFICATIONS BOARD (AOB).	\$0	\$21	\$0			
	CERTIFIED RESIDENTIAL	CERTIFIED RESIDENTIAL LICENSE	144 2736	1412 1426	OA:INTERNAL/ EXTERNAL REVIEW: 4 YEARS FULL EVERY 8 YEARS	2015	2015	ITEM RESPONSE THEORY	X							
	CERTIFIED GENERAL	CERTIFIED GENERAL LICENSE														
REGISTERED NURSING, BOARD OF	REGISTERED NURSE	NCLEX-RN	144 2736	1412 1426	OA:INTERNAL/ EXTERNAL REVIEW: 4 YEARS FULL EVERY 8 YEARS	2015	2015	ITEM RESPONSE THEORY	X					\$0	\$0	\$21
RESPIRATORY CARE BOARD OF CALIFORNIA	RESPIRATORY CARE PRACTITIONER	THERAPIST MULTIPLE CHOICE	3740		OA: ONGOING INTERNAL REVIEW	2012	2012	MODIFIED ANG OFF -3 TO +3 PER OPTION SELECTED	X	N/A	\$11					
		CLINICAL SIMULATION														
SECURITY AND INVESTIGATIVE SERVICES, BUREAU OF	ALARM COMPANY OPERATOR	ALARM COMPANY QUALIFIED MANAGER	144 7599	601 620	INTERNAL REVIEW OF QUALIFYING EXPERIENCE/ OA ONGOING	2004	2004	MODIFIED ANG OFF	X	\$17	\$0	\$3	N/A			
	PRIVATE INVESTIGATOR	PRIVATE INVESTIGATOR QUALIFIED MANAGER	144 7525 7526 7541			2015	2015			\$0	\$0	\$11				
	PRIVATE PATROL OPERATOR	PRIVATE PATROL OPERATOR QUALIFIED MANAGER	144 7582 7583			2012	2012			\$0	\$0	\$8				
	REPOSESSION AGENCY	REPOSESSION AGENCY QUALIFIED MANAGER	7503 7504			2004	2004			\$13	\$6	\$1				
SPEECH- LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD	SPEECH-LANGUAGE PATHOLOGIST	THE PRAXIS SERIES	2531 2532 2532.2	1399 152.3	OA: INTERNAL/ EXTERNAL REVIEW EVERY 5 TO 7 YEARS	2010	2010	MODIFIED TUCKER- ANGOFF	X	\$52	N/A	N/A	N/A			
	AUDIOLGIST		2531 2532 2532.2 2532.25		2008	2008	\$60									
	HEARING AID DISPENSER	HEARING AID DISPENSER WRITTEN/PRACTICAL EXAMINATIONS	2538.25 2538.26	1399.120	OA: INTERNAL/ EXTERNAL REVIEW EVERY 5 YEARS	2012	2012	MODIFIED ANG OFF		N/A	\$61	\$337				

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STRUCTURAL PEST CONTROL BOARD	APPLICATOR	APPLICATOR	B&P	CCR	INTERNAL/ EXTERNAL REVIEW EVERY 5 YEARS	2014	2014	SPECIFIED IN STATUTE	X	\$56	\$61	\$315	\$0	
	FIELD REPRESENTATIVE	BRANCH 1 FIELD REPRESENTATIVE	8564.5			2008	2008							
		BRANCH 2 FIELD REPRESENTATIVE	8563			2015	2010							
		BRANCH 3 FIELD REPRESENTATIVE	8564 8566			2008	2008							
	OPERATOR	BRANCH 1 OPERATOR	8561	1934	INTERNAL REVIEW EVERY 5 YEARS	2008	2008							
		BRANCH 2 OPERATOR	8562			2010	2010							
		BRANCH 3 OPERATOR	8565			2008	2008							
VETERINARY MEDICAL BOARD	VETERINARIAN	NAVLE	2010 2010.1 2014 2015	OA; EXTERNAL REVIEW EVERY 7 YEARS	2009	2009	MODIFIED ANGOFF	X	N/A	N/A	N/A	N/A	N/A	
		CSB	4846.1 4846.2 4848	OA; INTERNAL REVIEW EVERY 5-7 YEARS	2013	2013								
		VLE	2023 2024 2025	INTERNAL LAW REVIEW AS NEEDED	N/A	N/A								
	VETERINARY TEMPORARY/ RECIPROCITY	VLE	4848	2015.1 2021	INTERNAL LAW REVIEW AS NEEDED	2010	2010		N/A	N/A	N/A	N/A	N/A	
	REGISTERED VETERINARY TECHNICIAN	CRVT	4839 4841.4 4841.5	OA; INTERNAL REVIEW EVERY 5-7 YEARS	2010	2010								
		VTNE	2066 2068.5 2068.6 2068.7	INTERNAL LAW REVIEW AS NEEDED	2012	2012								
	VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS, BOARD OF	VOCATIONAL NURSE EXAMINATION FOR PRACTICAL NURSES		2516 2521 2530(G)	OA; EXTERNAL REVIEW EVERY 3 YEARS	2015	2012	MODIFIED ANGOFF	X					
2532 2533 2534 2534.1 2534(J)														
PSYCHIATRIC TECHNICIAN		CALIFORNIA PSYCHIATRIC TECHNICIAN LICENSURE EXAM	2575 2578 2585(G)	EXTERNAL REVIEW EVERY 5 YEARS	2014	2014								
			2586 2587 2588											
			4511 (A-D)											

## Expenditure Categories

FISCAL YEAR 2015/16 NET EXPENDITURES (IN THOUSANDS)									
	Fund Number and Name	FROM FM 13 Q16 CALSTARS REPORT			FROM FM 13 Q24 CALSTARS REPORT		FROM FM 13 Q16 CALSTARS REPORT		Total Net Expenditures
1111 – BUREAUS AND PROGRAMS		Personal Services	Operating Expenses	Subtotal (Month 13)	Less (-) Reimbursements	Less (-) Internal Distributed Costs			
ARBITRATION CERTIFICATION PROGRAM	0166 CERTIFICATION ACCOUNT	836	350	1,185	0	0			1,185
AUTOMOTIVE REPAIR, BUREAU OF	0421 VEHICLE INSPECTION & REPAIR FUND	51,915	49,114	101,029	-738	-71			100,220
	0582 HIGH POLLUTER REPAIR OR REMOVAL ACCOUNT	3,814	31,974	35,788	0	0			35,788
	3122 ENHANCED FLEET MODERNIZATION SUBACCOUNT	428	33,463	33,891	0	0			33,891
	<b>BAR - TOTAL</b>	<b>56,157</b>	<b>114,551</b>	<b>170,708</b>	<b>-738</b>	<b>-71</b>			<b>169,899</b>
CEMETERY & FUNERAL BUREAU	0717 CEMETERY FUND	1,343	821	2,164	-17	-115			2,032
	0750 STATE FUNERAL DIRECTORS & EMBALMERS FUND	694	634	1,328	-20	0			1,308
	<b>CFB - TOTAL</b>	<b>2,037</b>	<b>1,455</b>	<b>3,492</b>	<b>-37</b>	<b>-115</b>			<b>3,340</b>
ELECTRONIC & APPLIANCE REPAIR, HOME FURNISHINGS & THERMAL INSULATION, BUREAU OF	0752 BUREAU OF HOME FURNISHINGS & THERMAL INSULATION FUND	2,331	2,103	4,433	0	0			4,433
	0325 ELECTRONIC & APPLIANCE REPAIR FUND	1,115	1,116	2,231	0	-61			2,170
	<b>BEAR/HFTI - TOTAL</b>	<b>3,446</b>	<b>3,219</b>	<b>6,664</b>	<b>0</b>	<b>-61</b>			<b>6,603</b>
PRIVATE POSTSECONDARY EDUCATION, BUREAU FOR	0305 PRIVATE POSTSECONDARY EDUCATION ADMINISTRATION FUND	8,128	4,512	12,640	0	0			11,379
	0960 STUDENT TUITION RECOVERY FUND		1,312	1,312	0	0			466
	<b>BPPE - TOTAL</b>	<b>8,128</b>	<b>5,824</b>	<b>13,952</b>	<b>0</b>	<b>0</b>			<b>13,952</b>
PROFESSIONAL FIDUCIARIES BUREAU	3108 PROFESSIONAL FIDUCIARY FUND	352	283	635	0	0			635
REAL ESTATE APPRAISERS, BUREAU OF	0400 REAL ESTATE APPRAISERS FUND	3,493	1,810	5,303	-2	0			5,301
REAL ESTATE, BUREAU OF	0317 REAL ESTATE FUND	30,282	20,955	51,237	-42	0			51,195
SECURITY & INVESTIGATIVE SERVICES	0239 PRIVATE SECURITY SERVICES FUND	4,350	8,551	12,901	-692	-40			12,169
	0769 PRIVATE INVESTIGATOR FUND	293	553	847	-13	0			834
	<b>BSIS - TOTAL</b>	<b>4,643</b>	<b>9,104</b>	<b>13,748</b>	<b>-705</b>	<b>-40</b>			<b>13,003</b>
TELEPHONE MEDICAL ADVICE SERVICES BUREAU	0459 TELEPHONE MEDICAL ADVICE SERVICES FUND	131	32	163	0	0			163
	<b>1111 - TOTAL</b>	<b>109,505</b>	<b>157,583</b>	<b>267,087</b>	<b>-1,524</b>	<b>-287</b>			<b>265,216</b>

## Expenditure Categories

FISCAL YEAR 2015/16 NET EXPENDITURES (IN THOUSANDS)							
		FROM FM 13 Q16 CALSTARS REPORT			FROM FM 13 Q24 CALSTARS REPORT		FROM FM 13 Q16 CALSTARS REPORT
	Fund Number and Name	Personal Services	Operating Expenses	Subtotal (Month 13)	Less (-) Reimbursements	Less (-) Distributed Costs	Total Net Expenditures
1110 - REGULATORY BOARDS	ACCOUNTANCY, CALIFORNIA BOARD OF	8,627	5,523	14,150	-1,459	0	12,691
	ACUPUNCTURE BOARD	947	2,390	3,337	-132	0	3,205
ARCHITECTS BOARD, CALIFORNIA	0706 CALIFORNIA ARCHITECTS BOARD FUND	1,929	1,674	3,603	-61	-26	3,516
	0326 STATE ATHLETIC FUND	909	554	1,463	0	0	1,463
ATHLETIC COMMISSION, CALIFORNIA STATE	9250 BOXERS' PENSION	57	49	106	0	0	106
	0492 STATE ATHLETIC COMM NEURO EXAM ACCOUNT	0	21	21	0	0	21
	<b>ATCOM - TOTAL</b>	<b>966</b>	<b>624</b>	<b>1,590</b>	<b>0</b>	<b>0</b>	<b>1,590</b>
BARBERING & COSMETOLOGY, BOARD OF	0069 BARBERING & COSMETOLOGY FUND	7,053	17,125	24,178	-92	0	24,086
BEHAVIORAL SCIENCES, BOARD OF	0773 BEHAVIORAL SCIENCES EXAMINERS FUND	4,381	5,904	10,285	-168	0	10,117
CHIROPRACTIC EXAMINERS, BOARD OF	0152 CHIROPRACTIC EXAMINER FUND	1,725	1,756	3,481	-124	0	3,357
	0735 CONTRACTORS LICENSE FUND	33,256	28,164	61,420	-491	0	60,929
CONTRACTORS STATE LICENSE BOARD	0093 CONSTRUCTION MANAGEMENT EDUCATION ACCOUNT (CMEA)	0	0	0	0	0	0
	<b>CSLB - TOTAL</b>	<b>33,256</b>	<b>28,164</b>	<b>61,420</b>	<b>-491</b>	<b>0</b>	<b>60,929</b>
COURT REPORTERS BOARD OF CALIFORNIA	0771 COURT REPORTERS FUND	531	420	951	-9	0	942
	0410 TRANSCRIPT REIMBURSEMENT FUND	0	-19	-19	-1	0	-20
	<b>CRB - TOTAL</b>	<b>531</b>	<b>401</b>	<b>932</b>	<b>-10</b>	<b>0</b>	<b>922</b>
DENTAL HYGIENE COMMITTEE	3140 STATE DENTAL HYGIENE FUND	644	690	1,334	-25	0	1,309
	3142 STATE DENTAL ASSISTANT FUND	656	1,410	2,066	-2	0	2,064
DENTAL BOARD OF CALIFORNIA	0741 STATE DENTISTRY FUND	5,460	5,727	11,187	-527	0	10,660
	3039 DENTALLY UNDERSERVED ACCOUNT	0	141	141	0	0	141
	<b>DBC - TOTAL</b>	<b>6,116</b>	<b>7,278</b>	<b>13,394</b>	<b>-529</b>	<b>0</b>	<b>12,865</b>
PROFESSIONAL ENGINEERS, LAND SURVEYORS & GEOLOGISTS, BOARD FOR	0770 PROFESSIONAL ENGINEERS & LAND SURVEYORS FUND	3,658	4,312	7,970	-170	-68	7,732
	0205 GEOLOGY & GEOPHYSICS FUND	526	610	1,136	0	0	1,136
	<b>BPELSG - TOTAL</b>	<b>4,184</b>	<b>4,922</b>	<b>9,106</b>	<b>-170</b>	<b>-68</b>	<b>8,868</b>
GUIDE DOGS FOR THE BLIND, STATE BOARD OF	0024 STATE BOARD OF GUIDE DOGS FOR THE BLIND FUND	103	58	161	0	0	161

## Expenditure Categories

FISCAL YEAR 2015/16 NET EXPENDITURES (IN THOUSANDS)							
		FROM FM 13 Q16 CALSTARS REPORT			FROM FM 13 Q24 CALSTARS REPORT	FROM FM 13 Q16 CALSTARS REPORT	
LANDSCAPE ARCHITECTS TECHNICAL COMM	0757 LANDSCAPE ARCHITECTS FUND	376	373	749	0	0	749
	0758 CONTINGENT FUND OF THE MEDICAL BOARD	14,442	46,443	60,885	-2,257	-539	58,089
	0210 OUTPATIENT SETTING FUND OF THE MED BOARD	0	1	1	0	0	1
MEDICAL BOARD OF CALIFORNIA	0175 DISPENSING OPTICIANS FUND	48	154	202	0	0	202
	0755 LICENSED MIDWIFERY FUND (REVENUE ONLY)	0	0	0	-13	0	-13
	<b>MBC - TOTAL</b>	<b>14,490</b>	<b>46,598</b>	<b>61,088</b>	<b>-2,270</b>	<b>-539</b>	<b>58,279</b>
NATUROPATHIC MEDICINE COMMITTEE	3069 NATUROPATHIC DOCTORS FUND	203	169	372	0	0	372
OCCUPATIONAL THERAPY, CALIFORNIA BOARD OF	3017 OCCUPATIONAL THERAPY FUND	588	728	1,315	-32	0	1,283
OPTOMETRY, STATE BOARD OF	0763 STATE OPTOMETRY FUND	714	795	1,509	-40	0	1,469
OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA	0264 OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA CONTINGENT FUND	1,006	1,019	2,025	-180	-10	1,835
PHARMACY, CALIFORNIA STATE BOARD OF	0767 PHARMACY BOARD CONTINGENT FUND	12,372	8,962	21,334	-1,284	0	20,050
PHYSICAL THERAPY BOARD	0759 PHYSICAL THERAPY FUND	1,767	2,447	4,214	-179	0	4,035
PHYSICIAN ASSISTANT BOARD	0280 PHYSICIAN ASSISTANT FUND	383	1,352	1,735	-84	0	1,651
PODIATRIC MEDICINE, CALIFORNIA BOARD OF	0295 BOARD OF PODIATRIC MEDICINE FUND	4,656	583	5,239	-48	0	5,191
PSYCHOLOGY, BOARD OF	0310 PSYCHOLOGY FUND	1,862	2,930	4,792	-135	0	4,657
REGISTERED NURSING, BOARD OF	0761 BOARD OF REGISTERED NURSING FUND	13,596	30,957	44,567	-2,164	0	42,403
RESPIRATORY CARE BOARD	0319 RESPIRATORY CARE FUND	1,613	1,939	3,552	-152	0	3,400
SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY & HEARING AID DISPENSERS BOARD	0376 SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY FUND	856	1,306	2,162	-63	0	2,099
	0399 SPC EDUCATION & ENFORCEMENT FUND	85	321	406	0	0	406
STRUCTURAL PEST CONTROL BOARD	0775 STRUCTURAL PEST CONTROL FUND	2,235	2,606	4,841	0	0	4,841
	<b>SPCB - TOTAL</b>	<b>2,320</b>	<b>2,927</b>	<b>5,247</b>	<b>0</b>	<b>0</b>	<b>5,247</b>
VETERINARY MEDICAL BOARD	0777 VETERINARY MEDICAL BOARD CONTINGENT FUND	1,709	3,024	4,733	-162	0	4,571
	0779 VOCATIONAL NURSING & PSYCHIATRIC TECHNICIANS FUND	4,532	5,383	9,915	-238	-37	9,640
VOCATIONAL NURSING & PSYCHIATRIC TECHNICIANS, BOARD OF	0780 PSYCHIATRIC TECHNICIANS ACCOUNT	869	1,114	1,983	-36	0	1,947
	<b>BVNPT - TOTAL</b>	<b>5,401</b>	<b>6,497</b>	<b>11,898</b>	<b>-274</b>	<b>-37</b>	<b>11,587</b>
	<b>1110 - TOTAL</b>	<b>132,665</b>	<b>186,091</b>	<b>318,769</b>	<b>-10,166</b>	<b>-680</b>	<b>307,923</b>
	<b>DCA - TOTAL</b>	<b>242,170</b>	<b>343,674</b>	<b>585,856</b>	<b>-11,690</b>	<b>-967</b>	<b>573,199</b>



## Fund Conditions

FISCAL YEAR 2015/16 FUND CONDITIONS (IN THOUSANDS)							
From General Ledger Fund Conditions							
	Fund Number and Name	Total Reserves July 1 [a]	Total Revenues	Transfers	Total Expenditures [b]	Reserve June 30	Estimated Months of Reserve
1111—BUREAUS & PROGRAMS							
ARBITRATION CERTIFICATION PROGRAM	0166 CERTIFICATION ACCOUNT	1,128	1,218	0	1,187	1,159	11.3
	0421 VEHICLE INSPECTION AND REPAIR FUND	38,710	135,304	40,250	118,256	96,008	8.8
	0582 HIGH POLLUTER REPAIR OR REMOVAL ACCOUNT	28,902	44,449	0	35,862	37,489	11.1
	3122 ENHANCED FLEET MODERNIZATION SUBACCOUNT	13,775	33,175	20,000	36,765	30,185	9.8
CEMETERY AND FUNERAL BUREAU	0717 CEMETERY FUND	2,968	2,476	0	2,037	3,407	9.2
	0750 STATE FUNERAL DIRECTORS AND EMBALMERS FUND	1,528	1,293	0	1,310	1,511	5.7
ELECTRONIC AND APPLIANCE REPAIR, HOME FURNISHINGS AND THERMAL INSULATION, BUREAU OF	0325 ELECTRONIC AND APPLIANCE REPAIR FUND	2,057	2,476	0	2,175	2,358	9.5
	0752 HOME FURNISHINGS AND THERMAL INSULATION FUND	2,606	4,711	0	4,441	2,876	6.8
PRIVATE POSTSECONDARY EDUCATION, BUREAU FOR	0305 PRIVATE POSTSECONDARY EDUCATION ADMINISTRATION FUND	9,517	10,034	0	12,667	6,884	5.1
	0960 STUDENT TUITION RECOVERY FUND	29,626	182	0	1,312	28,496	
PROFESSIONAL FIDUCIARIES BUREAU	3108 PROFESSIONAL FIDUCIARY FUND	315	534	0	636	213	4.7
SECURITY AND INVESTIGATIVE SERVICES, BUREAU OF	0239 PRIVATE SECURITY SERVICES FUND	7,132	11,808	8,000	12,192	14,748	11.1
	0769 PRIVATE INVESTIGATOR FUND	620	684	0	835	469	5.2
REAL ESTATE APPRAISERS, BUREAU OF	0400 REAL ESTATE APPRAISERS FUND	7,732	3,212	3,000	5,311	8,633	17.3
REAL ESTATE, BUREAU OF	0317 REAL ESTATE FUND	44,101	51,527	0	51,285	44,343	9.9
TELEPHONE MEDICAL ADVICE SERVICES BUREAU	0459 TELEPHONE MEDICAL ADVICE SERVICES FUND	973	286	0	163	1,096	73.1
1110—REGULATORY BOARDS							
ACCOUNTANCY, CALIFORNIA BOARD OF	0704 ACCOUNTANCY FUND	6,991	8,044	10,270	12,714	12,591	10.4
ACUPUNCTURE BOARD	0108 ACUPUNCTURE FUND	1,937	2,613	0	3,210	1,340	4.2
ARCHITECTS BOARD, CALIFORNIA	0706 CALIFORNIA ARCHITECTS BOARD FUND	4,886	4,288	0	3,523	5,651	17.9
ATHLETIC COMMISSION, CALIFORNIA STATE	0326 STATE ATHLETIC FUND	593	1,919	0	1,466	1,046	7.5
	0492 STATE ATHLETIC COMM NEURO EXAM ACCOUNT	633	9	0	21	621	122.2
BARBERING AND COSMETOLOGY, BOARD OF	9250 BOXERS' PENSION FUND	224	267	0	413	78	8.6
	0069 BARBERING & COSMETOLOGY FUND	19,715	23,129	0	24,125	18,719	9.8
	0773 BEHAVIORAL SCIENCES EXAMINERS FUND	4,044	10,181	3,600	10,134	7,691	8.1

[a] Total Reserves July 1 includes prior year adjustment.

[b] Expenditures in fund conditions include SCO and/or other charges that are direct transfers against each fund.

## Fund Conditions

FISCAL YEAR 2015/16 FUND CONDITIONS (IN THOUSANDS)								From General Ledger Fund Conditions			
	Fund Number and Name	Total Reserves July 1 [a]	Total Revenues	Transfers	Total Expenditures [b]	Reserve June 30	Estimated Months of Reserve				
CHIROPRACTIC EXAMINERS, BOARD OF CONTRACTORS STATE LICENSE BOARD	0152 CHIROPRACTIC EXAMINERS FUND	3,437	3,460	-1,250	3,369	2,278	3.8				
	0735 CONTRACTORS LICENSE FUND	24,051	56,030	0	61,041	19,040	3.5				
	0093 CONSTRUCTION MANAGEMENT EDUCATION ACCOUNT (CMEA)	84	77	0	0	161	0.0				
COURT REPORTERS BOARD OF CALIFORNIA	0771 COURT REPORTERS FUND	1,141	938		944	1,135	11.5				
	0410 TRANSCRIPT REIMBURSEMENT FUND	124	1		20	105	12.6				
DENTAL ASSISTANT PROGRAM	3142 STATE DENTAL ASSISTANT FUND	2,831	1,871	0	2,068	2,634	11.9				
DENTAL BOARD OF CALIFORNIA	0741 STATE DENTISTRY FUND	5,565	11,444	0	10,683	6,326	5.7				
	3039 DENTALLY UNDERSERVED ACCOUNT	1,687	7	0	141	1,553					
DENTAL HYGIENE COMMITTEE	3140 STATE DENTAL HYGIENE COMMITTEE	1,285	1,870	0	1,311	1,844	10.9				
PROFESSIONAL ENGINEERS, LAND SURVEYORS, & GEOLOGISTS, BOARD FOR	0770 PROFESSIONAL ENGINEERS AND LAND SURVEYORS FUND	7,019	8,994	0	7,750	8,263	8.3				
	0205 GEOLOGY AND GEOPHYSICS FUND	1,188	1,083	0	1,139	1,132	4.5				
GUIDE DOGS FOR THE BLIND, STATE BOARD OF	0024 STATE BOARD OF GUIDE DOGS FOR THE BLIND FUND	88	153	0	161	80	5.7				
LANDSCAPE ARCHITECTS TECHNICAL COMMITTEE	0757 ARCHITECTS FUND	2,521	540	0	751	2,310	27.2				
MEDICAL BOARD OF CALIFORNIA	0175 DISPENSING OPTICIANS FUND	172	190	0	204	158	6.1				
	0210 OUTPATIENT SETTING FUND OF THE MED BOARD	335	1	0	1	335	148.9				
	0755 LICENSED MIDWIFERY FUND	298	46	0	0	344	294.9				
	0758 CONTINGENT FUND OF THE MEDICAL BOARD	28,369	56,816	0	58,184	27,001	5.1				
	3069 NATUROPATHIC DOCTORS FUND	588	295	0	373	510	18.3				
OCCUPATIONAL THERAPY, CALIFORNIA BOARD OF	3017 OCCUPATIONAL THERAPY FUND	2,982	1,305	0	1,285	3,002	15.5				
OPTOMETRY, STATE BOARD OF	0763 STATE OPTOMETRY FUND	1,478	1,896	0	1,472	1,902	11.9				
OSTEOPATHIC MEDICAL BOARD OF CALIFORNIA	0264 OSTEOPATHIC MEDICAL BOARD CONTINGENT FUND	3,088	1,807	0	1,838	3,057	16.0				
PHARMACY, CALIFORNIA STATE BOARD OF	0767 PHARMACY BOARD CONTINGENT FUND	11,768	18,835	0	20,085	10,518	6.1				
PHYSICAL THERAPY BOARD	0759 PHYSICAL THERAPY FUND	304	3,691	1,500	4,042	1,453	3.3				
PHYSICIAN ASSISTANT BOARD	0280 PHYSICIAN ASSISTANT FUND	1,737	1,668	0	1,654	1,751	12.6				
PODIATRIC MEDICINE, CALIFORNIA BOARD OF	0295 BOARD OF PODIATRIC MEDICINE FUND	1,008	989		1,003	994	7.9				

[a] Total Reserves July 1 includes prior year adjustment.

[b] Expenditures in fund conditions include SCO and/or other charges that are direct transfers against each fund.

## Fund Conditions

FISCAL YEAR 2015/16 FUND CONDITIONS (IN THOUSANDS)		From General Ledger Fund Conditions					
	Fund Number and Name	Total Reserves July 1 [a]	Total Revenues	Transfers	Total Expenditures [b]	Reserve June 30	Estimated Months of Reserve
PSYCHOLOGY, BOARD OF	0310 PSYCHOLOGY FUND	5,237	4,150	0	4,666	4,721	11.4
REGISTERED NURSING, BOARD OF	0761 BOARD OF REGISTERED NURSING FUND	7,288	36,655	8,300	42,469	9,774	2.8
RESPIRATORY CARE BOARD	0319 RESPIRATORY CARE FUND	2,497	2,710	0	3,405	1,802	5.8
SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY & HEARING AID DISPENSERS BOARD	0376 SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY FUND	1,547	1,966	450	2,103	1,860	11.2
STRUCTURAL PEST CONTROL BOARD	0168 SPCB RESEARCH FUND	588	150	0	0	738	
	0399 SPCB EDUCATION & ENFORCEMENT FUND	674	477	0	406	745	22.6
	0775 SPC FUND	2,275	4,615	0	4,849	2,041	5.0
VETERINARY MEDICAL BOARD	0777 VETERINARY MEDICAL BOARD FUND	3,608	3,843	0	4,578	2,873	6.9
VOCATIONAL NURSING AND PSYCHIATRIC TECHNICIANS, BOARD OF	0779 VOCATIONAL NURSE EXAMINERS FUND	11,334	10,945	0	9,655	12,624	11.4
	0780 PSYCHIATRIC TECHNICIANS ACCOUNT	1,264	1,730	0	1,951	1,043	6.3

[a] Total Reserves July 1 includes prior year adjustment.

[b] Expenditures in fund conditions include SCO and/or other charges that are direct transfers against each fund.

## Revenue Sources

### FISCAL YEAR 2015/16 REVENUE CATEGORIES (IN THOUSANDS)

Fund Number and Name	Other Motor Vehicle Fees (114300)	Division of Real Estate Examination (123400)	Division of Real Estate License Fees (123500)	Sub-division Filing Fees (123600)	Other Licensing and Regulatory Fees, Fines, & Penalties (125600)	Initial Licensing Fees (125700)	Renewal Fees (125800)	Delinquent Fees (125900)	
0166 CERTIFICATION ACCOUNT	0	0	0	0	1,213	0	0	0	
0421 VEHICLE INSPECTION & REPAIR FUND	0	0	0	0	1,863	115,814	7,845	266	
0582 HIGH POLLUTER REPAIR OR REMOVAL ACCOUNT	0	0	0	0	594	43,688	0	0	
3122 ENHANCED FLEET MODERNIZATION SUBACCOUNT	32,527	0	0	0	0	0	0	0	
<b>TOTAL BAR</b>	<b>32,527</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,457</b>	<b>159,502</b>	<b>7,845</b>	<b>266</b>	
0717 CEMETERY FUND	0	0	0	0	1,950	120	381	8	
0750 STATE FUNERAL DIRECTORS & EMBALMERS FUND	0	0	0	0	130	95	1,020	40	
<b>TOTAL CEMETERY FUNERAL BUREAU</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,080</b>	<b>215</b>	<b>1,401</b>	<b>48</b>	
0325 ELECTRONIC & APPLIANCE REPAIR FUND	0	0	0	0	14	391	2,009	102	
0752 HOME FURNISHINGS & THERMAL INSULATION FUND	0	0	0	0	89	877	3,605	116	
<b>TOTAL BEAR/HFTI</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>103</b>	<b>1,268</b>	<b>5,614</b>	<b>218</b>	
0305 PRIVATE POSTSECONDARY EDUCATION ADMINISTRATION FUND	0	0	0	0	394	522	8,683	357	
0960 STUDENT TUITION RECOVERY FUND	0	0	0	0	0	0	0	0	
<b>TOTAL BPPE</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>394</b>	<b>522</b>	<b>8,683</b>	<b>357</b>	
3108 PROFESSIONAL FIDUCIARY FUND	0	0	0	0	0	116	411	2	
0239 PRIVATE SECURITY SERVICES FUND	0	0	0	0	425	4,664	6	244	
0769 PRIVATE INVESTIGATOR FUND	0	0	0	0	4	79	1	21	
<b>TOTAL BSIS</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>429</b>	<b>4,743</b>	<b>7</b>	<b>265</b>	
0400 REAL ESTATE APPRAISERS FUND	0	0	2,111	0	0	292	0	0	
0317 REAL ESTATE FUND	0	4,010	36,672	8,388	0	207	0	0	
0459 TELEPHONE MEDICAL ADVICE SERVICES FUND	0	0	0	0	0	53	225	4	
0704 ACCOUNTANCY FUND	0	0	0	0	178	2,522	2,223	131	
0108 ACUPUNCTURE FUND	0	0	0	0	49	750	1,788	13	
0706 CALIFORNIA ARCHITECTS BOARD FUND	0	0	0	0	4	458	3,727	71	
0326 STATE ATHLETIC FUND	0	0	0	0	1,634	208	70	0	
0492 STATE ATHLETIC COMM NEURO EXAM ACCOUNT	0	0	0	0	8	0	0	0	
9250 BOXERS PENSION FUND	0	0	0	0	0	0	0	0	
<b>TOTAL CSAC</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,642</b>	<b>208</b>	<b>70</b>	<b>0</b>	
0069 BARBERING & COSMETOLOGY FUND	0	0	0	0	6,355	4,473	11,018	1,168	
0773 BEHAVIORAL SCIENCES EXAMINERS FUND	0	0	0	0	117	3,462	5,242	86	
0152 CHIROPRACTIC EXAMINERS FUND	0	0	0	0	22	153	3,235	38	
0735 CONTRACTORS LICENSE FUND	0	0	0	0	116	11,624	39,697	2,484	
0093 CONSTRUCTION MANAGEMENT EDUCATION ACCOUNT (CMEA)	0	0	0	0	0	77	0	0	
<b>TOTAL CONTRACTORS STATE LICENSE BOARD</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>116</b>	<b>11,701</b>	<b>39,697</b>	<b>2,484</b>	

	Misc. Revenue From Local Agencies (131700)	Sale of Documents (141200)	Misc. Services to the Public (142500)	Interest Earned (150300)	Interest Income from Interfund Loans (150500)	Attorney General Proceeds (160100)	Escheats (160800, 161000, 261900)	Other Revenue, Cost Recoveries (161900)	Penalty Assessments (164300)	Misc./ Other Revenue	STRF Assessment 6805	Total
	0	0	0	0	5	0	0	0	0	0	0	1,218
	0	2	0	154	3	0	10	0	0	1,254	0	127,211
	30	0	0	126	0	0	12	0	0	0	0	44,450
	0	0	0	104	0	0	0	0	0	0	0	32,631
	<b>30</b>	<b>2</b>	<b>0</b>	<b>384</b>	<b>3</b>	<b>0</b>	<b>22</b>	<b>0</b>	<b>0</b>	<b>1,254</b>	<b>0</b>	<b>204,292</b>
	0	0	0	15	0	0	2	0	0	0	0	2,476
	0	0	0	8	0	0	0	0	0	0	0	1,293
	<b>0</b>	<b>0</b>	<b>0</b>	<b>23</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,769</b>
	0	0	0	11	0	0	20	0	0	7	0	2,554
	0	0	0	16	0	0	8	0	0	0	0	4,711
	<b>0</b>	<b>0</b>	<b>0</b>	<b>27</b>	<b>0</b>	<b>0</b>	<b>28</b>	<b>0</b>	<b>0</b>	<b>7</b>	<b>0</b>	<b>7,265</b>
	0	0	1	42	0	0	10	20	0	4	0	10,033
	0	0	0	121	0	0	0	0	0	0	61	182
	<b>0</b>	<b>0</b>	<b>1</b>	<b>163</b>	<b>0</b>	<b>0</b>	<b>10</b>	<b>20</b>	<b>0</b>	<b>4</b>	<b>61</b>	<b>10,215</b>
	0	0	0	2	0	0	0	3	0	0	0	534
	0	0	2	32	764	0	8	0	0	11	0	6,156
	0	0	0	3	0	0	1	0	0	0	0	109
	<b>0</b>	<b>0</b>	<b>2</b>	<b>35</b>	<b>764</b>	<b>0</b>	<b>9</b>	<b>0</b>	<b>0</b>	<b>11</b>	<b>0</b>	<b>6,265</b>
	0	1	0	31	615	0	0	0	98	64	0	3,212
	0	14	166	148	0	0	12	1,158	726	26	0	51,527
	0	0	0	4	0	0	0	0	0	0	0	286
	0	0	2	18	2,950	0	14	0	0	6	0	8,044
	0	0	4	8	0	0	1	0	0	0	0	2,613
	0	0	0	26	0	0	1	0	0	1	0	4,288
	0	0	0	5	0	1	0	0	0	1	0	1,919
	0	0	0	1	0	0	0	0	0	0	0	9
	0	0	0	0	0	0	0	0	0	267	0	267
	<b>0</b>	<b>0</b>	<b>0</b>	<b>6</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>268</b>	<b>0</b>	<b>2,195</b>
	0	0	0	83	0	0	17	0	0	15	0	23,129
	0	0	0	18	0	0	4	0	0	4	0	8,933
	0	0	0	11	0	0	0	0	0	1	0	3,460
	0	0	82	50	0	0	28	0	1,933	16	0	56,030
	0	0	0	0	0	0	0	0	0	0	0	77
	<b>0</b>	<b>0</b>	<b>82</b>	<b>50</b>	<b>0</b>	<b>0</b>	<b>28</b>	<b>0</b>	<b>1,933</b>	<b>16</b>	<b>0</b>	<b>56,107</b>

## Revenue Sources

### FISCAL YEAR 2015/16 REVENUE CATEGORIES (IN THOUSANDS)

Fund Number and Name	Other Motor Vehicle Fees (114300)	Division of Real Estate Examination (123400)	Division of Real Estate License Fees (123500)	Sub-division Filing Fees (123600)	Other Licensing and Regulatory Fees, Fines, & Penalties (125600)	Initial Licensing Fees (125700)	Renewal Fees (125800)	Delinquent Fees (125900)	
0771 COURT REPORTERS FUND	0	0	0	0	11	38	865	17	
0410 TRANSCRIPT REIMBURSEMENT FUND	0	0	0	0	0	0	0	0	
<b>TOTAL COURT REPORTERS BOARD</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>11</b>	<b>38</b>	<b>865</b>	<b>17</b>	
3140 STATE DENTAL HYGIENE COMMITTEE	0	0	0	0	15	158	1,638	24	
3142 STATE DENTAL ASSISTANT FUND	0	0	0	0	13	456	1,297	76	
0741 STATE DENTISTRY FUND	0	0	0	0	278	997	10,247	71	
3039 DENTALLY UNDERSERVED ACCOUNT	0	0	0	0	0	0	0	0	
<b>TOTAL DENTAL BOARD OF CALIFORNIA</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>291</b>	<b>1,453</b>	<b>11,544</b>	<b>147</b>	
0770 PROFESSIONAL ENGINEERS & LAND SURVEYORS FUND	0	0	0	0	88	2,705	6,084	73	
0205 GEOLOGY & GEOPHYSICS FUND	0	0	0	0	7	280	768	20	
<b>TOTAL BPESG</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>95</b>	<b>2,985</b>	<b>6,852</b>	<b>93</b>	
0024 STATE BOARD OF GUIDE DOGS FOR THE BLIND FUND	0	0	0	0	0	4	149	0	
0757 LANDSCAPE ARCHITECTS FUND	0	0	0	0	5	82	427	14	
0175 DISPENSING OPTICIANS FUND	0	0	0	0	1	36	145	6	
0210 OUTPATIENT SETTING FUND OF THE MED BOARD OF CA	0	0	0	0	0	0	0	0	
0755 LICENSED MIDWIFERY FUND	0	0	0	0	0	8	36	1	
0758 MEDICAL BOARD OF CALIFORNIA FUND	0	0	0	0	1,171	7,388	48,728	124	
<b>TOTAL MEDICAL BOARD OF CALIFORNIA</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,172</b>	<b>7,432</b>	<b>48,909</b>	<b>131</b>	
3069 NATUROPATHIC DOCTORS FUND	0	0	0	0	6	67	217	1	
3017 OCCUPATIONAL THERAPY FUND	0	0	0	0	19	249	967	16	
0763 STATE OPTOMETRY FUND	0	0	0	0	71	160	1,687	10	
0264 OSTEOPATHIC MED BD OF CA FUND	0	0	0	0	21	338	1,419	15	
0767 PHARMACY BOARD CONTINGENT FUND	0	0	0	0	2,288	3,529	12,748	193	
0759 PHYSICAL THERAPY FUND	0	0	0	0	140	561	2,932	20	
0280 PHYSICIAN ASSISTANT FUND	0	0	0	0	65	224	1,421	4	
0295 BOARD OF PODIATRIC MEDICINE FUND	0	0	0	0	5	89	883	7	
0310 PSYCHOLOGY FUND	0	0	0	0	144	687	3,282	12	
0761 BOARD OF REGISTERED NURSING FUND	0	0	0	0	1,687	6,325	27,876	622	
0319 RESPIRATORY CARE FUND	0	0	0	0	67	380	2,166	86	
0376 SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY FUND	0	0	0	0	28	504	1,397	20	
0168 SPCB RESEARCH FUND	0	0	0	0	147	0	0	0	
0399 SPCB EDUCATION & ENFORCEMENT FUND	0	0	0	0	474	0	0	0	
0775 SPC FUND	0	0	0	0	3,554	658	229	4	
<b>TOTAL SPCB</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,175</b>	<b>658</b>	<b>229</b>	<b>4</b>	
0777 VETERINARY MEDICAL BOARD FUND	0	0	0	0	80	530	2,408	8	
0779 VOCATIONAL NURSE EXAMINERS FUND	0	0	0	0	265	3,355	7,118	138	
0780 PSYCHIATRIC TECHNICIANS ACCOUNT	0	0	0	0	19	235	1,445	21	
<b>TOTAL VOC NURSE PSYCH TECH</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>284</b>	<b>3,590</b>	<b>8,563</b>	<b>159</b>	

	Misc. Revenue From Local Agencies (131700)	Sale of Documents (141200)	Misc. Services to the Public (142500)	Interest Earned (150300)	Interest Income from Interfund Loans (150500)	Attorney General Proceeds (160100)	Escheats (160800, 161000, 261900)	Other Revenue, Cost Recoveries (161900)	Penalty Assessments (164300)	Misc./ Other Revenue	STRF Assessment 6805	Total
	0	0	0	5	0	0	0	0	0	1	0	937
	0	0	0	1	0	0	0	0	0	0	0	1
	<b>0</b>	<b>0</b>	<b>0</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>938</b>
	0	0	0	7	0	0	0	0	0	27	0	1,869
	0	1	3	12	0	0	0	0	0	12	0	1,870
	0	0	34	27	0	0	5	0	0	2	0	11,661
	0	0	0	7	0	0	0	0	0	0	0	7
	<b>0</b>	<b>1</b>	<b>37</b>	<b>46</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>14</b>	<b>0</b>	<b>13,538</b>
	0	0	0	32	0	0	10	0	0	2	0	8,994
	0	0	0	6	0	0	1	0	0	1	0	1,083
	<b>0</b>	<b>0</b>	<b>0</b>	<b>38</b>	<b>0</b>	<b>0</b>	<b>11</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>10,077</b>
	0	0	0	0	0	0	0	0	0	0	0	153
	0	0	0	11	0	0	1	0	0	0	0	540
	0	0	0	1	0	0	0	0	0	0	0	189
	0	0	0	1	0	0	0	0	0	0	0	1
	0	0	0	1	0	0	0	0	0	0	0	46
	2	25	0	139	0	0	24	0	0	0	0	57,601
	<b>2</b>	<b>25</b>	<b>0</b>	<b>142</b>	<b>0</b>	<b>0</b>	<b>24</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>57,837</b>
	0	2	0	2	0	0	0	0	0	0	0	295
	0	0	22	14	0	0	1	0	16	1	0	1,305
	0	0	0	9	2	0	0	0	0	0	0	1,939
	0	0	0	14	0	0	0	0	0	0	0	1,807
	4	0	0	50	0	0	14	0	0	10	0	18,836
	0	0	0	9	23	0	4	0	0	2	0	3,691
	0	0	0	9	0	0	0	0	0	0	0	1,723
	0	0	0	5	0	0	0	0	0	0	0	989
	0	0	0	24	0	0	1	0	0	0	0	4,150
	0	0	57	56	0	0	9	0	0	6	0	36,638
	0	0	0	11	0	1	1	0	0	0	0	2,712
	0	0	0	7	8	0	2	0	0	1	0	1,967
	0	0	0	3	0	0	0	0	0	0	0	150
	0	0	0	3	0	0	0	0	0	0	0	477
	0	4	0	9	0	0	2	51	0	103	0	4,614
	<b>0</b>	<b>4</b>	<b>0</b>	<b>15</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>51</b>	<b>0</b>	<b>103</b>	<b>0</b>	<b>5,241</b>
	0	9	0	16	0	0	2	0	0	0	0	3,053
	0	0	2	51	0	2	9	0	0	6	0	10,946
	0	0	0	8	0	0	2	0	0	0	0	1,730
	<b>0</b>	<b>0</b>	<b>2</b>	<b>59</b>	<b>0</b>	<b>2</b>	<b>11</b>	<b>0</b>	<b>0</b>	<b>6</b>	<b>0</b>	<b>12,676</b>





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